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A Q-Learning-Assisted Co-Evolutionary Algorithm for Distributed Assembly Flexible Job Shop Scheduling Problems

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ABSTRACT: With the development of economic globalization, distributed manufacturing is becoming more and more prevalent. Recently, integrated scheduling of distributed production and assembly has captured much concern. This research studies a distributed flexible job shop scheduling problem with assembly operations. Firstly, a mixed integer programming model is formulated to minimize the maximum completion time. Secondly, a Q-learning-assisted co-evolutionary algorithm is presented to solve the model: (1) Multiple populations are developed to seek required decisions simultaneously; (2) An encoding and decoding method based on problem features is applied to represent individuals; (3) A hybrid approach of heuristic rules and random methods is employed to acquire a high-quality population; (4) Three evolutionary strategies having crossover and mutation methods are adopted to enhance exploration capabilities; (5) Three neighborhood structures based on problem features are constructed, and a Q-learning-based iterative local search method is devised to improve exploitation abilities. The Q-learning approach is applied to intelligently select better neighborhood structures. Finally, a group of instances is constructed to perform comparison experiments. The effectiveness of the Q-learning approach is verified by comparing the developed algorithm with its variant without the Q-learning method. Three renowned meta-heuristic algorithms are used in comparison with the developed algorithm. The comparison results demonstrate that the designed method exhibits better performance in coping with the formulated problem.

KEYWORDS: Distributed manufacturing; flexible job shop scheduling problem; assembly operation; co-evolutionary algorithm; Q-learning method

1 Introduction

Currently, traditional manufacturing modes have been impacted and developed rapidly towards the direction of distributed production due to the advancement of intellectual technologies [1–3]. Many enterprises are applying the distributed production mode having multiple factories to actual industrial manufacturing environments, aiming to achieve the reduction of operation cost and the improvement of response speed [4]. At the same time, scheduling is widely adopted in distributed manufacturing systems to efficiently arrange production tasks with limited resources [5].

Nowadays, distributed production scheduling problems are broadly discussed and investigated by scholars and engineers [6]. Distributed flexible job scheduling problems (DFJSPs), classic distributed scheduling problems, refer to an extension of flexible job shop scheduling problems to distributed production environments. In a DFJSP, a collection of jobs necessitates allocation to flexible job shops for processing. Each flexible job shop contains a group of machines to process the assigned jobs. DFJSPs are widespread



in actual industry applications, such as building materials equipment manufacturing [7] and food processing [8]. Hence, research on modeling and optimization of DFJSPs has become the key content for manufacturing enterprises.

Furthermore, the overall optimization of supply chains is gradually receiving more and more attention [9]. Managing various activities in supply chains from the integration perspective is becoming a core measure of reducing operation costs [10]. In these activities, production and assembly are crucial in the supply chain. The former involves processing jobs on machines, while the latter is about assembling these jobs into products. However, the existing studies on integrated scheduling of production and assembly activities mainly focus on single-factory manufacturing environments [11]. Research on distributed production scheduling problems considering assembly operations is lacking, especially in distributed flexible job shop scenarios.

Consequently, this research studies integrated scheduling problems of production and assembly operations within distributed flexible job shop environments. Since the NP-hard features of DFJSPs have been demonstrated [5], the investigated problems are also NP-hard. Therefore, we develop a meta-heuristic incorporating cooperative evolutionary strategies and Q-learning approaches to handle the investigated problem. The concrete contributions of this work are given as follows.

- (1) A DFJSP with assembly operations is proposed. To handle the problem, a mixed integer programming model is formulated to minimize maximum completion time (MCT).
- (2) A Q-learning-assisted co-evolutionary algorithm (QA-CEA) is devised to solve the model. Multi-population strategies, crossover approaches, and Q-learning-based local search methods are designed to improve the performance of QA-CEA. A Q-learning approach is employed to adaptively assist the best individuals in the population in selecting neighborhood structures for further enhancing exploitation abilities.
- (3) Numerical experiments regarding QA-CEA and three popular meta-heuristics are carried out on problem instances. Comparison outcomes validate the preeminent performance of QA-CEA in coping with the researched problem.

The remainder of this work is outlined below. [Section 2](#) provides an overview of relevant studies. [Section 3](#) gives the statement and model of the studied problem. The designed algorithm is given in [Section 4](#). In addition, the results and analysis of the comparison experiments are reported in [Section 5](#). The conclusion and future research are provided in [Section 6](#).

2 Literature Review

Recently, studies regarding distributed production scheduling problems have emerged endlessly. Many scholars and practitioners in manufacturing and service fields have conducted in-depth discussions on such issues. Lei et al. [12] consider a distributed parallel machine scheduling problem to reach minimal tardiness and makespan. An artificial bee colony (ABC) approach is presented to deal with the problem. Bai et al. [13] study a distributed flow shop scheduling problem (DFSP) considering uniform machines and release dates. They propose an ABC method to minimize makespan. Pan et al. [14] solve a DFSP to realize minimal makespan. They design five meta-heuristics, namely genetic algorithm, harmony search, Jaya, ABC, and particle swarm optimization method. Xie et al. [15] cope with a DFJSP to minimize makespan. A hybrid approach combining genetic algorithm and tabu search is presented to handle it. Cao et al. [16] propose a DFJSP to achieve minimal energy consumption (EC) and makespan. A cooperative optimization method with the inverse model and neighborhood search is employed to solve this problem. Liu et al. [17] focus on handling a DFSP having blocking constraints. An iterated greedy method is adopted to reach a minimal

makespan. Yan et al. [18] propose a memetic algorithm (MA) to solve a DFSP, aiming to achieve makespan and carbon emission minimization. Yu et al. [8] address a DFJSP to realize minimal makespan and EC. They devise a bi-population evolutionary strategy to handle the problem. Tang et al. [19] study a DFJSP with sequencing flexibility. An MA is presented to solve the problem. Zhu et al. [5] discuss a DFJSP with order cancellation. An MA is proposed to realize minimal makespan and EC.

Meanwhile, in the supply chain management field, the current hot topics lie in integrated scheduling problems of production and assembly. Existing research focuses on production models combined with assembly operations, such as flow shop [20,21] and job shop [11,22]. Many objectives are considered according to real problem indicators, including makespan [23,24], total tardiness [25], EC [26], and cost-related criteria [27]. To effectively handle the problems, various meta-heuristics have been used and improved, e.g., MA [28], ABC [26], and teaching-learning-based optimizer [11].

