

Al-Biruni Based Optimization of Rainfall Forecasting in Ethiopia

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Abstract: Rainfall plays a significant role in managing the water level in the reservoir. The unpredictable amount of rainfall due to the climate change can cause either overflow or dry in the reservoir. Many individuals, especially those in the agricultural sector, rely on rain forecasts. Forecasting rainfall is challenging because of the changing nature of the weather. The area of Jimma in southwest Oromia, Ethiopia is the subject of this research, which aims to develop a rainfall forecasting model. To estimate Jimma's daily rainfall, we propose a novel approach based on optimizing the parameters of long short-term memory (LSTM) using Al-Biruni earth radius (BER) optimization algorithm for boosting the forecasting accuracy. Nash–Sutcliffe model efficiency (NSE), mean square error (MSE), root MSE (RMSE), mean absolute error (MAE), and R^2 were all used in the conducted experiments to assess the proposed approach, with final scores of (0.61), (430.81), (19.12), and (11.09), respectively. Moreover, we compared the proposed model to current machine-learning regression models; such as non-optimized LSTM, bidirectional LSTM (BiLSTM), gated recurrent unit (GRU), and convolutional LSTM (ConvLSTM). It was found that the proposed approach achieved the lowest RMSE of (19.12). In addition, the experimental results show that the proposed model has R^2 with a value outperforming the other models, which confirms the superiority of the proposed approach. On the other hand, a statistical analysis is performed to measure the significance and stability of the proposed approach and the recorded results proved the expected performance.

Keywords: Rainfall prediction; long short-term memory; Al-Biruni earth radius algorithm; meta-heuristic optimization



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1 Introduction

Rural life sustains almost all Ethiopians, with agriculture providing the bulk of the country's income (about 85%). Rainfall is critical to Ethiopia's agriculture, which relies significantly on it. The expected rainfall has a wide-ranging effect on agriculture and on people planning their vacations. It is incredibly difficult to predict rainfall, on the other hand, Precipitation is affected by a wide range of variables such as humidity, maximum and lowest temperatures, wind speed and direction, and so on [1,2]. It is possible to predict rainfall using the patterns in these factors. Decision trees (DT), k-nearest neighbors (KNN), linear regression (LR), and rule-based approaches are all examples of machine learning algorithms that can be used to forecast rainfall. For huge datasets, deep learning can provide substantial results. We are primarily interested in predicting rainfall based on six key rainfall parameters: maximum temperature, minimum temperature, relative humidity, solar radiation, wind speed, and precipitation itself. Predictive models are built using deep learning techniques [3]. In Jimma, a region in Ethiopia's Oromia region in the southwest, we've developed a prediction model [4]. A cup of Arabica coffee may be traced back to Jimma, Ethiopia. Basically, too little water is never a good thing, and too much water may be either destructive or useful, depending on other environmental circumstances, to the coffee product [5]. However, despite several research on rainfall prediction using artificial neural network (ANN), multi-layer perceptron (MLP), and linear regression, there is no literature on deep-learning-based prediction for the same region in Jimma town [6]. Given that local meteorological conditions differ widely, a model built for one place might be useless in another. Exporting coffee from this region helps the country's economy flourish financially. When water resources are poorly managed, the area is plagued by flooding and water shortages [7].

Water shortages and flooding can be prevented if people are aware of the weather forecast for the next day. A deep-learning model based on meteorological data from the country's weather stations is used in this work to create the capacity to predict rainfall. The Ethiopian national meteorological service agency (NMSA) provided the data for this study, which covered the years 1985–2017. It is possible to employ rainfall estimation for a number of goals, including lowering traffic accidents and congestion, boosting water management, reducing floods, and so on. For a long time, meteorologists have worked to improve the accuracy and timeliness of their forecasts. Numerical weather prediction (NWP) based on theory has a number of challenges, including the inability to extract valuable information from a deluge of observational data and the requirement for extremely powerful computing resources [8–12]. It has been demonstrated that deep-learning approaches may efficiently extract temporal and spatial features from temporal data through successful implementations in a range of domains, including computer vision, speech recognition, and time series prediction. Large geographic data sets include, for example, weather forecasts. To put it another way, the conventional technique is projected to benefit greatly from deep-learning-based weather prediction (DLWP).

