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An Iterated Greedy Algorithm with Memory and Learning Mechanisms for the Distributed Permutation Flow Shop Scheduling Problem

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ABSTRACT

The distributed permutation flow shop scheduling problem (DPFSP) has received increasing attention in recent years. The iterated greedy algorithm (IGA) serves as a powerful optimizer for addressing such a problem because of its straightforward, single-solution evolution framework. However, a potential draw-back of IGA is the lack of utilization of historical information, which could lead to an imbalance between exploration and exploitation, especially in large-scale DPFSPs. As a consequence, this paper develops an IGA with memory and learning mechanisms (MLIGA) to efficiently solve the DPFSP targeted at the mini-mal makespan. In MLIGA, we incorporate a memory mechanism to make a more informed selection of the initial solution at each stage of the search, by extending, reconstructing, and reinforcing the information from previous solutions. In addition, we design a two-layer cooperative reinforcement learning approach to intelligently determine the key parameters of IGA and the operations of the memory mechanism. Meanwhile, to ensure that the experience generated by each perturbation operator is fully learned and to reduce the prior parameters of MLIGA, a probability curve-based acceptance criterion is proposed by combining a cube root function with custom rules. At last, a discrete adaptive learning rate is employed to enhance the stability of the memory and learning mechanisms. Complete ablation experiments are utilized to verify the effectiveness of the memory mechanism, and the results show that this mechanism is capable of improving the performance of IGA to a large extent. Furthermore, through comparative experiments involving MLIGA and five state-of-the-art algorithms on 720 benchmarks, we have discovered that MLI-GA demonstrates significant potential for solving large-scale DPFSPs. This indicates that MLIGA is well-suited for real-world distributed flow shop scheduling.

KEYWORDS

Distributed permutation flow shop scheduling; makespan; iterated greedy algorithm; memory mechanism; cooperative reinforcement learning

1 Introduction

Distributed manufacturing is emerging as a dominant model in various industrial scenarios such as steelmaking-continuous casting and furniture assembly. It has numerous advantages over traditional manufacturing, such as reducing transportation costs, accelerating response to demand, mitigating production risks, and enhancing resilience [1]. In recent years, distributed permutation



flow shop scheduling problem (DPFSP) has been one of the fastest growing topics toward distributed manufacturing [2,3]. Compared to the permutation flow shop scheduling problem (PFSP), DPFSP requires additional consideration of jobs' allocation across different factories. Once the assignment is determined, there is a PFSP within each factory.

Since the DPFSP is more complex than the PFSP, some effective designs on the PFSP may not be applicable to directly solve the DPFSP. For this reason, a number of meta-heuristic algorithms have been customized based on the characteristics of the DPFSP, e.g., iterated greedy algorithm (IGA) [4–6], scatter search [7], memetic algorithm [8,9], and artificial bee colony [10]. It can be found that IGA is a common optimizer to tackle the DPFSP. The main reason is that the single-solution evolution framework of IGA is more simple and effective than population-based evolutionary algorithms in solving DPFSPs. Meanwhile, IGA also has some limitations because it focuses more on the current best or better solutions, while it ignores useful historical information.

Furthermore, the combination of reinforcement learning (RL) and meta-heuristics is also a hot research topic [7]. Depending on the characteristics of scheduling problems, some model-free RL approaches, such as Q-learning and Sarsa, can be used to help meta-heuristics choose operators [11,12], local search strategies [13–15], heuristics [16] or other decisions [17] without increasing the algorithm's complexity. To further improve the performance of IGA and approximate the solution's optimality of the DPFSP, this paper develops an IGA with memory and learning mechanisms (MLIGA). The specific contributions are summarized below:

(1) A memory mechanism is introduced for IGA to make full use of the information from historical solutions. By mimicking human memory patterns, this mechanism not only collects and expands historical solutions, but also reconstructs and reinforces them to provide more appropriate initial solutions for each iteration of the IGA according to the historical information.

(2) A two-layer cooperative RL mechanism is designed to adaptively determine the critical parameters of the IGA and the operations of the memory mechanism.

(3) A probability curve based acceptance criterion is employed to ensure that the experience generated by each perturbation operator is fully learned and reduce the prior parameters of the algorithm. This criterion, which combines a cube root function with custom rules, provides a dynamic balance between exploration and exploitation in our algorithm.

(4) Inspired by linear warm-up techniques, a discrete adaptive learning rate is proposed to enhance the stability of the memory and learning mechanisms.

The rest of this paper is organized below. [Section 2](#) reviews the related literature and summarizes some research gaps, followed by the developed MLIGA in [Section 3](#). To evaluate the performance of MLIGA and the effectiveness of some internal designs, [Section 4](#) conducts a series of comparative experiments and [Section 5](#) analyzes the results in detail. Finally, [Section 6](#) presents conclusions and future research directions.

2 Literature Review

In this section, we investigate the related works to emphasize the research gap between previous studies and this paper. A detailed and intuitive comparison can be found in Table S1 in the **Supplementary Document**. Since DPFSP is a classic standard problem, we will no longer provide a mathematical model here, but the model is available in [2].

2.1 DPFSP-Related Research

Since DPFSP was first proposed by [2], the related literature has been progressively enriched, leading to the proposal of a variety of meta-heuristic algorithms in succession. For example, Lin et al. [18] proposed a modified IGA. Naderi et al. [19] employed a scatter search algorithm with some advanced techniques. Zhao et al. designed a Q-learning enhanced fruit fly optimization algorithm [20].

Apart from those mentioned above, most of the research has focused on variants of the DPFSP. Lin et al. [21] proposed a backtracking search hyper-heuristic algorithm to solve the distributed assembly permutation flow shop scheduling problem. Lu et al. [22] addressed an energy-efficient scheduling of distributed flow shop with heterogeneous factories and designed a hybrid multiobjective optimization algorithm to optimize both makespan and total energy consumption. Fernandez-Viagas et al. [23] dealt with the DPFSP for minimizing the total flowtime. Lu et al. [24] proposed a knowledge-based multiobjective memetic optimization algorithm to investigate a sustainable DPFSP with a non-identical factory with objectives of minimizing makespan, negative social impact, and total energy consumption. Guo et al. [25] employed an effective fruit fly optimization algorithm for the DPFSP with the optimization goal of minimizing the total flowtime. Lu et al. [26] designed a Pareto-based collaborative multiobjective optimization algorithm to study an energy-efficient scheduling of DPFSP with limited buffers with the optimization of makespan and total energy consumption. There has been scant literature on the standard DPFSP in the past five years. In this paper, we propose a more efficient algorithm for the standard DPFSP to explore better solutions on the benchmarks, which can also be easily extended to deal with variants of the DPFSP.

