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Active Thermal Control for Lifetime Extension of Wind Power Converter

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ABSTRACT: With the rapid development of renewable energy, the proportion of wind power generation in modern power systems has been steadily increasing. Benefiting from the high controllability of power electronic converters, wind energy can be efficiently transmitted to the grid through power conversion stages. However, the reliability of wind turbine systems is closely related to the thermal stress and degradation of power semiconductor devices. The diversity of actual operating conditions and the rapid fluctuations of grid load bring significant challenges to their safe and stable operation. To address these issues, this paper establishes an online electro-thermal model of the wind power converter, enabling real-time estimation of power loss and junction temperature. Two typical scenarios—grid frequency disturbance and grid voltage fault—are analyzed to evaluate their impact on device lifetime. Based on the modeling results, an active thermal control (ATC) strategy is proposed to dynamically suppress junction temperature fluctuations and thereby extend the converter's service life. Finally, simulations are conducted using PLECS to validate the effectiveness of the proposed method. The results demonstrate that the ATC scheme can effectively reduce the junction temperature swing and improve the lifetime of power semiconductor devices without affecting power conversion performance, providing a practical approach for enhancing the reliability of wind power converters.

KEYWORDS: Wind power converter; lifetime estimation; switching frequency; junction temperature; active thermal control; grid faults

1 Introduction

With the continuous growth of renewable energy installations, the share of new energy generation units, represented by wind and photovoltaic power, in modern power systems has been steadily increasing [1]. As the critical interface between renewable energy sources and the power grid, the grid-connected inverter plays an essential role in ensuring reliable power conversion and grid stability [2,3]. However, the rapid expansion of power electronic applications has also increased the risk and severity of potential failures, making the safety and reliability of power electronic conversion systems one of the key concerns in current research.

According to statistical reports, in practical wind power generation systems, power electronic converters account for more than 50% of total failures, among which power semiconductor devices contribute the highest proportion—approximately 31%, as shown in Fig. 1 [4]. As one of the most critical components in power conversion systems, semiconductor devices dissipate large amounts of power and undergo repetitive electro-thermal cycling, leading to accelerated aging and eventual device failure [5,6]. Consequently, active thermal control (ATC) methods for IGBTs have been proposed to alleviate internal electro-thermal stress [7]. Generally, the internal thermal stress of IGBTs can be classified into two types [8]: steady-state thermal

stress, caused by long-term thermal cycling, and transient overstress, induced by short-term thermal fluctuations. Some studies have proposed specific ATC strategies through modifying gate drivers [9], adjusting modulation schemes [10], or varying the switching frequency [11]. However, these approaches mainly focus on mitigating steady-state thermal stress under long-term operating conditions. In contrast, the transient electro-thermal overstress caused by short-term grid disturbances—such as shutdowns, load switching, and short-circuit faults—also plays a significant role in device degradation within a short timescale. Nevertheless, relevant studies on this aspect remain relatively limited.

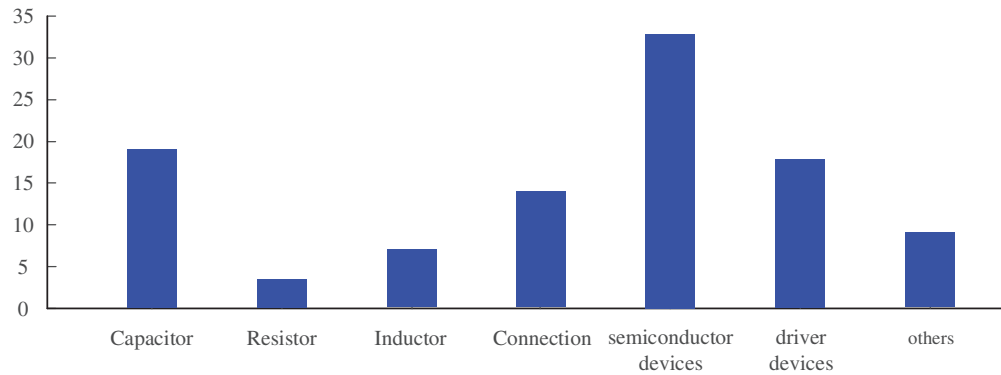


Figure 1: Failure mode distribution of components in converters.

In the field of motor drive applications, Ref. [12] proposed an active thermal control method that determines the optimal phase angle under motor stall conditions. While maintaining the effectiveness of the original current control loop, the method redistributes and minimizes the overall thermal stress of the three-phase currents, thereby reducing both the junction temperature and total power loss of the semiconductor devices. Similarly, Ref. [13] presented an adaptive voltage control method for motor stalling conditions, in which the power loss model of the semiconductor devices was reconstructed to redistribute power dissipation, achieving significant reductions in total loss and junction temperature during motor stall. However, these studies mainly focus on short-term overstress during motor stall and are not directly applicable to wind power converters experiencing transient grid faults. Reference [14] reported that small-scale doubly-fed induction generators (DFIGs) exhibit substantial junction temperature fluctuations during severe grid voltage dips, with instantaneous current surges upon voltage recovery that cause junction temperature increases of up to 50%. In addition, Ref. [15] analyzed the dynamic electro-thermal stress of DFIG converters under transient grid faults and pointed out that when the grid voltage symmetrically drops below half its nominal value, both the steady-state fluctuation and the maximum junction temperature of the converter's IGBTs rise significantly, with a peak increase close to 50%. A hysteresis-based switching frequency control framework is designed in [16] to reduce the excessive temperature rise caused by overload conditions in electric drive systems. Nevertheless, the above studies merely identified the occurrence of transient thermal shocks during grid faults but did not propose corresponding active thermal control solutions. Some other works have investigated the influence of different modulation strategies on the junction temperature and lifetime of power semiconductor devices under specific operating conditions. For instance, Refs. [17,18] analyzed the effect of modulation strategies on the junction temperature of three-level converters in wind turbine low-voltage ride-through (LVRT) conditions; Ref. [19] used finite element analysis to compare the thermal impact of sinusoidal pulse width modulation (SPWM) and space vector pulse width modulation (SVPWM) in two-level converters; and Ref. [20] discussed the temperature variations among different chips within three-level converters under various modulation schemes. Furthermore, Ref. [21] evaluated the

reliability of converters under SPWM and SVPWM control, while Ref. [22] investigated lifetime differences among switching sequences based on power cycling tests. It can be observed that the existing studies mainly focus on steady operating conditions, assessing the impact of modulation strategies on device temperature or lifetime. However, the transient operation scenarios have not been adequately addressed. Since the disturbances caused by grid frequency and voltage faults are far more severe than those under normal operating conditions, wind power converters are required to maintain enhanced reliability and adaptability under grid disturbances [23].