Due to the development of market globalization, research on distributed production processes that consider assembly operations is also increasing. Wang et al. [29] settle a distributed assembly flow shop scheduling problem (DAFSP). They use an MA to minimize EC and makespan. Zhao et al. [30] study a DAFSP considering no-wait constraints. They adopt an iterated greedy approach to minimize flow time. Luo et al. [31] investigate a DAFSP to minimize total tardiness and EC. An MA is improved to deal with it. Huang et al. [32] discuss a DAFSP considering an assembly machine. They employ an MA to minimize tardiness. Shao et al. [33] solve a DAFSP to minimize makespan by using a hyper-heuristic. Tian et al. [34] study a distributed assembly job shop scheduling problem. They adopt a genetic algorithm to address it.

In addition, reinforcement learning is combined with meta-heuristics to solve complex scheduling problems since its learning mechanisms contribute to improving the performance of meta-heuristics [35]. Wang et al. [36] propose an ABC based on Q-learning to solve a DAFSP considering factory eligibility, transport capacity, and setup time. The objectives of this research are to minimize makespan and total tardiness. Zhang et al. [37] present a Q-learning-based particle swarm optimizer to address a DFSP, aiming to reach minimal makespan and EC. Yu et al. [38] propose a DAFSP to minimize MCT, earliness, tardiness, and carbon emission. Five meta-heuristics combined with Q-learning are designed to deal with this problem. Zhao et al. [39] consider a DFSP with no-wait conditions. An iterative greedy method based on Q-learning is devised to achieve minimal makespan and total tardiness. Zhang et al. [40] adopt a Q-learning-driven ABC to handle a DFJSP considering maintenance and transportation operations, aiming to minimize MCT and factory workload. Chen et al. [41] investigate a dynamic flexible job shop problem having limited transportation resources. They design a Q-learning-based genetic algorithm to minimize makespan and total tardiness. Zhang et al. [7] consider a DFJSP to minimize the sum of makespan and EC. A hyper-heuristic incorporating Q-learning is presented to solve it.

Based on the above work, they share the following features: (1) Distributed scheduling problems have been widely investigated. Nevertheless, fewer studies consider subsequent assembly operations, especially the work on DFJSPs. (2) Research on integrated scheduling of production and assembly has attracted much attention. However, distributed manufacturing scenarios regarding flexible job shops are rarely considered. (3) Integration methods of meta-heuristics and reinforcement learning are widely adopted to solve optimization scheduling problems in many studies. A great many numerical experiments indicate that they have stronger abilities in addressing these problems. According to the analysis, the DFJSP with assembly operations is ignored in existing studies. Thus, we study such scheduling problems by designing a QA-CEA.

3 Presented Problem and Model

3.1 Problem Statement

This article investigates a DFJSP with assembly operations. In the first phase, multiple factories operate in parallel, with each one being regarded as a flexible job shop equipped with a versatile array of multi-functional machines. A group of jobs necessitates handling within shops, where each job comprises a series of operations having a given processing sequence. Each operation ought to be handled on one of the available machines, and all operations of a job are fabricated at the same shop. In the second phase, multiple assembly machines are utilized. A collection of products requires assembling by using the jobs finished in the production phase. The objective of solving the problem is to minimize the MCT, i.e., the latest time that all products are finished for assembly.

To acquire a feasible solution, the following requirements need to be met: (1) At time zero, all machines are available, and all jobs wait to be processed; (2) A machine is capable of executing at most one operation at a time; (3) An operation undergoes processing only once; (4) An assembly machine can fabricate at most one product at a time; (5) A product can be assembled only once; (6) Machine interruption is not allowed in the two phases.

The considered problem includes five key decisions: (1) Factory assignment of jobs; (2) Machine assignment of operations at factories; (3) Operation processing sequence; (4) Assembly machine assignment of products; (5) Product assembly sequence on assembly machines. To visualize the studied problem, a schematic is provided in Fig. 1, where three products with six jobs need to be handled on a production system with two factories, two jobs per factory, and two assembly machines.

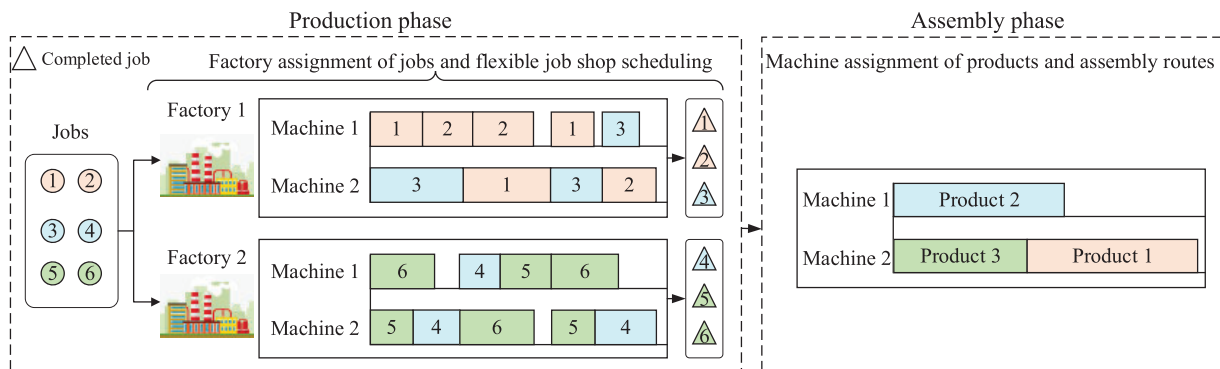


Figure 1: Illustration of the studied problem

3.2 Model Formulation

To build a mathematical programming model of the investigated problem, this work uses the following symbols.

Set and index

F : Set of factories, $F = \{1, 2, \dots, f\}$, f indicates the number of factories. $g \in F$, g is a factory index.

M : Set of machines at a factory, $M = \{1, 2, \dots, m\}$, m represents the quantity of machines at a factory. $h \in M$, h is a machine index.

N : Set of products, $N = \{0, 1, 2, \dots, n\}$, where 0 indicates a dummy product without assembly time, and n represents the number of products. $i \in N$, i is a product index.

J : Set of jobs, $J = \{0, 1, 2, \dots, j\}$, where 0 implies a dummy job without processing time, and j indicates the number of jobs. $k \in J$, k is a job index.