2.2 IGA-Related Research

IGA has been widely used in addressing DPFSPs due to its simple and effective single-solution evolution framework [4–6]. Despite previous efforts, it also has some limitations. On the one hand, it usually fails to utilize valuable information from historical solutions (i.e., memories), which may cause an imbalance between exploration and exploitation. Therefore, we design a memory mechanism to deal with the limitation. By mimicking human memory patterns, this mechanism not only collects and expands historical solutions, but also reconstructs and reinforces them to provide more appropriate initial solutions for each iteration of the IGA according to the historical information. This comprehensive approach to leveraging historical solutions is not commonly found in previous studies.

On the other hand, the majority of relevant literature uses the simulated annealing based acceptance criterion in the proposed IGA [27]. A potential drawback of this acceptance criterion is to introduce an extra parameter that needs to be tuned beforehand [4–6]. To improve such a deficiency, we propose a probability curve based acceptance criterion by combining the cube root function with customized rules for IGA, which does not introduce an additional parameter.

2.3 Application of RL in DPFSPs

Due to the superior performance of RL on decision-making problems [28], combining meta-heuristic algorithms with RL has become a hot topic in the field of scheduling, in which the widely used RL approach is Q-learning. For instance, Zhao et al. [11] utilized the Q-learning algorithm to choose the appropriate perturbation operators during iterations. Chen et al. [29] dynamically selected the scheme of task splitting as action by employing Q-learning algorithm. Zhao et al. [16] employed the Q-learning algorithm to choose an appropriate low-level heuristic. Jia et al. [17] adaptively adjusted the size of each subpopulation in the memetic algorithm via Q-learning. Yu et al. [30] embedded Q-learning algorithm into meta-heuristics to select the premium local search strategy during iterations.

Different from the above studies, Zhao et al. [14] employed two independent Q-learning algorithms to control two subpopulations in parallel. A major disadvantage is the lack of communication and cooperation between learning agents. In our work, we propose a two-layer cooperative reinforcement learning mechanism that intelligently determines key parameters of the IGA and controls operations of the memory mechanism. The learning mechanism, which features direct communication and cooperation between layers, is a novel concept not yet explored in the literature. Furthermore, inspired by linear warm-up techniques, we incorporate a discrete adaptive learning rate to enhance the stability of the learning mechanisms, which is another innovative aspect of our work.

3 The Proposed Approach

As discussed above, we propose a novel algorithm named MLIGA to solve the DPFSP aimed at minimizing makespan. Later in this work, C_{max} as a convenient notation is used to denote the makespan of a solution. In this section, the framework of MLIGA is first presented, followed by the specific designs regarding IGA, memory and learning mechanisms.

3.1 The Framework of MLIGA

As shown in Fig. 1, MLIGA starts with an initial solution using $NEH2_en$ and $LocalSearch_{IG}$ [5]. Subsequently, the solution first passes through an episode, whose size E is determined by the $Accept_{IG}$, which is the probability curve based acceptance criterion. An episode consists of three basic elements of IGA (i.e., *perturbation*, *local search* and *acceptance*) and the *brainstorm*, in which the solutions in OM will be extended by using *swap* operators and then stored in NM . After an episode, the first layer of RL, i.e., RL_{IG} , will be used to learn the experiences from the episode, to control some parameters, and to pass information to the second layer of RL. And then, OM will be updated by $MemoryUpdate$ for next iteration. After that, the local/global solutions will be updated by using $Accept_{Memory}$, which is tailored to the framework of MLIGA. At last, the second layer of RL, i.e., RL_{Memory} , is employed just like the first layer of RL. The whole process is repeated until the termination criterion is met. The detail procedure of MLIGA is described in Algorithm 1.

