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# A Temporary Frequency Response Strategy Using a Voltage Source-Based Permanent Magnet Synchronous Generator and Energy Storage Systems

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## ABSTRACT

Energy storage systems (ESS) and permanent magnet synchronous generators (PMSG) are speculated to be able to exhibit frequency regulation capabilities by adding differential and proportional control loops with different control objectives. The available PMSG kinetic energy and charging/discharging capacities of the ESS were restricted. To improve the inertia response and frequency control capability, we propose a short-term frequency support strategy for the ESS and PMSG. To this end, the weights were embedded in the control loops to adjust the participation of the differential and proportional controls based on the system frequency excursion. The effectiveness of the proposed control strategy was verified using PSCAD/EMTDC. The simulations revealed that the proposed strategy could improve the maximum rate of change of the frequency nadir and maximum frequency excursion. Therefore, it provides a promising solution of ancillary services for frequency regulation of PMSG and ESS.

# **KEYWORDS**

Inertial control; PMSG; ESS; wind power generation; frequency support

## **1** Introduction

Due to its inexhaustible, pollution-free, and renewable nature, wind energy has been developed over the past few decades as one of the primary sources of energy worldwide. Numerous technical reports have recommended many countries set targets to satisfy their ever-growing energy demands using renewable resources and reduce carbon emissions by 2030 [1].

The increasing integration of wind energy brings significant challenges to the stability of the system frequency as the power converter-interfaced paramagnet machine synchronous generators (PMSGs; type 4 wind turbine generators) decouple the rotor speed from the system frequency [2,3]. In addition, PMSGs normally operate in the maximum power tracking mode, which would result in a reduction of the system inertia and primary frequency response [4,5]. Hence, both maximum frequency deviation and rate of change (df/dt) decrease, which may increase the possibility of inducing load-shedding relays [6,7]. The stable operating range of the PMSG rotor speed is much wider than that of the synchronous generator, and hence, the PMSG, which implements inertial control, is an effective way to control the frequency as well [8].



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At present, most studies on PMSG adopt the phase-locked loop (PLL) to orientate with the power grid, e.g., system frequency, to realize power or frequency regulation by controlling the injected current [9]. This strategy is known as the current-source-control based PMSG, which displays the current-source characteristics. Based on such a PMSG strategy, df/dt [10,11] and frequency deviation ( $\Delta f$ ) [12,13] based inertial control strategies have been developed. In references [14,15], The former aims to improve df/dt whereas the latter focuses on improving the maximum frequency deviation. Both schemes are control gain-dependent, and have been analyzed for various constant control coefficients. In addition, both df/dt and  $\Delta f$  based inertial control strategies are switched according to the increasing frequency deviation to improve the support stability [16].

With the increasing impedance of the grid, these phenomena would lead to abnormal interactions between the current-control-loop and PLL, resulting in instabilities [17]. Hence, the current-source-control based PMSG strategy only weakly adapts PMSG for practical implementation [18]. By imitating the dynamics of the traditional synchronous generator (TSG), the virtual synchronous generator strategy [19] and power synchronization strategy [20] emulate TSG motion dynamics, which could be denoted as the voltage source control. However, this strategy presents several limitations of PMSG implementation due to random, intermittent, and fluctuating wind generation [21]. The voltage source control directly regulates the phase and amplitude of the converter output voltage and achieves autonomous grid-synchronization without PLL [21].

In conclusion, many researches focus on designing the control strategy for frequency regulation. Both df/dt and  $\Delta f$  control loops with fixed control gain are implemented. Furthermore, df/dt and  $\Delta f$  control loops are switched when the frequency deviation reaches to a certain value. The contribution to improving the frequency support capability is limited.

The contributions of this study are summarized as follows: (1) The system frequency response model was addressed considering the frequency regulation of the PMSG and energy storage system (ESS) and the mechanisms for the same were analyzed; (2) The PMSG inertial control strategy without PLL was established. To improve the maximum df/dt and  $\Delta f$ , the weights in the control loops adjust the participation of the differential and proportional control based on the  $\Delta f$  trajectory.