Q_k : Set of operations regarding job k , $Q_k = \{1, 2, \dots, q_k\}$, q_k signifies the number of operations about job k . $l \in Q_k$, l is an operation index.

V : Set of assembly machines, $V = \{1, 2, \dots, v\}$, v represents the number of assembly machines. $u \in V$, u is an assembly machine index.

Parameter

O_{kl} : l -th operation of job k .

η_{klhg} : If machine h at factory g is one of the available machines in processing O_{kl} , $\eta_{klhg} = 1$; Otherwise, $\eta_{klhg} = 0$.

p_{klhg} : Processing time of O_{kl} on machine h at factory g .

ξ_{ik} : If job k is a component of product i , $\xi_{ik} = 1$; Otherwise, $\xi_{ik} = 0$.

a_{iu} : Assembly time of product i on assembly machine u .

G : A very large number.

Decision variable

X_{kg} : If job k is allocated to factory g for processing, $X_{kg} = 1$; Otherwise, $X_{kg} = 0$.

$Y_{klk'l'hg}$: If machine h at factory g processes $O_{k'l'}$ immediately after O_{kl} , $Y_{klk'l'hg} = 1$; Otherwise, $Y_{klk'l'hg} = 0$.

$Z_{ii'u}$: If assembly machine u fabricates product i' immediately after i , $Z_{ii'u} = 1$; Otherwise, $Z_{ii'u} = 0$.

S_{kl}^α : Production start time of O_{kl} .

C_{kl}^α : Production completion time of O_{kl} .

E_k^α : Production completion time of job k .

S_i^β : Assembly start time of product i .

C_i^β : Assembly completion time of product i .

C_M : MCT.

By using the above notations, this research establishes a mixed integer programming model for the considered problem below.

$$\min C_M \quad (1)$$

s. t.

$$\sum_{g \in F} X_{kg} = 1, \forall k \in J \setminus \{0\} \quad (2)$$

$$X_{kg} = \sum_{k' \in J} \sum_{l' \in Q_{k'}} \sum_{h \in M} Y_{klk'l'hg}, \forall k \in J \setminus \{0\}, \forall l \in Q_k, \forall g \in F \quad (3)$$

$$\sum_{k' \in J} \sum_{l' \in Q_{k'}} \sum_{h \in M} \sum_{g \in F} Y_{klk'l'hg} = 1, \forall k \in J \setminus \{0\}, \forall l \in Q_k \quad (4)$$

$$Y_{klklhg} = 0, \forall k \in J \setminus \{0\}, \forall l \in Q_k, \forall h \in M, \forall g \in F \quad (5)$$

$$\sum_{k' \in J} \sum_{l' \in Q_{k'}} Y_{klk'l'hg} \leq \eta_{klhg}, \forall k \in J \setminus \{0\}, \forall l \in Q_k, \forall h \in M, \forall g \in F \quad (6)$$

$$\sum_{k' \in J} \sum_{l' \in Q_{k'}} Y_{klk'l'hg} = \sum_{k' \in J} \sum_{l' \in Q_{k'}} Y_{k'l'klhg}, \forall k \in J \setminus \{0\}, \forall l \in Q_k, \forall h \in M, \forall g \in F \quad (7)$$

$$\sum_{k \in J \setminus \{0\}} \sum_{l \in Q_k} Y_{0l'l'hg} \leq 1, \forall l' \in Q_0, \forall h \in M, l' = h, \forall g \in F \quad (8)$$

$$S_{kl}^\alpha \geq C_{k,l-1}^\alpha, \forall k \in J \setminus \{0\}, \forall l \in Q_k \setminus \{1\} \quad (9)$$

$$S_{kl}^\alpha \geq C_{k'l'}^\alpha + G \times (Y_{k'l'klhg} - 1), \forall k \in J \setminus \{0\}, \forall k' \in J, \forall l \in Q_k, \forall l' \in Q_{k'}, \forall h \in M, \forall g \in F \quad (10)$$

$$C_{kl}^\alpha \geq S_{kl}^\alpha + p_{klhg} \times Y_{klk'l'hg}, \forall k \in J \setminus \{0\}, \forall k' \in J, \forall l \in Q_k, \forall l' \in Q_{k'}, \forall h \in M, \forall g \in F \quad (11)$$

$$E_k^\alpha = C_{kq_k}^\alpha, \forall k \in J \setminus \{0\} \quad (12)$$

$$\sum_{i' \in N} \sum_{u \in V} Z_{ii'u} = 1, \forall i \in N \setminus \{0\}, i \neq i' \quad (13)$$

$$\sum_{i' \in N} Z_{ii'u} = \sum_{i' \in N} Z_{i'iu}, \forall i \in N \setminus \{0\}, \forall u \in V \quad (14)$$

$$Z_{0iu} \leq 1, \forall i \in N \setminus \{0\}, \forall u \in V \quad (15)$$

$$S_i^\beta \geq E_k^\alpha \times \xi_{ik}, \forall i \in N \setminus \{0\}, \forall k \in J \setminus \{0\} \quad (16)$$

$$S_i^\beta \geq C_{i'}^\beta + G \times (Z_{i'iu} - 1), \forall i \in N \setminus \{0\}, \forall i' \in N, \forall u \in V \quad (17)$$

$$C_i^\beta \geq S_i^\beta + a_{iu} \times Z_{ii'u}, \forall i \in N \setminus \{0\}, \forall i' \in N, \forall u \in V \quad (18)$$

$$C_M \geq C_i^\beta, \forall i \in N \setminus \{0\} \quad (19)$$

$$X_{kg} \in \{0, 1\}, \forall k \in J \setminus \{0\}, \forall g \in F \quad (20)$$

$$Y_{klk'l'hg} \in \{0, 1\}, \forall k, k' \in J, \forall l \in Q_k, \forall l' \in Q_{k'}, \forall h \in M, \forall g \in F \quad (21)$$

$$Z_{ii'u} \in \{0, 1\}, \forall i, i' \in N, \forall u \in V \quad (22)$$

$$S_{kl}^\alpha \geq 0, C_{kl}^\alpha \geq 0, C_{0l}^\alpha = 0, E_k^\alpha \geq 0, S_i^\beta \geq 0, C_i^\beta \geq 0, C_0^\beta = 0, C_M \geq 0, \forall k \in J, \forall l \in Q_k, \forall i \in N \quad (23)$$

where Eq. (1) is to realize minimal MCT. Eq. (2) indicates that each job must be allocated to only one factory. Eq. (3) guarantees that all operations belonging to a job must be machined within the same factory. Eqs. (4) and (5) stipulate that an operation ought to be processed on machines only once. Eq. (6) indicates that each operation can be only handled by the machines that are available for the operation. Eq. (7) defines that an operation possesses a unique predecessor operation and a unique successor operation. Eq. (8) implies that each dummy operation possesses at most one successor operation. Eqs. (9) and (10) define the production start time of operations. Eqs. (11) and (12) give the production completion time of operations and jobs, respectively. Eq. (13) ensures that a product can be assembled only once. Eq. (14) indicates that a product has only one predecessor product and one successor product. Eq. (15) signifies that a dummy product has at most one successor product. Eqs. (16) and (17) define the assembly start time of products. Eq. (18) formulizes

the assembly completion time of products. Eq. (19) defines the MCT. Eqs. (20)–(23) provide the values of decision variables.

