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Article



Condition Monitoring and Maintenance Management with Grid-Connected Renewable Energy Systems

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Abstract: The shift towards the renewable energy market for carbon-neutral power generation has encouraged different governments to come up with a plan of action. But with the endorsement of renewable energy for harsh environmental conditions like sand dust and snow, monitoring and maintenance are a few of the prime concerns. These problems were addressed widely in the literature, but most of the research has drawbacks due to long detection time, and high misclassification error. Hence to overcome these drawbacks, and to develop an accurate monitoring approach, this paper is motivated toward the understanding of primary failure concerning a grid-connected photovoltaic (PV) system and highlighted along with a brief overview on existing fault detection methodology. Based on the drawback a data-driven machine learning approach has been used for the identification of fault and indicating the maintenance unit regarding the operation and maintenance requirement. Further, the system was tested with a 4 kWp grid-connected PV system, and a decision tree-based algorithm was developed for the identification of a fault. The results identified 94.7% training accuracy and 14000 observations/sec prediction speed for the trained classifier and improved the reliability of fault detection nature of the grid-connected PV operation.

Keywords: Fault detection; machine learning; fault ride through

1 Introduction

Due to climate change, renewable energy has been attaining huge consideration in recent years. The rapid growth in the share of renewable energy has enabled the decentralization of power generation.



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It has even reduced the cost of energy for the consumer and helped the utility to deal with evergrowing energy demand. To further support the boost different countries have come up with their national policies and initiatives which encourage the adoption of renewable energy [1]. For promoting sustainable energy projects, United Nations has agreed on certain sustainable development goals [2]. Even European Union has committed to reducing the emission of about 80–95% of greenhouse gases by 2050 [3]. In 2017, the overall installation of solar power project surpassed the net installation of coal gas and nuclear put together [4]. There has been an intense transition from oil-based power generation to renewable energy in the Middle Eastern and North African Region. Countries such as the Kingdom of Saudi Arabia (KSA) and the United Arab Emirates (UAE) are at the forefront of this transition [5]. The KSA has come up with an ambitious 2030 vision that majorly aims at reducing country dependency on oil. According to Saudi Vision 2030, it aims to install 20 *GW* of solar photovoltaic (PV) by 2023 [6]. To attain this objective the PV industry in KSA has to increase from 45% in 2018 to 75% in 2023, which will encourage participation of private sector [7]. The 40 *GW* of solar installation by 2030 is the largest PV installation plan announced by in the world by KSA.

For the development of renewable energy, the distributed generations (DGs) system needed to be monitored for any anomalies at the time of operation. Any abnormal operation in a certain DG can induce fault in the complete Power system and cause a mass blackout. There has been a lot of research focusing on the fault detection and monitoring of grid connection PV systems [8]. Most of the research in the literature utilized the Voltage-current (V-I) monitoring of PV panels to identify faults whereas techniques such as panel thermal mapping and inverter output signal are monitored for fault identification. But the time to fault detection was one of the major drawbacks of such techniques. Hence to achieve fast response time many intelligent fault detection techniques have been introduced [9]. Many fuzzy-based algorithms have been used for fault identification [10,11]. But their dependence on a particular set of rules can result in false classification. In addition to monitoring the faults in the system, it is also required to manage the fault and provide a proper fault clearance mechanism. This can be achieved by designing a fault ride-through (FRT) approach [12] based on the information available regarding the system operating condition. Few of the research has discussed the FRT mechanism by either switching the controller or using different reactive power injection strategies [13,14]. If the fault is severe, then maintenance needs to be scheduled past the FRT limit. And, if the fault is cleared by FRT then the scheduled maintenance can be notified about the fault so that deep testing is performed during the maintenance period.