Precipitation forecasting relies on first-hand knowledge and measurements of various rainfall features. Researchers have employed machine learning algorithms, such as MLP, to forecast rainfall. Deep learning's capacity to accurately forecast rainfall is severely hampered when working with sensor-based information. According to recent studies, MLP is the most used neural network model for forecasting rainfall. Data-driven deep learning is now being used in weather forecasting, and some tentative results have been produced. Research on this topic will be aided by reading these publications. Various machine-learning algorithms have been suggested by distinct researchers for various research areas. In [13], ANN was used to develop a rainfall forecasting model for South Korea's Geum River Basin during the late spring/early summer period. For the training, validation, and testing datasets, the best ANN model exhibited relative root mean square errors of 25.84 percent, 32.72 percent, and 34.75 percent, respectively. This implies that the ANN model correctly predicted rainfall in the study region, since the hit score, which is the number of hit years divided by the total number of years, was higher than 60%. According to authors in [14], some regions of Ethiopia can benefit from the adoption of a rainfall prediction model for crop

recommendation. They used ANN and KNN to build their rain forecasting model. Maximum temperature, minimum temperature, and average rainfall were the three most important factors in determining the amount of rain that fell. Meteorological stations at Gojjam and Gonder were used to perform tests on summer rainfall. The accuracy of their forecasts has to be enhanced, as they did not cover all Ethiopian seasons. The authors at [15] suggested employing KNN, ANN, and extreme learning algorithms to estimate rainfall in Kerala, India. To avoid a drought and deal with water scarcity, the rainfall forecast model for Kerala described here is crucially important. In the Indian Institute of Technology Madras (IITM), time-series meteorological data is employed. The results reveal that ANN and extreme learning machine (ELM) models beat KNN models in terms of accuracy. Using large-scale climate predictors, the authors in [16] give an ensemble forecast of semi-arid rains. A semi-arid watershed in Iran was studied for a lengthy time period (1967–2009) to determine the relationship between climatic predictions and seasonal precipitation. The seasonal ensemble precipitation time series was predicted using linear regression and two nonlinear models, the adaptive neuro-fuzzy inference system (ANFIS) and the multi-layer perceptron. It was shown that the ANFIS algorithm had a greater connection with winter predictors when predicting spring precipitation modes as an ensemble prediction. The fluctuation of the predictor is statistically linked, according to an investigation, with seasonal precipitation. In arid and semi-arid nations, where water shortages are widespread, climate modelling and prediction are essential. Authors in [17] use the adaptive neuro-fuzzy inference system (ANFIS), the M5P model tree, and MLP to simulate drought indexes based on large-scale climatic variables. In order to forecast the standardized precipitation index (SPI) one to twelve months in advance, they employed ANFIS, the M5P model tree, and MLP together with factor analysis to identify the climate signal among 25 climate signals. Error parameters and Taylor diagrams were used to evaluate the models' performance, and the MLP came out on top.

There has been an increase in interest in semi-arid climate forecasting because of the dangers connected with precipitation amounts that are above average. Short-term extremes and a lack of data make it difficult to develop long-term projections. The Classification and Regression Trees (CART) algorithm was used to estimate precipitation across an extremely complicated semiarid climatic system utilizing climate data. CART is an acronym for Classification and Regression Trees. Precipitation may be predicted using CART, autoregressive integrated moving average (ARIMA) and ANFIS models, according to a study by [18]. The CART model was shown to be more accurate than the other two regularly used models. Inputs included various combinations of large-scale climatic signals. The CART model performed better than the ANFIS and ARIMA in predicting precipitation, according to their experimental data (NSE=0.75). Another technique for predicting rainfall, based on an ANN model and a time series dataset, was proposed by [19]. A feed-forward neural network with a back-propagation algorithm was used to build two models using time-series data from the Indian meteorology department in Pune (one-month-ahead and two-months-ahead predictions). Model 1 had the best accuracy results in the study of (3–25–1) regression, with a (0.946) and a (0.948) on the validating and testing datasets. Model 2's (3–50–1) regression yielded (0.913) for the validating dataset and (0.910) for the testing dataset. Research has been done to apply deep-learning approaches to time series prediction. Temperature, wind speed, and humidity may be predicted for (24) and (72) h using a multi-stacked LSTM, according to authors in [20]. For a period of fifteen years, from 2000 to 2015, they analyzed hourly weather data from nine Moroccan cities. The researchers found that deep LSTM networks were capable of accurately forecasting weather features and recommending its application for other weather-related issues. For the purpose of short-term precipitation forecasting in China, the authors in [21] employed multi-task convolutional neural network (CNN) to combine meteorological information collected from many different China-based rain gauges. Multi-site features outperformed single-site features, according to the researchers. Monthly rainfall in Thimphu's Simtokha district has been predicted by the authors at [22]. The rainfall data was given by Bhutan's national center for hydrology and meteorology (NCHM). They tested the forecasting power of