4 Proposed Algorithm

Drawing inspiration from the co-evolutionary processes found in nature, numerous studies are devoted to designing various collaborative approaches to further enhance the performance of evolutionary algorithms when tackling intricate engineering optimization challenges [42,43]. The fundamental concept of co-evolutionary strategies revolves around partitioning a complex system into distinct subsystems, with each subsystem undergoing independent evolution. Then, the evolved subsystems are combined into a new system to achieve the goal of overall evolution [44]. By integrating co-evolutionary technologies, meta-heuristics are empowered to perform more efficient searches. This is because they can simultaneously explore the solution space for optimal solutions from multiple directions.

Meanwhile, local search methods are widely adopted to further improve the exploitation abilities [40]. Moreover, Q-learning, one of the most well-known reinforcement learning methods, is widely utilized to assist meta-heuristics in selecting search strategies and user parameters to enhance algorithms' performance [41]. Thus, a Q-learning-based iterative local search method is designed to refine individuals. Then, a co-evolutionary algorithm combined with it (QA-CEA) is developed to deal with the problem under consideration. Among them, multiple populations are established according to production decisions. Each population independently evolves to search for better decisions. Finally, the optimal solution to the studied problem is derived by integrating individuals in multiple populations. The introduction of QA-CEA is provided as follows.

4.1 Encoding and Decoding Methods

This research employs an integer string $\pi = (\pi^F, \pi^M, \pi^O)$ with three substrings to represent a solution. $\pi^F = (\pi_1^F, \pi_2^F, \dots, \pi_j^F)$ denotes the factory allocation of jobs, and π_k^F indicates a factory index assigned to job k . $\pi^M = (\pi_1^M, \pi_2^M, \dots, \pi_j^M)$ implies the machine assignment of operations, $\pi_k^M = (\pi_{k1}^M, \pi_{k1}^M, \dots, \pi_{kq_k}^M)$ denotes the machine assignment as regards all operations belonging to job k , and π_{kl}^M refers to a machine index to which operation O_{kl} is arranged. $\pi^O = (\pi_1^O, \pi_2^O, \dots, \pi_s^O)$ represents the processing sequence of operations, and s indicates the total quantity of operations about all jobs. Each element represents a job index, and the number of occurrences of a job index signifies the corresponding operation respecting this job.

Fig. 2 showcases an illustrative example of the encoding method. π^F represents that jobs 1, 2, and 3 are severally assigned to factories 1, 1, and 2 for processing. From π^M , it is found that O_{11} and O_{12} are respectively arranged to be handled on machines 1 and 2. π^O depicts that O_{31} is arranged to machine 2 in factory 2 for fabricating at first, and then O_{11} is arranged to machine 1 in factory 1 for performing. In this way, all production decisions can be obtained. Once the production decisions have been made, the decisions in the assembly phase are determined by a designed decoding approach as mentioned later. Thus, all the decisions regarding the problem under consideration are obtained.

It is noteworthy that the aforementioned encoding methodology solely encapsulates the decisions pertinent to the production phase, and the decisions about the assembly phase are not explicitly derived. Thus, this research meticulously crafts a decoding approach as follows: (1) When jobs are processed in production factories, a left shift method is adopted [45] to reduce idle time; (2) In the assembly phase, a product is assembled once its involved jobs finish being handled in the production phase, and the assembly start time for a given product is equal to the latest production completion time of all jobs that constitute the particular product. Based on the above method, a solution can be directly decoded into an executable program.

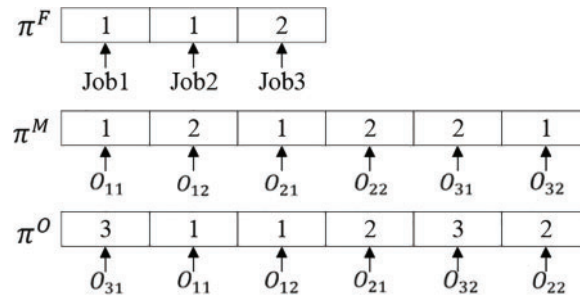


Figure 2: Illustration of the encoding method

4.2 Population Initialization

The encoding scheme comprises three substrings, each one corresponds to a distinct decision. To tackle these decisions individually, this work introduces three populations: $\mathbb{P}_F$, $\mathbb{P}_M$, and $\mathbb{P}_O$. Each population is dedicated to exploring one of the decisions. $\mathbb{P}_F$ aims to search for a better factory allocation of jobs, where each individual is linked to π^F in π . $\mathbb{P}_M$ focuses on obtaining a preferable machine arrangement of operations, where each individual corresponds to π^M in π . $\mathbb{P}_O$ focuses on finding a superior operation processing sequence, where each individual is related to π^O in π .

To produce populations with diverse and high-quality characteristics, this research employs a blend of heuristic rules and stochastic methods to initialize populations with individuals. The combination method is offered below.

In $\mathbb{P}_O$, the first two individuals are generated using the most work remaining and most operation remaining [46] rules, respectively. The remaining individuals are created by using random methods. In $\mathbb{P}_F$, we adopt an NRI rule [47] to produce the first two individuals, and randomly generate the rest individuals. In $\mathbb{P}_M$, the first two individuals are created by employing the minimum completion time and operation minimum processing time [46] rules, respectively. The remaining individuals are generated at random. Based on the above methods, we can generate $\mathbb{N}$ individuals for the three populations, respectively, where $\mathbb{N}$ denotes the population size.