Based on the literature, in this research, a machine learning technique is proposed for performing condition monitoring and achieving maintenance management for a grid-connected PV system. The decision tree approach is used to develop the condition monitoring approach which localizes the faults at the direct current (DC) side, alternating current (AC) side, and converter level. Further, maintenance management is achieved by analyzing the classified state of operation of the system and estimating the required control operation. The major aspects of the developed approach are:

- The decision trees provide a significant advantage with condition monitoring by forcing the consideration of all possible outcomes of a system operating state.
- The proposed framework identifies the decision nodes for estimating the control aspect of clearing the fault by providing a comprehensive analysis of all the consequences along the branches of the tree.
- For an unknown source of information, the proposed approach learns the scenario and uses a probabilistic estimate to accurately classify the condition of system operation in a feedforward loop.

• The proposed framework provides an intuitive and easy-to-use approach by presenting all the alternative decisions with quick decision-making.

Further, the development of the proposed approach is discussed in the manuscript as follows: Section provides an overview of all the available maintenance management techniques for PV systems operating in a grid-connected environment. In Section III, the different faults and failure mechanisms that are widely identified in the literature are analyzed and the fault detection mechanisms are discussed. Section IV discusses the proposed fault detection mechanism with wavelet transform for data pre-processing and the decision trees for classification training. Further, the simulation and experimental testing are discussed in Section V and the research is concluded in Section VI.

2 Maintenance Management Technique

For evaluating the health of the components, it is a necessity to monitor and manage the characteristics of the components and in case of fault intercept the operation. Different aspects regarding the management and monitoring of fault have been discussed in [15]. Whereas in [16], and investment-based management techniques are discussed that presents a brief knowledge regarding the change in investment decision based on maintenance requirement. During device condition management, the optimization of maintenance remains a concern as the risk of failure and cost involved keep increasing with time [17]. A brief overview of maintenance management is presented in Fig. 1.

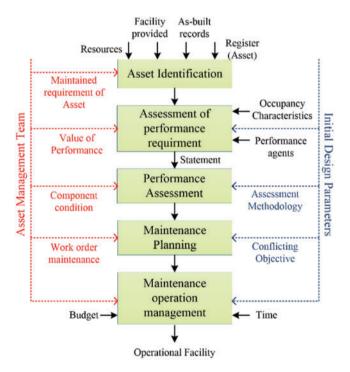


Figure 1: Maintenance management model [18]

A few of the stages required for assessment of operation and maintenance of the photovoltaic system are as follow:

Preventive Maintenance: During preventive maintenance, the system is monitored continuously, and the exhausted component is replaced before the failure occurs. This type of maintenance can either take place systematically or conditionally. During the systematic maintenance, the wornout component is replaced by scheduled maintenance [19]. The preventive maintenance could be performed via various strategies, i.e., calendar-based, usage-based, etc. The most commonly used methods are the ones in which the cost of implementation is minimum and system priority dominates the budget [20]. The main objective of this maintenance scheme is to ensure the reliability of the system.

Corrective Maintenance: During corrective maintenance, a breakdown system is a setup. The maintenance process comprises two stages. Firstly, during the palliative corrective maintenance, the partially or completely broken system is repaired. The time of repair is critical as the system needs to be operational at the earliest [21]. The aim is not only to repair the system but also to make it capable enough so that it can perform the associated task in a certain time frame. Secondly, during the curative corrective maintenance, all the wore out components of the system are repaired. The curative action is more planned than the palliative action. The time interval is more critical than the speed of maintenance. Corrective maintenance aims to replace the component with the new one once the component is not able to perform the assigned task [19].

3 Fault Detection Technique

In the case of normal operation, the output power of the PV array is very close to the predictive power. However, during the real-world application, may factor impacts the operation of PV array which in return reduces the efficiency. The factors are classified as a fault which is categorized based on system location as presented in Fig. 2 [22]. Further, a brief description of different faults is presented in Tab. 1. Identification of these faults is necessary for appropriate maintenance of the PV system [23]. Many techniques have been developed for the identification of different faults. The techniques assist in improving the reliability and lifetime of PV systems [24]. An overview of different fault detection techniques is presented in Fig. 2.

