



## ARTICLE

# An Optimization Capacity Design Method of Wind/Photovoltaic/Hydrogen Storage Power System Based on PSO-NSGA-II

Lei Xing<sup>1</sup> and Yakui Liu<sup>2,3,\*</sup><sup>1</sup>Yinchuan University of Energy, Wangtaibao, Yinchuan, 750100, China<sup>2</sup>Qingdao University of Technology, Qingdao, 266520, China<sup>3</sup>State Key Laboratory of Electrical Insulation and Power Equipment, Xi'an Jiaotong University, Xi'an, 710049, China

\*Corresponding Author: Yakui Liu. Email: liuyakui@qut.edu.cn

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## ABSTRACT

The optimal allocation of integrated energy system capacity based on the heuristic algorithms can reduce economic costs and achieve maximum consumption of renewable energy, which has attracted many attentions. However, the optimization results of heuristic algorithms are usually influenced by the choice of hyperparameters. To solve the above problem, the particle swarm algorithm is introduced to find the optimal hyperparameters of the heuristic algorithms. Firstly, an integrated energy system consisting of the photovoltaic, wind turbine, electrolysis cell, hydrogen storage tank, and energy storage is established. Meanwhile, the minimum economic cost, the maximum wind and PV power consumption rate, and the minimum load shortage rate are considered to be the objective functions. Then, a hybrid method combined the particle swarm combined with non-dominated sorting genetic algorithms-II is proposed to solve the optimal allocation problem. According to the optimal result, the economic cost is 6.3 million RMB, and the load shortage rate is 9.83%. Finally, four comparative experiments are conducted to verify the superiority-seeking ability of the proposed method. The comparative results indicate that the proposed method possesses a stronger merit-seeking ability, resulting in a solution satisfaction rate of 87.37%, which is higher than that of the unimproved non-dominated sorting genetic algorithms-II.

## KEYWORDS

Multi-objective optimization; wind/photovoltaic/hydrogen power system; particle swarm algorithm; non-dominated sorting genetic algorithms-II

## Nomenclatures

Acronyms	description
PSO	particle swarm optimization
NSGA-II	non-dominated sorting genetic algorithms-II
PV	photovoltaic
$P_{pv,t}$	actual power output of the PV system at time $t$ , kw
$P_{pv,N}$	rated output power of the PV system, kw
$f_{pv}$	photovoltaic system power drop factor



$G_t$	solar intensity at time $t$ , W/m <sup>2</sup>
$k$	temperature coefficient of the PV system power, %/°C
$P_{cell,t}$	actual temperature of the PV panel at time $t$ , °C
$T_{ref}$	reference test temperature, °C
$T_{cell,t}$	actual temperature of photovoltaic panels at time $t$ , °C
$T_{a,t}$	ambient temperature at time $t$ , °C
$W_{w,t}$	actual wind speed at time $t$ , m/s
$P_M$	mechanical power of wind turbines, kW
$\rho_{air}$	air density, kg/m <sup>3</sup>
$R$	wind turbine impeller radius, m
$\gamma$	leaf tip speed ratio
$\gamma_i$	intermediate variable
$\beta$	pitch angle
$C_p$	wind energy conversion efficiency factor for blades
$v_w$	wind speed, m/s
$\omega$	angular speed of fan rotation, rad/s
$E_{bat}$	stored energy of the battery, kW
$\delta$	self-discharge rate of batteries
$P_{bat, ch}$	charging power of the battery, kW
$P_{bat, dh}$	discharging power of the battery, kW
$\eta_{ch}$	battery charging efficiency
$\eta_{dh}$	battery discharging efficiency
$\eta_{bat\_DC-DC}$	conversion efficiency of battery-connected converters
$\Delta t$	time step
$A_{EC,t}$	real-time hydrogen production, kg
$P_{EC,t}$	real-time operating power of the electrolytic cell
$\eta_{EC}$	electrical to gas conversion efficiency
$b$	energy conversion factor
$n_{sto}$	hydrogen storage tank storage capacity, kg
$\dot{n}_{sto}(\tau)$	net hydrogen storage rate in hydrogen storage tanks
$\dot{n}_{inH_2}(\tau)$	hydrogen feed rate to hydrogen storage tanks
$\dot{n}_{out H_2}$	hydrogen output rate from hydrogen storage tanks
$P_{FC}$	output power of hydrogen fuel cells, kW
$n_{H_2}$	hydrogen consumption of hydrogen fuel cells
$H_{HHV}$	hydrogen calorific value
$\eta_{FC}$	conversion efficiency of hydrogen fuel cells
$C_{ic}$	investment cost of the system
$C_{oc}$	maintenance cost of the system
$C_{re}$	replacement cost of the system
$k_{ic,i}$	investment cost per unit of capacity
$\eta_i$	inflation rate
$L_{sf,i}$	remaining useful life of the equipment
$\beta_i$	replacement cost
$T$	expected operation time of the energy storage system
$P_{wind}$	power generated by wind turbines, kW
$P_{pv}$	power generated by PV, kW

$P_{load}$	customer power consumption, kW
$P_{Bat}$	battery power, kW
$P_{FC}$	output of hydrogen fuel cells, kW
$c_1, c_2$	learning factor
$r_1, r_2$	random numbers between zero and one
$\lambda 1$	Crossover rate
$\lambda 2$	Variation rate

## 1 Introduction

### 1.1 Literature Review

The development of renewable energy is fundamental to accelerating the green and low-carbon transition scheme. Consequently, the issue of optimization capacity design of an integrated new power system has received considerable critical attention.