It is noteworthy that a solution is made up of three components. Each component corresponds to an individual in each population. To assess the effectiveness of individuals across these three populations, we select individuals with the same indices from $\mathbb{P}_F$, $\mathbb{P}_M$, and $\mathbb{P}_O$ to form a solution. Importantly, the objective value of this constructed solution is identical to the objective values achieved by the individuals themselves.

4.3 Evolutionary Strategies

In QA-CEA, genetic operations are used as evolutionary strategies to achieve independent evolution of populations. The specific process is described as follows.

- (1) Evolutionary strategies of $\mathbb{P}_F$: (a) A binary selection method [48] is adopted to select two individuals from $\mathbb{P}_F$ as parent individuals. (b) A two-point crossover [49] method is employed to create new individuals. It randomly selects two positions on π^F . The elements between two positions in the new individual come from one parent individual, and the remaining elements are from the other parent individual. (c) A mutation strategy with a probability of σ is applied, randomly chooses a job, and alters its factory index in π^F . Notably, the best-performing individual is directly propagated to the next generation, and the aforementioned process is utilized to create the remaining $\mathbb{N} - 1$ individuals.

In addition, an example is used to illustrate the crossover method and mutation strategy as shown in Fig. S1a in the Supplementary File.

- (2) Evolutionary strategies of $\mathbb{P}_M$: (a) The same parent individual selection approaches as evolutionary strategies of $\mathbb{P}_F$ are used. (b) A uniform crossover method [50] is used to create new individuals. A binary string is produced. If the corresponding numbers of elements in new individuals are zero, they are derived from one parent individual; Conversely, they are derived from the other parent individual. (c) A mutation approach having probability σ is executed. It randomly chooses an operation and adjusts its machine index in π^M . The generation method of the new population is the same as the evolutionary strategies of $\mathbb{P}_F$. To better explain the crossover method and mutation strategy, this work provides an example as reported in Fig. S1b in the Supplementary File.
- (3) Evolutionary strategies of $\mathbb{P}_O$: (a) The same parent individual selection approaches as evolutionary strategies of $\mathbb{P}_F$ are adopted. (b) A precedence operation crossover [51] method is employed to create new individuals. It randomly generates a job set, and elements in new individuals in the set are inherited from one parent individual in the same position. The remaining elements come from the other parent individual in the corresponding order. (c) A mutation approach with probability σ is used. It randomly selects two operations and exchanges their positions on π^O . The construction method of the new population adheres to the same evolutionary strategies employed in $\mathbb{P}_F$. This work offers an example to explain the evolutionary strategies, as given in Fig. S1c in the Supplementary File.

4.4 Q-Learning-Assisted Iterative Local Search

This work designs a Q-learning-assisted iterative local search method to improve the best solution identified during the historical search procedure, aiming to further enhance the exploitation capabilities of QA-CEA. The specific description is offered as follows.

4.4.1 Neighborhood Structures

Based on the characteristics of the problem under study, this research proposes a concept of critical products and jobs to further explore the problem's knowledge. The critical product refers to the last product that has idle time before being assembled on the last completed machine in the assembly phase. The critical jobs are all the jobs that constitute the critical product. Fig. 3 gives an illustration of critical products and critical jobs. It is seen that the critical product is product 1, and the critical jobs are jobs 1 and 2. Adjusting the factory allocation, machine arrangement, and processing sequence of the critical jobs is likely to change the MCT of a solution.

Based on this, this work devises three neighborhood structures as follows:

$\mathcal{L}_1$: Select a critical job at random and change its factory index in π^F .

$\mathcal{L}_2$: Choose an operation of a critical job randomly and change its machine index in π^M .

$\mathcal{L}_3$: Select an operation of a critical job, and swap it with a randomly chosen operation in π^O .

4.4.2 Q-Learning-Assisted Selection of Neighborhood Structures

To intelligently help the best solution to select neighborhood structures, a Q-learning method is adopted by utilizing historical search information at each iteration. Currently, the Q-learning methods are widely used in combination with meta-heuristics to improve their search abilities [39]. The Q-learning method mainly includes five parts: agents, states, actions, reward value, and Q-table. In QA-CEA, the introduction of the Q-learning method is given as follows.

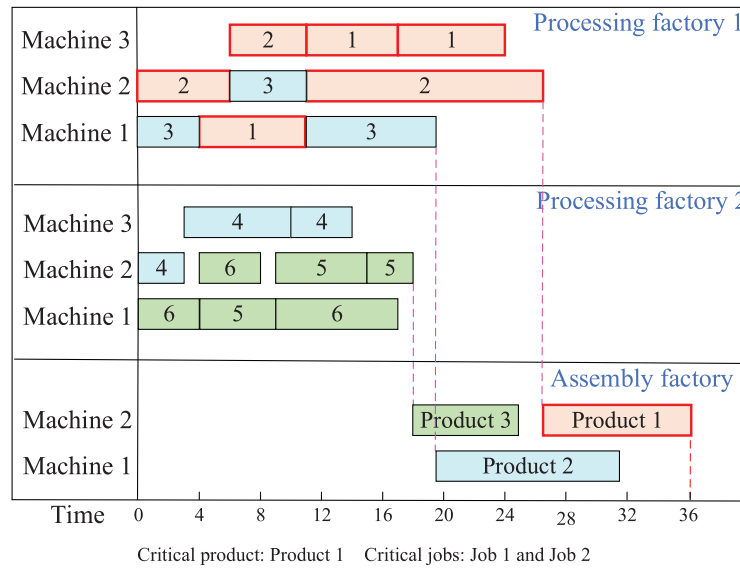


Figure 3: Illustration of the critical product and critical jobs

(1) Agent and state

In this work, the best solution at each iteration is regarded as an agent since it can directly reflect the effectiveness of neighborhood structures. Based on the difference between the objective values of the best solution π_b and a new solution π_n obtained by using a selected neighborhood structure, we define three states: $\Delta\mathbb{O} > 0$, $\Delta\mathbb{O} = 0$, and $\Delta\mathbb{O} < 0$, which are indexed as 1, 2, and 3, respectively. Thereinto, $\Delta\mathbb{O} = \mathbb{O}(\pi_b) - \mathbb{O}(\pi_n)$, where $\mathbb{O}(\pi_b)$ and $\mathbb{O}(\pi_n)$ represent the objective values of π_b and π_n , respectively.