Therefore, this paper focuses on the operating conditions of wind power converters under grid faults. Unlike most existing studies that mainly address steady-state thermal management or normal operating conditions, this work investigates the thermal reliability of wind power converters subjected to grid disturbances. First, a detailed loss and junction temperature calculation model of the power semiconductor devices is established to characterize fault-induced thermal behavior. Then, a junction-temperature-based lifetime evaluation model is developed to quantify the impact of transient temperature fluctuations on device reliability. More importantly, an active thermal control (ATC) method based on adaptive switching-frequency adjustment is proposed for grid fault scenarios, which explicitly considers the multi-time-scale interaction among grid transients, converter control dynamics, and device-level thermal stress. By dynamically regulating the switching frequency under fault conditions with stability-aware constraints, the proposed method effectively reduces both the fluctuation and peak value of junction temperature, thereby extending the converter lifetime. Finally, the proposed approach is validated through PLECS simulations under representative grid fault conditions.

The remainder of this paper is organized as follows. Section 2 introduces the control strategies of the wind power converter, as well as the corresponding fault ride-through (FRT) scheme. Section 3 establishes the calculation models for power device loss, junction temperature, and lifetime evaluation of the wind power converter. Section 4 proposes the active thermal control scheme based on adaptive switching-frequency regulation. Finally, Section 5 presents the simulation results to verify the effectiveness of the proposed method.

2 Control Structure of Grid-Connected Wind Power Converters

Taking a direct-drive wind turbine system based on a permanent magnet synchronous generator (PMSG) as an example, the corresponding circuit and control block diagram are shown in Fig. 2. In this figure, i_{sdq} and u_{sdq} represent the current and voltage of the machine-side converter (MSC), while i_{sdqref} denote the reference current values of the MSC. ω_r is the rotor speed of the PMSG, and L_r is the rotor inductance. P_{ref} is the optimal power reference, P_m is the output active power of the MSC, u_{sd} and u_{sq} correspond to the modulation voltages of the MSC, and S_r represents the switching signals of the MSC. For the grid-side converter (GSC), u_{dq} and i_{dq} correspond to its voltage and current, while i_{dqref} represent the dq -axis reference currents of the GSC. L_f is the filter inductor and ω_g is the grid frequency. u_{sdq} represents the voltage at the point of common coupling (PCC), which is processed by a phase-locked loop (PLL) to obtain the phase angle θ_g required for GSC modulation. $u_{dc ref}$ and u_{dc} are the reference value and the measured feedback value of the DC-link voltage, respectively. S_m and S_g represent the switching signals of the MSC and GSC, respectively.

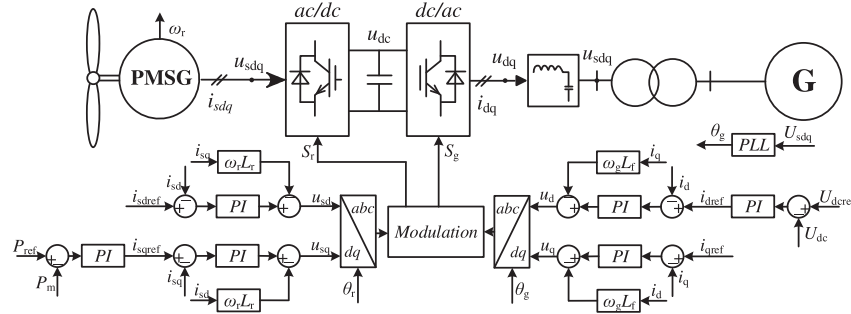


Figure 2: Control block diagram of a grid-connected direct-drive PMSG wind power converter.

2.1 Control of Machine-Side Converter

First, the outer control loop of the MSC performs maximum power point tracking (MPPT). Based on the current wind speed, the corresponding optimal power P_{ref} is obtained from a lookup table and then fed into a PI controller to generate the current reference for the inner loop:

$$\begin{aligned} i_{\text{sdref}} &= 0 \\ i_{\text{sqref}} &= \left(P_{\text{ref}} - P_{\text{m}} - s\omega_{\text{g}}K_{\text{in}} \right) \left(K_{\text{pp}} + \frac{K_{\text{pi}}}{s} \right) \end{aligned} \quad (1)$$

where K_{pp} and K_{pi} are the proportional and integral gains of the power PI controller, respectively. K_{in} is the inertia response coefficient, which is used to adjust the output power of the MSC according to the rate of change of the grid frequency ω_g , so as to provide frequency support during grid frequency variations.

Then, the current reference generated in Eq. (1) is compared with the measured current, and the error is fed into the current controller to generate the corresponding modulation voltage signal:

$$\begin{aligned} u_{\text{sd}} &= (i_{\text{sdref}} - i_{\text{sd}}) \left(K_{\text{sip}} + \frac{K_{\text{sii}}}{s} \right) - i_{\text{sq}} \omega_r L_r \\ u_{\text{sq}} &= (i_{\text{sqref}} - i_{\text{sq}}) \left(K_{\text{sip}} + \frac{K_{\text{sii}}}{s} \right) - i_{\text{sd}} \omega_r L_r \end{aligned} \quad (2)$$

Finally, the generated modulation voltage $\mathbf{u}_{\text{sdq}}$ is sent to the modulation block and compared with the carrier signal to obtain the switching signals $\mathbf{S}_r$ of the MSC.

2.2 Control of Grid-Side Converter

For the grid-side converter (GSC), the outer control loop is responsible for regulating the DC-link voltage to a constant value, and generates the corresponding current reference for the inner control loop:

$$\begin{aligned} i_{\text{dref}} &= (U_{\text{dcref}} - U_{\text{dc}}) \left(K_{\text{dcp}} + \frac{K_{\text{dci}}}{s} \right) \\ i_{\text{qref}} &= 0 \end{aligned} \quad (3)$$

Then, the current reference generated in Eq. (3) is compared with the measured current, and the error is fed into the current controller to generate the corresponding modulation voltage signal:

$$\begin{aligned} u_d &= (i_{d\text{ref}} - i_d) \left(K_{ip} + \frac{K_{ii}}{s} \right) - i_{sq} \omega_g L_f \\ u_q &= (i_{q\text{ref}} - i_q) \left(K_{ip} + d \frac{K_{ii}}{s} \right) - i_{sd} \omega_g L_f \end{aligned} \quad (4)$$

where L_f represents the filter inductor at the output of the GSC. Based on Eqs. (3) and (4), the corresponding switching signals S_g for the GSC can be generated.