Recently, several types of renewable energy systems have been studied. Reference [1] designed an integrated charging station for photovoltaic (PV) and hydrogen storage. Reference [2] proposed a biogas-dominated energy hub that can supply heat, cooling, and electricity to users simultaneously. An energy storage system containing a flywheel and a lithium battery was proposed in [3], which can better help in the frequency modulation of wind farms. Reference [4] proposed a novel energy system, which consists of two sub-systems: the power generation sub-system includes an alkaline fuel cell, photovoltaic system, electrolysis tank, Stirling engine, and absorption chiller; the energy storage sub-system includes pumped-hydro-compressed air system. In [5], the authors proposed an industrial multi-energy scheduling framework consisting of PV, electric boilers, energy storage devices, energy trading platforms, and thermal energy storage. In [6], excess electricity would be converted to natural gas and generated revenue. Gas turbines also were adopted in a power-to-gas system, which can supply heat and electric power to the system [7]. In [8], a photovoltaic microgrid energy storage system was established to provide electricity to consumers. In [9], a hybrid energy storage system was established to supply energy by using electricity generated from wind turbines. A multi-energy coordination model was developed in [10], and the model includes three components: wind power generation, heat-gas coupling, and power to gas. As the technology of hydrogen production by electrolysis of water has matured and the price of related equipment has become more acceptable, more and more small-scale energy systems are considering the installation of electrolysis cell devices. Reference [11] proposed a comprehensive and tractable yet multi-energy microgrid model, including multiple sub-systems for power generation, natural gas, and hydrogen. Reference [12] proposed a microgrid model in the island mode through hydrogen fuel cells.

To make the above microgrid model more stable and reliable, the optimization of the microgrid is essential. In [13], the authors analyzed the impact of power cost and deficiency of power supply probability on the system and establish an objective function: the renewable factor. Then the objective function was solved by a hybrid Particle Swarm Optimization-Grey Wolf Optimizer (PSO-GWO). In [14], an improved particle swarm algorithm was proposed to optimize the operating cost of a grid-connected microgrid, and the results indicated eighteen percent less expensive compared to the traditional PSO. To improve the reliability of the microgrid, a nondominated sorting genetic algorithm (NSGA-II) was adopted to optimize the grid-connected microgrid model through two objective functions: average peak load (APL) and operating cost [15]. In [16], the authors designed three objective functions: the net present cost, the penalty cost of emission, and greenhouse gas emissions. Then the microgrid hybrid system is made to balance reliability and availability by the strength

Pareto evolutionary algorithm (SPEA2). Reference [17] established three objective functions: initial investment cost, transaction cost, and load loss rate. Then the capacity allocation of the comprehensive energy system is optimized based on an improved NSGA-II to meet. Reference [18] established three objective functions: the integrated demand of a comprehensive energy system economic cost, renewable energy utilization, and energy supply reliability. Then an improved multiple objective particle swarm optimization (MOPSO) is adopted to reduce the impact of uncertainty on the multi-energy hub. Reference [19] established three objective functions: average annual cost, energy storage power deviation, and load peak-to-valley difference. Reference [20] presented a hybrid probabilistic optimization algorithm combining a discretization approach with MOPSO and NSGA-II to form a hybrid probabilistic optimization algorithm (HPOA) that can find the optimal location and size of an energy storage system with expected cost, voltage deviation, and expected carbon emissions as the objective function, fully taking into account the uncertainty of the wind farm output power. Reference [21] proposed a multi-level microgrid model consisting of energy demand schedulers, energy storage systems, and photovoltaic systems, as well as optimizes the above model level by level by using convex programming, game-theoretic framework, and genetic algorithms. Reference [22] proposed a new hybrid probabilistic optimization algorithm combining the advantages of probabilistic discretizing methods, multi-objective particle swarm optimization, and NSGA-II. Reference [23] established two objective functions: ESS investment cost and network power loss. Meanwhile, the improved simulated annealing PSO algorithm (ISAPSO) was proposed to solve the optimal capacity allocation problem. The results show that the above method can effectively reduce investment costs with a small power loss. In [24], PSO is used to minimize the combined cost of the electricity market, and it is reasonable and effective in a two-tier simulation model. The stochastic optimization approach is used in [25] to establish a tri-objective residential smart electrical distribution grid, and the model is solved using the epsilon-constraint method. Reference [26] established a smart energy hub system (SEHS) that includes multiple energy forms with electrical, thermal, wind, solar, and natural gas. The optimization of the system was performed using GAMS software to reduce operating costs and energy waste. Expansion of the above SEHS system to include a hydrogen storage component. The new model is optimized using the shuffled frog leaping algorithm (SFLA) to provide optimal decisions for the system thereby increasing flexibility [27]. The smart microgrid model developed in [28] considered demand-side management strategies. Reference [29] accomplished the optimization of techno-economic and socio-environmental indicators of the PV-wind-diesel generator-battery storage system using the HOMER PRO software. Reference [30] proposed a two-layer collaborative optimization method with the objective function of the upper layer objective function and the under layer objective function. The upper layer objective function includes the primary energy-saving rate (PESR), the annual cost-saving rate (ACSR), and the equivalent emission reduction rate (EERR). The upper layer objective function includes the primary energy rate (PER) and the annual ACSR. In [31], a wind-PV hybrid power system was developed then the leveled cost of energy and loss of power supply probability of the model were optimized using the multi-objective evolutionary algorithm for supplying energy. The optimization of the model was accomplished in [32] by using a predatory parasitic algorithm (PPA) to improve the system efficiency and reduce hydrogen consumption. In [33], a railroad power system was developed, and the possible investment cost was used as the objective function to optimize the proposed model using a genetic algorithm (GA).

For the sake of clarity, the proposed model is compared with the other studies reported in the literature in [Table 1](#). Based on the previous studies, several multi-energy complementary modes have been established. However, a model of completely using clean energy to be the power generation units and simultaneously using hydrogen and batteries for energy storage has not been established.

Furthermore, less research considers the influence of the community load. The model optimization results tend to be for the rated capacity or real-time power, with fewer relevant results for the optimum number of devices to work. Nevertheless, in the actual construction of energy storage systems, the number of devices is more instructive than the real-time power of the devices.