LR, MLP, CNN, LSTM, gated recurrent unit (GRU), and bidirectional LSTM (BiLSTM) based on data from an autonomous weather station in the region. BiLSTM-GRU models outperform existing machine and deep learning models, according to the researchers [23–30]. A deep-learning algorithm can help enhance the accuracy of rainfall forecasts, according to the studies above. So we present an improved method for forecasting rainfall in Ethiopia: LSTM-based rainfall prediction for Jimma. Deep-learning algorithms are being used in this study to predict rainfall in Jimma town based on a variety of features. The following list presents the main contributions of this paper.

1. A new approach for rainfall prediction based on LSTM and meta-heuristic optimization.
2. An extended experiment is conducted to demonstrate the effectiveness of the proposed approach.
3. A comparison with the other competing models to show the superiority of the proposed approach.

What follows is an outline for the remainder of the paper. In Section 2, the proposed methodology is presented in details. The outcomes of the conducted experiments are discussed in detail in Section 3. Finally, we wrap up our research and provide some recommendations for the future in Section 4.

2 The Proposed Methodology

In order to build a rain prediction model, meteorological data must be collected. Weather data from the national oceanic and atmospheric administration (NMSA) is used in this context. Data is then preprocessed, which involves things like deleting empty entries, resolving missing values, and normalizing. Deep learning uses the preprocessed data to learn from it and predict rainfall for previously unknown data. The stages depicted in Fig. 1 are used in the proposed model for predicting rainfall. The next sections provide explanation of the proposed methodology.

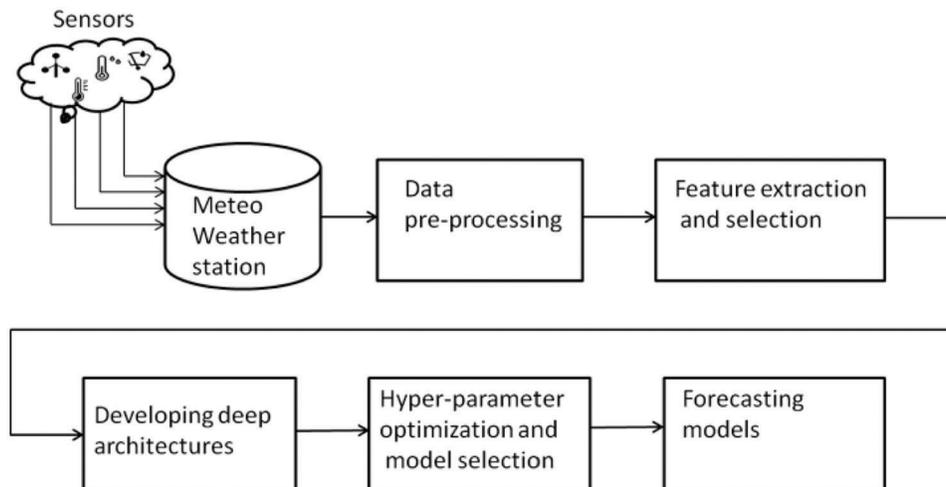


Figure 1: The stages of the proposed methodology

2.1 Data Preprocessing

Preprocessed NMSA data are shown with arrow heads to demonstrate the passage of data through four states. The dataset was tidied up by deleting the records that had no data. In the preparation step, the null values of the dataset are normalized. The linear regression approach was used to approximate missing precipitation data using correlation coefficients. An technique known as Markov Chain Monte Carlo (MCMC) multiple imputation, also known as fully conditional specification, was used to fill in any missing data on daily minimum and maximum temperatures, humidity and wind speed. A normal

distribution with mean and standard error equal to those of the available data was used to sample the initial values of the missing values, and an imputation approach based on sampling and Ordinary Least Squares (OLS) regression was performed to each variable in the dataset with missing values. All other variables were treated as independent and the researched variable was treated as dependent. Data taken from a variety of distributions was also utilized to create disturbance. This model was used to generate new imputed values. We normalized the weather parameters using a min–max scaler in order to get the new scaled value v . The ranges of features vary, thus we used the min–max scaler to adjust the data [31–36].

$$v = \frac{v - \min(x)}{\max(x) - \min(x)} \quad (1)$$

where $\max(x)$ and $\min(x)$ represent the values of x that are maximum and minimum, respectively, and v is the scaled value. As shown in Fig. 2, the steps of the preprocessing stage are depicted.

