

# Adjuvant radiation treatment after prostatectomy. Where do we stand?

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ELSHAIKH MA, IBRAHIM DR, STRICKER H, PEABODY JO. Adjuvant radiation treatment after prostatectomy. Where do we stand? The Canadian Journal of Urology. 2011;18(2):5592-5600.

**Introduction:** Prostate cancer is the second most common cause of cancer death in American men. For patients with adverse pathologic features, postoperative radiotherapy to prostate bed after radical prostatectomy has been shown in randomized studies to improve many important clinical endpoints including overall survival. In this review article, we distinguish adjuvant radiation treatment (ART) from salvage radiation treatment (SRT), discuss the evidences for ART and its potential side effects focusing on the debate concerning the optimal timing of post prostatectomy radiation treatment (RT).

**Material and methods:** A comprehensive literature search was conducted in MEDLINE including pre-MEDLINE.

**Conclusion:** for patients with adverse pathologic factors, adjuvant radiation treatment after prostatectomy reduces the rate of PSA failure with the potential for significantly improving metastases-free and overall survival. Whether an equivalent survival benefit can be attained with early salvage radiation treatment after biochemical recurrence, is still an area of debate.

**Key Words:** prostate carcinoma, radical prostatectomy, adjuvant radiation treatment, salvage therapy, biochemical failure, prostate-specific antigen

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## Introduction

Prostate cancer is the most common cancer in men in the United States (US) with an estimated 217,730 patients diagnosed in 2010.<sup>1</sup> Improving the outcome of patients with this common malignancy would potentially translate to improved cancer outcome in

thousands if not millions of patients around the globe.

In general, adjuvant treatment refers to treatment aiming at reducing the risk of relapse for patients in whom all clinically detectable disease was removed by the primary therapeutic treatment.

In this review article, we will review the role of adjuvant radiation therapy (ART) after radical prostatectomy in patients with adverse pathological features prior to biochemical or clinical recurrence. For the purpose of this review article, we are referring to adjuvant radiation treatment for patients with undetectable (< 0.2 ng/mL) prostate-specific antigen (PSA) after radical prostatectomy (RP). When

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Accepted for publication December 2010

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postoperative PSA level persists or rises after RP, radiation treatment in this situation is referred to as salvage radiation treatment (SRT).

## Methods

A comprehensive literature search was conducted in MEDLINE including pre-MEDLINE (1950-September week 1, 2010) using different combinations of exploded subject headings and search words, such as but not limited to: prostatic neoplasms, prostatectomy, radiotherapy, salvage treatment, adjuvant treatment and prostate specific antigen. The searches were limited to studies published in English language.

## Natural history after radical prostatectomy

RP is the most commonly used treatment option in the US for men with clinically localized prostate carcinoma. Despite what appears to be complete surgical resection, residual subclinical disease in the operative bed may result in tumor regrowth that only becomes apparent after the initial surgical procedure.

Following RP, about 65% of patients will be cured. However, approximately 25%-35% of patients will experience biochemical and/or local failure within 10 years. The risk of failure is more pronounced for patients with adverse prognostic factors e.g. high pretreatment PSA > 10 ng/mL, extraprostatic tumor extension, seminal vesicles involvement, positive surgical margins, lymph node involvement and high Gleason score.<sup>2-12</sup> Lymph node positivity is associated with very high risk for systemic disease and will not be discussed in this article.

Numerous retrospective studies suggest the benefits of ART after RP for patients with adverse pathologic factors in terms of improved biochemical and loco regional control rates.<sup>14-26</sup> However, its positive impact on subsequent systemic relapse or overall survival was only reported by few studies.<sup>15,21-22</sup>

Overall, the positive effect of ART was more pronounced in patients with positive surgical margins.<sup>27-30</sup> In a large series of 5831 patients, Karakiewicz et al<sup>13</sup> concluded that positive surgical margins in prostatectomy specimens were associated with a 3.7-fold increased risk of prostate cancer progression.