**Table 1:** Comparison between this paper and related works

Reference	Application background	Type of objective functions	Objective functions	Solution procedure
[13]	Solar/wind/bio-generator/diesel/battery based microgrids	Single	Renewable Factor	PSO-GWO
[14]	Energy management of community energy storage in grid-connected microgrid	Single	Cost	modified PSO
[15]	Microgrid with renewable energy sources and energy storage system	Bi	Cost, APL	NSGA-II
[16]	Hybrid PV/wind/diesel/battery system	Tri	Cost, Cost, Emission	SPEA2
[17]	Rate multi-energy system integrating wind turbine/photovoltaic/hydrogen/battery	Tri	Cost, Cost, Rate	NSGA-II
[18]	Multi-energy hub considering electricity, heat and hydrogen uncertainty	Tri	Cost, Utilization, Reliability	improved hybrid multi-objective PSO
[19]	Hybrid energy storage system consisting of battery, flywheel and super-capacitor	Tri	Cost, Deviation, Difference	optimization tool Yalmip+Cplex
[20]	Energy storage system considering wind power	Tri	Cost, Deviation, Emissions	Hybrid probabilistic optimisation algorithm
[21]	Energy storage and solar photovoltaic systems	Bi	Cost, RPA	multi-level optimization method
[22]	Energy storage systems considering correlated wind farms	Multi	Cost, Reliability, Loss, Quality	hybrid probabilistic optimisation algorithm
[23]	Energy storage system considering uncertainty of load and wind generation	Bi	Cost, Loss	improved simulated annealing PSO algorithm

(Continued)

**Table 1 (continued)**

Reference	Application background	Type of objective functions	Objective functions	Solution procedure
[24]	Flexible resource allocation problem of distribution network,	Single	Cost	PSO
[25]	Residential smart electrical distribution grid with ressource and demand side management	Multi	Cost, Emission, LOLE, Deviation	Epsilon-constraint method
[26]	Interconnected energy hybrid system infrastructures such as electrical, thermal, wind, solar, natural gas and other fuels	Tri	Cost, Emissions, LESP	GAMS optimization software
[27]	Smart hybrid energy system	Tri	Cost, Emissions, Coordinating	shuffled frog leaping algorithm
[28]	Smart micro-grid with high penetration of wind energy	Tri	Cost, Emissions, Coordinating	GAMS optimization software
[29]	Rural hybrid renewable energy system	Bi	Economic, Environmental	HOMER PRO
[30]	Hybrid energy storage including heat storage, supercapacitors and lithium battery.	Bi	Under Layer, Upper Layer	Two-layer collaborative optimization method
[31]	Wind-photovoltaic hybrid power system with energy storage	Bi	Cost, Loss	four multi-objective evolutionary algorithms.
[32]	Fuel cell/PV/battery/supercapacitor hybrid system	Single	Consumption	parasitism-predation algorithm
[33]	Energy storage systems in railway Electrical infrastructures	Single	Cost	GA
The proposed model	Wind/photovoltaic/hydrogen storage power system	Tri	Cost, Consumption, Loss	PSO-NSGA-II

### 1.2 Contributions

The contributions of this work can be summarized as follows:

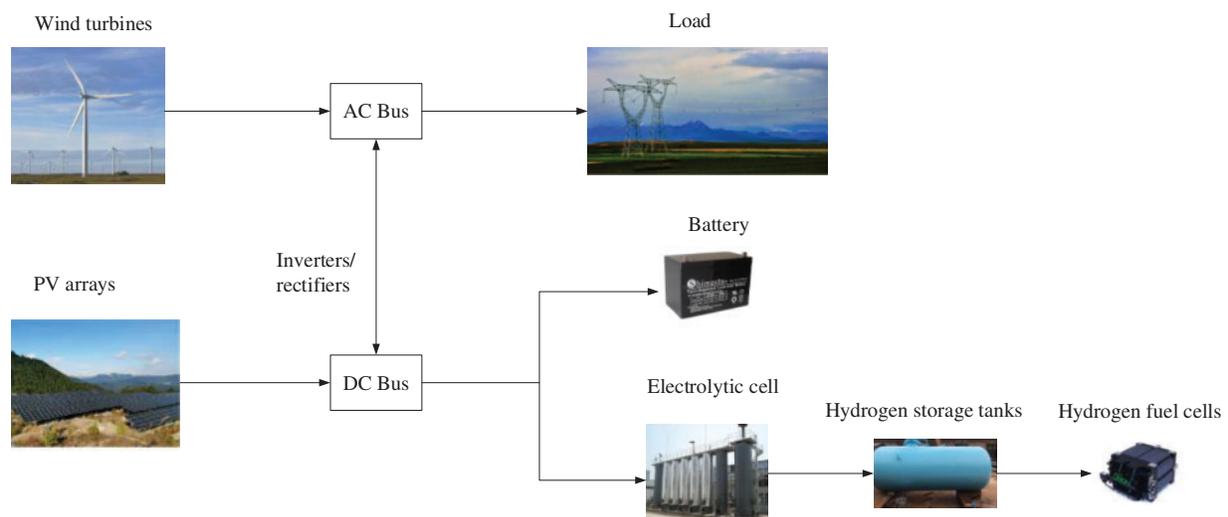
1. The presented paper establishes a hydrogen system consisting of the electrolysis cell, hydrogen storage tank, and hydrogen fuel cell, and thus constructs a wind/PV/hydrogen storage power system for community energy supply. The power generation unit is completely composed of renewable energy, which avoids dependence on thermal power generation and greatly reduces carbon emissions.
2. Minimizing the economic cost, maximizing renewable energy consumption rate, and minimizing the load shortage rate are regarded as the objective functions of the wind-photovoltaic-hydrogen-storage DC microgrid model.
3. The allocation result will be influenced by the hyperparameters of NSGA-II. To solve the above problem, PSO is introduced to find the optimal value of the hyperparameters. Furthermore, the comparison results indicate that the proposed algorithm outperformed the traditional method.

### 1.3 Organization

The presented paper is organized as follows. [Section 2](#) presents the mathematical model, objective function, and constraints of the wind/PV/hydrogen power system. [Section 3](#) describes the basic theory of PSO and NSGA-II, and then proposes the hybrid algorithm that is used in the optimization capacity design. [Section 4](#) analyses the optimization results of the proposed algorithm.

## 2 Wind/Photovoltaic/Hydrogen Storage Power System Model

The topology of the wind-photovoltaic-hydrogen-storage DC micro-grid model is shown in [Fig. 1](#). The model consists of six key components: wind turbine, photovoltaic array, electrolytic cell, hydrogen storage tank, battery, and hydrogen fuel cell. During the operation of the model, the wind and photovoltaic power generation will be prioritized to meet the demand of the customer's electrical load. The generated power will be used for hydrogen production or stored temporarily in batteries [34].