This paper is organized as follows. Section 2 introduces the control of PMSG and ESS. Section 3 provides the motion features between synchronous generator and grid-side-converter of PMSG. The proposed short-term frequency regulation control strategy of PMSG and ESS is introduced in Section 4. Section 5 verifies the effectiveness of the proposed frequency regulation strategy. Section 6 draws the conclusion and illustrates the future research.

## 2 PMSG and ESS Control

Fig. 1 displays the PMSG structure embedded with the ESS. The mechanical power of the wind turbine can be defined as a nonlinear function, expressed as Eq. (1).

$$P_m = 0.5\rho\pi R^2 v_w^3 c_P(\lambda,\beta),\tag{1}$$

where  $\rho$  is the air density, R is the rotor radius,  $v_w$  is the wind speed,  $\beta$  is the pitch angle,  $\lambda$  is the tip-speed ratio, and  $c_p$  is the power coefficient expressed as Eqs. (2)–(4).

$$c_{P}(\lambda,\beta) = 0.645 \left\{ 0.00912\lambda + \frac{-5 - 0.4 \left(2.5 + \beta\right) + 116\lambda_{i}}{e^{21\lambda_{i}}} \right\},\tag{2}$$

where

$$\lambda_{i} = \frac{1}{\lambda + 0.08 (2.5 + \beta)} - \frac{0.035}{1 + (2.5 + \beta)^{3}}$$
(3)  
and  
$$\lambda = \frac{\omega_{r} R}{v_{w}}.$$
(4)

In Eq. (1),  $c_p$  has a maximum value,  $c_{p,max}$ , at the optimal tip-speed ratio,  $\lambda_{opt}$ , where the PMSG is capable of capturing the maximum wind power. Substituting Eq. (4) into Eq. (1), the expression of the power reference for the maximum power tracking operation (MPTO),  $P_{MPPT}$ , can be written as

$$P_{MPPT} = 0.5\rho\pi R^2 \left(\frac{\omega_r R}{\lambda_{opt}}\right)^3 c_{p,\max} = k_g \omega_r^3,\tag{5}$$

where  $k_g$  is the coefficient for the MPPT operation of the PMSG.



Figure 1: Control structure of the PMSG embed with ESS

The machine-side converter of the PMSG employed the vector control according to the flux linkage orientation. The MPTO was achieved based on the outer-power-control and inner-current-control loops (Fig. 1). The input of the MPTO was the rotor speed of the wind turbine. In addition, similar to the conventional PLL-based PMSG, the inertia control loop and/or other control strategies could be added to the MPTO control loops.

To achieve autonomous grid-synchronizing inertia support strategy for the PMSG, the identical relationship between the angular speed  $\omega_s$  of the voltage of GSC and the DC-link voltage  $(u_{dc})$  is established. As shown in the control loop of GSC,  $u_{dc}$  passes through an integrator with the gain of

the base value of gird angular frequency, and the phase of the voltage of the GSC ( $\theta$ ) is the output. The reactive power is adjusted through the voltage amplitude of the GSC ( $u_t$ ).

The energy storage system converter can provide frequency regulation function by absorbing or releasing energy from or to the grid. In Fig. 1, a bidirectional Buck/Boost converter is used to represent the energy storage system converter. As in the control loop, the droop control, which corresponds to the difference between the rated voltage of DC-link ( $u_{den}$ ) and the measured value of DC-link ( $u_{de}$ ) and differential control, which corresponds to the rate of change of  $u_{de}$  control are implemented in the energy storage system converter. Since the DC-link voltage of the PMSG decouples to the dynamics of the system frequency, the droop control and differential control could respond to the dynamics of the system frequency.