2.3 Control of Wind Power Converter under Grid Faults

For grid frequency disturbances, the wind power converter can provide inertia response as described in Eq. (1). Specifically, when the grid frequency ω_g decreases, the active power reference P_{ref} is increased by $K_{in}s\omega_g$ to support the recovery of the grid frequency. Conversely, when ω_g increases, the active power output is reduced accordingly.

For grid voltage faults, the current limitation commands of the GSC need to be set according to the grid code requirements in order to support the voltage recovery during the fault [24]:

$$\begin{cases} i_{dref} = I_n \sqrt{1 - K_c^2} \\ i_{qref} = -I_n K_c \end{cases}, K_c = \min [2(1 - u_s), 1] \quad (5)$$

where K_c is the reactive power support coefficient defined in the grid code. When the PCC voltage u_s drops below 0.5 p.u., the converter outputs full reactive current to support the voltage recovery during the fault. When u_s is between 0.5 and 1 p.u., a portion of active current is still reserved while providing reactive current support.

Since the DC-link capacitor electrically decouples the MSC from the GSC, the MSC does not need to modify its control scheme during grid voltage faults. It is worth noting that, due to the reduced active power transfer capability on the GSC side during a voltage sag while the MSC continues to deliver active power, the DC-link voltage u_{dc} will rise accordingly. To protect the DC-link capacitor from overvoltage stress, a dedicated braking chopper circuit is implemented to dissipate the excess active power:

$$P_m - P_g - \frac{u_{dc}^2}{R} = C_{dc} u_{dc0} \frac{du_{dc}}{dt} \quad (6)$$

where R is the value of the unloading resistor, and u_{dc0} denotes the steady-state DC-link voltage.

Based on Eqs. (1)–(6), the wind power converter is capable of achieving maximum power point tracking on the MSC side, DC-link voltage control on the GSC side, unity power factor operation under normal conditions, and safe operation during grid fault events.

3 Electrothermal Stress and Lifetime Model of Wind Power Converters

The junction temperature is closely associated with the operational lifetime and reliability of wind power converters. It originates from the power dissipation of semiconductor devices and, in turn, further affects their loss distribution. Therefore, this section first establishes the power loss and junction temperature calculation models for the converter. Based on these models, a lifetime prediction approach is subsequently developed to evaluate the reliability impact under various operating conditions.

3.1 Power Loss Calculation

Taking an IGBT-based power module as an example, the total losses consist of the conduction losses P_c and switching losses P_{sw} of both the IGBT and its anti-parallel diode. The conduction and switching losses of the IGBT can be calculated as follows:

$$\begin{aligned} P_c &= i_c v_{ce} \\ P_{sw} &= f_{sw} (E_{on} + E_{off}) \frac{u_{dc}}{u_{ref}} \end{aligned} \quad (7)$$

where f_{sw} denotes the switching frequency of IGBT, i_c denotes the current across the IGBT during conduction, E_{on} and E_{off} denote the turn-on and turn-off energy of the IGBT, u_{ref} denotes the rated operating voltage of the module.

Similarly, the diode losses, including conduction loss P_{cD} and switching loss P_{swD} , can be calculated in the same way:

$$\begin{aligned} P_{cD} &= i_c v_f \\ P_{swD} &= f_{sw} E_{rr} \frac{u_{dc}}{u_{ref}} \end{aligned} \quad (8)$$

In this case, v_f represents the forward voltage drop of the diode, and E_{rr} denotes its reverse-recovery energy.

It is noteworthy that both the conduction voltage drop and the switching energy are influenced by the current i_c as well as the junction temperatures T_j and T_{jD} . Therefore, these dependencies should be taken into account during the modeling process:

$$\begin{aligned} v_{ce/f} &= K_1 (T_{j/D}) i_c + K_2 (T_{j/D}) \\ E_{on/off/rr} &= K_4 (T_{j/D}) i_c^2 + K_4 (T_{j/D}) i_c + K_5 (T_{j/D}) \end{aligned} \quad (9)$$

The parameters K_1 – K_5 represent the temperature-dependent fitting coefficients for the loss characteristics, which can be obtained from the datasheet provided by the device manufacturer. Based on this modeling approach, a loss calculation model that incorporates the feedback effect of junction temperature is established. It can be observed that there exists a mutual coupling between loss and junction temperature: an increase in power loss raises the junction temperature, which in turn further influences the loss behavior.

3.2 Junction Temperature Calculation

Based on an analogy to electrical networks, thermal behavior in power semiconductor modules can be represented through equivalent thermal circuits. Two mainstream thermal modeling approaches are commonly used for power semiconductor devices: the Cauer model and the Foster model. The Cauer model establishes a direct correspondence between thermal elements and the physical layers of the power module, providing clear physical interpretability, but its construction requires detailed internal structural and material parameters that are typically unavailable and often relies on finite-element-based calibration. By contrast, the Foster model does not depend on the internal physical structure of the device and can be directly identified from measured transient thermal impedance under step power excitation [25,26]. From a dynamic modeling perspective, the Foster and Cauer models are mathematically equivalent realizations of linear thermal systems [27]. Considering its low parameter identification effort and suitability for online implementation, the Foster model as illustrated in Fig. 3 is adopted in this study for electro-thermal analysis and active thermal control, as it has been extensively validated in prior literature for its accuracy in junction temperature estimation. In this model, R_{jc} , C_{jc} , R_{jcd} , and C_{jcd} denote the thermal resistance and capacitance from the IGBT and diode junctions to the case, respectively. R_{ch} and C_{ch} represent the thermal path between the case and the heatsink. R_{ha} and C_{ha} describe the heatsink-to-ambient heat dissipation characteristics. T_c , T_h , and T_a correspond to the case, heatsink, and ambient temperatures, respectively. The associated thermal resistances and time constants of the selected power module Skiip2414 GBI7E4-4DUL are summarized in Table 1.