(2) Action

This work designs three actions in QA-CEA, which are named actions 1, 2, and 3, respectively. Each action corresponds to a neighborhood structure, i.e., actions 1, 2, and 3 are associated with $\mathcal{L}_1$, $\mathcal{L}_2$, and $\mathcal{L}_3$, respectively. In addition, an ε -greedy method [52] is adopted to help the agent select a promising action at each local search iteration. If a random number from an interval $[0, 1]$ is less than ε , the agent randomly selects an action, and otherwise it selects an action having the maximal Q value in the current state from the Q table. In QA-CEA, ε is set to 0.1.

(3) Reward value

After performing an action, the agent will receive a reward value. According to the definition of states, we find that state 1 is the best since the new individual is better than the original individual. On the contrary, state 3 is the worst. Therefore, this research proposes a reward function based on state changes, which is defined as follows.

$$r_t = \begin{cases} s_{t-1} - s_t, & s_t < s_{t-1} \\ (\mathbb{S} - s_t) / 2, & s_t = s_{t-1} \\ 0, & \text{other} \end{cases} \quad (24)$$

where the symbols “ $\mathbb{S}$ ” and “ s_t ” indicate the number of states and the agent state at the t -th local search iteration, respectively. r_t represents the reward value obtained by the agent after the chosen action is performed.

(4) Q-table update

The Q value in the Q-table is used to store the search information after reward values are acquired during the iteration process. In QA-CEA, the updated equation of the Q-table is provided as follows.

$$Q(s_t, a_t) \leftarrow Q(s_t, a_t) + \alpha \times (r_t + \gamma \times \max\{Q(s_{t+1}, a)\} - Q(s_t, a_t)) \quad (25)$$

where the symbol a_t indicates the chosen action of an agent at the t -th local search iteration. $\max\{Q(s_{t+1}, a)\}$ implies the maximum Q value in the Q-table at s_{t+1} . The symbols α and γ represent the learning rate and discount factor, respectively. It is noteworthy that all elements within the Q-table are initialized with a value of zero.

4.4.3 Local Search Methods

This work designs a Q-learning-assisted iterative local search (ILS) method to improve the best solution at each iteration. The pseudo-code of ILS is shown in Algorithm S1 in the Supplementary File, where μ is a given parameter.

4.5 Framework of the Proposed Algorithm

All the components of QA-CEA are described above. To illustrate their execution methods, we provide a flowchart of QA-CEA as shown in Fig. 4. The concrete procedure is as follows. First, all algorithm parameters and populations are initialized. Second, the following processes are looped: (1) The evolutionary strategies based on crossover and mutation operations are conducted to update populations; (2) The Q-learning-assisted ILS approach is performed to enhance the best individual. Finally, if a given stopping condition is satisfied, the best solution is output.

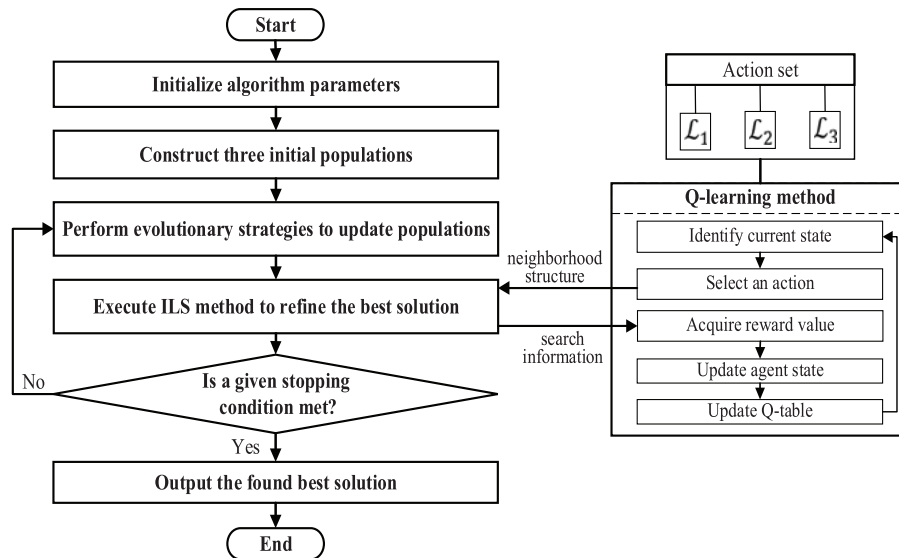


Figure 4: Flowchart of QA-CEA

5 Experiment Results and Discussions

To evaluate QA-CEA's effectiveness in tackling the considered problem, comparison experiments are conducted on a set of instances. Three advanced metaheuristics are chosen from existing literature as

competitive algorithms, namely, hybrid genetic algorithm (HGA) [53], hybrid teaching-learning-based optimization (HTLBO) [54], and improved gray wolf optimization (IGWO) [55], respectively. All the approaches are coded in C++ and executed on computers equipped with an Intel Core i5-8265U CPU @ 1.60 GHz processor and 8 GB of RAM.

5.1 Problem Instance Generation

To test the capacities of the QA-CEA in working out the studied problem, this work constructs a group of instances as shown in Table S1 in the Supplementary File. The number of jobs, job processing time, and the number of machines at factories come from flexible job shop scheduling problems of the Hurink, Jurisch & Thole benchmark [56]. The quantity of jobs, machines, and factories belong to $\{20, 40, 60, 80, 100\}$, $\{5, 10\}$, $\{2, 3, 4\}$, respectively. Furthermore, the number of products is equal to $0.3 \cdot j$. The quantity of assembly machines is from $\text{roundup}(0.2 \cdot n)$, where $\text{roundup}(X)$ is the largest integer close to X . The assembly time of products is uniformly generated from an interval $[1, 99]$. Through the above approaches, this work establishes 30 instances named “DPSAab”, where “ab” indicates an instance index.

5.2 Performance Metrics

In the following comparisons, this article uses the maximum running time of $1.5 \cdot m \cdot j$ s as the termination criteria for all the used methods. Each instance is handled independently 20 times. We use the relative percentage deviation (RPD) to evaluate the results of QA-CEA and its peers, and the computation equation is given below.

$$RPD = \frac{C_M^\lambda - C_M^*}{C_M^*} \times 100\% \quad (26)$$

The symbol C_M^* represents the best objective value received by QA-CEA and its rivals, and C_M^λ denotes the objective value obtained by the method at the λ -th run. Subsequently, the average RPD (*aRPD*), the best RPD (*bRPD*), and the standard deviation of RPD (*sRPD*) are computed across all instances. The smaller values of *aRPD*, *bRPD*, and *sRPD* indicate the superior abilities of the respective method.