## 3 Motion Features between the Synchronous Generator and Grid-Side-Converter of the PMSG

The swing equation of the synchronous generator can be expressed as [19]

$$2H_{sys} \times \omega_s \times \frac{d\omega_s}{dt} = P_M - P_e,\tag{6}$$

where  $H_{sys}$  is the inertia constant of the power system;  $\omega_s$  is the synchronous angular speed; and  $P_M$  and  $P_e$  are the mechanical and electrical power of the synchronous generator, respectively. Further, the dynamics of the DC-link voltage, could be expressed as

$$2H_C\left(u_{dcn}\frac{du_{dc}}{dt}\right) = P_m - P_g,\tag{7}$$

where  $u_{dcn}$  is the nominal voltage of the DC-link;  $u_{dc}$  is the measured voltage of the DC-link;  $P_m$  and  $P_g$  are the mechanical and electrical power of the PMSG, respectively, and  $H_c$  is the inertia constant of the capacitor defined as

$$H_C = \frac{Cu_{den}^2}{2S_n},\tag{8}$$

where *C* is the capacitance and  $S_n$  is the apparent power of the PMSG. The DC-link voltage of the PMSG has a similar dynamic motion equation to that of the synchronous generator (Fig. 2). It displays the analogous dynamic features to Hsys [20].



Figure 2: Motion feature similarity between the grid side converter and synchronous generator

## 4 Short-Term Frequency Regulation Control Strategy for PMSG and ESS

Figs. 3a and 3b display the structures of the PMSG and ESS inertial control strategy, respectively.



**Figure 3:** Structure of the inertial control scheme for PMSG and ESS. (a) Structure of the inertial control scheme for PMSG; (b) Structure of the inertial control scheme for ESS

The additional power from the PMSG and ESS can be calculated based on the outputs of the  $du_{dc}/dt$  control loop ( $\Delta P_{in}$ , top loop),  $\Delta u_{dc}$  control loop ( $\Delta P_{dr}$ , bottom loop) and the power reference of MPPT control ( $P_{MPPT}$ ), as in Eqs. (9) and (10).

$$\Delta P_{in} = -K_{in} \cdot u_{dc} \cdot \frac{du_{dc}}{dt},\tag{9}$$

$$\Delta P_{dr} = -K_{droop} \left( u_{dc} - u_{dcn} \right) = -K_{droop} \cdot \Delta u_{dc}, \tag{10}$$

where  $K_{in}$  and  $K_{droop}$  indicate the control gains for the  $d\Delta u_{dc}/dt$  and  $\Delta u_{dc}$  control loops, respectively.

Prior to a frequency disturbance, we have  $P_{ref} = P_{MPPT}$ . After a disturbance, the additional power (Eqs. (9) and (10)) from the PMSG inertial control, which is dependent on the measured voltage of the DC-link, was added to  $P_{MPPT}$  (Fig. 3a; Eq. (11)). Furthermore, the same  $\Delta P_{in}$  and  $\Delta P_{dr}$  were calculated and added also to the ESS control loop. The  $P_{ref}$  value is used to calculate the current of the MSC by dividing it by the DC voltage; it can be expressed as

$$P_{\rm ref} = P_{MPPT} + \Delta P_{dr} + \Delta P_{in} \tag{11}$$

$$P_{\rm ref} = P_0 + \Delta P_{dr} + \Delta P_{i\nu} \tag{12}$$

where  $P_0$  is the ESS initial output power. The instantaneous frequency excursion ( $\Delta f(t)$ ) can then be derived using the low-order system frequency response model [22] as

$$\Delta f(t) = \frac{\Delta P}{K_1 + D} \left[ 1 + \alpha e^{-\xi \omega_{\rm n} t} \sin\left(\omega_{\rm d} t + \beta\right) \right],\tag{13}$$

where

$$\omega_{\rm n} = \sqrt{\frac{DR + K_{\rm m}}{2HRT_{\rm R}}},\tag{14}$$

and

$$\xi = \left(\frac{2HR + (DR + K_{\rm m}F_{\rm H}) T_{\rm R}}{2(DR + K{\rm m})}\right)\omega_{\rm n},\tag{15}$$

where  $\omega_n$  is the natural oscillation frequency;  $\xi$  is the damping ratio;  $\omega_d$  is the damped frequency;  $\alpha$  and  $\beta$  are the coefficients derived from the model; and  $\Delta P$  is the equivalent size of the frequency disturbance. The maximum frequency excursion can be represented as [22]

$$\Delta f_{\max} = \frac{\Delta P}{K_1 + D} \left( 1 + \alpha_1 e^{-\xi \omega_n t_{\text{nadir}}} \right),\tag{16}$$

where  $K_1$  is the setting value of the primary governor response and  $\Delta P_{RE}$  is the sum of the PMSG and ESS additional powers.