**Figure 1:** Model topology diagram

## 2.1 Mathematical Models

### 2.1.1 PV Unit

The distributed photovoltaic system mainly consists of the photovoltaic modules and the inverter. The photovoltaic modules convert light energy into electricity. The inverter converts direct current into alternating current. The principle of photovoltaic systems for generating electricity is the photovoltaic effect of semiconductors. When photons hit the surface of a metal, energy is absorbed by electrons, until the electrons escape from the metal surface and form photoelectrons. The theoretical output of photovoltaic power generation can be expressed as [35],

$$P_{pv,t} = P_{pv,N} f_{pv} \frac{G_t}{G_{STC}} [1 + k (T_{cell,t} - T_{ref})] \quad (1)$$

where  $P_{pv,t}$  is the actual power output of the PV system at time  $t$ , and the unit is kW.  $P_{pv,N}$  is the rated output power of the PV system, and the unit is kW.  $f_{pv}$  is the photovoltaic system power drop factor. It indicates the ratio of the actual output power to the rated power under the reference conditions of the PV system, and its value reflects the degree of power reduction caused by aging, stains, rain, and so on [36].  $G_t$  is the solar intensity at time  $t$ , and the unit is  $W/m^2$ .  $k$  is the temperature coefficient of the PV system power, and the value is  $-0.47\%/^{\circ}C$ .  $P_{cell,t}$  is the actual temperature of the PV panel at time  $t$ , and the unit is  $^{\circ}C$ .  $T_{ref}$  is the reference test temperature, and the value is  $25^{\circ}C$ .  $T_{cell,t}$  is the actual temperature of photovoltaic panels at time  $t$ . The actual temperature of photovoltaic panels can be expressed as [37],

$$T_{cell,t} = T_{a,t} + 0.0138 (1 + 0.031 T_{a,t}) (1 - 0.042 W_{w,t}) G_t \quad (2)$$

where  $T_{a,t}$  is the ambient temperature at time  $t$ , and the unit is  $^{\circ}C$ .  $W_{w,t}$  is actual wind speed at time  $t$ , and the unit is m/s.

From Eqs. (1) and (2), it is known that the efficiency of photovoltaic power generation is affected by the ambient temperature, the intensity of radiation, and the wind speed. When the temperature is too high, the open circuit voltage of the PV cell decreases, the short circuit current increases, and thus the overall output power decreases. When solar radiation increases, the number of photons per unit of time falling on the PV module increases, which ultimately leads to an increase in the power generated by the PV. When the wind speed is high, the surface temperature of the PV module decreases, which indirectly affects the efficiency of PV power generation [38].

### 2.1.2 Wind-Driven Generators Unit

Wind turbines mainly consist of wind turbine blades, generators, and inverters. The wind turbine blades are driven by the wind to start rotating and transfer the mechanical energy through the wind turbine shaft to the generator for the final generation of electricity. Wind-driven generators convert wind energy into mechanical energy and finally into electricity thus achieving fuel-free and pollution-free power generation, and their power generation efficiency can be expressed as [39],

$$P_M = \frac{\rho_{air} C_p (\gamma, \beta) \Pi R^2 v_w^3}{2} \quad (3)$$

$$C_p (\gamma, \beta) = 0.22 \times \left( \frac{116}{\gamma} - 0.4\beta - 5 \right) e^{-\frac{12.5}{\gamma}} \quad (4)$$

$$\frac{1}{\gamma_i} = \frac{1}{\gamma + 0.08\beta} - \frac{0.035}{\beta^3} \quad (5)$$

$$\gamma = \frac{R\omega}{v_w} \quad (6)$$

where  $P_M$  is the mechanical power of wind turbines.  $\rho_{air}$  is the air density.  $R$  is the wind turbine impeller radius.  $\gamma$  is the leaf tip speed ratio.  $\gamma_i$  is the intermediate variable.  $\beta$  is the pitch angle.  $C_p$  is the wind energy conversion efficiency factor for blades.  $v_w$  is the wind speed.  $\omega$  the angular speed of fan rotation.

From Eqs. (3)–(6), it is known that the output power of a wind turbine is related to several parameters. When the wind speed is too low, the wind turbine increases the blade tip speed ratio and reduces the pitch angle thus increasing the wind energy utilization. When the wind speed is too fast, the corresponding parameters are adjusted to avoid damage to itself due to excessive angular velocity.

### 2.1.3 Battery Unit

The power generated by wind and PV should maintain the normal work of the electrolytic cell, and meet the load demand. Besides, the excess portion will be stored in the battery. Once the power cannot meet the load, the battery can be discharged to play a temporary power supply. The inclusion of storage batteries in an integrated energy system can improve the reliability of supply and reduce the rate of wind and solar abandonment in the system. The formula for calculating the stored energy when charging and discharging the battery are as follows [40]:

$$E_{bat}(t) = E_{bat}(t-1)(1-\delta) + P_{bat\_ch}(t-1) \cdot \eta_{ch} \cdot \eta_{bat\_DC-DC} \cdot \Delta t \quad (7)$$

$$E_{bat}(t) = E_{bat}(t-1)(1-\delta) - \frac{P_{bat\_dh}(t-1)}{\eta_{dh} \cdot \eta_{bat\_DC-DC}} \cdot \Delta t \quad (8)$$

where  $E_{bat}(t)$  is the stored energy of the battery.  $\delta$  is the self-discharge rate of batteries.  $P_{bat\_ch}$  and  $P_{bat\_dh}$  are charging and discharging power of the battery.  $\eta_{ch}$  and  $\eta_{dh}$  are battery charging and discharging efficiency.  $\eta_{bat\_DC-DC}$  is the conversion efficiency of battery-connected converters.  $\Delta t$  is the time step.

### 2.1.4 Electrolytic Cell Unit

In the presented paper, electrolytic cell device is chosen to meet the hydrogen load of the fuel cell. Electrolytic cell device has a high energy conversion efficiency and a low cost of hydrogen production, making it the most common method for industrial hydrogen production. The real-time hydrogen production of the electrolysis cell can be expressed as [41],

$$A_{EC,t} = \frac{\eta_{EC}}{b} P_{EC,t} \quad (9)$$

where  $A_{EC,t}$  is the real-time hydrogen production.  $P_{EC,t}$  is the real-time operating power of the electrolytic cell.  $\eta_{EC}$  is the electrical to gas conversion efficiency (generally taken as 75%).  $b$  is the energy conversion factor (generally taken as 39.65 kWh/kg).