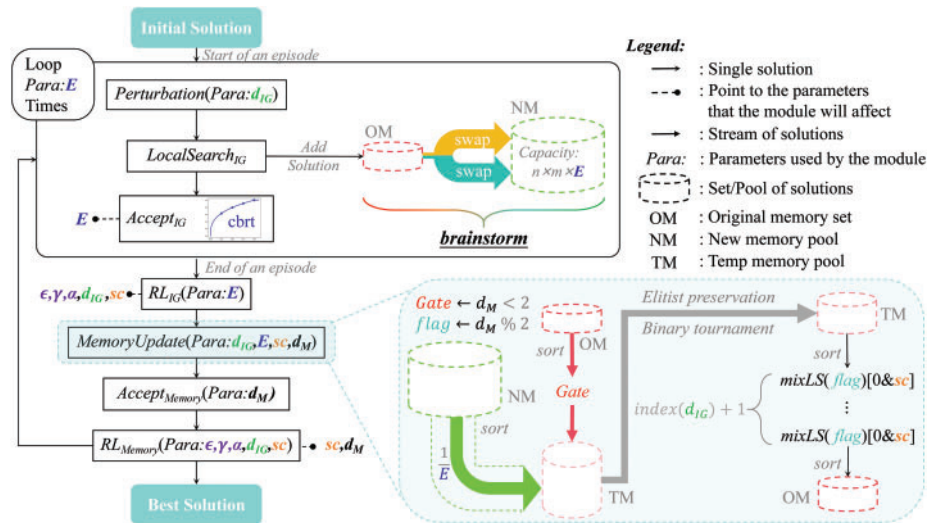


Figure 1: The framework of the proposed MLIGA

Algorithm 1: Pseudo code of the proposed MLIGA

Input: d_{list} // Defined in Table 1
Output: π^* // Best solution found

- 1 $\pi \leftarrow LocalSearch_{IG}(Initialization())$ // Ref Section 3.2
- 2 $\pi^* \leftarrow \pi, OM \leftarrow \pi^*$ // Record the best solution and initialize OM
- 3 **Initialize** the parameters of RL_{IG} and RL_M
- 4 **While** $terminationCriterion$ **do**
- 5 $C_{prev} \leftarrow C_{max}(\pi), C_{prev}^* \leftarrow C_{max}(\pi^*), C \leftarrow C_{prev}, C^* \leftarrow C_{prev}^*, NM \leftarrow \emptyset, E \leftarrow 0, Idx \leftarrow 1$
- 6 **Repeat** // Start of an episode
- 7 $\pi' \leftarrow LocalSearch_{IG}(perturbation(\pi))$ // Basic IGA elements (Ref Section 3.2)
- 8 $OM \leftarrow OM \cup \pi', E \leftarrow E + 1$ // Update OM and E
- 9 $NM \leftarrow brainstorm$ // Extending memories using $swap$ operators (Ref Section 3.3.1)
- 10 $\pi, \pi^*, C, C^*, Idx \leftarrow Accept_{IG}$ // Probability curve based acceptance criterion (Ref Section 3.2)
- 11 **Until** the episode is terminated by $Accept_{IG}$ // End of an episode
- 12 $\alpha, \epsilon, \gamma, Q_{IG}, s_{IG}, d_{IG}, sc \leftarrow RL_{IG}$ // First layer of RL (Ref Section 3.4.1)
- 13 $C_m \leftarrow C^*, OM \leftarrow MemoryUpdate$ // Record C^* , reconstruct and reinforce OM (Ref Section 3.3.2)
- 14 $\pi, \pi^*, C^* \leftarrow Accept_{Memory}$ // Ref Section 3.3.3
- 15 $Q_M, s_M, d_M, sc \leftarrow RL_{Memory}$ // Second layer of RL (Ref Section 3.4.2)
- 16 **End While**

3.2 Design of the IGA

Apart from the *acceptance*, other elements of IGA have not been specifically designed and the details can be found in the **Supplementary Document** or related literature. First, for DPFSP, we adopt a widely-used solution representation proposed by [2], consisting of a set of F lists, each of which is a sequence of jobs processed within a factory. As for the initialization procedure, it comprises two steps: 1) First, we utilize the *NEH2_en* [5] to generate the initial solution; 2) Second, similar to other IGAs, we apply a *local search* to refine the initial solution just obtained. The *perturbation* consists of two parts: *destruction* and *construction*. We adopt the *destruction* employed by [5] and the *construction* proposed by [18]. The *local search* employed in IGA, denoted as $LocalSearch_{IG}$, is the *LS3* proposed by [5].

As illustrated in Fig. 1, each perturbation operator d_{IG} is given an episode of size E to help solutions escape from the local optima and to learn sufficient and efficient experience for RL_{IG} . When each perturbation operator acts only once (i.e., $E = 1$), it resembles the basic IGA framework. However, in this scenario, the learned experience in RL_{IG} will exhibit significant bias, and handling memories becomes challenging. When each perturbation operator acts for a fixed number of iterations (i.e., $E > 1$), there is a trade-off: if the operator fails to yield improvements, the additional iterations can lead to wastage of computational resources; Conversely, if it consistently enhances solutions, insufficient iterations can limit its performance. Hence, determining the optimal E -value in advance is difficult. It is a good choice to adaptively adjust the E -values based on the performance of the operators.

Following the above analysis, we design a probability curve based acceptance criterion, i.e., $Accept_{IG}$. The cube root function is chosen as the probability generating function. Based on the characteristic of the problem, the formula of the probability curve is defined as (1).

$$cbrt(x) = \begin{cases} \sqrt[3]{x}, & 0 \leq x < 1 \\ 1, & x \geq 1 \end{cases} \quad (1)$$

when $x = 0$, $cbrt(x)$ is 0, indicating that accepting worse solutions is not allowed; when $x \geq 1$, $cbrt(x)$ is 1, signifying that the worse solutions must be accepted. The acceptance probability P is defined as $P = cbrt(s^* \cdot Idx)$, where s^* denotes the step-size, and Idx is a variable that records the number of times inferior solutions are not accepted in an episode, and its initial value is set 1 before an episode starts. A smaller (larger) s^* leads to a smoother (more aggressive) increase in P , but results in larger (smaller) average sizes of the episodes. According to the primary experiments, we set s^* to 0.25.

Additionally, the design of $Accept_{IG}$ has the five characteristics: 1) As long as no worse solutions are accepted, P increases until it is 1. 2) After the first acceptance of an inferior solution, the episode is not terminated as long as there are local improvements. 3) After the second acceptance, the episode is not terminated only if global improvements exist. 4) Global improvements allow $Accept_{IG}$ to be partly reset to the original state of the episode, but if worse solutions have already been accepted, E_{cou} is set to the state of the first acceptance. 5) Neither local nor global improvements can reset the P .

The detailed procedure of $Accept_{IG}$ can be seen from Algorithm S3 in the **Supplementary Document**. Although both $Accept_{IG}$ and traditional simulated annealing based acceptance criteria are able to accept inferior solutions, the former has two advantages over the latter in our problem: 1) It can fully utilize the potential of the operator d_{IG} and enhance the quality of learning in RL_{IG} . 2) There are no parameters to be determined in advance.

3.3 Memory Mechanism

The memory mechanism includes three main elements: *brainstorm*, *MemoryUpdate* and $Accept_{Memory}$. The *brainstorm* extends memories simply, while *MemoryUpdate* reconstructs and reinforces memories. Finally, the local/global solutions are updated by $Accept_{Memory}$. In this section, they will be introduced in turn. It is worth mentioning that memories are the solutions in our work.

3.3.1 Extension of Memories

As shown in Fig. 1, in each episode, every solution obtained after $LocalSearch_{IG}$ is added into the OM . Subsequently, memories in NM are extended using *brainstorm*, which is detailed in Algorithm 2. To adapt the memory mechanism to different instances, each expansion size of NM is determined by the instance characteristics ($n \times m$, n and m represent the number of the jobs and machines). Moreover, solutions in OM undergo extension using the *swap* operator. To prevent stagnation in local optima, the binary tournament strategy is employed here to select better solutions from the OM .

Algorithm 2: Pseudo code of *brainstorm* (.)