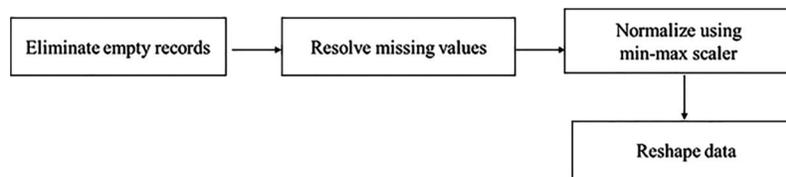


Figure 2: The steps of the preprocessing stage

It is called data reshaping when the original data is rearranged to fit the new dimensions [37–40]. Preparing sequence data for an LSTM model is tough to grasp. There is a prevalent misconception about what constitutes an LSTM input layer. LSTM’s input layer requires a 3D format, therefore we remove empty records, resolve missing values, and scale the data using the min–max scaler.

To increase the accuracy of the rainfall prediction, a new approach is proposed by adjusting the hyperparameters of the LSTM. This section begins by presenting the LSTM’s structure and describing which parameters are being improved, followed by presenting the optimization algorithm that is employed to optimize the parameters of LSTM.

2.2 Long Short-Term Memory (LSTM)

The LSTM model has lately gained favor as a recurrent neural network because it can mimic intricate time series with time delays of unknown magnitude [41–48]. Self-loops, where the gradient may flow for long periods of time without bursting or vanishing, are at the heart of LSTM. In combination with this, a forget-gate allows the LSTM to accumulate information that, depending on the input data, may be “forgotten” later. LSTM models have been used for the first time to model short-term network flow sizes with exquisite granularity. LSTMs are characterized by the following recursive equations:

$$\begin{aligned}
 o^t &= \sigma(W_o X^t + U_o h^{t-1} + b_o) \\
 c^t &= i^t \odot \tilde{c}^t + f^t \odot c^{t-1} \\
 f^t &= \sigma(W_f X^t + U_f h^{t-1} + b_f) \\
 h^t &= o^t \odot \tanh(c^t) \\
 i^t &= \sigma(W_i X^t + U_i h^{t-1} + b_i) \\
 \tilde{c}^t &= \tanh(W_c X^t + U_c h^{t-1} + b_c)
 \end{aligned} \quad (2)$$

where $W_o, W_c, W_i,$ and W_f represent the weights for output gate, candidate state gate, input gate, and forget gate, respectively. The values $b_f, X^t, h^t, o^t, c^t, \tilde{c}^t, i^t, f^t$ are the bias value, input data, hidden state, output gate, current state, candidate state, input gate, and forget gate, respectively. The Hadamard product, \odot , refers to the sigmoid function. The values of $U_o, U_c, U_i,$ and U_f are the recurrent weights for the output gate, current state, input gate, and forget gate, respectively. The bias terms for output gate, candidate state, input gate, and forget gate are denoted by, $b_o, b_c, b_i,$ and b_f , respectively. As shown in Fig. 3, the LSTM unit at time t and how it relates to previous and future states to construct a neural network may be seen from this perspective.