### 2.1.5 Hydrogen Storage Tank Unit

When the hydrogen production capacity of the electrolytic cell is greater than the hydrogen load, the excess hydrogen will be stored in a hydrogen storage tank. The hydrogen stored in the tank can

be replenished when the electrolytic cell cannot produce enough hydrogen for the normal operation of the hydrogen fuel cell. The presence of hydrogen storage tanks can improve the overall system's renewable energy utilization as well as the reliability of the energy supply and the real-time hydrogen storage capacity of a hydrogen storage tank can be expressed as [42],

$$n_{sto}(t + \Delta t) = \int_{t_0}^{t_0 + \Delta t} \dot{n}_{sto}(\tau) d\tau + n_{sto}(t_0) \quad (10)$$

$$\dot{n}_{sto}(\tau) = \dot{n}_{inH_2}(\tau) - \dot{n}_{outH_2}(\tau) \quad (11)$$

where  $n_{sto}(t_0)$  is the hydrogen storage tank storage capacity at time  $t$ .  $\dot{n}_{sto}(\tau)$  is the net hydrogen storage rate in hydrogen storage tanks.  $\dot{n}_{inH_2}(\tau)$  is the hydrogen feed rate to hydrogen storage tanks.  $\dot{n}_{outH_2}$  is the hydrogen output rate from hydrogen storage tanks.

### 2.1.6 Hydrogen Fuel Cell Unit

Hydrogen fuel cells can convert chemical energy into electrical energy, and this paper uses a Proton Exchange Membrane Fuel Cell (PEMFC). PEMFC has the advantage of long continuous operation with low operating temperature and high-power density. The output power of a hydrogen fuel cell can be described as [43],

$$P_{FC} = n_{H_2} H_{HHV} \eta_{FC} \quad (12)$$

where  $P_{FC}$  is the output power of hydrogen fuel cells.  $n_{H_2}$  is the hydrogen consumption of hydrogen fuel cells.  $H_{HHV}$  is the hydrogen calorific value, generally taken as 39 KWh/kg.  $\eta_{FC}$  is the conversion efficiency of hydrogen fuel cells, generally taken as 65%.

## 2.2 Objective Function

The presence of the battery and the hydrogen storage tank reduces the instability of the wind and PV power output, and the excess portion can be converted into hydrogen. If the system wants to increase revenue, the number of devices or the capacity of the devices should be increased. However, it inevitably leads to an increase in the economic cost, so the capacity of the individual units of the system need to be optimized. In order to solve for the optimal allocation of capacity, three objective functions are define, economic cost of the system, the rate of wind and photovoltaic power consumption, and the rate of load shortage. The first objective function  $f_1$  is to minimize the economic cost of the system [44], which includes the investment cost of the system ( $C_{ic}$ ), the operation and maintenance cost of the system ( $C_{oc}$ ) and the replacement cost of the system ( $C_{re}$ ). The function can be expressed as,

$$\min f_1 = C_{ic} + C_{oc} + C_{re} \quad (13)$$

$$C_{ic} = \sum_{i=1}^N k_{ic,i} P_i^{\max} \frac{\eta_i (1 + \eta_i)^{L_{sf,i}}}{(1 + \eta_i)^{L_{sf,i}} - 1} \quad (14)$$

where  $P_i^{\max}$  is equipment capacity of PV, wind turbines, batteries, and electrolytic cells.  $N$  is the total amount of equipment.  $k_{ic,i}$  is the investment cost per unit of capacity.  $\eta_i$  is the inflation rate (taken as 5%).  $L_{sf,i}$  is the remaining useful life of the equipment [45].

The operation and maintenance costs can be expressed as [46],

$$C_{oc} = \sum_{i=1}^N \left( k_{oc,i}^{FLX} P_i^{\max} + k_{oc,i}^{VAR} \sum_{t=1}^{24} P_{i,t} \right) \quad (15)$$

where  $P_{i,t}$  is the operation power of the  $i$ -th device.  $k_{oc,i}^{FLX}$  and  $k_{oc,i}^{VAR}$  are fixed and variable maintenance costs.

The replacement cost can be described as [47],

$$C_{oc} = \sum_{i=1}^N P_i^{\max} \beta_i \frac{T}{t_i} \quad (16)$$

where  $\beta_i$  is the replacement cost.  $T$  is the expected operation time of the energy storage system (30 years).  $t_i$  is the useful life of the  $i$ -th device.

The second objective function  $f_2$  is to maximize the power consumption rate of PV and wind. The system output is consumed by customers and the electrolysis cell, so increasing the hydrogen production of the electrolysis cell after satisfying the customers' demand can achieve the purpose of improving the consumption rate. The function can be expressed as [48],

$$\max f_2 = \frac{P_{\text{wind}} + P_{pv} - P_{\text{load}} - P_{\text{Bat}}}{P_{\text{wind}} + P_{pv} - P_{\text{load}}} \quad (17)$$

where  $P_{\text{wind}}$  is the power generated by wind turbines.  $P_{pv}$  is the power generated by PV.  $P_{\text{load}}$  is the customer power consumption.  $P_{\text{Bat}}$  is the battery power.

The third objective function  $f_3$  is to minimize the loss of load probability. The loss of load probability related to the stable of the system. The objective function can be calculated as [49],

$$\min f_3 = \frac{\sum_{i=1}^{24} \{P_{\text{load},i} - [P_{pv,i} + P_{\text{wind},i} + P_{\text{Bat},i} + P_{FC,i}]\}}{\sum_{i=1}^{24} P_{\text{load},i}} \quad (18)$$

where  $P_{FC}$  is the output of hydrogen fuel cells.

### 2.3 Constraint Function

In order to better solve the proposed multi-objective planning problem and arrive at the optimal and realistic capacity optimization configuration, two constraints are applied, namely the power balance constraint and the equipment unit constraint [50]. The following formula for the power balance constraint can be derived from the principle of conservation of energy:

$$P_{\text{wind}} + P_{pv} + P_{FC} = P_{\text{load}} + P_{\text{Bat}} + P_{ele} + P_{aba} \quad (19)$$

where  $P_{ele}$  is the output of the electrolytic tank.  $P_{aba}$  is the abandoned portion.