Input: OM , NM // Original memory set, New memory pool

Output: NM

```

1   For 1: int( $n \times m/2$ ) do // critical factories: factories with the largest  $C_{max}$ 
2       Randomly select two better solutions  $\pi'_1$  and  $\pi'_2$  from  $OM$  // swap operator: exchange two jobs
```

(Continued)

Algorithm 2 (continued)

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3       Perform a swap operator on each of the critical factories in  $\pi'_1$ 
4       Perform swap operators between each critical factory and another non-critical factory in
 $\pi'_2$ 
5       End For

```

3.3.2 Reconstruction and Reinforcement of Memories

Following RL_{IG} , OM is reconstructed and reinforced by *MemoryUpdate*, which is illustrated in Algorithm 3. In this process, *Gate*, decided by d_M , determines whether to forget the memories from the original OM . After the preservation of the elite solution(s) in OM (if any) and NM , the remaining memories in the new OM are supplemented from TM through the binary tournament strategy. To maintain diversity in OM , C_{max} of the supplemented solutions differs from that of the preserved elite solution(s).

Algorithm 3: Pseudo code of *MemoryUpdate* (.)

Input: $OM, NM, E, d_{list}, d_{IG}, d_M, sc$ // $d_{list}, d_{IG}, d_M, sc$ are defined in Table 1

Output: OM // Reconstructed and reinforced OM

```

1        $OM \leftarrow sort(OM), NM \leftarrow sort(NM)$  // Arrange solutions in descending order based on the
fitness
2        $len_{OM} \leftarrow len(OM), len(NM) \leftarrow len(NM)$  // Get the number of solutions in  $OM$  and  $NM$ ,
respectively
3        $len_{newOM} \leftarrow \min\{int(n \times m/12), len_{OM}\}$  // Initialize the number of solutions in the new  $OM$ 
4        $NM \leftarrow NM[: len_{NM}/E]$  //  $len_{NM}/E$  is the number of solutions retained in  $NM$ 
5       If Gate then // Elitist preservation strategy, Gate is True if  $d_M < 2$  and False otherwise
6          $OM \leftarrow OM[0] \cup NM[0], TM \leftarrow OM[1:] \cup NM[1:]$ 
7       Else
8          $OM \leftarrow NM[1:], TM \leftarrow NM[1:]$  // Forget mechanism
9       End If
10      While  $len(OM) < len_{newOM}$  do // Supplement the new  $OM$ 
11        Randomly select a better solution  $\pi'$  from  $TM$ 
12        If  $C_{max}(\pi')$  in  $C_{max}^{OM}$  then //  $C_{max}^{OM}$  is a  $C_{max}$  list of all solution(s) in the  $OM$ 
13           $OM \leftarrow OM \cup \pi'$ 
14        End If
15      End While
16       $OM \leftarrow sort(OM), sc \leftarrow ((sc - 1) \% (len_{newOM} - 1)) + 1$  // Sort  $OM$  and keep  $sc$  valid
17       $OM[0\&sc] \leftarrow (index(d_{IG}) + 1) \times mixLS(OM[0\&sc], d_M \% 2, d_{list})$  // Run  $index(d_{IG}) +$ 
1 times
18       $OM \leftarrow sort(OM)$ 

```

The aforementioned treatments are insufficient for the memory mechanism—not only should memories be collected, extended, and reconstructed, but they should also be reinforced. Therefore, considering the limited computational resources and the balance between exploration and exploitation, only two solutions $OM[0]$ and $OM[sc]$ are reinforced. $OM[0]$ represents the best one, while $OM[sc]$ denotes the worse one (the larger sc is, the worse $OM[sc]$ is), where sc , decided by both RL_{IG} and RL_{Memory} (see Section 3.4), ranges from 1 to $len_{newOM} - 1$. This reinforcement is achieved through

using different numbers of *mixLS*. The number is calculated as $index(d_{IG}) + 1$, where $index(d_{IG})$ is the index of d_{IG} in d_{list} (defined in Table 1). A large (small) d_{IG} indicates that IGA requires a large (small) perturbation, thus memories should be given a large (small) degree of local search. In *mixLS*, *flag* is first decided by d_M ($flag \leftarrow d_M \% 2$) to employ *insert* or *swap* to improve solutions. After that, only the factories involved undergo *LS1* [2]. Additionally, each call of *mixLS* extracts only $int(n/len(d_{list}))$ jobs. The pseudo code of *mixLS* is presented in Algorithm S4 in the **Supplementary Document**.

Table 1: Information about the parameters of the learning mechanism

Parameter	Information
$sc \in N^+$	Index of another solution in <i>Memoryupdate</i>
$\alpha_{max} \in R^+, \alpha' \in R^+$	Learning rate of RL_{Memory} and maximum of RL_{IG}
$\alpha \in R^+$	Learning rate of RL_{IG} and maximum of RL_{Memory}
$\epsilon \in R^+, \beta \in R^+$	Parameters of epsilon-greedy and epsilon-decay
$\gamma \in R^+$	Discount factor
$\eta \in R^+$	Local/global improvement weight of RL_{IG}
d_{list}, m_{list}	Set of possible actions of RL_{IG} and RL_{Memory}
S_{IG}, S_M	Set of states of RL_{IG} and RL_{Memory}
$d_{IG} \in d_{list}, d_M \in m_{list}$	Action of RL_{IG} and RL_{Memory}
$s_{IG} \in S_{IG}, s_M \in S_M$	State of RL_{IG} and RL_M
$Q_{IG} \in R^{ S_{IG} \times d_{list} }, Q_M \in R^{ S_M \times m_{list} }$	Q -table of RL_{IG} and RL_{Memory}

3.3.3 Update of Solutions in the Memory Mechanism

After *OM* is updated, the local/global solutions (π and π^*) are updated using $Accept_{Memory}$. In preliminary experiments, we discovered that the design of the acceptance criterion significantly influences the performance of IGA by affecting the starting solution of the next episode. Hence, it needs to be carefully designed. Given the way *OM* is updated in *MemoryUpdate*, the design of $Accept_{Memory}$ is guided by two principles: 1) Only if the best solution in *OM* outperforms π^* , π and π^* are updated simultaneously. 2) If there is no global improvement, π is not allowed to accept the best solution in last *OM*. The details can be found in Algorithm S5 in the **Supplementary Document**.

3.4 Learning Mechanism

Inspired by [31], we design a two-layer cooperative RL mechanism to hierarchically and synergistically control the pivotal parameters of IGA and the memory mechanism. In accordance with the algorithm structure depicted in Fig. 1, the first layer of RL is denoted as RL_{IG} , while the subsequent layer is named RL_{Memory} . Both layers employ the Sarsa algorithm and there are direct communication and cooperation between them, which is different from those discussed in Section 2.3. The detailed information of parameters in the learning mechanism are provided in Table 1.

3.4.1 The First Layer of RL

In RL_{IG} , $S_{IG} = [0, 1]$. The initial value of s_{IG} is 0, and the initial value of d_{IG} is selected randomly from d_{list} . If $s_{IG} = 1(0)$, there are (no) global improvements in an episode. d_{list} is a list of different d_{IG} -values, representing operators with different levels of perturbation for IGA. The reward is derived from

two parts, i.e., local improvements and global improvements, which is designed by [12]. The details of RL_{IG} are provided in Algorithm 4.

Algorithm 4: Pseudo code of $RL_{IG}(\cdot)$

Input: $C_{prev}, C_{prev}^*, C, C^*, E, d_{list}, sc, \alpha_{max}, \epsilon, \beta, \gamma, \eta, Q_{IG}, s_{IG}, d_{IG}$ // Ref Table 1

Output: $\alpha, \epsilon, \gamma, Q_{IG}, s', d', sc$ // s', d' : intermediate variables for passing parameters