Similar to reference [15], the system frequency response model performance improved (Fig. 4). The equivalent size of the disturbance ( $\Delta P$ ) was calculated as

$$\Delta P = \Delta P_{\rm L} - \Delta P_{\rm RE} = \Delta P_{\rm L} - (\Delta P_{PMSG} + \Delta P_{ESS}), \tag{17}$$

where  $\Delta P_{PMSG}$  and  $\Delta P_{ESS}$  represent the additional powers generated from the PMSG and ESS when performing the short-term frequency support.



Figure 4: System frequency response considering frequency regulation of PMSG and ESS

The maximum frequency excursion can be derived as [22]

$$\Delta f_{\max} = \frac{\Delta P_{\rm L} - \Delta P_{\rm RE}}{K_{\rm l} + D} \left( 1 + \alpha_{\rm l} e^{-\xi \omega_{\rm n} t_{\rm nadir}} \right) \tag{18}$$

In Eq. (18), the PMSG and ESS could support the system frequency. With the larger  $\Delta P_{RE}$ , the molecule of Eq. (18) decreases so that the maximum frequency excursion could be enhanced. For the voltage source control based on the inertial synchronization strategy (without PLL),  $u_{dc}$  in p.u. is the same as the system frequency in p.u. Therefore, Eqs. (9) and (10) can be rewritten as

$$\Delta P_{in} = -K_{in} \cdot U_{dc} \cdot \frac{dU_{dc}}{dt},\tag{19}$$

$$\Delta P_{dr} = -K_{droop} \left( U_{dc} - U_{dcn} \right) = -K_{droop} \cdot \Delta U_{dc}.$$
<sup>(20)</sup>

Fig. 5 illustrates the system frequency trajectory after an under-frequency-disturbance. This trajectory can be divided into Zone 1 corresponding to a large  $df_{sys}/dt$ , Zone 2 corresponding to a large

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 $\Delta f_{sys}$ , and Zone 3 corresponding to frequency rebounding. During the initial period,  $\Delta P_{in}$  and  $\Delta P_{dr}$  were dominant around the maximum frequency deviation (Fig. 5). Since (i) the objective of the df/dt and  $\Delta f$  control loops are different and (ii) the available PMSG kinetic energy and charging/discharging capacities are restricted, to improve  $df_{sys}/dt$  and  $\Delta f_{sys}$ ,  $\Delta P_{in}$  and  $\Delta P_{dr}$  were adjusted according to the instantaneous system frequency-based weights ( $\alpha_p$  for  $\Delta P_{dr}$  and  $\alpha_d$  for  $\Delta P_{in}$ ) as (Fig. 3)

$$\Delta P = \alpha_d \Delta P_{\rm in} + \alpha_p P_{dr}.$$





Figure 5: System frequency trajectory following an under-frequency-disturbance

These weights regulate the participation of the  $df_{sys}/dt$  and  $\Delta f_{sys}$  control loops to effectively maximize  $df_{sys}/dt$  and  $\Delta f_{sys}$  (in this manuscript,  $\Delta f_{sys}$  and  $df_{sys}/dt$  are equivalent to  $\Delta U_{dc}$  and  $dU_{dc}/dt$ , respectively).

The objective of categorizing the frequency trajectory into the three zones is described as follows:

• Zone 1: To improve  $df_{sys}/dt$ , its control loop must be undervalued. Therefore,  $\alpha_d$  gradually decreases from a value of 2 and  $\alpha_p$  increases from a value of 0 (Fig. 6a). The trajectory moves from the right-hand side to the left-hand side with increasing frequency deviation. Parameters  $\alpha_d$  and  $\alpha_p$  for Zone 1 are expressed as

$$\begin{cases} \alpha_d = 2e^{i2\Delta f} \\ \alpha_p = 2\left(1 - e^{i2\Delta f}\right) \end{cases}.$$
(22)