PV side PV faults

Environment Shade faults

Partial shading is caused due to overcast weather.

Bird drops and a dusting of PV panels.

Permanent

Shading of trees or overhead cables

Table 1: Fault classification description

(Continued)

Table 1: Continued

| PV side | PV faults | | Description |
|------------------|--------------|--------------------|--|
| Electrical fault | Inverters | AC side | Inverter failure due to high thermal stress on the power electronic switches. Issues caused due to grid fault, equipment failure, maintenance service failure, and human error. |
| | | DC Side | Degraded battery and issues in the interconnection. Failure in power electronic switch of a DC-DC converter. |
| | Array faults | Line-Ground fault | • When one conductor is connected to neutral or falls to the ground. |
| | | Open circuit fault | • Disconnection in a section of the line. |
| | | Line-Line fault | Caused due to accidental connection between two nodes of PV panel. |
| Physics fault | Panel fault | External fault | Breaking of panel glass Delamination Discoloration Defect in frame Salt accumulation Micro crack |
| | | Internal fault | Damage in the diode of the panel cells. |

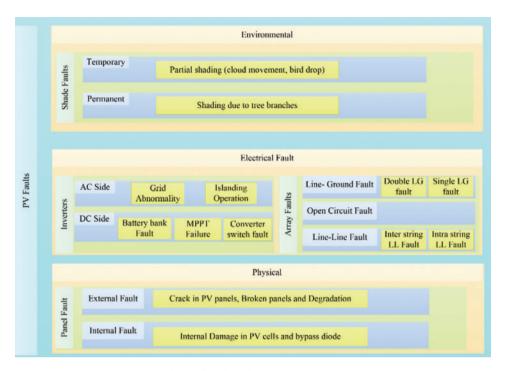


Figure 2: Fault classification in grid-connected PV system [22]

Further, the detection techniques as represented in Fig. 3 are as follow:

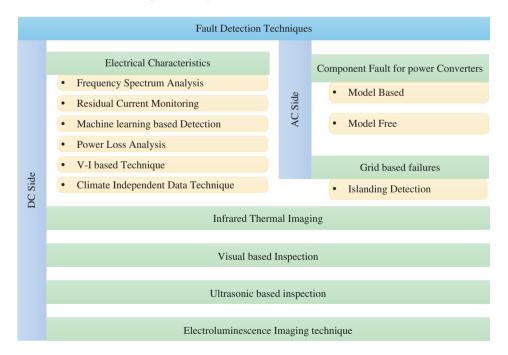


Figure 3: Fault detection techniques

Electrical Characteristics:

- Climate Independent Data Technique: The technique is independent of any climate data such as irradiance and temperature. Fault detection is performed by external devices such as LCR meters and signal generators [25].
- V-I-based Techniques: The V-I characteristics of the PV panel are monitored to identify the fault. Real-time monitoring is performed by using a microcontroller and fill factor, shunt resistance (R_{sh}) , series resistor (R_s) are monitored to determine the performance of the system [8].
- *Power Loss Analysis:* The power loss of the PV panel is analyzed to identify the fault. The method was proposed by [26], in which the power loss is calculated by comparing the monitored data with a simulated one.
- *Machine Learning-based detection:* The different characteristics of the PV panel are recorded to create a database and based on the fault in the panel the real-time data is compared with the pre-existing databases to identify the fault [27].
- Residual current monitoring: In this method, the amount of current entering the PV panel and the amount of current leaving the panel are monitored. The current-carrying conductor is used for monitoring purposes.
- Frequency Spectrum Analysis: This method is used for the analysis of faults with lower frequency content. In the case of irradiance variation, partial shading of the noise from the frequency is below 1000 Hz which can generate a false trip signal. Arcing frequency above 100 kHz comprises less arcing energy and frequency above 500 kHz interacts with external RF noise.