```

1    $r \leftarrow \eta \cdot \max\{(C_{prev} - C), 0\} / C_{prev} + (1 - \eta) \cdot \max\{(C_{prev}^* - C^*), 0\} / C_{prev}^*$  // Calculate the reward
[12]
2    $\alpha \leftarrow \alpha_{max} \times \min\{E/6, 1\}$  // Adaptively adjust the learning rate based on the  $E$ -value
3   If  $C^* < C_{prev}^*$  then
4      $s' \leftarrow 1, sc \leftarrow 1$ 
5   Else
6      $s' \leftarrow 0, sc \leftarrow sc + 1$ 
7   End If
8   If  $\text{rand}() > \epsilon$  then
9      $d' \leftarrow \text{argmax}_{d'' \in d_{list}} Q_{IG}(s', d'')$ 
10  Else
11     $d' \leftarrow \text{randomChoice}(d_{list})$ 
12  End If
13   $Q_{IG}(s_{IG}, d_{IG}) \leftarrow Q_{IG}(s_{IG}, d_{IG}) + \alpha [r + \gamma Q_{IG}(s', d') - Q_{IG}(s_{IG}, d_{IG})]$  // Update  $Q$ -table using Sarsa
14   $\epsilon \leftarrow \epsilon\beta, \gamma \leftarrow \gamma\beta$  // Update  $\epsilon$  and  $\gamma$ , which is based on the idea of  $\epsilon$ -decay proposed by [12]
```

According to the design of $Accept_{IG}$ (see Section 3.2), there are many possible E -values. In this situation, using the same learning rate for different E -values is unfair for RL_{IG} . In $Accept_{IG}$, the smallest E -value is 2, i.e., in first iteration, the solution doesn't yield an improvement and remains unimproved after being accepted ($accept \rightarrow end$). The ideal E -value is 6, i.e., the algorithm goes through all designs of $Accept_{IG}$ with minimal losses ($accept \rightarrow local\ improve \rightarrow accept \rightarrow global\ improve \rightarrow accept \rightarrow end$), where $local/global\ improve$ represents that there is local/global improvement in one iteration. Therefore, it is reasonable to assume that the perturbation operator has been fully utilized when $E \geq 6$. Inspired by the linear warm-up technique, the learning rate α of RL_{IG} can be adaptively adjusted according to the design of Line 2 in Algorithm 4. As for sc mentioned in Section 3.3.2, if a global improvement occurs in an episode, sc is set to 1; Otherwise, it is incremented by 1 to encourage exploration of worse solutions in OM . Finally, the ϵ -decay strategy [12] is utilized to choose actions and to reduce the value of ϵ and γ , facilitating the transition from exploration to exploitation in MLIGA.

3.4.2 The Second Layer of RL

In RL_{Memory} , $S_M = [0, 1]$ and $m_{list} = [0, 1, 2, 3]$. The initial value of s_M is 0, and the initial value of d_M is selected randomly from m_{list} . Similar to RL_{IG} , if $s_M = 1$ (0), there are (no) improvements in the memory mechanism. Four kinds of d_M in m_{list} control the combinations of the parameters $Gate$ and $flag$ discussed in Section 3.3.2. The reward calculation in RL_{Memory} follows a similar method to RL_{IG} , but consists of only one part. Algorithm 5 details the RL_{Memory} .

Algorithm 5: Pseudo code of $RL_{Memory}(\cdot)$

Input: $C_m, C^*, sc, \alpha, \epsilon, \gamma, \eta, Q_M, s_M, d_M, m_{list}, d_{list}$ // Ref Table 1

Output: Q_M, s', d', sc // s', d' : intermediate variables for passing parameters

(Continued)

Algorithm 5 (continued)