## Randomized prospective studies of adjuvant radiotherapy

Considering the well known inherent bias of retrospective studies, the role of ART was the subject

of three important, prospective randomized trials in Europe as well as in the US in the last two decades.

### *European Organization for Research and Treatment of Cancer (EORTC 22911)*<sup>31</sup>

The study was conducted between 1992 and 2001 in Europe for 1005 patients with prostate cancer randomized between observation or ART after RP. The eligibility criteria were patients younger than 76 years with AJCC stage pT3 and/or positive surgical margins and with undetectable PSA after prostatectomy. Undetectable PSA was defined per the study protocol as PSA < 0.2 ng/mL. The radiation doses were 60 Gy delivered via non-three dimensional (3D) techniques and started within 16 weeks after prostatectomy. The primary study endpoint was clinical/PSA progression-free survival. PSA failure was defined as an increase > 0.2 ng/mL over the lowest postoperative value. Although, androgen deprivation therapy (ADT) was not allowed prior to failure, 10% of the participated patients received short term ADT prior to surgery.

After a median follow up of 5 years, patients randomized to ART had significant improvement in PSA progression-free survival (74% versus 52.6%, with  $p = < 0.0001$ ) and clinical progression-free survival (85.1% versus 77.5%, with  $p = 0.0009$ ). In addition, there was also a reduction in locoregional failure (5.4% versus 15.4%,  $p < .0001$ ) in patients randomized to ART.

In the initial study report, the authors concluded that immediate ART after RP improves biochemical progression-free-survival survival and local control in patients with positive surgical margins and/or pT3 after prostatectomy. Although about twice as many patients died of prostate cancer in the observation group compared with postoperative irradiation group, the authors concluded that longer follow up is needed to assess the effect of ART on distant metastases and overall survival.

Of note, about 10.5% of the patients in the study were enrolled with detectable PSA > 0.2 ng/mL and there was no stratification based on the status of PSA after RP.

### *Southwest Oncology Group (SWOG 8794)*<sup>32</sup>

This is a phase III prospective randomized study conducted between 1988 and 1997 in the US for 425 patients with prostate adenocarcinoma after RP. To be eligible for this study, patients had to have extracapsular extension, seminal vesicle invasion and/or positive surgical margins. Central pathology review was required to confirm eligibility. However, it was only done for 73% of enrolled patients. In contrast to the EORTC study, undetectable PSA at enrollment was

not required allowing about one third of the enrolled patients to have PSA  $\geq 0.2$  ng/mL postprostatectomy. PSA failure was defined in the study protocol as PSA  $> 0.4$  ng/mL. The patients were randomized to observation or to ART.

Radiation treatments doses were between 60 Gy-64 Gy. Although the radiation treatment techniques utilized in this study was not specified, it is likely to be non 3D technique considering the timing of the study. Patients were stratified by margin status, extracapsular extension, seminal vesicles involvement and the status of preprostatectomy hormonal use. The primary study endpoint was metastases-free survival. Quality of life was assessed in a subgroup of patients.

About 8% of enrolled patients had preprostatectomy hormonal use. With a median follow up of 10.6 years, the authors reported statistically significant improvement in biochemical control and recurrence-free survival with ART (median PSA relapse-free survival of 10.3 years for patients randomized to ART compared to only 3.1 years for patients randomized to observation with  $p = < 0.001$ ), median recurrence-free survival of 13.8 years versus 9.9 years in favor of ART with  $p = 0.001$ ). Additionally, ART reduced the risk of initiation of hormonal treatment by more than half ( $p = 0.001$ ).

However, the initial report did not show statistically significant improvement in metastases-free survival (35.5% for ART group versus 43.1% in the observation group with  $p = 0.6$ ). Also, there was no statistically improved median survival of 14.7 years after ART versus 13.8 years after observation with  $p = 0.16$ .