Infrared Thermal Imaging: Thermal imaging is a popular method for fault detection of PV panels. This method is based on the identification of localized heat spots in case of panel-based faults, i.e., delamination, panel crack, etc. [28,29]. Even for the inverters and other systems, thermography can help in the identification of faults such as poor contact, short circuit, etc. [30,31]. During thermal image-based fault detection, the failure spot emerges as a bright spot. The thermal imaging can be performed in two methods (a) Forward biased and (b) Reverse biased imaging techniques. In forwarding biased technique the real-time thermography-based monitoring of forwards biased connected module is performed. This method is used for the detection of faults such as hot spots, loose connections, etc. Whereas in reverse biased the modules are connected in reverse biased with the system and fault such as ohmic shunt is monitored.

Visual-based Inspection: It is a basic and initial stage to identify the fault. As per the IEEE 61215 standards, the visual inspection needs to be performed under 1000 lux light. Inspection needs to be performed with different angles to avoid any error due to the deflection of light. A brief regarding vision inspection is presented in [32].

Ultrasonic-based inspection: This method is based on the identification of vibration in ultrasonic signals. It is generally used for the detection of cracks in PV modules. The most commonly used ultrasonic methods are (a) pulse-echo and (b) transmission methods. The ultrasonic pulse transducer is moved along the X-Y axis of the module [33]. In the case of the pulse echo method, the reflected signal is recorded and analyzed. Whereas during the transmission method, an attenuated signal is recorded for analysis.

Electroluminescence Imaging technique: During the electroluminescent imaging, the excess carrier charges are recombined in the solar cell. The current injection causes excitation which initiates the EL effect [34]. The excitation can also be initiated by the process of photoluminescence.

Component Fault for power Converters: In power electronics converters the faults are generally caused due to the passive component's failure or due to the stress on the power electronics switches. The passive components may cause short or open circuit faults. However, the power electronic switch-based fault can be analyzed by thermal and mechanical stress analysis. Majorly the fault detection technique can be classified into (a) model-based, and (b) model-free approaches. The model-based approach relies on the parameter estimation or state observer approach [35]. The knowledge of system and signal processing techniques is required for these approaches. Whereas in a model-free approach the data-based learning is required to identify a particular operating condition.

Grid-based failures: The failure at the grid end or maintenance break may cause the grid to disconnect from the distributed generation unit. This type of fault causes the DGs to operate in an islanded mode of operation. Many islanding detection techniques have been implemented by the researcher in the literature [36–38]. The islanding detection techniques can be classified as active, passive, and hybrid techniques.

4 Proposed Fault Detection Algorithm

Faults impact the current and the voltage at PCC for the grid-connected PV system. The impacts of these faults can be analyzed by assessment of current and voltage signals. For assessment, wavelet transform is used to break down the signal into various features. The features add accuracy by identifying minor fluctuations in the signal. Wavelet transform [39,40] is a spectrum-based analysis method where the signal is decomposed into a set of oscillatory functions which are known as a wavelet. Further, the wavelet transform is used to obtain different features by decomposition and reconstruction of the signal. Once the features are extracted for different operating conditions, a database of classifications is established. A decision tree is one of such classification tools that has been used for analysis in our research. The classification techniques follow a tree-based structure with an arrangement from root nodes to the leaf node for sample classification [41] as represented in Fig. 4. The leaf node represents one classification to which a sample is assigned.

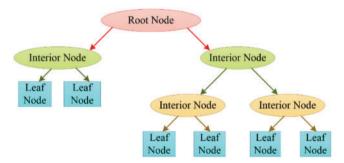


Figure 4: Decision tree-based classification

Initially, the classification began at the root node. Further, the movement is performed in the direction of a leaf node and the number of stages is determined by the value of samples. The process involved in the decision tree is Data collection, data preparation (wavelet transform), data analysis, training, and testing. The most commonly used decision tree algorithm techniques are the C4.5 and ID3 algorithms. Both of the techniques are based on the greedy search method.