5.3 Parameter Setting

To investigate the impact of parameters $\mathbb{N}$, σ , μ , α , and γ on the performance of QA-CEA, Taguchi experiment methods [57] are conducted on the instance DPSA15. Each parameter encompasses four distinct levels: $\mathbb{N} \in \{30, 50, 70, 90\}$, $\sigma \in \{0.1, 0.2, 0.3, 0.4\}$, $\mu \in \{10, 15, 20, 25\}$, $\alpha \in \{0.2, 0.4, 0.6, 0.8\}$, and $\gamma \in \{0.2, 0.4, 0.6, 0.8\}$. Thus, we adopt an orthogonal array $L_{16}(4^5)$ with 16 parameter combinations. For every combination of parameters, QA-CEA is executed 20 times for solving this instance. The stopping condition for each run is set to $1.5 \cdot m \cdot j$ s. The objective value functions as the response variable (RV), with the average of the 20 executions serving as the average RV (ARV). All the parameter combinations alongside their respective outcomes are reported in Table S2 in the Supplementary File.

Table S3 provides the significance level of parameter combinations. It is observed that $\mathbb{N}$ possesses the strongest impact on the solving abilities of QA-CEA, followed by σ , μ , γ , and α . The impact trends of each parameter are illustrated in Fig. S2 in the Supplementary File. It is found that a better parameter combination of QA-CEA is: $\mathbb{N} = 30$, $\sigma = 0.1$, $\mu = 15$, $\alpha = 0.6$ and $\gamma = 0.8$. This combination will be used in subsequent experiments.

Furthermore, to show the structure and direct result of DFJSP with assembly operations more clearly, a Gantt chart of results obtained by QA-CEA solving instance DPSA01 is provided as reported in Fig. 5.

DPSA01 has two factories, five processing machines per factory, 20 jobs, five operations per job, two assembly machines, and six products. In Fig. 5, jobs with the same color markings at processing factories are assembled into products with the same color markings at an assembly factory. It is seen that the MCT of the schedule is 750.

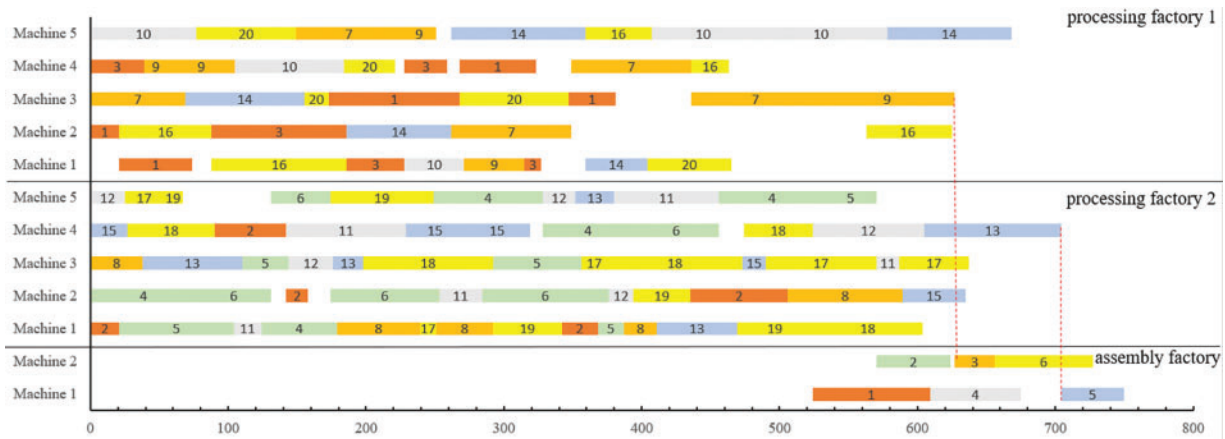


Figure 5: Gantt chart of results regarding DFJSP with assembly operations

5.4 Effectiveness of Q-Learning Approaches

This research uses a Q-learning approach to enhance the performance of QA-CEA. To validate the efficacy of the Q-learning approach, this article devises a variant of QA-CEA (named CEA) which randomly selects a neighborhood structure for the best solution in the local search phase. The 15 instances having different sizes are employed to perform the comparison experiments between QA-CEA and CEA.

The comparison results of QA-CEA and CEA in metrics $aRPD$, $bRPD$, and $sRPD$ are reported in Table S10 in the Supplementary File. QA-CEA achieves better results than CEA in solving the used instances. Meanwhile, the t -test method at a significance level of 0.05 with a freedom degree of 38 [40] is used to analyze comparison results. The symbols “+”, “~”, and “-” indicate that QA-CEA is significantly better than, statistically equal to, and significantly worse than CEA. It is seen that QA-CEA is significantly better than CEA in most instances. Thus, it is declared that the incorporation of the Q-learning approach significantly contributes to enhancing the performance of QA-CEA in handling the targeted problem.

5.5 Comparisons between QA-CEA and Its Rivals

This work selects three well-known meta-heuristics, i.e., HGA, HTLBO, and IGWO, as competitive methods to test the performance of QA-CEA for the studied problem. In their original work, the three methods are adopted to solve DFJSPs. Meanwhile, the same solution representation approaches with QA-CEA are used. Therefore, they can be straightforwardly extended to handle the considered problem. Consequently, this research chooses them as competitive methods. To ensure the fairness of comparison experiments, we perform the Taguchi experiments to meticulously adjust the user parameters of the rival methods. The exhaustive experimental outcomes are appended in the Supplementary File, while the finalized parameter configurations are clearly outlined in Table 1.

The comparison outcome of QA-CEA and its rivals is given in Table S11 in the Supplementary File. In terms of metrics $aRPD$, $bRPD$, and $sRPD$, we see that QA-CEA beats HGA, HTLBO, and IGWO in most instances. It is discovered that QA-CEA realizes better results than HGA, HTLBO, and IGWO in general.

The average of $aRPD$, $bRPD$, and $sRPD$ values in all the instances, we find that QA-CEA obtains smaller values than its comparison algorithms. Therefore, we deduce that QA-CEA is an excellent solver.

Table 1: Parameter settings of competitive methods

Algorithm	Parameter setting
HGA	Population size: 70; Mutation rate: 0.05.
HTLBO	Population size: 70; Local search times: 10; Population initialization ratio: 0.8.
IGWO	Population size: 90; Local search times: 8; Leader ratio: 0.05.

