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ARTICLE





Optimizing Bucket Elevator Performance through a Blend of Discrete Element Method, Response Surface Methodology, and Firefly Algorithm Approaches

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ABSTRACT

This research introduces a novel approach to enhancing bucket elevator design and operation through the integration of discrete element method (DEM) simulation, design of experiments (DOE), and metaheuristic optimization algorithms. Specifically, the study employs the firefly algorithm (FA), a metaheuristic optimization technique, to optimize bucket elevator parameters for maximizing transport mass and mass flow rate discharge of granular materials under specified working conditions. The experimental methodology involves several key steps: screening experiments to identify significant factors affecting bucket elevator operation, central composite design (CCD) experiments to further explore these factors, and response surface methodology (RSM) to create predictive models for transport mass and mass flow rate discharge. The FA algorithm is then applied to optimize these models, and the results are validated through simulation and empirical experiments. The study validates the optimized parameters through simulation and empirical experiments, comparing results with DEM simulation. The outcomes demonstrate the effectiveness of the FA algorithm in identifying optimal bucket parameters, showcasing less than 10% and 15% deviation for transport mass and mass flow rate discharge, respectively, between predicted and actual values. Overall, this research provides insights into the critical factors influencing bucket elevator operation and offers a systematic methodology for optimizing bucket parameters, contributing to more efficient material handling in various industrial applications.

KEYWORDS

Discrete element method (DEM); design of experiments (DOE); firefly algorithm (FA); response surface methodology (RSM)

Nomenclature

- l_p Distance of poles (m)
- g Gravitational acceleration (m/s²)
- r_1 Radius circumscribed by bucket outer edge (m)
- r_2 Radius circumscribed by bucket inner edge (m)
- r_s Distance of mass point center of gravity projection (m)
- ω Distance of mass point center of gravity projection (rad/s)



V	The velocity of particles at the discharge point (m/s)
F_c	Centrifugal force acting on the contents of the bucket (N)

1 Introduction

Bucket elevators are devices designed for the uninterrupted conveyance of bulk materials to precise destinations under specified circumstances. They are comprised of a variable quantity of buckets affixed to a moving belt or chain, which transfers motion to the buckets [1]. These elevators find application across diverse industries including agriculture, food processing, pharmaceuticals, mining, and more [2]. Despite the apparent simplicity of their operation, investigating the movement of granular materials demands the utilization of advanced numerical methods to address materials handling challenges [1]. The initial designs of modern buckets were primarily utilized for moving and releasing granular substances at slow speeds, typically relying on gravity for discharge. In this setup, materials were poured into a designated area due to gravitational forces, but this method had limitations in terms of the quantity and distance of material transport [3]. Experiments revealed that the behavior of the granules resembled that of a viscous fluid, leading to the adoption of straightforward bucket shapes aimed at maximizing material volume. However, the necessity to enhance both volume and distance necessitated an increase in operational speed, prompting the development of discharge mechanisms reliant on centrifugal force. This transition made the mechanics of discharge more complex, demanding precise analytical tools to understand the material's behavior within the bucket. Recent studies have led to the development of bucket conveyors across different dimensions.

The primary research team prioritized design parameters aimed at achieving optimal performance and efficient material handling [2,4–7]. For instance, the Engineer-to-Order approach is employed alongside a mathematical model for designing bucket shapes, aiming to optimize the creation of new and appropriate buckets suitable for high-speed transportation of bulk materials [4]. Finite Element Method (FEM) analysis is utilized to address the challenges associated with the bucket chain elevator used for handling large lumps in large tunnel manufacturing. The outcomes show a reduction in wear on the main chain and sprocket, along with a decrease in vibration occurrences within the bucket chain elevator [5]. A design methodology has been developed to address bucket-to-bucket interference in chain bucket elevators. This process involves resolving constraint equations using Matlab software, focusing on the inflection points of the bucket and its boundary contour at the discharge position. The outcomes demonstrate improved performance with complete discharge and maximum loading capacity of the bucket [2]. Additionally, research has delved into the relationship between power, bucket speed, bucket elevator capacity, and the slope angle of the bucket elevator. These studies incorporate experiments with both palm oil and cowpea seeds, offering relevant parameters for speed, the operational slope angle of the bucket, power consumption, and the efficient performance of mass flow rate [6,7].