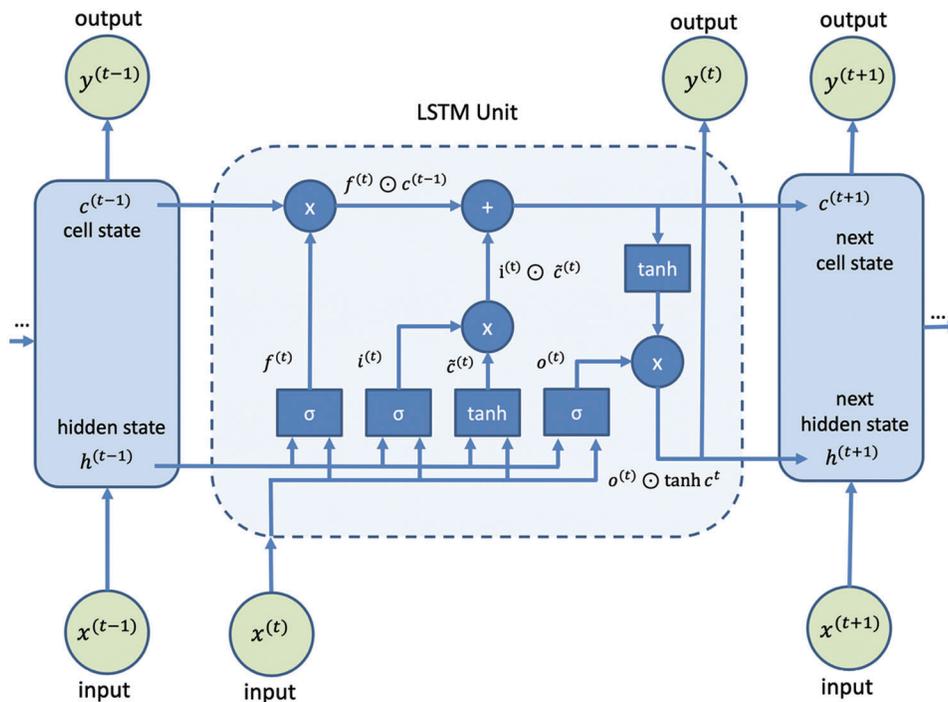


Figure 3: Standard structure of the LSTM network

2.3 Al-Biruni Earth Radius (BER) Optimization Algorithm

It is the goal of optimization algorithms to find the best possible solution to a problem given limitations. When using BER, an individual from the population may be shown in the form of ‘S’ vector, $\vec{S} = \{S_1, S_2, \dots, S_d\} \in R_d$, where S_i is the size of the search space and d is the parameter or feature in the optimization problem. It is suggested that the fitness function f be utilized in order to assess a person’s performance up to a predetermined point. These steps of the optimization technique are used to search populations for an optimal vector S^* that optimizes the fitness. The method begins by selecting a random group of people from the population (solutions). The fitness function, the lower and higher limits for each solution, the dimension, and the population size are all required before BER can begin the optimization process. The optimization algorithm used to optimize the parameters of LSTM is depicted in Algorithm 1.

3 Experimental Results

The evaluation of the proposed approach is performed and the results are explained in this section. The section starts with describing the dataset included in the conducted experiments followed by the evaluation criteria and explanation of the achieved results.

3.1 Dataset Description

Jimma is a tiny town located in the Oromia region of Ethiopia's southwest. A meteorological station at Jimma provided the sensor data for this study. Its coordinates are 7°400 N 36°500 E on the compass rose. The study region is depicted in Fig. 4. As a result, this study uses a daily record of meteorological parameters from 1985 to 2017 in order to account for the length of the record, continuity of the data, and the contemporaneous time of observation. The consistency of the meteorological data was verified before it was put to use. The collection contains 12,052 days of data for six different metrics. Preprocessing handled any missing values in these parameters, which had zero or a tiny number. The mean of maximum and lowest temperatures, relative humidity, solar radiation, wind speed, and precipitation were used to derive meteorological parameters from weather data. Precipitation was employed as an output, while the first five parameters were used as inputs. The dataset spans a period of more than three decades. Train-validate-test ratios of 80%, 10% and 10% were employed in this experiment. It was built using data from 1985 through 2012, and then tested using data from 2013 through the first half of this year. Evaluations were carried out using data from the second half of 2015 through 2017. The features of the weather utilized in this study are listed in Tab. 1, along with the units in which they were measured. Precipitation is predicted using the five initial weather factors.

Algorithm 1: Al-Biruni earth radius (BER) based optimization algorithm

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1: Initialize population  $\vec{S}_i (i = 1, 2, \dots, d)$  with size  $d$ , maximum iterations  $Max_{iter}$ , fitness function  $F_n$ 
2: Initialize BER parameters
3: Set  $t = 1$ 
4: Calculate fitness  $F_n$  for each  $\vec{S}_i$ 
5: Find best solution  $S^*$ 
6: while  $t \leq Max_{iter}$  do
7:   for each solution in the exploration group do
8:     Heading towards the best solution
9:      $r = h_{\frac{\cos(x)}{1-\cos(x)}}$ 
10:     $\vec{D} = \vec{r}_1(\vec{S}(t) - 1)$ 
11:     $\vec{S}(t+1) = \vec{S}(t) + \vec{D}(2\vec{r}_2 - 1)$ 
12:   end for

13:  for each solution in the exploitation group do
14:    Elitism of the best solution
15:     $\vec{D} = \vec{r}_2(\vec{L}(t) - \vec{S}(t))$ 
16:     $\vec{S}_1(t+1) = r^2(\vec{S}(t) + \vec{D})$ 