After a longer median follow up, subsequent report of SWOG 8794 study<sup>33</sup> clearly showed that patients randomized to ART had a significantly improved metastatic-free survival (the study primary endpoint) and overall survival. For patients who were randomized to ART, only 43% have died or have metastatic disease with a median metastasis-free survival of 14.7 years compared to 54% who were randomized to observation with a median metastasis-free survival of 12.9 years ( $p = 0.016$ ). The overall survival for the study groups was 59% versus 48% in favor of ART ( $p = 0.023$ ). The median overall survival in the ART and observation groups was 15.2 and 13.3 years, respectively.

#### *The German study (ARO 96-020/AUO AP 09/95)<sup>34</sup>*

The third phase III randomized study was a German multi centric one conducted between 1994 and 2004 and included 385 patients with prostate carcinoma who underwent RP. Inclusion criteria for the study

included; patients with undetectable PSA levels (defined per the study protocol as  $< 0.2$  ng/mL) and adverse pathologic features (pT3-4 disease and/or positive surgical margins). Patients who did not achieve an undetectable PSA after RP were excluded. Central pathology review was required. Patients were randomized into wait and see or ART. Patients were stratified for Gleason score, margin status, and hormonal use prior to RP, extracapsular tumor and seminal vesicle involvement.

In contrast to the other two randomized studies, the more contemporary 3D radiation treatment technique was utilized in this study. The radiation dose was 60 Gy and began between week 6 and 12 weeks after RP. The primary endpoint was PSA relapse free survival. PSA failure was defined per protocol as two consecutive PSA increase above the detection limit of the respective PSA assay used. Adverse effects were prospectively scored.

About 11% of enrolled patients had preprostatectomy hormonal use. The local recurrence was not assessed in this study because of the well-known problem that digital rectal examination is often false negative.

Biochemical progression-free survival after 5 years was significantly improved in the ART group (72% versus 54% with  $p = 0.0015$ ). The authors concluded that ART for pT3 prostate cancer significantly reduces the risk of biochemical progression. Longer follow up is needed to assess the effect of ART on metastases-free and overall survival.

Table 1 summarizes the findings of the three randomized studies of ART after radical prostatectomy.

### Treatment-related morbidity of ART

Despite the fact that three major prospective studies confirmed the benefits of ART postprostatectomy, the utilization of ART for men with positive surgical margins and/or other adverse prognostic did not increase.<sup>35</sup> This trend was attributed by some to concerns about ART-related side effects.

Historically, the traditional technique for postprostatectomy radiation treatment has been a 4-fielded box one with generous treatment volumes and minimal normal tissue sparing. The technical aspects of planning and delivery of radiation treatment have undergone a revolution over the last two decades. The introduction of conformal 3D radiation treatment (3DRT) technique allowed shaping the radiation beam so that the radiation dose conformed to the shape of the target or tumor. Shortly after, the introduction of intensity modulated radiation treatment (IMRT) in which the dose distribution is further shaped by

TABLE 1. Randomized trials of adjuvant radiation treatment (ART) to prostate bed after radical prostatectomy (RP)

| Study               | Number of patients | Treatment randomization               | Biochemical control | p value  | CPFS         | p value  | DMFS       | p value | OS           | p value |
|---------------------|--------------------|---------------------------------------|---------------------|----------|--------------|----------|------------|---------|--------------|---------|
| SWOG <sup>33</sup>  | 425                | Observation versus ART to 60 Gy-64 Gy | 28%<br>58%          | < 0.001  | 50%<br>70%   | < 0.001  | 61%<br>71% | 0.016   | 66%<br>74%   | 0.023   |
| EORTC <sup>31</sup> | 1005               | Observation versus ART to 60 Gy       | 52.6%<br>74%        | < 0.0001 | 81%<br>91.2% | < 0.0001 | n/r        | n/r     | 93.1<br>92.3 | 0.68    |
| ARO <sup>34</sup>   | 385                | Observation versus ART to 60 Gy       | 54%<br>72%          | 0.0015   | n/r          | n/r      | n/r        | n/r     | n/r          | n/r     |