• Zone 2: PMSG and ESS focus on improving the frequency nadir by undervaluing the  $\Delta f_{sys}$  control loop. Therefore,  $\alpha_d$  decreases to 0 and  $\alpha_p$  increases to 1 (Fig. 6b). The trajectory moves from the right-hand side to the left-hand side as the increasing  $\Delta f_{sys}$ . Parameters  $\alpha_d$  and  $\alpha_p$  for Zone 2 are expressed as

$$\begin{cases} \alpha_d = \frac{2}{1 + e^{-40(\Delta f + 0.057)}} \\ \alpha_p = 2 - \frac{2}{1 + e^{-40(\Delta f + 0.057)}} \end{cases}$$
(23)

• Zone 3: The system frequency rebounds in this region. Here,  $\alpha_d = 0$  to avoid the negative impact of the  $df_{sys}/dt$  control loop and we fix  $\alpha_p = 1$ .



Figure 6: Features of weighting factors: (a) Weighting factor for Zone 1; (b) Weighting factor for Zone 2

#### **5** Simulation Verification

To verify the effectiveness of the suggested frequency support strategy, a simulation model system consisting of the PMSG embedded with ESS, one synchronous generator, and two local loads ( $L_1$ and  $L_2$ ), is built on the PSCAD/EMTDC, as illustrated in Fig. 7. The parameters of PMSG and synchronous generator are represented in Tables 1 and 2. The ratings of synchronous generator and PMSG are 3 MVA and 2 MVA, respectively.  $L_1$  is the static load as 4.0 MW and  $L_2$  is the dump load as 0.4 MW. In the governor system of synchronous generator, the droop setting is set to 4%. For the conventional short-term frequency regulation,  $K_{in}$  and  $K_{droop}$  are set to 20.  $\Delta f_{db}$  and  $\Delta f_2$  are set to 0.02 Hz [20] and 0.2 Hz, respectively. Two scenarios are carried out to illustrate the effectiveness of the proposed short-term frequency support under various types of frequency disturbance.



Figure 7: Outline of the test system

Symbol	Item	Value	Units
$\overline{S_N}$	Rated power	2	MW
$U_{\scriptscriptstyle N}$	AC phase voltage rated value	0.563	kV
$u_{dcn}$	DC-link voltage rated value	1.1	kV
$L_s$	Synchronous inductance	0.5495	p.u.
$R_s$	Stator resistance	0.00387	p.u.
$H_{\scriptscriptstyle WT}$	Inertia constant	4	S
$H_{c}$	Inertia constant of DC-link capacitor	3.6	ms
$U_{\rm ESS}$	Rated value of battery voltage	0.78	kV

Table 1: Parameters of PMSG embed ESS

<b>F</b> a	ble	2:	Parameters	of	sync	hronous	generator
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Symbol	Item	Value	Units
$\overline{S_g}$	Rated capacity	3	MVA
$U_{g}$	Terminal voltage	0.69	kV
$H_{g}$	Inertia time constant	4	S
R	Droop setting	4	%
$T_{g}$	Governor time constant	8.408	S
$T_d, T_d, T_q$	Time constant of synchronous generator	0.635, 0.015, 0.015	S

In the simulation results, "MPPT" means no frequency regulation action from the PMSG and ESS. "VIC" means that the PMSG and ESS could provide conventional virtual inertial control strategy with the control coefficient of 10 and 20 for  $K_{in}$  and  $K_{droop}$ . "WF-VIC" means the proposed virtual inertial control strategy of PMSG and ESS with adjusting weighting factor.

#### 5.1 Case 1: Scenario of Load Sudden Connection

Fig. 8 illustrates the simulation results of the various strategies for decreasing grid frequency. When no frequency regulation scheme (MPPT) was applied for the PMSG and ESS, the frequency nadir was 49.6 Hz (0.992 p.u.). The voltage of the DC-link decreased to  $u_{dc} = 0.992$  p.u. with the same frequency trajectory, as the system frequency is coupled with the DC-link voltage. When the traditional short-term frequency regulation (VIC) with a fixed control coefficient was implemented in the PMSG and ESS, the grid frequency decreased to 49.7 Hz (0.994 p.u.). The DC-link voltage decreased to  $u_{dc} = 0.994$  p.u., after the system frequency was increased slightly above that of "MPPT" (Figs. 8a and 8c).