17:    Investigating the area around best solution
18:     $\vec{k} = 1 + \frac{2 \times t^2}{Max_{iter}^2}$ 
19:     $\vec{S}_2(t+1) = r(\vec{S}^*(t) + \vec{k})$ 

20:    Compare  $\vec{S}_2(t+1)$  and  $\vec{S}_1(t+1)$  and select the best solution  $\vec{S}^*$ 

21:    if best fitness didn't change from previous 2 iterations then
22:      mutate the solution:
23:       $\vec{S}(t+1) = \vec{k} * z^2 - h_{\frac{\cos(x)}{1-\cos(x)}}$ 
24:    end if
25:  end for

26:  Update fitness  $F_n$  for each  $\vec{S}$ 
27: end while
28: Return best solution  $\vec{S}^*$ 

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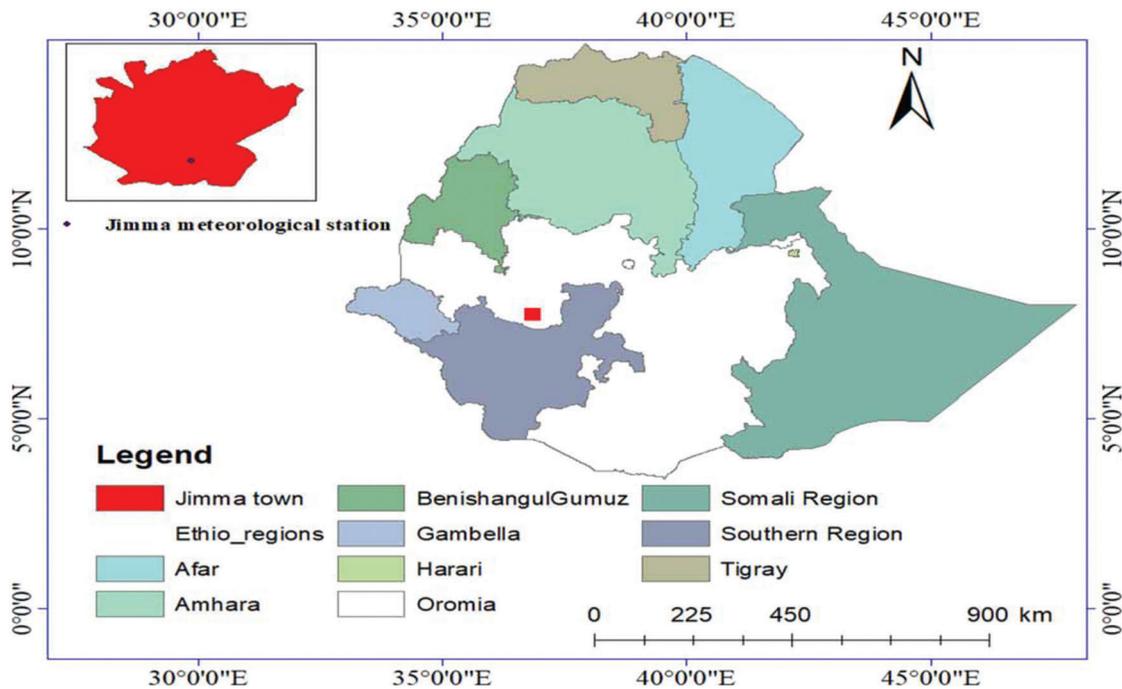


Figure 4: The location of interest in the conducted experiments

Table 1: The parameters of the rainfall dataset used in the conducted experiments

Parameter	Unit
Wind speed	Meters per second (m/s)
Solar radiation	$\text{MJ m}^{-2} \text{ day}^{-1}$
Maximum temperature (t_{max})	$^{\circ}\text{C}$
Minimum temperature (t_{min})	$^{\circ}\text{C}$
Precipitation	Millimeters (mm)
Relative humidity	Percentage (%)

3.2 Evaluation Metrics

The metrics used to assess the proposed methodology and their corresponding formulas are presented in Tab. 2. These metrics are: root mean square error (RMSE), normalized RMSE (NRMSE), Nash–Sutcliffe model efficiency (NSE), mean absolute error (MAE), mean absolute percentage error (MAPE), and R^2 metrics. In these formulas; F_i is the forecast daily rainfall value, A_i is the actual daily rainfall value, y_i is the observed daily rainfall, x_i is the model's simulated daily rainfall, and n is the number of data points.