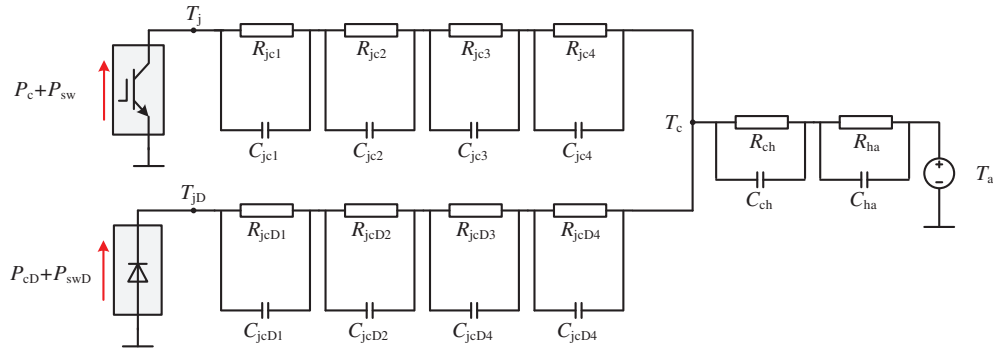


Figure 3: Junction temperature calculation model of an IGBT power module based on the Foster thermal network.

Table 1: Thermal Network Parameters of The Selected Power Module Skiip2414 GB17E4-4DUL.

Parameters	1	2	3	4
R_{jci} (K/W)	0.0021	0.0029	0.0058	0.0015
R_{jcDi} (K/W)	0.0075	0.0060	0.0098	0.0003
τ_i (s)	0.013	0.050	0.090	0.120

Fig. 3 shows the thermal propagation network of the chips inside the IGBT module. Under normal operating conditions, the IGBTs and diodes switch continuously and generate power losses, which accumulate and appear at the case and heatsink temperatures. Based on the measured case temperature, the junction temperatures of the semiconductor chips can be calculated using the established 4-order Foster thermal network. The temperature calculation methods for the IGBT and diode chips are given as follows:

$$\begin{aligned}
 T_j &= T_c + (P_c + P_{sw}) \sum_{i=1}^4 \frac{R_{jci}}{1 + \tau_i s} \\
 T_{jD} &= T_c + (P_{cD} + P_{swD}) \sum_{i=1}^4 \frac{R_{jcDi}}{1 + \tau_i s} \\
 T_c &= T_a + (P_c + P_{sw} + P_{cD} + P_{swD}) \left(\frac{R_{ch}}{1 + \tau_{ch}s} + \frac{R_{ha}}{1 + \tau_{ha}s} \right)
 \end{aligned} \tag{10}$$

where T_j denotes the junction temperature of IGBT, T_{jD} denotes the junction temperature of diode, and T_c denotes the temperature of the module case; τ_i denotes the thermal time constant of each RC branch in the Foster network, representing the inertia of the junction temperature response to power loss variations and defined as the product of the corresponding thermal resistance and capacitance $R_{jci} \cdot C_{jci}$. τ_{ch} and τ_{ha} represent the thermal time constants from the module case to the heatsink and from the heatsink to the ambient, respectively.

3.3 Lifetime Estimation of Power Electronic Devices

The aging and failure of power semiconductor devices are primarily induced by thermal stress, which acts on the interfaces between layers composed of materials with different coefficients of thermal expansion. During operation, varying mission profiles lead to fluctuations in power losses and consequently cause junction temperature cycling, resulting in cumulative thermomechanical fatigue. This process accelerates

device degradation and may ultimately cause failure. The most common failure mechanisms include bond-wire lift-off and solder-layer fatigue [20]. Therefore, to further evaluate the reliability of power devices, this paper adopts the LESIT lifetime model [28] based on thermomechanical fatigue caused by junction temperature cycling, which can be expressed as:

$$N_f = a (\Delta T_j)^b e^{\frac{c}{T_{avr}}} \quad (11)$$

where N_f represents the failure cycles of the device, meaning the total number of cycles during which the module can operate normally. The parameters a , b and c are the coefficients of the lifetime estimation model, obtained by the manufacturer through statistical fitting based on extensive aging tests. The term ΔT_j refers to the junction temperature swing of IGBT, and T_{avr} denotes the average junction temperature. For power semiconductor devices such as IGBTs, the coefficient b is always negative, indicating that a larger junction temperature fluctuation will accelerate device degradation. Compared with the traditional Coffin-Manson model, this lifetime model in Eq. (11) incorporates the average junction temperature as an additional influencing factor, thereby enabling a more accurate prediction of device lifetime.

Subsequently, since real operating conditions typically involve varying thermal stress levels, the Lesit model alone is insufficient for lifetime estimation. During actual operation, the device will experience a certain number of cycles under each stress level. The ratio between the actual number of cycles and the allowable number of cycles represents the fraction of lifetime consumed at that stress level. Therefore, the Miner's cumulative damage rule is adopted to evaluate the lifetime under non-uniform mission profiles.

$$D = \sum_i \frac{n_i}{N_{fi}} \quad (12)$$

here, cumulative damage is represented by D . The term n_i denotes the actual number of thermal cycles experienced within the i -th stress range, while N_{fi} refers to the number of cycles to failure under that specific stress condition. When multiple thermal stress levels exist in the mission profile, the consumed lifetime fractions are summed together. Once the accumulated damage D reaches a value of 1, the power module is considered to have reached its wear-out failure point.

As a consequence, the maximum achievable lifetime of the power semiconductor devices is closely correlated with both the peak and mean junction temperatures. Any rise in either temperature metric results in a rapid, exponential reduction in the device's operational lifetime.

4 Proposed Active Thermal Control Strategy

4.1 The ATC Framework for the IGBT in Wind Power Converter

Fig. 4 first illustrates the proposed ATC scheme based on the electrothermal model of IGBTs in the wind power converter system. In general, an online junction-temperature estimation is required to effectively implement ATC strategies, which corresponds to the modeling framework introduced in Eqs. (7)–(10). A conventional electrothermal model typically consists of three parts: a device model, a loss model, and a thermal network model. The physical system provides real-time measurement data as prerequisite inputs to the electro-thermal model. The device model obtains on-state voltage drops and switching energies through the polynomial fitting functions of loss-related variables as formulated in Eq. (9). Then, by applying the real-time loss computation model, the temporal evolution of chip losses can be evaluated. Finally, the accumulated loss is fed into the thermal network, and the corresponding junction temperature is obtained using the Foster-type thermal impedance model in Eq. (10).