CPFS = clinical progression-free survival; DMFS = distant metastases-free survival; OS = overall survival; n/r = not reported; SWOG = Southwest Oncology Group; EORTC = European Organization for Research and Treatment of Cancer; ARO = Arbeitsgemeinschaft Radiologische Onkologie; Gy = Gray

varying the radiation intensity across the treatment field. These technological advances allowed safer delivery of higher doses of radiation to the target volume, while minimizing the dose and toxicity to the surrounding normal tissues e.g. bladder, and rectum.

The EORTC 22911 and SWOG 8794 studies were conducted prior to the 3D and IMRT era and the reported ART-related morbidity, is by no means reflecting treatment-related morbidity from the modern radiation treatment technology that is used in most if not all radiation treatment centers across the country at present.

In the EORTC 22911 trial, irradiation was started at a median of 90 days after prostatectomy. The grade 3 toxicity events were rare, and their incidence was not statistically significant between the two groups. At 5 years, the cumulative incidence of late grade 3 toxicity was 2.6% in the observation group and 4.3% in ART group ( $p = 0.0726$ ). Late grade 4 toxicity was not reported in the treatment groups. All grade 2 or 3 late effects were more frequent in the postoperative radiation group. Grade 2 temporary diarrhea and dysuria were reported in 10%-18% of patients who underwent ART.

Urinary incontinence was not formally assessed, as it is not mentioned in the Late Radiation Morbidity Scoring Scheme of the RTOG/EORTC. However, an interim analysis did not show an increased risk of urinary incontinence as a result of ART. Quality of life was not analyzed and patients did not assess sexual function in this study.

In the SWOG trial, adverse effects were more common with ART versus observation (23.8% versus

11.9% with  $p = 0.002$ ). Proctitis and rectal bleeding occurred in 3.3% of ART group versus 0% with  $p = 0.02$ . Similarly, urethral strictures were seen 17.8% versus 9.5% and total urinary incontinence was reported in 6.5% versus 2.8% with  $p = 0.11$ ).

Two hundred seventeen of 425 patients enrolled for the study participated in health-related quality of life study by completing a questionnaire at baseline and at regular intervals afterwards.<sup>36</sup> Patients who were treated ART reported more frequent urination, as well as more bowel dysfunction. However, bowel function differences disappeared over the 5 year period. The addition of ART did not negatively impact erectile dysfunction. Global assessment of quality of life, while initially worse in the ART group, became similar by year 2 and was increasingly superior in ART group during the subsequent 3 years.

In the multicentric German trial, the rate of grade 3 to 4 late adverse effects was only 0.3%. This might be explained by the use of 3D radiation treatment planning for all study patients randomized to ART which is proven to decrease the rate of radiation treatment-related adverse effects. In contrast to the EORTC and the SWOG trials, the radiation treatment planning was the obsolete two dimensional one.

Many retrospective and prospective studies reported the significant clinical advantages of CT-based 3D and IMRT techniques in sparing the surrounding normal tissues from the radiation treatment while focusing the radiation doses to the target volumes. The utilization of these modern technologies allowed significant further reduction in ART-related side effects.<sup>37-43</sup>



In a prospective phase II study reported by Choo et al,<sup>37</sup> 78 patients with pT3 or positive surgical margins after RP, were treated with RT. Using the National Cancer Institute's Expanded Common Toxicity Criteria, treatment-related toxicity was prospectively scored. At 3 years, the cumulative incidence of grade  $\geq 3$  late GI and GU toxicity was 0% and 2.7%, respectively. The cumulative incidence of grade 3 acute GI and GU toxicity was 1%.