The unit constraints mainly restrict the output and capacity of devices, which can be described as,

$$0 < P_{\text{Bat}}^{\text{in}} < P_{pv} + P_{\text{wind}} + P_{\text{load}} \quad (20)$$

$$0 < P_{ele} < P_{\text{Bat}}^{\text{out}} \quad (21)$$

$$0 < P_{ele} < P_{pv} + P_{\text{wind}} + P_{\text{load}} \quad (22)$$

$$0 < \eta_t n_{H_2} < Q_{\max} \quad (23)$$

$$0 < P_{FC} < Q_{\max} H_{HHV} \eta_{FC} \quad (24)$$

where  $P_{Bat}^m$  is the charging power of the battery.  $P_{Bat}^{out}$  is the discharge power of the battery.  $Q_{\max}$  is the maximum capacity of hydrogen storage tank.  $n_{H_2}$  is the hydrogen production of the electrolysis cells.  $\eta_t$  is the hydrogen storage efficiency [51]. The power constraint of the electrolytic cell is followed by Eq. (21) when the wind and photovoltaic power generation is small. On the contrary, the power constraint of the electrolytic cell is followed by Eq. (22).

### 3 Improved Multi-Objective Genetic Algorithm

#### 3.1 Basic Theory of NSGA-II

NSGA-II introduces elite strategies, crowding comparison operators, and fast non-dominated sorting compared to the first generation of genetic algorithms. For non-dominated sorting, the calculation of the congestion distance is briefly described below:

##### (1) Non-dominant sorting

For each solution  $x$  in the population, two values need to be calculated: one is the domination number  $n_x$ , which means the number of solutions that dominate  $x$ ; and the other is  $S_x$ , which means the set of solutions dominated by  $x$ . Since the dominance of a solution on the first non-dominated level is equal to 0, for each solution of  $n_x = 0$ , each member of the solution  $q$  is visited and its dominance is subtracted by 1. If the dominance of  $x$  is 0, it will be placed in a list  $Q$ , which therefore contains solutions belonging to the second non-dominated level. The process is then repeated for the solutions in this set until a third non-dominated hierarchy is found. The above process continues until all non-dominated layers have been found [52].

##### (2) Calculation of congestion

First, the populations are sorted according to the order of each objective function value from smallest to largest. Next, for each objective function, the boundary solutions are assigned an infinite distance value and each intermediate solution is assigned a distance value that is equal to the absolute value of the difference between the two neighboring solutions. The process is repeated for the different objective functions, and the distance value of the congestion is the sum of the distances on each objective.

In the multi-objective optimization problems, the objective functions are contradictory to each other and cannot be optimal at the same time, so the final result is a Pareto-optimal set of solutions. In practical engineering, it is often necessary to select a solution from the Pareto-optimal set of solutions based on three indicators (the cost, the wind and PV power consumption rate, and the loss of load probability) as the model optimization result [53].

#### 3.2 Basic Theory of PSO

In order to give full play to the advantages of NSGA-II, PSO is introduced to optimize the two hyperparameter (the crossover ratio and the probability of variation) of NSGA-II. PSO is inspired by and modeled on the predatory behavior of birds. The search space of the optimization problem is analogous to the flight space of a bird, with each bird abstracted as a particle, and the optimal solution to the optimization problem is equivalent to the food source sought by the bird. PSO formulates simple behavioral rules for each particle that are similar to the movement of a bird, so that the movement of

the whole swarm exhibits similar properties to those of a bird feeding, allowing complex optimization problems to be solved.

At each iteration, each particle will record the individual optimal position and the particle swarm will record the global optimal position. When these two optima are found, the velocity and position of the particles are updated using the following expressions [54]:

$$v_{ij}(t+1) = v_{ij}(t) + c_1 r_1(t) [p_{ij}(t) - x_{ij}(t)] + c_2 r_2(t) [p_{gj}(t) - x_{ij}(t)] \quad (25)$$

$$x_{ij}(t+1) = x_{ij}(t) + v_{ij}(t+1) \quad (26)$$

where  $c_1$  and  $c_2$  are the learning factor.  $r_1$  and  $r_2$  are the random numbers between zero and one.  $v_{ij}$  is the velocity of particles.  $p_{ij}$  is the individual particle values.  $p_{gj}$  is the global optimal value.  $x_{ij}$  is the position of the particles.

### 3.3 The Proposed Method

The detailed process for finding the number of devices in the microgrid model using NSGA-II after hyperparameter optimization can be seen in Fig. 2, which can be summarized as:

Step 1: The number of devices is first optimized using a traditional NSGA-II.

Step 2: An evaluation scheme is established to assess the optimization results.

Step 3: Sensitivity analysis of the relevant hyperparameters is performed in NSGA-II.

Step 4: The relevant hyperparameters are optimized based on PSO.

Step 5: The Pareto optimal solution set obtained from the optimization is brought into the evaluation function to calculate the satisfaction of the various solutions, and finally the best solution is selected as the solution for the model to optimize the number of devices according to the satisfaction size.

In the presented paper, the construction of an evaluation function is used to calculate the satisfaction of each solution in the solution set. The comparison of satisfaction allows the superiority of solutions to be compared with each other, and serves as a criterion for optimizing the hyperparameters of the genetic algorithm by PSO. The expression for the evaluation function can be expressed as [55],

$$F(x) = \frac{(1 - \omega(f_1)) + f_2 + (1 - f_3)}{3} \quad (27)$$

where  $\omega(f_1)$  denotes the normalization of the value of the objective function one.

## 4 Case Study

A typical community is used as a case study to verify the validity and effectiveness of the model and methodology proposed in this paper. The data used in the case study is shown in Fig. 3. As can be seen in Figs. 3a and 3b, the solar radiation and temperature are higher at around 12 noon than at other times of the day, so the PV output during this time in Fig. 3d is higher than at other times of the day. In Fig. 3e the turbine output is more volatile due to that the parameters are adjusted at any time with the wind speed. The experimental measurements for the daily selected community load are shown in Fig. 3f.