Subsequently, we provide the boxplot graphs of four instances with several scales as shown in Fig. 6. It is seen that QA-CEA has more stable and centralized results than its competitors. Therefore, we confirm that QA-CEA is more suitable to settle the studied problem.

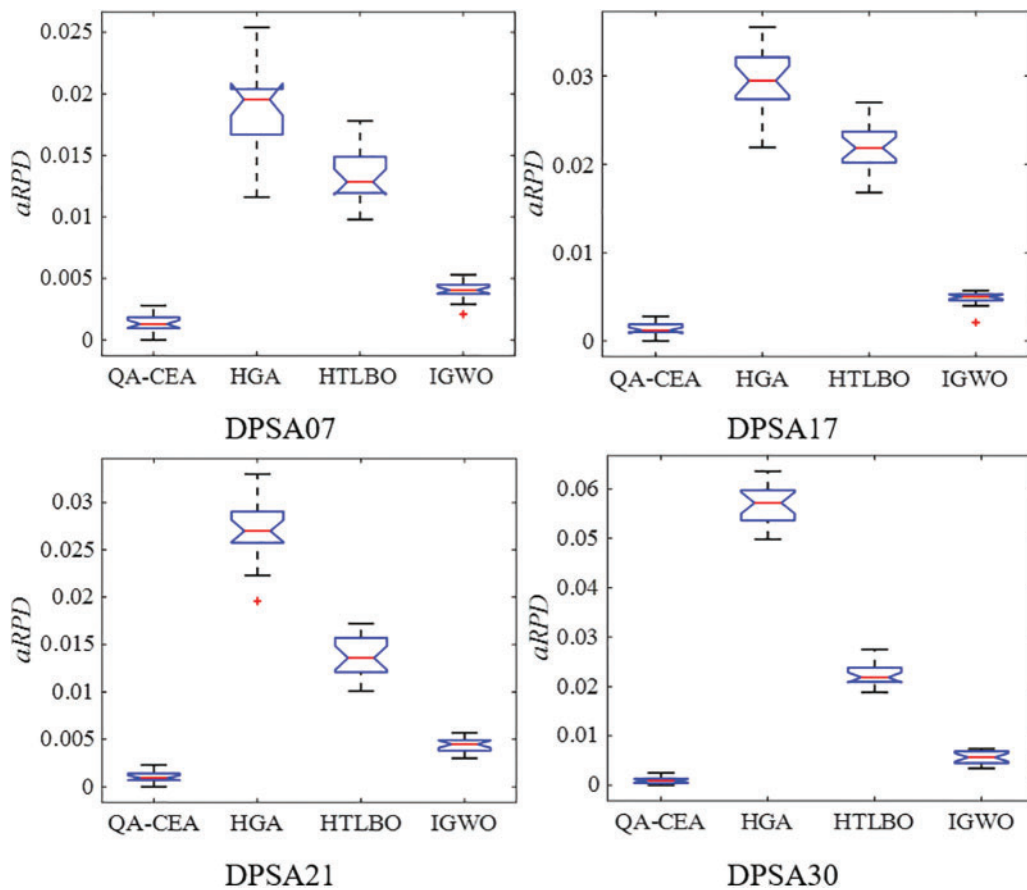


Figure 6: Boxplot graphs of instances regarding QA-CEA and the peers

In addition, this work adopts the t -test, Friedman test [40], and Nemenyi posthoc test [44] approaches to analyze the statistical difference in results obtained by the four methods. The detailed analysis and discussion are given as follows.

(1) The t -test results are reported in Table S11 in the Supplementary File. We see that QA-CEA is significantly superior to HGA and HTLBO in all 30 instances, and significantly superior to IGWO in most instances. (2) The Friedman test at a significance level of 0.05 for $aRPD$ values is adopted. The statistic outcomes are offered in Table S11 in the Supplementary File, and the average ranks are given in Fig. 7. We can find that the average ranks of QA-CEA, HGA, HTLBO, and IGWO are 1.0667, 3.9000, 3.1000, and 1.9333, respectively. The p -value is 0.0000, signifying that the outcomes acquired by the four algorithms are significantly different. (3) The Nemenyi posthoc test at a significance level of 0.05 is adopted to scrutinize the performance disparities between QA-CEA and its competitors. Based on Table S11 in the Supplementary File, we see that the average rank differences between QA-CEA and HGA, HTLBO, and IGWO are respectively 2.8333, 2.0333, and 0.8666, all exceeding the critical range value of 0.8563. It is manifested that QA-CEA is significantly better than its competitors.

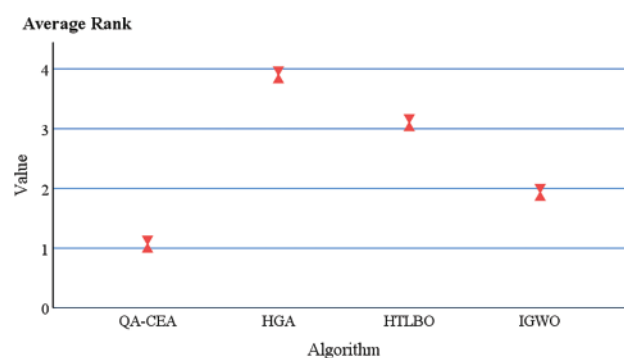


Figure 7: Average ranks of four algorithms

6 Conclusions and Future Research

This article presents distributed flexible job scheduling problems with assembly operations to minimize the MCT. To address the problem, this article formulates a mixed integer programming model. Then, this article proposes a Q-learning-assisted co-evolutionary algorithm to deal with the model. The developed algorithm mainly consists of specific solution representation, population initialization methods, crossover approaches, and local search methods based on Q-learning. To evaluate the performance of the developed algorithm, comparison experiments are carried out, and three popular meta-heuristics are chosen for comparisons. The results obtained underscore the remarkable abilities of the designed algorithm in coping with the considered problem. This research not only enriches the theoretical framework of integrated scheduling of production and assembly but also provides assistance for producers in making production and assembly scheduling decisions.

The future research will focus on the following points: (1) Considering more practical operations in supply chains based on the developed model, such as inventory and distribution; (2) Designing more effective methods integrating meta-heuristics with reinforcement learning techniques to tackle the studied problems.

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Availability of Data and Materials: All datasets generated during the study are available upon request from the primary author.

Ethics Approval: Not applicable.

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