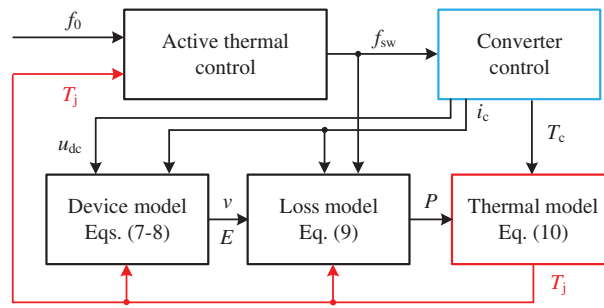


Figure 4: The proposed ATC framework based on electrothermal stress co-calculation feedback.

The ATC developed in this work is designed to suppress both the peak junction temperature and its accompanying thermal swing of power devices in wind power converters during grid transient faults. In contrast, most existing studies mainly focus on mitigating the maximum junction temperature or reducing the amplitude of long-term thermal cycles under load variations, and therefore cannot be directly applied to short-duration grid disturbances such as frequency and voltage fluctuations. Since the wind power converter operates under AC conditions, the junction-temperature ripple induced by the fundamental-frequency current becomes the primary factor affecting the reliability and lifetime of IGBTs. It is noteworthy that, according to (10) and Table 1, the junction temperature exhibits a significant delay with respect to the variation of power losses, namely, thermal inertia. When the losses experience a step change, the junction temperature typically requires several hundred milliseconds to reach its steady-state value. This inherent delay provides the feasibility for ATC implementation—i.e., by adjusting the switching stress immediately after a fault occurs but before the junction temperature reaches its maximum limit, the device can be effectively protected from overheating while enabling enhanced transient performance.

Fig. 5 presents a simple example to illustrate the influence of loss regulation on junction-temperature behavior. The AC loss amplitude is initially maintained at 50 W, resulting in an average junction temperature of approximately 50.2°C and a thermal swing of about 0.4°C. When the power loss steps to 150 W at $t = 1.01$ s, the junction temperature starts to rise accordingly. At $t = 1.03$ s, the average temperature increases to 52.1°C and the thermal swing expands to 2.0°C. However, as shown by the blue curve, if the power loss is reduced to 100 W at $t = 1.015$ s, the junction temperature immediately begins to decline. By $t = 1.03$ s, the average temperature decreases to 51.5°C and the thermal swing is suppressed to 1.5°C. These results demonstrate the feasibility of loss regulation for actively controlling the thermal stress of power devices. Therefore, it can be concluded that reducing the average junction temperature and its thermal swing essentially relies on effective loss mitigation. As long as the proposed ATC strategy adjusts the power loss before the junction temperature reaches the predefined maximum threshold, the temperature rise can be suppressed, which in turn improves the expected lifetime of the wind power converter under grid-fault conditions.

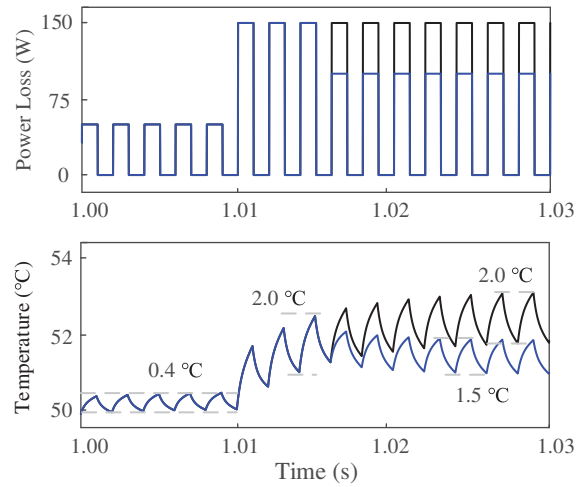


Figure 5: Illustration of the dynamic impact of power loss adjustment on junction temperature, highlighting the suppression of temperature fluctuation.

4.2 ATC Based on Switching Frequency

Based on Eqs. (6) and (7), the variables directly influencing the power device losses include the conduction current i_c , switching frequency f_{sw} , and DC-link voltage u_{dc} . For a wind power converter operating under grid-fault conditions, the output current amplitude is already dictated by the grid support requirements. Meanwhile, sufficient modulation depth must be guaranteed for the MSC to ensure maximum power tracking, thus u_{dc} cannot be freely adjusted. Consequently, the switching frequency f_{sw} becomes the only controllable variable for loss mitigation. Since the objective of this work is to enhance the converter lifetime during grid transient disturbances by reducing the junction temperature and its fluctuation, the proposed ATC method is designed accordingly as follows:

$$f_{sw} = \begin{cases} f_0, & T_{jT} \leq K_7 T_n \\ f_0 + (T_n - T_{jT}) K_6, & T_{jT} > T_n \end{cases} \quad (13)$$

where f_0 denotes the initial switching frequency of the grid-side converter under normal steady-state operation, K_6 represents the proportional coefficient used to adjust the switching frequency in the proposed active thermal control scheme. As shown in Fig. 5, because the wind power converter operates under AC conditions, the junction temperature still exhibits fluctuations even in steady-state operation. Therefore, a hysteresis coefficient K_7 is introduced to avoid frequent switching-frequency changes caused by these steady-state temperature ripples. With the above design, the temperature information is directly linked to the switching frequency of the converter. Once the junction temperature exceeds the specified threshold T_n , the switching frequency begins to decrease linearly according to K_6 , thereby reducing the switching losses of the power devices. As a result, the junction temperature gradually decreases and eventually stabilizes at a new thermal equilibrium. It is worth noting that, considering the stability margin of the GSC control loop, the switching-frequency adjustment must be limited by a minimum threshold to ensure the stable operation of the wind power converter during grid faults, as illustrated in Fig. 6. The temperature threshold T_n is selected based on the steady-state operating point of the converter and is set slightly above the rated junction temperature to indicate the onset of increased thermal stress. The switching-frequency reduction is activated only when the predicted junction temperature exceeds this threshold, thereby avoiding unnecessary frequency variations during normal operation. Moreover, note that the proposed ATC is activated only

during short-duration grid transient events. Within this limited time window, a moderate trade-off in harmonic performance is acceptable, provided that control stability is maintained and normal steady-state operation is fully restored after fault clearance.