The second research group dedicated their efforts to conducting tolerance analyses that affected various components of the bucket elevator. For example, certain studies utilized finite element analysis to assess stress and deformation effects on the bucket, aiming to refine its design and explore alternative options. These investigations revealed the relationship between bucket geometries and stress and deflection effects [8–10]. Another study specifically examined failures of bucket elevator shafts during both operational and design phases, employing the FEA method to design the head shaft of the bucket elevator. These findings emphasized the effectiveness of the Finite Element Analysis method in ensuring optimal performance design by evaluating fatigue strength, a critical concern in shaft

failures [11]. Additionally, other studies delved into stress analysis in chain bucket elevators. In one such study, topology optimization was utilized to develop a new chain, with the aim of reducing failures in conveyor chain links. The results demonstrated that the new chain design increased stress tolerance in the beam and lever by 36% and 47%, respectively [12]. Another research endeavor focused on investigating shaft failures of bucket elevator shafts in chain bucket elevators, particularly under conditions of jerky loading. This investigation provided insights into the causes of failure occurrences, which often included welded regions and misaligned counterweights of the shaft [13]. The following research group centered its efforts on studying operational control. They utilized sensor systems and contemporary software packages to oversee the operations of bucket elevators, with the goal of extending their operational lifespan and decreasing power consumption. Moreover, these tools facilitated the identification of diagnostic signals prior to the onset of failures [14–16]. Furthermore, research has extended beyond machine and operational parameters to address the quality of bulk material mixing and handling. For instance, the carry-over phenomenon on bucket elevators was investigated by studying microtracer deposit behavior during tracer batch passage and subsequent collection. It was emphasized that analyzing deposition and gathering phases separately is essential, revealing that approximately 20% of the initial microtracer mass is deposited during tracer batch passage, with around 32% picked up during collector batch passage. In both cases, the majority of the microtracer is concentrated in the elevator foot and head [15]. Another study aimed to identify operational issues in bucket elevator loading and unloading processes that could cause seed damage. By analyzing and evaluating factors affecting grain damage rates, the research aimed to enhance safety during these processes, consequently reducing crop losses. This analysis holds practical significance for the agricultural sector, facilitating the identification of operational challenges and the development of mitigation measures, thereby improving work efficiency and seed grain quality [16].

The latest research group has employed numerical methods to address both the design and operation of bucket elevators. Specifically, they utilized the discrete element method (DEM) to tackle discharge profiles in bucket elevator operations, recognizing its significance as a key characteristic in bulk material handling [17–20]. Their findings consistently highlight the effectiveness of DEM simulations in optimizing machine parameters for bucket elevators. These simulations can recommend suitable parameters such as belt velocity, bucket angular velocity, and bucket shape tailored to specific material handling needs. Moreover, another study merged DEM with discontinuous deformation analysis (DDA) to enhance bucket shape profiles, aiming to maximize transport distance and minimize remaining materials in the bucket. This approach is deemed valuable for designing the most efficient bucket shapes under various working conditions [1]. Additionally, there's research that reconfigured the bulk material dimension model (quasi-2-D, 5.6 d) for DEM simulation, thereby validating bucket elevator operations. By doing so, they managed to significantly reduce simulation time and streamline the design process by up to 70% compared to using actual bulk material dimensions [21].

After reviewing existing literature, no research efforts were found aimed at enhancing bucket elevator design and operation through metaheuristic optimization algorithms. Recent studies utilizing metaheuristic optimization algorithms in engineering and industrial applications show their effectiveness in optimizing complex systems. For example, in mechanical engineering, a study used Particle Swarm Optimization (PSO) to identify the mechanical parameters of a Two-Mass electric drive system with flexible couplings. Resonant frequencies and mechanical parameters were estimated via Frequency Response Function (FRF) analysis and validated experimentally, demonstrating the PSO method's effectiveness [22]. Additionally, Genetic Algorithms (GA) have been used to design compliant mechanisms for following a non-linear path, integrating a Python interface with ANSYS MAPDL (Mechanical APDL) for precise modeling and optimization [23]. Moreover, the Firefly