The frequency nadir of the suggested frequency regulation strategy is improved to 0.995 p.u. (49.731 Hz) as well as the voltage of DC-link. In addition, the maximum frequency rate of change (df/dt) for the suggested frequency regulation strategy is 0.0043 p.u./s, which is less than the conventional strategy due to the rapid power injection, as shown in Fig. 8b.

The maximum power injection of the PMSG for the suggested frequency regulation is 0.214 p.u. which is more than that of the conventional scheme by 0.084 p.u., as shown in Fig. 8d. In addition, the same amount of power is injected from the ESS in p.u. due to the same input and control coefficient. This is the reason why the suggested frequency regulation strategy could improve the maximum deviation of the system frequency and voltage of the DC-link (see Fig. 8e).

The rotor speed nadir of the suggested frequency regulation strategy is 0.974 p.u., which is more than that of the conventional scheme by 0.054 p.u. As a result, more power is injected to the power grid to support the dynamic system frequency (see in Fig. 8f), the same performance would be observed in the state of change of ESS.

As shown in Fig. 8g, at the initial stage of disturbance,  $a_d$  decreases from two to zero to improve the maximum df/dt, whereas  $\alpha_p$  increases with the increase of the frequency deviation to improve the maximum frequency excursion.



Figure 8: (Continued)



**Figure 8:** Simulation results: (a) System frequency; (b) Rate of change of frequency; (c) Voltage of DC-link; (d) Output of PMSG; (e) Output of ESS; (f) Rotor speed; (g) Weighting factor

#### 5.2 Case 2: Scenario of Load Disconnection

Fig. 9 illustrates the simulation results when the grid frequency increases. For the case of "MPPT", the frequency nadir is 50.408 Hz (1.008 p.u.), and the voltage of DC-link increases to 1.008 p.u. with the same locus of the system frequency. If the traditional short-term frequency regulation with fixed control coefficient implements in the PMSG and ESS, the grid frequency increases to 50.322 Hz (1.006 p.u.), and the DC-link voltage  $u_{dc}$  follows the increase in grid frequency. The frequency nadir and voltage of DC-link of the suggested frequency regulation strategy are improved to 1.005 p.u. (50.278 Hz), as shown in Figs. 9a and 9c. In addition, the maximum df/dt for the suggested frequency regulation strategy due to the rapid power reduction, as shown in Fig. 9b. Thus, as in Case 1, the proposed scheme could improve the maximum frequency excursion and reduce the maximum.



Figure 9: (Continued)



**Figure 9:** Simulation results: (a) System frequency; (b) Rate of change of frequency; (c) Voltage of DC-link; (d) Weighting factor

As in Case 1,  $a_d$  decreases from two to zero to improve the maximum df/dt, whereas  $\alpha_p$  increases with the increase of the frequency deviation to store more power in the PMSG and ESS (see Fig. 9e).

# 6 Conclusions

High wind power penetration power system would face the problem of system frequency stability due to the power electronics interfaced PMSG. PMSG and ESS could participate in frequency regulation by adding the differential control loop and proportional control loop. Constrained by constant gain, the frequency support capability is restricted. Meanwhile, the available kinetic energy of the PMSG and charging/discharging capacity are restricted. To address the reduced frequency support capability while effectively utilizing the frequency regulation resources, the short-term frequency support of PMSG and ESS is suggested. To this end, firstly, the system frequency response model is addressed, considering the frequency regulation of the PMSG and ESS. The mechanism of the frequency regulation for the PMSG and ESS is analyzed, then the weighting factors are embedded in the control loops to adjust the participation of the differential control and proportional control based on the trajectory of system frequency excursion in the machine side converter. In grid side converter, the voltage of DC-link capacitor would automatically respond to the dynamic system frequency without employing PLL. In addition, the additional ESS with combined inertial control loops is embedded on the DC side of the PMSG to improve the frequency regulation capability further.

Simulation studies clearly verified that the suggested short-term frequency regulation of the voltage source control based on PMSG and ESS can improve the frequency nadir under various system frequency disturbances.

In future, the coordinated control between the PMSG and ESS would be designed considering the available kinetic energy of the PMSG and charging/discharging capacity. In addition, the realistic wind speed conditions would be considered to investigate the effectiveness of the proposed strategy.

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