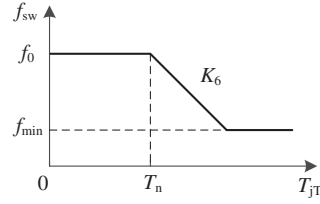


Figure 6: Diagram of junction-temperature-based dynamic switching-frequency adjustment.

Subsequently, the small-signal transfer-function block diagram of the cascaded voltage–current controller is established, as shown in Fig. 7. Based on this structure, the open-loop transfer function of the control loop can be expressed as:

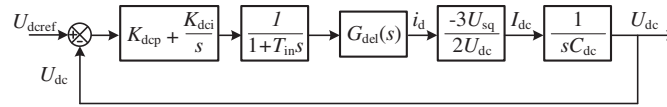


Figure 7: Simulation results of the wind power converter under grid transient faults without the proposed ATC strategy.

$$G_{op}(s) = \left(K_{dcp} + \frac{K_{dci}}{s} \right) \cdot G_{del}(s) \cdot \frac{1}{1 + T_{in}s} \cdot \frac{3U_{sq}}{2U_{dc}} \cdot \frac{1}{sC_{dc}} \quad (14)$$

where T_{in} denotes the time constant of the inner current control loop, $G_{del}(s) = e^{-sT_d}$ corresponds to a time delay. By substituting the parameters listed in Table 2, the Bode plots of the voltage transfer function under different switching frequencies are obtained, as shown in Fig. 8. It can be observed that, as the switching frequency decreases, the magnitude response gradually deteriorates and a resonance peak emerges in the high-frequency range. When the switching frequency is reduced to $0.5 f_0$, the magnitude response approaches 0 dB, indicating that the system operates at the stability boundary. Further reducing the switching frequency to $0.4 f_0$ results in a pronounced resonance peak exceeding 0 dB, implying loss of stability. Therefore, in practical control design, a minimum switching frequency should be imposed to ensure sufficient control bandwidth and stability margin. For the parameters adopted in this work, the minimum switching frequency is selected as 2000 Hz to avoid adverse impacts on the control loop bandwidth.

It should be noted that the proposed ATC strategy is not limited to the 2.0 MW converter considered in this study. Since its core mechanism relies on regulating switching frequency to mitigate device-level switching losses and junction temperature fluctuations across different time scales, the same control framework can be extended to converters with other power ratings and semiconductor technologies, with appropriate parameter re-tuning.

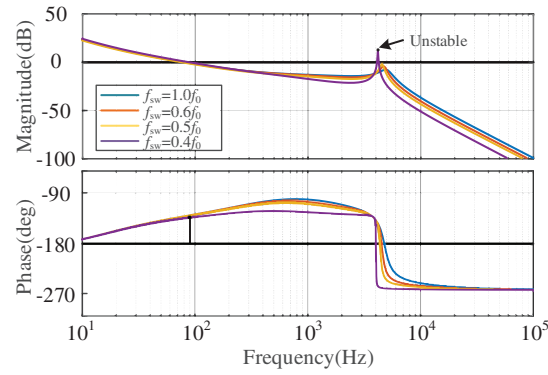


Figure 8: Simulation results of the wind power converter under grid transient faults without the proposed ATC strategy.

Table 2: Simulation Parameters of The Wind Power Converter.

Symbol	Description	Value	Parameters	Description	Value
S_n	Rated Power	2.0 MW	T_h	Heatsink Temperature	50°C
U_n	Rated Voltage	0.69 kV	K_6	Switching Frequency Coefficient	100
U_{dcn}	Rated DC-link Voltage	1.1 kV	a	Lifetime Estimation Coefficient	2.05e11
f_0	Initial Switching Frequency	3000 Hz	b	Lifetime Estimation Coefficient	-4.302
K_{in}	Inertia Response Coefficient	5	c	Lifetime Estimation Coefficient	-1840.6

5 Simulation Verification

To verify the proposed ATC method, a 2.0 MW direct-drive PMSG-based wind power generation system model was developed in PLECS, and its electrical and thermal parameters are listed in Table 2. First, the electro-thermal stress and the corresponding expected lifetime of the wind power converter without enabling ATC are evaluated. Two typical grid disturbance scenarios are considered: a grid frequency fault and a grid voltage fault. The simulation results are shown in Fig. 9a,b, respectively. In Fig. 9a, the grid frequency drops at a rate of 0.5 Hz/s at $t = 2$ s until it reaches 49 Hz, and then recovers to the nominal value of 50 Hz at the same rate at $t = 4$ s. In Fig. 9b, the grid voltage experiences a three-phase symmetrical voltage sag down to 0.2 p.u. at $t = 3$ s and remains at that level until the fault is cleared at $t = 3.625$ s.

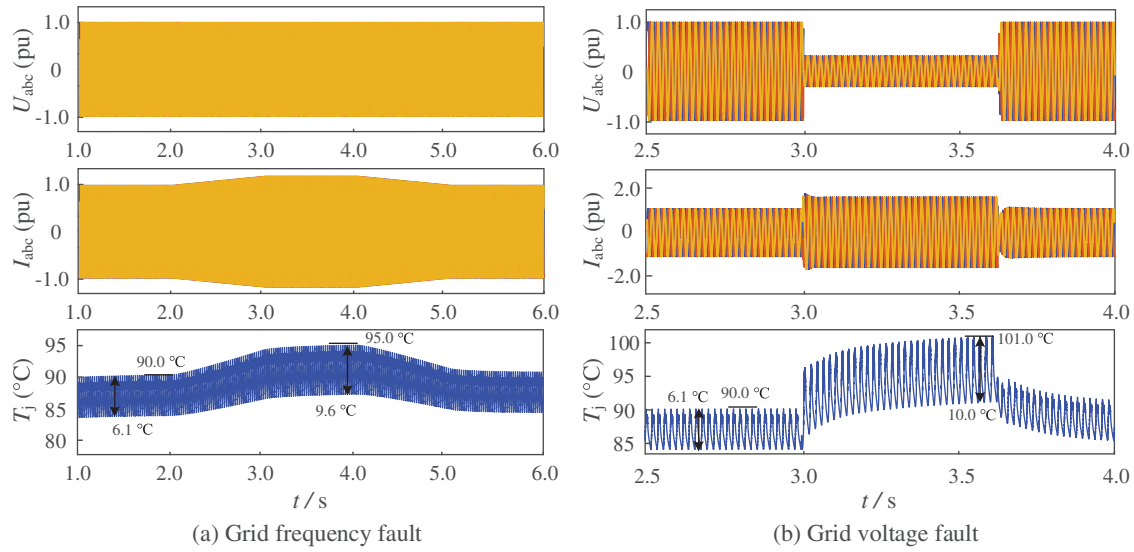


Figure 9: Simulation results of the wind power converter under grid transient faults without the proposed ATC strategy.