```

1    $r \leftarrow \max\{(C_m - C^*), 0\} / C_m$  // Calculate the reward
2    $\alpha' \leftarrow \alpha \cdot (\text{index}(d_{IG}) + 1) / \text{len}(d_{list})$  // Adaptively adjust the learning rate based on the  $RL_{IG}$ 
3   If  $C^* < C_m$  then
4      $s' \leftarrow 1, sc \leftarrow 1$ 
5   Else
6      $s' \leftarrow 0$ 
7   End If
8   If  $\text{rand}() > \epsilon$  then
9      $d' \leftarrow \text{argmax}_{d'' \in m_{list}} Q_M(s', d'')$ 
10  Else
11     $d' \leftarrow \text{randomChoice}(m_{list})$ 
12  End If
13   $Q_M(s_M, d_M) \leftarrow Q_M(s_M, d_M) + \alpha' [r + \gamma Q_M(s', d') - Q_M(s_M, d_M)]$  // Update  $Q$ -table using Sarsa

```

Comparing to RL_{IG} , RL_{Memory} is obviously much simpler. If there is an improvement in memory mechanism, sc is set to 1. To avoid sc increases aggressively, RL_{Memory} is not allowed to increase sc . Considering that ϵ and γ have been reduced in RL_{IG} (see Section 3.4.1), they will not be reduced here. Finally, like RL_{IG} , the learning rate α' of RL_{Memory} is dynamically tuned according to the following two ideas: 1) Since E -values affect the amount of memories collected and extended (see Section 3.3.1), they determine the upper limit of the learning rate α' , i.e., α is maximum of α' . 2) Considering that the memory mechanism employs different degrees of local search decided by d_{IG} (see Section 3.3.2), the learning rate α' should adjust within the upper limit α based on d_{IG} , i.e., $\alpha' \leftarrow \alpha \cdot (\text{index}(d_{IG}) + 1) / \text{len}(d_{list})$.

4 Experimental Design

In order to investigate the performance of MLIGA and the effectiveness of some internal designs, we conducted comprehensive experiments. This section presents a detailed description of the experimental design as well as all relevant information.

4.1 Experimental Setting

For standard benchmarks, we utilized the *Large test instances* and *Calibration instances* employed by [5]. Further details about them can be found in the **Supplementary Document**. In addition, given the stochastic nature of the algorithms, each algorithm was independently repeated 10 times on each instance, and the results were subsequently averaged. The average relative percentage deviation (ARPD) [5] was chosen as the performance comparison metric. The relative percentage deviation (RPD) is first calculated as Equation (S3) in the **Supplementary Document**. As for the termination criterion, we adopted the maximum number of fitness evaluations utilized by [32]. To determine the maximum number of fitness evaluations, we employed the method proposed by [12], which is detailed in the **Supplementary Document**. Finally, all algorithms were coded by Python, and run on the PC: Intel(R) Core(TM) i9-13900K @ 3000 MHz with 64.0 GB RAM in the 64bit Windows 10 operation system.

4.2 Settings of Key Parameters

To avoid duplication of labor, the parameters in the learning mechanism were directly adopted from the combination of parameter values used by [12], i.e., $\alpha_{max} = 0.6, \epsilon = 0.8, \beta = 0.996, \gamma = 0.8, \eta = 0.3$. Thus, calibration of the MLIGA involved only one parameter, d_{list} , which could be conducted by testing all possible d_{list} -values separately on the *Calibration instances*.

The d_{list} is a list of positive integers with continuous values. After reviewing relevant literature, the maximum value (d_{max}) in d_{list} is set to 10, and the minimum value (d_{min}) in d_{list} is set to 1, 2 and 3, respectively. $d_{min} = 3$ is used to avoid the duplication between *LocalSearch_{IG}* and *perturbation*. Moreover, since d_{list} is a set of actions in RL_{IG} , the number of actions in d_{list} generally has to be greater than 2 to be meaningful. Therefore, the expression of the possible d_{list} -values is $[d_{min}, \dots, d_{min} + \Delta]$, $\Delta \in [2, d_{max} - d_{min}]$ and $\Delta \in N^+$.

4.3 Settings of Comparison Algorithms

To verify the effectiveness of MLIGA, we compared it with five state-of-the-art comparison algorithms, which are shown in Table 2.

Table 2: Information of the five comparison algorithms

Type	Algorithm	Description	Parameters and settings
Improvement methods of IGA	QIGA [12]	An IGA with single-layer RL framework	$d_{list} = [1, 2, 3]$, initialization is <i>NEH2</i> [2], and local search is <i>LS3</i> [5]
	TSIGA [5]	A two stage IGA for solving DPFSP	Parameters and settings are available in corresponding literature
Other intelligent optimization methods	DABC [33]	A discrete artificial bee colony	
	DFFO [25]	A discrete fruit fly optimization	
	QFOA [20]	A Q-learning enhanced fruit fly optimization algorithm	

4.4 Settings of Ablation Experiments