In the ID3 algorithm [42] the concept learning method is used. The classification criteria are selected in each node based on the unused property with the highest gain. The process is performed

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until a classified example can be trained to formulate a decision tree. The data is divided into an ordered state. The gain is used to judge if the model selected is providing the optimal path for the divide data. The higher gain value represents a better result for a divided dataset. The entropy can be expressed as:

$$H = -\sum_{i=1}^{n} p(x_i) \log_2 p(x_i)$$
 (1)

The probability regarding selected classification i is represented by $P(x_i)$. The remaining number of classifier attributes are denoted by n.

The gain of a property A with dataset S can be expressed as:

$$Gain(S, A) = H(S) - \sum_{v \in Value(A)} \frac{|S_v|}{|S|} H(S_v)$$
(2)

where all values for attributes A are denoted by Value(A). S(v) denotes a subset for A. The ID3 formulation can be further expressed as depicted in Fig. 5.

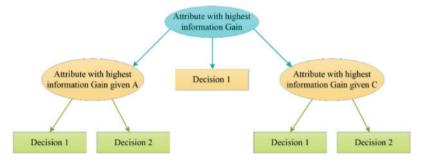


Figure 5: ID3 algorithm of decision tree classification

C4.5 algorithm is another version of the decision tree as represented in Fig. 6. The C4.5 utilizes the information from the gain rate for attribute selection [43]. The decision is pruned for avoiding the overfitting of data. The data splitting can be expressed as:

$$SplitInfo(S, A) = -\sum_{j=1}^{\nu} \frac{\left|S_{j}\right|}{\left|S\right|} \log_{2} \frac{\left|S_{j}\right|}{\left|S\right|}$$

$$(3)$$

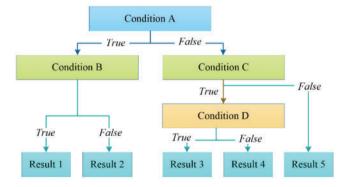


Figure 6: C4.5 algorithm of decision tree classification

The samples S are divided into v values for A. Further, the gain can be calculated as:

$$GainRatio(S, A) = \frac{Gain(S, A)}{SplitInfo(S, A)}$$
(4)

5 Simulation and Experimental Analysis

To validate the monitoring and maintenance of Grid-connected PV systems, a single-phase grid-connected PV system is simulated with MATLAB/Simulink. A 4 kW grid-connected PV system is considered for experimentation and parameters of the system are mentioned in Tab. 2.

System **Parameters** PV array 4 kWpDC-DC converter Boost inductance 1 mHDC-link capacitance 2400 uF 400 V DC link voltage Inverter (Full bridge topology) **IGBT** rating 600 V, 30 A 400 - 600 V, 15 A Diode rating Converter side filter inductance $2.18 \, mH$ Grid side filter inductance 1 mH $2.2 \times 10^{-6} uf$ Filter capacitance Output voltage $230 V_{rms}$ Output frequency 50~Hz

Table 2: System parameters

The abnormality in the grid-connected PV system are monitored used a decision tree Algorithm. For the purpose of training 70% of the data was used. For testing, 15% data was utilised and remaining 15% data was used for validation. The further details related to trained classifier is presented in the Tab. 3 along with other approach using same dataset.

Table 3: Classification parameter comparative analysis

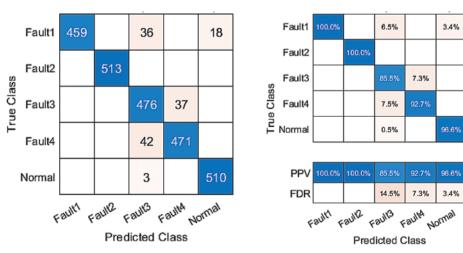
| Parameters | | Model type | | | |
|------------------------------------|--------------------------|-----------------------------------|-----------------------------------|-----------------------------------|--|
| Pre-set | Support vector machine | K- nearest neighbour | Decision Tree | | |
| | шастте | | ID3-DT | C4.5-DT | |
| Network structure | 8 predictors-5 responses | 8 predictors-5 responses | 8 predictors-5 responses | 8 predictors-5 responses | |
| Feature matrix Truly classified | 2565 × 8 2294 | $25\overline{65} \times 8$ 2362 | $25\overline{65} \times 8$ 2178 | $25\overline{65} \times 8$ 2429 | |