From Fig. 9a, it can be seen that before the disturbance, the wind power converter outputs 1.0 p.u. current under the rated operating condition, where the junction temperature exhibits a maximum of 90.0°C, an average of 87°C, and a thermal swing of 6.1°C. When the grid frequency starts to drop at $t = 2.0$ s, the converter increases its active power injection to provide inertial support. At $t = 3.0$ s, the frequency reaches 49 Hz (0.98 p.u.), and the output current rises to 1.2 p.u. The corresponding maximum junction temperature increases to 95°C, the average junction temperature rises to 90.2°C, and the thermal swing expands to 9.6°C. According to the lifetime model given in Eq. (11), such enhanced thermal stress leads to a significant degradation of power semiconductor lifetime. As the frequency starts to recover at $t = 4.0$ s, the thermal stress gradually decreases. Meanwhile, for the low-voltage fault scenario in Fig. 9b, the pre-fault thermal stress remains consistent, with the maximum junction temperature of 90.0°C, average temperature of 87°C, and thermal swing of 6.1°C, validating the accuracy of the developed electro-thermal model. When the PCC voltage drops to 0.2 p.u. at $t = 3.0$ s, the converter output current jumps to 1.5 p.u., reaching the maximum current limit. Due to the thermal inertia, the junction temperature does not change instantly but rises progressively, reaching 95.2°C at the initial instant of the voltage dip and further increasing to 101°C at $t = 3.625$ s. Meanwhile, the average junction temperature reaches 96.0°C, and the thermal swing increases to 10°C. Therefore, compared with the frequency drop case, a voltage dip event induces more severe transient over-current stress and junction temperature rise, which significantly accelerates device aging and reduces lifetime.

With the proposed ATC strategy enabled, the simulation results under the frequency-drop and voltage-dip scenarios are shown in Fig. 10a,b, respectively, while all parameters remain identical to those in Table 2. As illustrated in Fig. 10a, due to the activation of the ATC strategy, when the grid frequency drops and the converter current increases, the switching frequency starts to decrease once the junction temperature exceeds the predefined limit of 90°C at $t = 3.0$ s. As a result, the peak junction temperature is reduced from 95°C (without ATC) to 91°C, and the thermal swing is reduced from 9.6°C to 6.5°C, which is comparable to the pre-fault operating condition. The average junction temperature is also reduced from 90.2°C to 88.2°C. For the low-voltage fault in Fig. 10b, the maximum junction temperature at the moment of fault clearance ($t = 3.625$ s) is reduced from 101°C to 96.8°C with ATC enabled. Meanwhile, the thermal swing drops from 10.0°C to 7.1°C, and the average temperature decreases from 96.0°C to 93.3°C. These results indicate

that by dynamically lowering the switching frequency according to the junction temperature evolution, the proposed ATC strategy effectively suppresses thermal overstress during transient grid faults. Consequently, the converter can operate with lower switching losses and reduced thermal stress, thereby improving the predicted device lifetime.

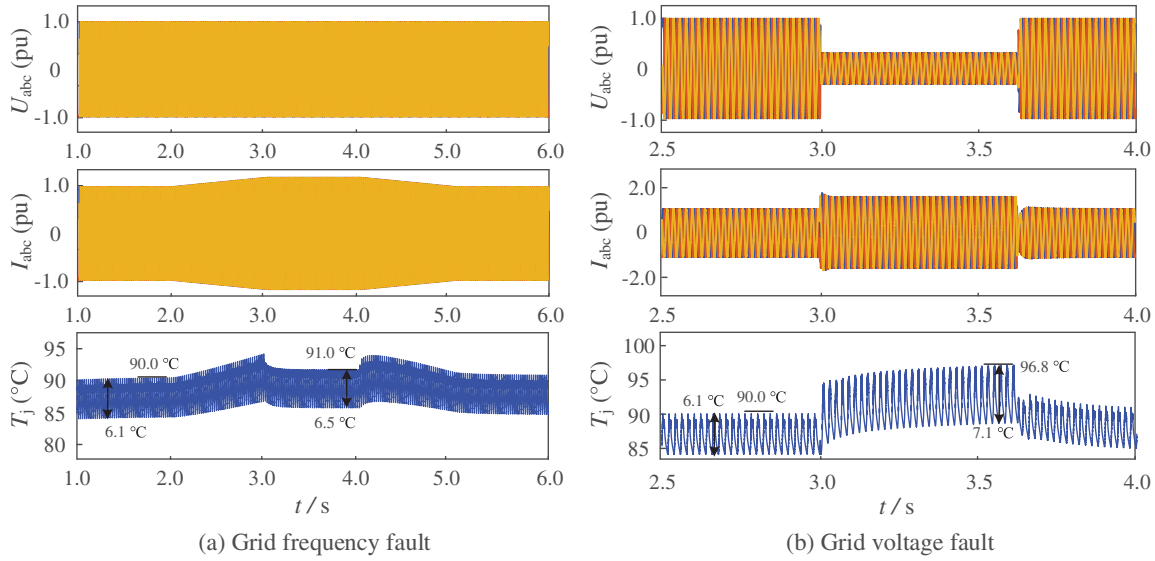


Figure 10: Simulation results of the wind power converter under grid transient faults with the proposed ATC strategy.