We also designed ablation experiments to examine specific designs and critical components in MLIGA. The first experiment was conducted to investigate the effect of different initialization procedures on MLIGA. In addition to the *NEH2_{en}* [5] utilized in MLIGA (see Section 3.2), we chose *NEH2* [2] and *RandInit* as the other two initialization procedures, which are detailed in the **Supplementary Document**. The second experiment was designed to examine the impact of different probability curves (see Section 3.2) on both MLIGA and *E*-values. Inspired by some existing curves, we devised three additional probability curves: *linear* (x), *sigmoid* (x), and *prob* (x), which are illustrated in the **Supplementary Document**. Memory mechanism is the main innovation of our work. It is necessary to investigate how the memory mechanism influence MLIGA. Hence, in third experiment, we compared MLIGA with Memoryless MLIGA, which referred to the MLIGA without the memory mechanism and RL_{Memory} .

5 Numerical and Statistical Results

In this section, we implemented all the experiments designed in Section 4, and subsequently analyzed the results using the Analysis of Variance (ANOVA) technique [34] and the Tukey's Honest Significant Difference (HSD) with 95% confidence intervals [35]. More detailed analysis results are provided in **Supplementary Document**.

5.1 Calibration of MLIGA

The analysis of the results shows that there is no significant difference among these values, as the p -value of 1.000 exceeds the significance threshold of 0.050. This phenomenon is highly meaningful and ideal, because it demonstrates that MLIGA is insensitive to the parameter d_{list} . In other words, as long as the d_{list} -value falls within a reasonable range, the RL_{IG} can effectively utilize the perturbation operator d_{IG} , which precisely showcases the effectiveness and robustness of the learning mechanism. However, for subsequent experimental requirements, it remains necessary to determine a specific d_{list} -value. For convenience in plotting, we adopted the notation $d_{min} - d_{max}$ instead of $[d_{min}, \dots, d_{max}]$. Thus, according to Fig. 2, we determined the d_{list} as [3, 4, 5, 6], which had the smallest mean ARPD value.

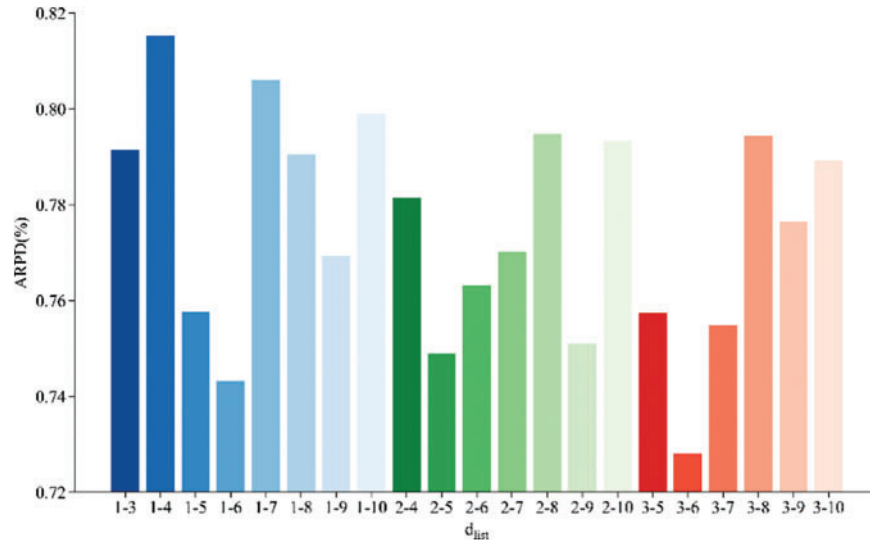


Figure 2: Means plots for all d_{list} -values

5.2 Effectiveness of MLIGA

As designed in Section 4.3, we conducted the comparison experiment. Fig. 3 shows the analysis of the results, and the smallest mean ARPD value is highlighted in bold. According to Fig. 3, MLIGA demonstrates superior overall performance compared to DABC, DFFO, QFOA, and QIGA. Moreover, given that QIGA utilizes a single-layer RL framework, the results can also indicate that the learning mechanism proposed in this paper is superior to the single-layer RL framework.

However, the mean ARPD value of MLIGA is slightly lower than that of TSIGA, with no significant difference observed. Therefore, we need to further analyze MLIGA and TSIGA. The **Large test instances** used are not all large-scale instances, e.g., for an instance with 7 factories and 20 jobs in **Large test instances**, each factory contains fewer than 3 jobs on average. Small-scale instances are easily solved by most existing meta-heuristics for the DPFSP [5] and large-scale instances are more common

in real-world distributed flow shop scheduling. Consequently, more attention should be paid to solving large-scale instances. Nevertheless, the definition of large-scale instances in DPFSP remains unclear, with much literature preferring to define instance scale based solely on the number of factories (F) or jobs (n). Considering these two key parameters in DPFSP and some spurious large-scale instances in the *Large test instances*, we thought that instance scale should be decided by both n and F . Based on the discussion above, we concluded that defining the instance scale in terms of the ratio between n and F , i.e., n/F , is a more appropriate approach. A higher ratio n/F indicates a larger instance scale. Hence, we further analyze TSIGA and MLIGA based on the ratio n/F . Moreover, considering that the overall performance of QIGA is not too much worse than these two algorithms, QIGA is also analyzed in Fig. 4.

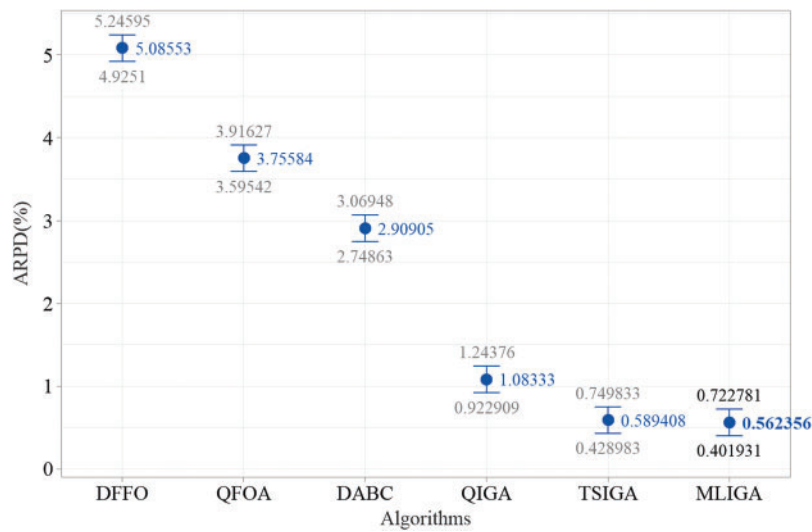


Figure 3: Means plots for all algorithms. All means have HSD 95% confidence intervals

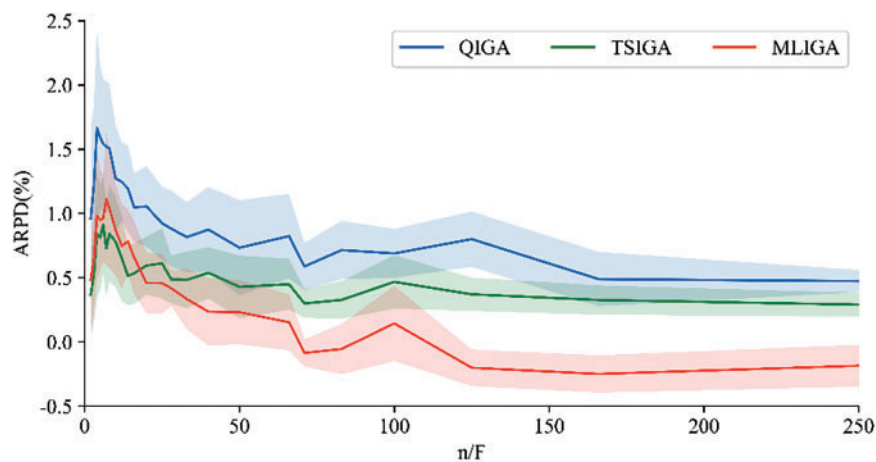


Figure 4: Line graph of ARPD of the three algorithms following the increase in the scale of instances

According to Fig. 4, MLIGA exhibits slightly inferior performance compared to TSIGA when n/F is approximately less than 20. After that, as the instance scale increases, the disparity between

MLIGA and TSIGA gradually widens, with MLIGA's superiority becoming increasingly evident. In summary, MLIGA has significant advantages over the comparison algorithms implemented in our work, especially in handling large-scale DPFSP instances.

5.3 Ablation Experiments