In a large multi-institutional retrospective analysis with 959 patients who underwent postprostatectomy radiation treatment, grade 3 late GU and GI toxicity developed in only 1% and 0.4% respectively at 5 years.<sup>38</sup>

The recovery of urinary functions after prostatectomy occurs in the majority of patients within 8-14 weeks after prostatectomy.<sup>44-47</sup> In the three prospective randomized studies, ART started within 12-16 weeks of radical prostatectomy when maximal urinary control has been established. It is noteworthy that the definition of urinary incontinence is not uniform in the published studies especially when considering the different time of evaluation post prostatectomy. Nevertheless, many investigators reported low urinary incontinence rate after postprostatectomy radiation treatment that is comparable to that which follows prostatectomy alone.<sup>48-50</sup>

## Unanswered questions regarding ART

### *The role of androgen deprivation therapy (ADT) in the adjuvant setting*

Data from many prospective randomized studies has established the role of ADT in the definitive management of prostate adenocarcinoma.<sup>51-54</sup>

Although some retrospective studies<sup>55,56</sup> suggest that adding ADT to ART after prostatectomy is beneficial, the role of ADT has not been established in phase III randomized studies.

In subset analysis of patient participated in the RTOG study 85-31 for postprostatectomy patients with adverse pathologic features, the addition of ADT was associated with better biochemical and local control rates compared to radiation treatment alone.<sup>56</sup>

RTOG P-0011 is a prospective randomized study for patients after prostatectomy with adverse pathologic features (pT3 +/- positive surgical margins). Patients with undetectable PSA were randomized to ART with and without ADT. Unfortunately, the study was closed prematurely due to poor accrual.<sup>57</sup>

In the absence of level I medical evidence, it is not recommend to use ADT with ART postprostatectomy outside clinical studies. EORTC study 22043-30041 is currently an open prospective phase III randomized

trial designed to evaluate the effect of hormonal treatment when combined with ART. Patients with prostate carcinoma after prostatectomy are eligible for the study if they have pT3 tumor and/or positive surgical margins. Patients are randomized to ART alone versus ART with 12 months of leuprolide.<sup>58</sup>

### *Adjuvant or early salvage radiation treatment postprostatectomy?*

Despite the results of three prospective well-executed randomized studies in favor of ART, the uro-oncology community is divided with two clinically reasonable opposite views. One view is supporting immediate ART for all patients with pT3 and/or positive surgical margins based on level I evidence discussed above and also considering that prostate cancer assumes a more aggressive pattern with the passage of time<sup>59</sup> and this suggests early intervention might in fact prevent systemic incurable disease.

However, a disadvantage of routine ART is treating those who would never develop recurrence after prostatectomy. Considering time to urinary continence and potency recovery and the cost associated with ART, the other opposite view support a strategy for close monitoring of PSA and immediately implementing salvage radiation treatment (SRT) with PSA rise considering the availability of ultrasensitive PSA assays that can detect very early biochemical recurrence with PSA levels as low as 0.01 ng/mL-0.05 ng/mL.<sup>60-62</sup>

Although, there have been no randomized study so far specifically comparing ART to SRT, many retrospective studies consistently suggest improved biochemical outcome and local control with ART compared to SRT.<sup>63-66</sup> On the other hand, other retrospective studies suggest beneficial effect of initial observation followed with SRT at the time of PSA progression.<sup>67-70</sup>

In a recently published large retrospective study of 1638 men who underwent RP at Duke University comparing ART versus SRT, there was no difference in the risk of all-cause mortality (ACM) among men who received SRT for a slow PSA doubling time ( $\geq 10$  months) or ART. Despite a lower proportion of men with two or more adverse features, SRT for a rapid PDA doubling time resulted in a higher risk of ACM than ART.<sup>71</sup>

Numerous retrospective studies have shown better outcomes when SRT is given earlier at low PSA levels, preferably below 1.0 ng/mL.<sup>71-73</sup> In a large multi-institutional review of 1540 patients by Stephenson et al,<sup>68</sup> the 6 year progression-free probability following SRT was 45%. In this large study, adverse independent

significant prognostic factors included preradiotherapy PSA > 2.0 ng/mL, PSA doubling time of  $\leq 10$  months, margin-negative disease and Gleason score of 8-10.