algorithm has been applied to solve unequal facility layout problems, illustrating its versatility and robustness in engineering applications [24]. These examples highlight the broad applicability and success of metaheuristic algorithms in diverse engineering contexts, underscoring their potential in optimizing bucket elevator design parameters as explored in this research. Therefore, this article introduces and validates the combination of the discrete element method (DEM) with the design experiment method (DOE), complemented by the use of a firefly algorithm (FA), a metaheuristic optimization algorithm. This approach offers an alternative analysis of bucket elevators by aiming to maximize transport mass and maximize mass flow rate in the bucket. The genetic optimization algorithm is employed to optimize these aspects for a given granular material under specified working conditions. Through simulation and empirical validation, this method enables the identification of critical bucket parameters affecting granular discharge dynamics and facilitates the design of optimal bucket parameters. Section 3 outlines the experimental process, which involves identifying the main parameters affecting bucket elevator operation through DOE utilizing Response Surface Methodology (RSM) and Analysis of Variance (ANOVA). A fitness function model is then created using RSM methodology, and the FA optimization algorithm is applied to determine suitable bucket elevator operation parameters. In Section 4, the numerical results of the procedure are presented: firstly, the main factors affecting bucket elevator operation are identified through DOE and ANOVA analysis. Secondly, the RSM methodology generates a quadratic equation to serve as the fitness function for maximizing transport mass and mass flow rate discharge in the bucket. Thirdly, the FA algorithm optimizes the parameters to meet the fitness function. Section 5 showcases simulations and empirical experiments of transport mass and mass flow rate discharge using thousands of particles to compare discharge profiles between DEM simulation and experimental cases. Finally, Section 6 concludes the article by presenting optimized bucket parameters for various operational conditions and materials.

2 The Discrete Element Method Materials and Methods

The Discrete Element Method (DEM) is a computational approach employed for modeling granular flows at the individual grain level, offering significant potential as an optimization tool in various industries dealing with granular materials. At each computational iteration, DEM tracks every discrete particle in the system, computing the forces between particles as well as between particles and boundaries. A contact force model is applied for each collision, with multiple models of varying complexities available. However, it remains uncertain whether a more intricate, and thus computationally demanding, force model is necessary at the particle level to precisely predict the behavior of extensive particle assemblies. Therefore, the Hertz-Mindlin contact model is adopted for normal and tangential forces. Eq. (1) presents the formula governing the contact forces in the normal direction (F_e) [25,26].

$$F_{e,i} = \frac{4}{3} E'(R')^{\frac{1}{2}} (\delta_e)^{\frac{3}{2}} + C_e \left(\delta_e v_{\frac{r}{e}}\right)^{\frac{1}{4}}$$
(1)

$$E' = \left(\frac{1 - v_i^2}{E_i} + \frac{1 - v_j^2}{E_j}\right)$$

$$R' = \frac{r_i r_j}{r_i + r_j}$$
(2)
(3)

Eqs. (2) and (3) can be inserted into Eq. (1), where E' and R' represent the equivalents of Young's modulus and radius. Δ_e and $v_{\frac{r}{2}}$ denote the normal overlap and relative velocity between particles; the

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expression for the normal damping coefficient C_e is denoted as in Eq. (4).

$$C_{e} = -2\sqrt{\frac{5}{6}} \frac{\ln e}{\sqrt{\ln^{2} e + \pi^{2}}} \left(2m^{*}E'\sqrt{R'}\right)^{\frac{1}{2}}$$
(4)

The tangential contact force F_t comprises both the stiffness component $(F_{k, t})$ and the damping component $(F_{d, t})$, as described in Eq. (5).

$$F_{t,i} = F_{k,t} + F_{d,t} = 8G'\sqrt{R'\delta_e\delta_t} + C_t\sqrt[4]{\delta_e v_{\frac{r}{t}}}$$
(5)

$$\mathbf{G}' = \left(\frac{1}{\frac{2-\mathbf{v}_{i}}{\mathbf{G}_{i}} + \frac{2-\mathbf{v}_{j}}{\mathbf{G}_{j}}}\right) \tag{6}$$

$$C_{t} = -2\sqrt{\frac{5}{6}} \frac{\ln e}{\sqrt{\ln^{2} e + \pi^{2}}} \left(8m^{*}G'\sqrt{R'}\right)^{\frac{1}{2}}$$
(7)

Eqs. (6) and (7) can be incorporated into Eq. (5), where G' signifies the equivalent shear modulus and C_t stands for the tangential damping coefficient. The indices i and j refer to two particles. The dynamic friction within DEM simulation is subsequently defined by the torque exerted on the contact surface, depicted in Eq. (8).

$$T_i = \mu_d F_e R_i \omega_i \tag{8}$$

The symbol μ_d represents the rolling friction coefficient between two particles in contact, while ω denotes the relative angular velocity of the particles, with i being the unit vector.