3.3 The Achieved Results

To prove the effectiveness and superiority of the proposed approach, several experiments were conducted to predict the rainfall. Firstly, a set of baseline experiments were conducted using six base models including LSTM, BiLSTM, GRU, LSTMs, BiLSTMs, and ConvLSTMs. The results of these models were compared to the achieved results using the optimized LSTM based on BER algorithm.

Tab. 3 presented the results of the training and testing for each of the base models along with the proposed approach based on the adopted evaluation criteria.

Table 2: The metrics used in assessing the proposed methodology

Metric	Formula
NSE	$1 - \frac{\sum_{i=1}^n (x_i - y_i)^2}{\sum_{i=1}^n (y_i - \text{mean of } y)^2}$
RMSE	$\sqrt{\left(\frac{1}{n} \sum_{i=1}^n (x_i - y_i)^2\right)}$
MAPE	$\frac{1}{n} \sum_{i=1}^n \left \frac{A_i - F_i}{A_i} \right $
MAE	$\frac{1}{n} \sum_{i=1}^n x_i - x $
NRMSE	$\frac{RMSE}{\text{mean}}$
R ²	$1 - \frac{\text{unexpected variation}}{\text{Total variation}}$

Table 3: Evaluation results of the rainfall predictions using the proposed approach and other approaches

Model	LSTM	BiLSTM	GRU	LSTMs	BiLSTMs	ConvLSTMs	BER/LSTM
MSE train	622.28	683.77	625.54	597.35	616.93	707.41	581.41
MSE test	509.28	660.55	470.39	557.93	493.1	560.95	430.81
RMSE train	24.95	26.15	25.01	24.44	24.84	26.6	21.99
RMSE test	22.57	25.7	21.69	23.62	22.21	23.68	19.12
MAE train	15.49	18.55	14.26	15.38	14.77	15.28	13.12
MAE test	15.38	19.87	13.12	16.1	14.59	16.02	11.09
R ² train	0.45	0.39	0.44	0.47	0.45	0.37	0.59
R ² test	0.37	0.19	0.42	0.31	0.39	0.3	0.57
RRMSE train	1.17	1.22	1.17	1.14	1.16	1.25	1.02
RRMSE test	1.25	1.42	1.2	1.31	1.23	1.36	1.1
MBE train	1.63	6.87	-3.91	0.34	-0.81	0.56	0.32
MBE test	6	12.2	-0.1	4.33	3.96	2.82	0.1
NSE train	0.45	0.39	0.44	0.47	0.45	0.37	0.57
NSE test	0.37	0.19	0.42	0.31	0.39	0.3	0.61

As presented in the table, the proposed approach could achieve the best values over all the evaluation criteria, which confirm the superiority of the proposed approach. The achieved MSE on the test set using the proposed approach is (430.81), whereas the best MSE achieved by the base models is (493.1). In addition,

Table 6 (continued)

	LSTM	BiLSTM	GRU	LSTMs	BiLSTMs	ConvLSTMs	BER/LSTM
Sum of positive ranks	78	78	78	78	78	78	78
Sum of signed ranks	78	78	78	78	78	78	78
Sum of negative ranks	0	0	0	0	0	0	0
<i>P</i> value (two tailed)	0.0005	0.0005	0.0005	0.0005	0.0005	0.0005	0.0005
Exact or estimate?	Exact	Exact	Exact	Exact	Exact	Exact	Exact
<i>P</i> value summary	***	***	***	***	***	***	***
Significant	Yes	Yes	Yes	Yes	Yes	Yes	Yes
Discrepancy	22.57	25.7	21.69	23.62	22.21	23.68	19.12

On the other hand, more results are shown in the plots depicted in Figs. 5 and 6. In these figures, the ranges of RMSE using the base models and the proposed approach are shown in the plot of Fig. 5a. In this plot, the range of values of RMSE using the proposed approach is the minimum, which reflects the superiority of the proposed approach. In addition, the receiver operating characteristic (ROC) curve using the proposed approach shows a promising performance.