Due to lack of level I evidence answering this important question; two large prospective randomized studies are currently underway to clarify the optimal timing of RT after RP. The investigators at Medical Research Council in England initiated a very important randomized study. RADICALS, is a study with a planned accrual of about 2600 patients with prostate cancer after prostatectomy with undetectable PSA levels. Inclusion criteria include pT3 and/or positive surgical margins. Patients are randomized to early ART versus SRT when there is two consecutive PSA rise > 0.1 ng/mL or three consecutive PSA rises (radiotherapy timing randomization).

There is also a second randomization shortly before the administration of ART or SRT and concerns the addition of hormone therapy (hormone duration randomization). Patients are randomized between radiotherapy with no hormonal treatment, radiotherapy with 6 months of hormonal treatment or radiotherapy with 24 months of hormonal treatment. The study primary endpoint is cause-specific survival.<sup>76</sup>

The second ongoing study is Trans-Tasman Radiation Oncology Group study (NCT00860652). Patients with pT3 and/or positive surgical margins after prostatectomy are randomized to ART within 4 months after RP to 64 Gy or to early SRT to 64 Gy when postoperative PSA is  $\geq 0.2$  ng/mL. The primary study endpoint is PSA failure.

### *Radiation dose response for adjuvant irradiation after prostatectomy*

Prostate cancer is an excellent example of increased tumor control with escalated doses of radiation treatment.<sup>77-81</sup> There is potential further improvement in clinical outcomes with radiation dose escalation beyond the radiation doses used in the EORTC and SWOG studies of 60 Gy-65 Gy. Few retrospective studies suggest that doses > 64.8 Gy are associated with better PSA and local control outcomes.<sup>82-83</sup>

## Conclusion

It is indisputable that pathologic tumor stage T3 and/or positive surgical margins after RP represent an independent risk for biochemical failure after prostatectomy. Despite the differences between the three randomized studies, the conclusions were impressively uniform.

For patients with adverse pathologic factors, adjuvant radiation treatment after prostatectomy

reduces the rate of PSA failure with the potential for significantly improving metastases-free and overall survival as was reported in the SWOG 8794 study after data maturation. The median survival benefit after adjuvant radiation treatment of about 1.7 years may apply to thousands of prostate cancer patients around the globe.

ART after prostatectomy became even more attractive and more tolerable after the introduction of IMRT due to the significant reduction in acute and late treatment-related side effects.

Whether an equivalent survival benefit can be attained with close PSA monitoring and early initiation of SRT for patients with biochemical recurrence after RP, is still an area of debate. Ongoing randomized studies should be able to answer this important question. More research in molecular markers predicting potential biochemical failure is warranted to appropriately selecting future prostate cancer patients for adjuvant or salvage treatment. Finally, an open communication and counseling between the patient and his medical care providers should be considered, discussing treatment benefits of post prostatectomy radiation treatment and its potential side effects.

## Future directions

In addition to further improvement in radiation treatment delivery and precision through emerging technologies such as image-guided radiotherapy and proton therapy, a need for a predictive molecular tool is highly sought that would select patients with higher probability of treatment failure after prostatectomy to adjuvant or salvage therapy.

Similar to the gene expression assays in breast cancer that provides individualized prediction of cancer relapse after surgery,<sup>84</sup> an assay that predict patients likely to recur after prostatectomy is warranted. Ongoing research is looking for similar molecular assays for prostate cancer patients that would potentially help in determining who might benefit from the adjuvant therapy after prostatectomy.<sup>85</sup> □

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