(Continued)

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| Parameters | Model type | | | | |
|---------------------------|-------------------|-------------------|-------------------|-------------------|--|
| Pre-set | Support vector | K- nearest | Decision Tree | | |
| | machine | neighbour | ID3-DT | C4.5-DT | |
| Falsely classified sample | 271 | 203 | 387 | 136 | |
| Accuracy | 89.47% | 92.1% | 84.91% | 94.7% | |
| Misclassification error | 10.56% | 7.91% | 14.81% | 5.3% | |
| Training time | 8.9157 <i>sec</i> | 8.7849 <i>sec</i> | 8.6517 <i>sec</i> | 8.3418 <i>sec</i> | |

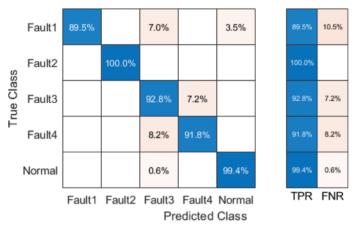
For the Tab. 3, it can be concluded that the decision tree classifier performed faster training with reduced misclassification. The result of the trained classifier is illustrated in the confusion matrix as shown in Fig. 7. For the result in Fig. 7a, it can be deduced that out of 2565 samples, 2429 are truly classified and 136 are classified falsely. In Fig. 7b, it can be observed that that the true positive rate id 100% for fault 2 remaining faults have 89.5%, 92.8%, 91.8% and 99.4% positive rate. For the Fig. 7c, a 100% of positive prediction value can be observed for fault 1 and 2. Rest all condition has a positive prediction value of 85.5%, 92.7% and 96.6%. Different cases have been studied for better understand of the monitoring and its management protocol.



(a) True and false classification during training

(b) Positive prediction value and false detection rate during training

Figure 7: (Continued)



(c) True positive and false negative rate during training

Figure 7: Confusion matrix for decision tree classifier

Case I: Fault not Clear during Standard Time

In this condition, a fault is injected into the system at t = 0.25 sec, from Fig. 8a it can be deduce that the voltage is falling, and current is increasing at the time of fault injection. The case is further elaborated in Fig. 8b. The voltage in post fault condition is 230 V and value of current is 17.39 A. Once the fault is injected the current value false to 150 V and the current value increase to 26.6 A.

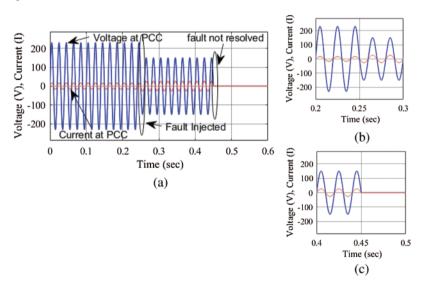


Figure 8: Voltage and current at point of common coupling during case I operation

As per IEC 62116 standard, if the fault is not cleared in 2 sec from fault detection, then the system needs to be disconnected. As during this case the FRT is unable to recover the fault hence after 2 sec from fault detection signal, a trip signal is generated as depicted in Fig. 10. Once the trip signal is generated the voltage and current at point of common coupling (PCC) reduce to zero as depicted in Fig. 8c. The variation in active and reactive power is illustrated in Fig. 9. It is observed that, during the fault condition, the voltage drop results in the drop of active power from 3.6 kWp to 2.5 kWp. In this condition, the PV system tries to inject reactive power for restoring the voltage and clearing the

fault. For any condition, if the reactive power injection fails to clear the fault as shown in Fig. 9, the PV system is disconnected from the grid and trip signal is generated. The trip signal sent a notification to the monitoring team to assign a maintenance at the earliest.