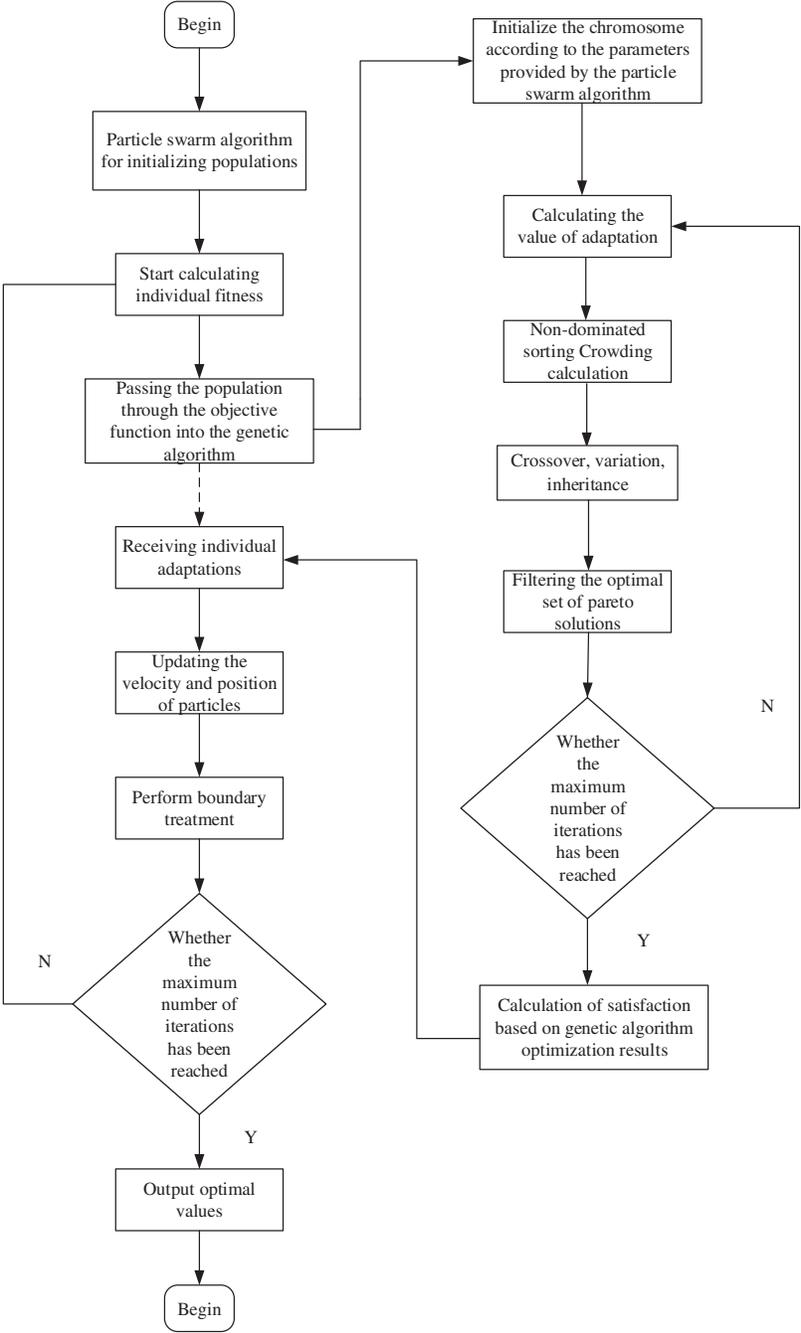
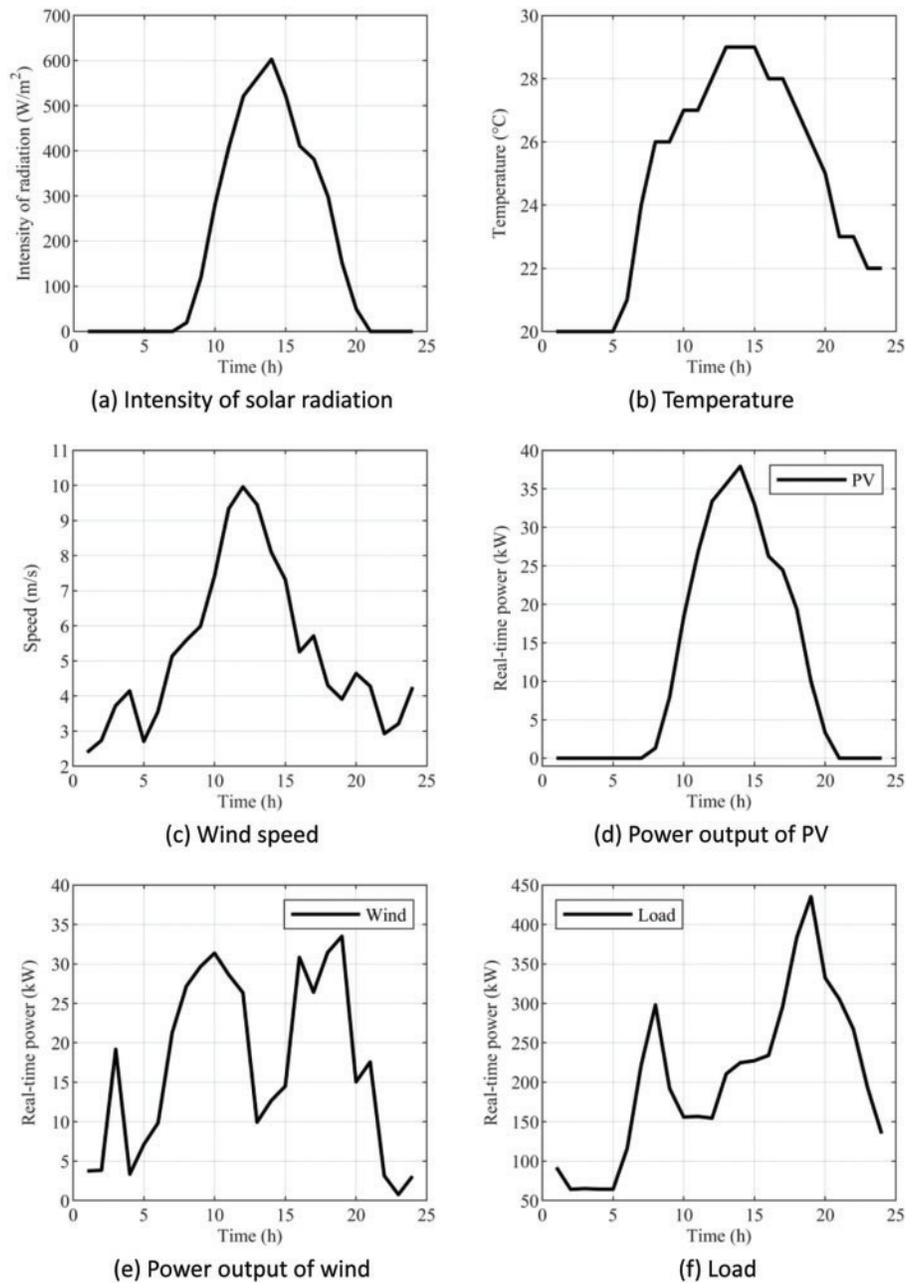