Finally, the variations in the predicted lifetime of the wind power converter with and without the proposed ATC strategy under two types of transient grid faults are shown in Fig. 11a,b. It can be observed that under normal operating conditions, the expected failure cycles N_f is approximately 1.1×10^7 . When the grid frequency drops and the output current rises, N_f decreases to 0.97×10^7 . With the proposed ATC applied, N_f only decreases to 1.06×10^7 , indicating that the lifetime degradation is reduced by approximately 54% compared with the case without ATC. For the low-voltage fault scenario shown in Fig. 9b, N_f drops to 1.01×10^7 without ATC, whereas with the proposed strategy it increases to 1.08×10^7 , corresponding to a reduction of about 78% in lifetime degradation. These results clearly demonstrate that the proposed ATC approach effectively mitigates the lifetime reduction risk of wind power converters under transient grid fault conditions. It should be noted that the lifetime variation is evaluated in terms of expected failure cycles associated with short-duration grid transient events, rather than absolute calendar lifetime, which would require long-term mission profile assumptions beyond the scope of this study.

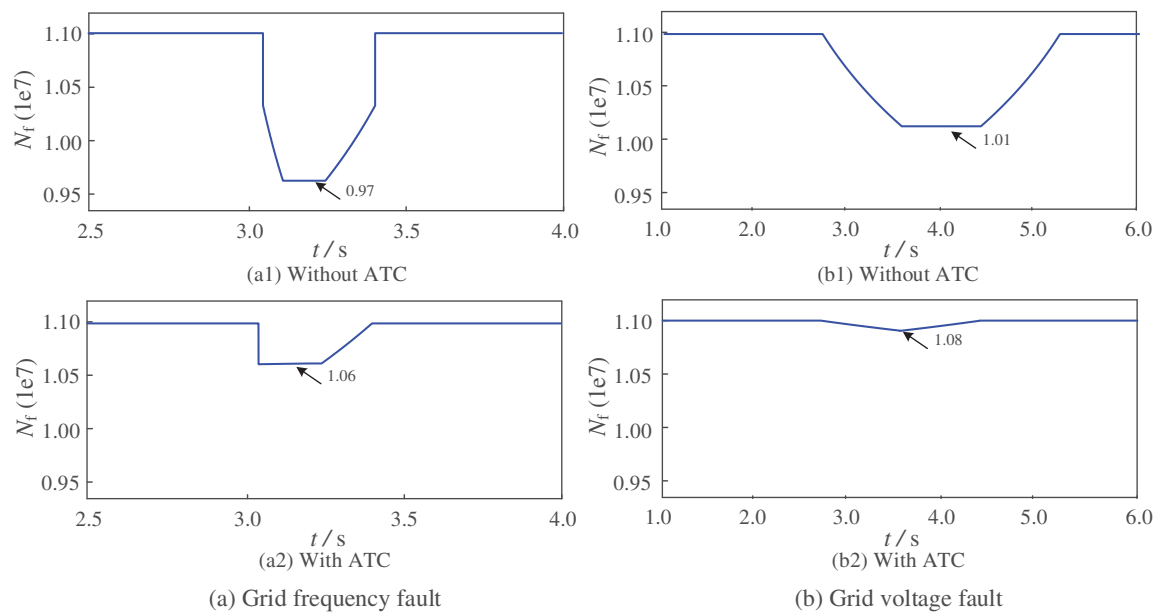


Figure 11: Expected lifetime variation of the wind power converter during grid transient faults, comparing cases with and without the proposed ATC strategy.

6 Conclusion

The electrothermal stress imposed on power semiconductor devices in wind turbine converters critically affects their reliability and operational lifetime. However, most existing studies focus only on long-term operating conditions, while the impact of short-term overstress caused by power grid transient faults has been largely overlooked. To address this issue, this paper first establishes a multi-physics coupled analytical model that incorporates the converter control, power loss evaluation, and junction temperature calculation. A lifetime estimation approach is then developed based on this model. Furthermore, the feasibility of mitigating junction-temperature excursions within the transient duration of grid faults is analyzed, and an ATC strategy based on dynamic switching-frequency adjustment is proposed. When the junction temperature exceeds a predefined threshold, the switching frequency is reduced to suppress the rise of both the temperature mean value and thermal cycling amplitude, thereby alleviating lifetime degradation under fault conditions. Finally, case studies on grid frequency disturbance and low-voltage fault events are conducted in PLECS. Simulation results show that the proposed ATC strategy can reduce the junction-temperature mean value by approximately 2.0°C and 2.7°C and the thermal cycling amplitude by 1.1°C and 2.9°C under the two fault scenarios, respectively, leading to a reduction of lifetime deterioration by about 54% and 78%. These results confirm the effectiveness of the proposed approach in enhancing converter reliability during transient grid faults. Future research could extend the proposed ATC strategy to other types of converters, such as photovoltaic and energy storage systems, and explore the applicability of grid-forming control. Additionally, the method can be further validated under various grid fault conditions to assess its performance in real-world applications.

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Nomenclature

ATC	Active thermal control
LVRT	Low voltage ride through
SPWM	Sinusoidal pulse width modulation
SVPWM	Space vector pulse width modulation
FRT	Fault ride through
PMSG	Permanent magnet synchronous generator
MSC	Machine-side converter
GSC	Grid-side converter
PLL	Phase-locked loop
MPPT	Maximum power point tracking
IGBT	Insulated gate bipolar transistor
T_j	Junction temperature
T_n	Temperature threshold for ATC activation
T_c	Case temperature
Z_{th}	Thermal impedance
R_{th}	Thermal resistance
C_{th}	Thermal capacitance
f_{sw}	Switching frequency
f_0	Nominal switching frequency
K_6	Proportional coefficient for switching-frequency adjustment
K_7	Hysteresis coefficient
P_{loss}	Power loss
P_{sw}	Switching loss
P_{cond}	Conduction loss
P_{ref}	Reference active power
P_m	Power of MSC
i_{sdq}	d/q -axis output currents of MSC
i_{sdqref}	d/q -axis current references of MSC
i_{dq}	d/q -axis grid currents of GSC
i_{dqref}	d/q -axis current references of GSC
u_{sdq}	d/q -axis modulation voltages of MSC
u_{dq}	d/q -axis modulation voltages of GSC
K_{pi}	PI gains of power controller
K_{spi}	PI gains of the MSC current controller

K_{pi}	PI gains of the GSC current controller
K_{in}	Inertia response coefficient
w_g	Grid angular frequency
L_r	Stator-side inductance
L_f	Filter inductance
S_r	Switching signals of MSC
S_g	Switching signals of GSC
N_f	Number of cycles to failure

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