3 Materials and Machine Experiment

Fig. 1a,b depicts the geometric characteristics of polypropylene and soybean particles, as detailed by Sudsawat et al.'s research [25,26]. Their dimensions were assessed using a Vernier caliper, with 100 random samples measured. The mass of particles was determined by weighing 200 polypropylene and soybean particles on a precise digital scale [27]. To create the DEM model for both polypropylene and soybeans, EDEM software from DEM Solutions Ltd., in Edinburgh, UK, was employed to generate a single sphere. The material properties of the DEM model are presented in Table 1, drawing on material parameter properties from the aforementioned references [25,26].

In this research, the bucket elevator was utilized to validate the results as depicted in Fig. 2. The bucket elevator consists of several key components: the head section, which includes the head pulley and cover; the buckets, made of steel, used for conveying materials; the boot section, located at the bottom of the elevator, which allows easy adjustment of the take-ups for the tail pulley; the feed chute section, which serves as the inlet for feeding bulk materials; and the discharge section, a crucial part of the system, responsible for handling and transferring bulk materials to other parts of the material handling system.



Figure 1: The DEM models for polypropylene and soybean grains. (a) Shows the dimensions of polypropylene and soybean grains measured using a Vernier caliper. (b) Depicts the DEM models of polypropylene and soybean grains, with particle diameters of 6 and 6.66 mm, respectively, as indicated in references [25,26]

Material types Polypropylene (PP)	Material properti	es	Interaction paramete	Interaction parameters			
	Factor	Values	Factor	Materials interaction	Values		
	Poisson's ratio	0.36 ^a	Coefficient of static friction	PP-PP	0.52 ^a		
	Solid density (kg·m ⁻³)	910 ^a	Coefficient of dynamic friction	PP-PP	0.05 ^a		
	Elastic modulus (GPa)	1.3 ^a	Coefficient of restitution	PP-PP	0.55 ^a		

Table 1: The micromaterial properties of polypropylene and soybean grains, as well as steel plates, in the context of DEM, were sourced from relevant literature

(Continued)

Material types	Material properti	es	Interaction parameters				
Soya grains							
	Poisson's ratio	0.25 ^b	Coefficient of static friction	Soya grain-Soya grain	0.45 ^b		
	Poisson's ratio Solid density (kg·m ⁻³) Elastic modulus (GPa) Poisson's ratio	1228 ^b	Coefficient of dynamic friction	Soya grain-Soya grain	0.05 ^b		
	Elastic modulus (GPa)	1.04 ^b	Coefficient of restitution	Soya grain-Soya grain	0.6 ^b		
Steel							
	Poisson's ratio	0.3 ^a	Coefficient of static friction	PP-steel	0.26 ^a		
				Soya grain-steel	0.3 ^b		
	Solid density $(kg \cdot m^{-3})$	7800 ^a	Coefficient of dynamic friction	PP-steel	0.246ª		
			·	Soya grain-steel	0.05 ^b		
	Elastic modulus (GPa)	198 ^a	Coefficient of restitution	PP-steel	0.71 ^a		
				Soya grain-steel	0.6 ^b		

Tabl	e 1	(continued)
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Note: a corresponds to [25], and b corresponds to [26].