Figure 2: Optimization flow chart

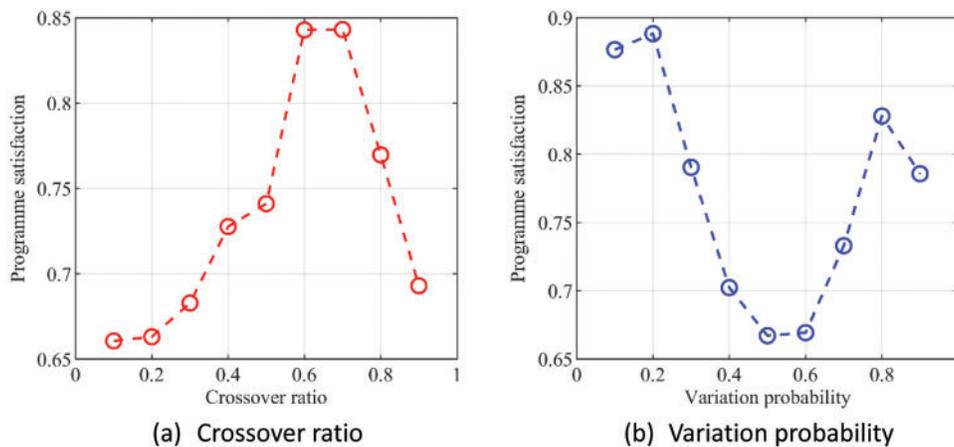


**Figure 3:** Data of the typical community in 24 h

Based on the above data, the results of the optimization search of the model using the unmodified NSGA-II are shown in [Table 2](#), where the cross-ratio and variance probability hyperparameters of the algorithm are taken to be 0.8 and 0.05, respectively. The results of the sensitivity analysis are shown in [Figs. 4](#) [56]. It is clear from the results that the selection of the crossover ratio and the probability of variation in NSGA-II has a certain influence on the optimization results.

**Table 2:** Model equipment quantity program

Number of wind turbines	Number of photo-voltaics	Number of batteries	Number of electrolytic cells	Number of hydrogen storage tanks	Number of hydrogen fuel cells	Program satisfaction (%)
50	27	37	3	3	3	0.6186
39	30	47	3	3	3	0.5707
39	30	47	2	2	2	0.5032
50	36	33	3	3	3	0.4617
50	36	50	3	3	3	0.4684
50	29	32	3	3	3	0.5831
50	18	50	2	2	2	0.7258
36	14	30	2	2	2	0.8328
43	17	29	2	2	2	0.7557
43	24	35	2	2	2	0.6066
43	17	33	3	3	3	0.8259
29	20	35	2	2	2	0.6924
15	27	29	2	2	2	0.5684
15	31	49	2	2	2	0.4986
50	27	37	3	3	3	0.6186

**Figure 4:** Influence of hyperparameters on the optimization results

Based on the proposed method, PSO is used to find the optimization of the crossover ratio and the probability of variation in NSGA-II. The mathematical model for the optimization of NSGA-II hyperparameters is shown as follows:

$$\max F(x, \lambda_1, \lambda_2)$$

$$st. \begin{cases} 0 < \lambda_1 < 1 \\ 0 < \lambda_2 < 1 \end{cases} \quad (28)$$

The evaluation function is used as the objective function of PSO. Therefore, two independent variables, the crossover ratio and the probability of variation are added to the objective function to indicate that the evaluation function is influenced by three factors: the number of devices, the crossover ratio, and the probability of variation. The PSO result indicates that the crossover ratio is 0.8831 and the probability of variation is 0.2403. When the objective function is 0.8738, the best solution for the number of settings can be obtained with 87.38% satisfaction. Furthermore, the proposed method is compared with the traditional NSGA-II in program satisfaction, and the comparison results can be seen in [Table 3](#).

**Table 3:** Comparison of optimization results

	Crossover ratio	Probability of variation	Program satisfaction
NSGA-II	0.8	0.05	83.28%
PSO-NSGA-II	0.8831	0.2403	87.38%

As [Table 3](#) illustrates, the best crossover ratio and variation probabilities in NSGA-II are obtained by PSO, and the application of the above hyperparameter yielded a solution for the number of devices with a satisfaction rate of 87.38%. The solution result is shown in [Table 4](#).

**Table 4:** Optimization number of devices

Number of wind turbines	Number of photovoltaics	Number of batteries	Number of electrolytic cells	Number of hydrogen storage tanks	Number of hydrogen fuel cells
42	40	22	3	3	3

According to the allocation result, the system economic cost is 6.3 million RMB, the wind and PV power consumption rate is 89.03%, and the load shortage rate is 9.83%. Combined with the objective functions, the result indicates that most of the wind and PV power output can be consumed.

## 5 Conclusion

In the presented paper, a wind/PV/hydrogen power system is established, which relies entirely on renewable energy for power generation and has no carbon emissions. Minimizing the economic cost, renewable power consumption rate, and minimizing the load shortage rate are considered to be the objective functions. An energy system optimization model based on a hybrid algorithm is proposed: PSO is used to find the optimal variance rate and crossover ratio of NSGA-II; NSGA-II is applied to solve the optimization number of units. A typical community is used as a case study to verify the validity and effectiveness of the proposed model. Based on the optimization result, the proposed method improves solution satisfaction by 4.1% compared with the traditional NSGA-II algorithm. The optimal solution improves the wind and PV power consumption rate to 89.03%. While the economic cost is reduced to 6.3 million RMB, and the load shortage rate is decreased to 9.83%.

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