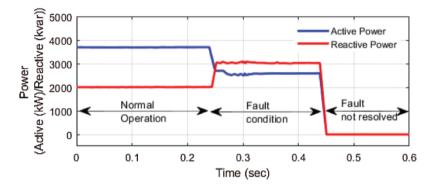


Figure 9: Active and reactive power during case I operation

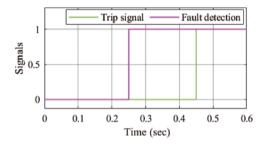


Figure 10: Trip and fault detection signal during case I operation

Case II: Fault clear during standard time

In this condition, a fault is injected into the system at t=0.25 sec, from Fig. 11a it can be deduce that the voltage is falling, and current is increasing at the time of fault injection. The case is further elaborated in Fig. 11b. The voltage in post fault condition is 230 V and value of current is 17.39 A. Once the fault is injected the current value false to 150 V and the current value increase to 26.6 A. As per IEC 62116 standard, if the fault is not cleared in 2 sec from fault detection, then the system needs to be disconnected. As during this case the FRT recovers the fault hence ay t=4 sec the fault detection signal drops and no trip signal is generated as depicted in Fig. 13. Once the fault is cleared the voltage and current at point of common coupling (PCC) returns to normal operating condition as depicted in Fig. 11c. The variation in active and reactive power is illustrated in Fig. 12. It is observed that, during the fault condition, the voltage drop results in the drop of active power from 3.6 kWp to 2.5 kWp. In this condition, the PV system tries to inject reactive power for restoring the voltage and clearing the fault. As the reactive power injection restores the voltage, the fault is cleared as shown in Fig. 12, and the PV system remains connected.

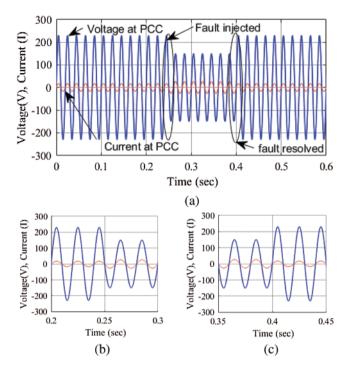


Figure 11: Voltage and current at point of common coupling during case II operation

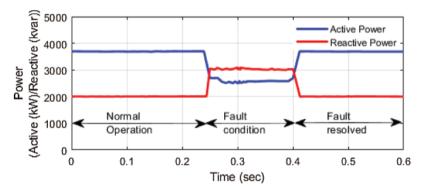


Figure 12: Active and reactive power during case II operation

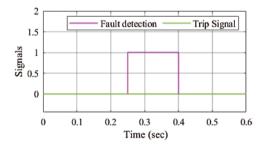


Figure 13: Trip and fault detection signal during case I operation

Further, for the validation of the result, experimental testing is performed. The experimental setup is illustrated in Fig. 14. The operation of the PV array is simulated with the help of an Agilent Keysight solar simulator which is further connected with a Semikron inverter. The inverter is controlled using Typhoon Hardware in the Loop unit. The grid interface is connected to the output of the inverter and an AC load is connected parallelly. The field programmable gate array (FPGA) devices are used for implementing the trained classifier due to their advantage with speed, and their ability of direct hardware execution. Another important factor in using FPGAs is their re-configurability and reusable hardware architectures for rapid prototyping of the digital system. The Altera DE2 FPGA board along with the Quartus programming software is utilized in this project. The task is to load the VHDL program of the trained classifier using ModelSim HDL Simulator to the FPGA, and after loading the program on the kit and carrying out the assignment of the monitored output to the GPIO of the board we have connected the GPIO ports of the FPGA board to an oscilloscope to be able to experimentally examine the outputs. Further, these outputs are also used in maintenance management with the grid connected systems. But before being able to download the VHDL program simulated in MODELSIM the Quartus software must be used in order to be able to download the last to the FPGA where the program must be compiled in the Quartus environment. Programmable logic device design software produced by Altera [44]. Quartus enables analysis and synthesis of HDL designs, which enables the developer to compile their designs, perform timing analysis, simulate a design's reaction, and configure the target device with the programmer. To analyse the results, the test is performed with a 4 kWp gridconnected PV system.