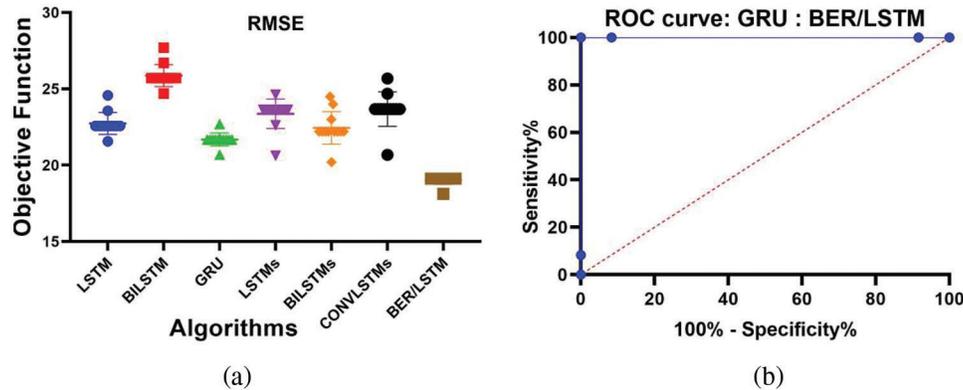


Figure 5: Comparison between the proposed approach and the other competing approaches in terms of RMSE and ROC

More plots are shown in Fig. 6 that present the behavior of the residual, homoscedasticity, quantile-quantile (QQ) and heatmap of the achieved results. In these plots, the proposed approach shows a promising performance which makes it a proper and better solution to the problem of rainfall prediction using machine learning.

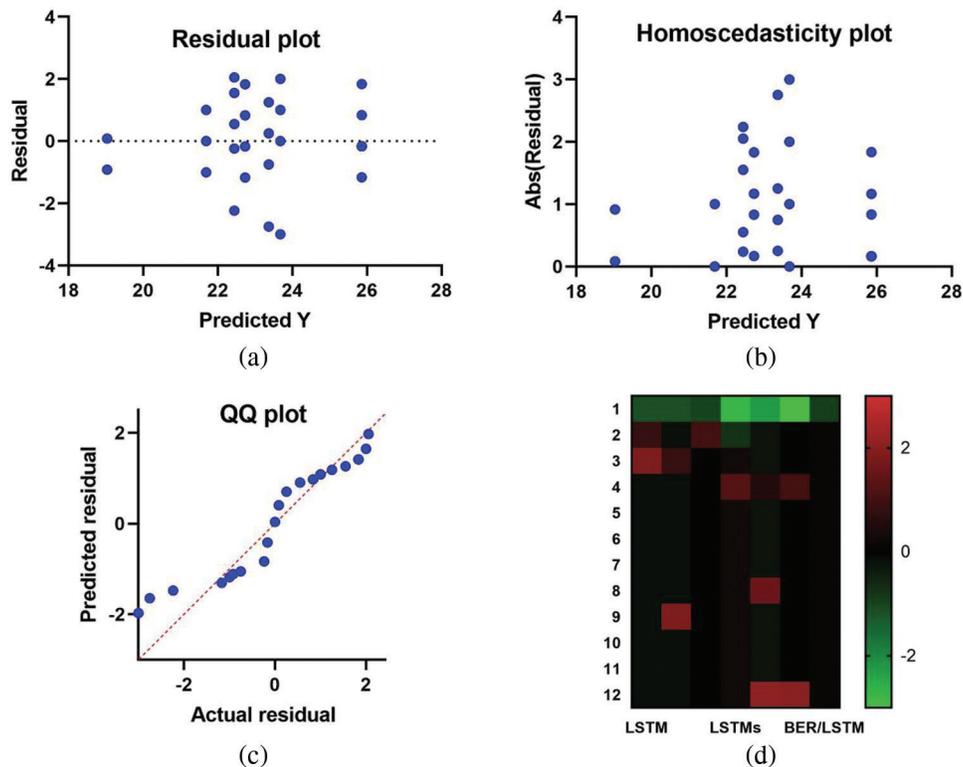


Figure 6: Analyzing the performance of the recorded predictions using the proposed approach

4 Conclusions

Research into deep learning approaches for rain forecasting is described in this paper, and an LSTM-based model for Jimma in Ethiopia's western Oromia region is proposed. The proposed model is optimized using Al-Biruni earth radius optimization algorithm. Data for the experiment came from Ethiopia's NMSA. The data collection spans the years 1985 to 2017 and contains daily records of several meteorological parameters, such as maximum and minimum temperatures, relative humidity, solar radiation, wind speed, and precipitation. There are a number of tests done on this dataset to verify the predicted performance of the new machine learning-based model. Tests show that the prediction model presented by the researchers is accurate. Smart farming and other applications that need accurate rainfall forecasts might benefit from the suggested model's ability to predict the weather based on LSTMs. There are a number of things we hope to do in the future, including the development of a model for predicting rainfall that incorporates data on sea-surface temperature, global wind circulation, and a variety of other climatic variables.

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Conflicts of Interest: The authors declare that they have no conflicts of interest to report regarding the present study.

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