The analytical results of ablation experiments on initialization are shown in Table 3. It can be found that: 1) Compared to *RandInit*, both *NEH2_en* and *NEH2* offer superior initial solutions; 2) The results obtained from *NEH2_en* are the best. However, the advantage of *NEH2_en* over *NEH2* is not statistically significant, since the p -value between *NEH2_en* and *NEH2* is bigger than 0.050; 3) The mean ARPD value of *RandInit* is better than that of DABC, DFFO, QFOA and QIGA in Section 5.2. Additionally, the mean ARPD value of *NEH2* also outperforms all comparison algorithms in Section 5.2. In summary, MLIGA does not rely much on the initial solutions, and thanks to the presence of forgetting in the memory mechanism, MLIGA does not deteriorate even with worse initial solutions.

Table 3: Analytical results of the ablation experiments on initialization

Type	Source	Mean	95% Confidence interval	p -value	Significance level
For initial solutions	<i>NEH2_en</i>	3.0641	(2.7451, 3.3831)	0.000	$\alpha = 0.05$
	<i>NEH2</i>	3.2201	(2.9011, 3.5390)		
	<i>RandInit</i>	17.700	(17.381, 18.019)		
For best solutions obtained	<i>NEH2_en</i>	0.5624	(0.5293, 0.5955)	0.000	$\alpha = 0.05$
	<i>NEH2</i>	0.5780	(0.5449, 0.6111)		
	<i>RandInit</i>	0.8059	(0.7728, 0.8390)		

Table 4 lists the analytical results of ablation experiments on probability curves. From Table 4, it can be found that the *cbrt*(x) has the smallest mean ARPD value, which indicates that it is the best choice among the four curves. Nevertheless, although there is a slight disparity in the mean ARPD values among these four curves, the differences between them are not statistically significant, as the p -values among them were bigger than 0.050. This phenomenon suggests that *Accept_{IG}* is not particularly sensitive to different probability curves, namely, it is robust.

Table 4: Analytical results of the ablation experiments on probability curves

Source	Mean	95% Confidence interval	p -value	Significance level
<i>cbrt</i> (x)	0.5624	(0.5281, 0.5967)	0.967	$\alpha = 0.05$
<i>linear</i> (x)	0.5668	(0.5325, 0.6011)		
<i>prob</i> (x)	0.5725	(0.5382, 0.6068)		
<i>sigmoid</i> (x)	0.5734	(0.5390, 0.6077)		

The impact of the probability curves is not only manifested in the result, but also more directly evident in the E -values. Thus, we collected and organized the E -values of these four probability curves across all instances in Fig. 5. In Fig. 5, we can find that: 1) Different probability curves lead to distinct distributions of E -values; 2) No particular E -value has a percentage of more than 50% across all

probability curves, which indicates that there is indeed a necessity for adaptive adjustment of E -values during the search process, i.e., the design of $Accept_{IG}$ is completely necessary.

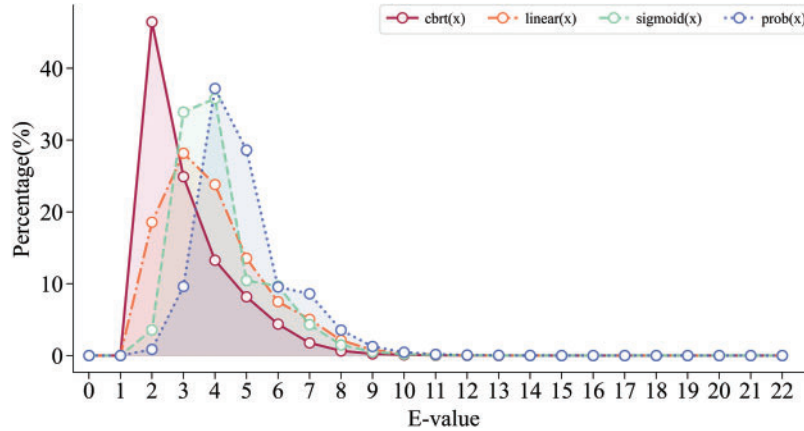


Figure 5: Line graph of percentage of each E -value for all probability curves

At last, the analytical results for MLIGA and Memoryless MLIGA are listed in Table 5. The results suggest that the memory mechanism can significantly improve the overall performance of the algorithm, with a significant difference observed between MLIGA and Memoryless MLIGA. This phenomenon effectively demonstrates the pivotal role of the memory mechanism in MLIGA’s performance.

Table 5: Analytical results of the ablation experiments on memory mechanism

Source	Mean	95% Confidence interval	p -value	Significance level
MLIGA	0.5624	(0.5235, 0.6012)	0.000	$\alpha = 0.05$
Memoryless MLIGA	1.3884	(1.3496, 1.4273)		

6 Conclusion and Perspectives

In this paper, we developed a novel algorithm named MLIGA to solve the DPFSP with the objective of minimizing the makespan. One of main contribution is to incorporate a memory mechanism in IGA, which can help the algorithm escape from the local optima by collecting, extending, reconstructing (including forgetting), and reinforcing memories, with providing more appropriate starting solutions for IGA during iterations. In addition, we proposed a two-layer cooperative RL mechanism to determine the crucial parameters of IGA and the memory mechanism. Through a series of comprehensive experiments, it is clear that MLIGA exhibits excellent performance on large-scale DPFSP instances, indicating its potential applicability in real-world distributed flow shop scheduling.

However, there are also some limitations, including the performance is not as good as TSIGA in small-scale benchmarks, and there are some sorting operations in the memory mechanism. In the future, we will explore the feasibility of implementing switchable acceptance criteria based on the scale of instances to improve the performance of MLIGA on small-scale benchmarks, design more suitable acceptance strategies according to the structure of algorithm, or refine the framework of MLIGA to avoid some sorting operations. Furthermore, we will extend the application of MLIGA to tackle more

variants of DPFSPs. More investigations on multi-objective evolutionary algorithms are also crucial for solving DPFSPs with multiple optimization objectives.

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