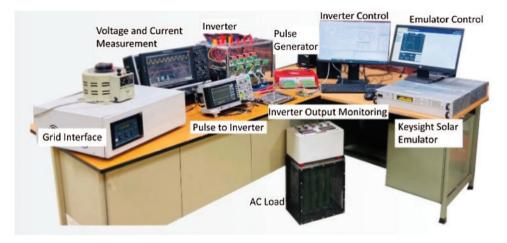


Figure 14: Experimental setup

The normal operating condition of the grid-connected PV system is illustrated in Fig. 15. It can be observed that the voltage at PCC is 230 V and correspondingly a 17 A current is present. A Constant active and reactive power is represented in the figure.

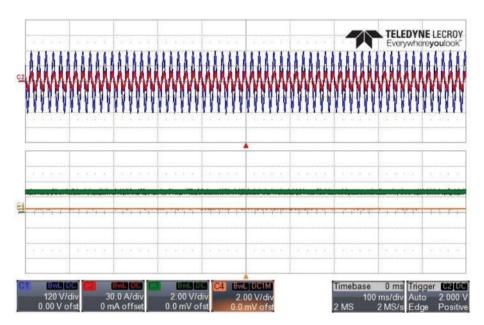


Figure 15: Normal mode of operation

The fault clearance mode of the grid-connected PV system is illustrated in Fig. 16. It can be observed that the voltage at PCC is 230 V and correspondingly a 17 A current is present. When the fault is introduced into the system the current is the rise and the voltage is dropped. The trained classifier in the FPGA connected with the voltage and current measurements identifies the condition as fault with a misclassification error of approximately 4.1%. In the same instance, the inverter starts injecting reactive power into the system. As the fault is cleared in 2 sec periods no trip signal is generated. Once the fault is cleared the system is thoroughly checked during the scheduled maintenance.

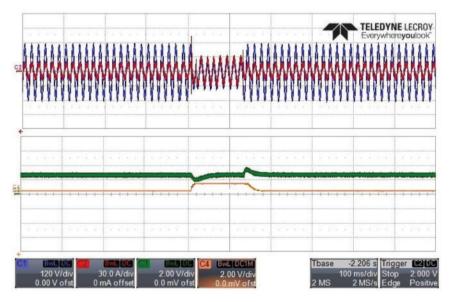


Figure 16: Fault clearance in grid standard-based time

6 Conclusion

Renewable energy being the future of power generation has been a prime concern for the researcher's development, and countries such as the kingdom of Saudi Arabia have been pushing hard to achieve the goal. In this research, the prime focus is to understand the faults that commonly occur while operating grid-connected PV system in harsh condition and based on the fault to study the fault detection techniques which are currently being implemented. Based on the study an advance data-driven machine learning algorithm is implemented to enhance the fault detection time so that the system can inform the controller and attempt to recover the system. The training accuracy of the machine learning classifier with the extracted data is around 94.7% and the misclassification error during testing with the trained classifier is 4.15. In case of system recovery failure, the faulty section is disconnected, and emergency maintenance is scheduled. Whereas if the fault was cleared by the recovery action of the controller, then the maintenance team is informed so that they can perform an in-depth diagnostic check when the normal maintenance is scheduled. Further, the work can be extended to develop a power management approach based on the monitored system condition. Besides, the reliability analysis can be performed to asses the lifetime of the converter and other components while operating in such harsh environment conditions.

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