Figure 2: Bucket elevator

4 Experimental Methodology

The computational complexity of the algorithms proposed in this study encompasses multiple stages and methodologies, including Discrete Element Method (DEM) simulations, Response Surface Methodology (RSM), and the Firefly Algorithm (FA) for optimization. DEM simulations involve intensive computation due to the modeling and tracking of interactions among numerous particles, typically expressed as O(nlogn), where n denotes the number of simulated particles. This complexity leverages efficient data structures like spatial grids to manage interactions, though it can escalate to $O(n^2)$ under certain conditions, mitigated by neighbor search algorithms. Response Surface Methodology (RSM) constructs predictive models from simulation data, with computational complexity $O(k^3)$, dependent on the number k of factors and the volume of experiments. This arises from polynomial fitting and regression operations, which involve matrix manipulations of cubic complexity. The Firefly Algorithm (FA), a metaheuristic for optimization, scales with $O(n_f \cdot n_j \cdot d)$, where n_f is the number of fireflies, n_i is the iterations, and d is the problem's dimensionality. This algorithm's complexity derives from pairwise comparisons and movements between fireflies, influenced by the problem's dimensional complexity. Integrating these methods yields an overall computational complexity of $O(nlogn) + O(k^3) + O(n_t \cdot n_t \cdot d)$. The dominant term varies based on specific parameter settings: for instance, DEM simulations dominate with high particle counts, RSM with numerous factors, and FA with extensive optimization tasks. Managing computational costs through parallel computing and optimized algorithms is crucial for maintaining efficiency across all stages.

The research methodology can be succinctly outlined as a step-by-step process, depicted in Fig. 3. Initially, an experimental orthogonal array is crafted through screening factor processes using the Plackett-Burmann method and EDEM simulation to identify significant factors [25]. Following this, a central composite design (CCD) is formulated to encapsulate all factors influencing the maximization of transport mass and mass flow rate discharge via EDEM simulation. The computational elements' time step for polypropylene and soybean grains is determined using the Rayleigh method [28], resulting in time steps of 8.34 and 12.3 μ s, respectively. Subsequently, a predictive model is constructed using response surface methodology (RSM), which is then employed in the Firefly Algorithm (FA) to optimize the transport mass and mass flow rate discharge. The next phase involves comparing the results obtained from the FA algorithms with those from exact experimental tests to determine the optimal transport mass and mass flow rate discharge through laboratory testing with a bucket elevator, as depicted in Fig. 3. Additionally, velocity profiles of the most promising simulations are analyzed in comparison with empirical tests.

4.1 Plackett-Burmann Screening Experiment of Process Parameters

In the initial stage of these experiments, the focus was on screening all factors by assessing their main effects and interactions, rather than prioritizing the development of the final quadratic model. The screening process involved delineating the design space, as illustrated in Table 2, followed by conducting simulation experiments using EDEM simulation software, as detailed in Tables 3 and 4. Subsequently, factors identified through screening were chosen for integration into the central composite design (CCD) phase.



Figure 3: Flow chart of methodology

Table 2: 1	Processing	parameters	and	levels	3
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Number	Factors	Levels		
		Low	High	
1	Number of buckets (<i>A</i>)	10	44	
2	Mass rate feed in (B) (kg/s)	0.2	2	
3	Velocity of belt (C) (m/s)	0.2	1.27	

Table 3: Screening experiment of polypropylene particles through EDEM simulation software

No.	Α	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)
1	44	0.2	0.200	3.51547407	0.100442116
2	44	1.1	1.270	8.562007827	0.244628795
3	27	1.1	0.735	8.719856981	0.249138771
4	10	0.2	1.270	4.578199709	0.130805706

(Continued)

Table 3 (continued)								
No.	A	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)			
5	10	0.2	0.735	4.662069536	0.133201987			
6	44	0.2	1.270	4.979784855	0.142279567			
7	44	2.0	0.735	8.77520692	0.250720198			
8	27	0.2	0.200	3.647585172	0.104216719			
9	44	2.0	0.200	4.20501506	0.120143287			
10	10	2.0	1.270	8.230297029	0.235151344			
11	10	2.0	0.200	1.973790355	0.05639401			
12	27	2.0	1.270	8.554897877	0.244425654			
13	10	1.1	0.200	1.954221579	0.055834902			

 Table 4: Screening experiment of soybean particles through EDEM simulation software

No.	A	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)
1	44	0.2	0.200	3.73866	0.106819
2	44	1.1	1.270	8.51002	0.243143
3	27	1.1	0.735	8.56170	0.244620
4	10	0.2	1.270	4.12236	0.117782
5	10	0.2	0.735	4.31375	0.123250
6	44	0.2	1.270	4.67221	0.133492
7	44	2.0	0.735	8.48052	0.242301
8	27	0.2	0.200	3.92847	0.112242
9	44	2.0	0.200	6.96587	0.199025
10	10	2.0	1.270	8.13588	0.232454
11	10	2.0	0.200	2.04278	0.058365
12	27	2.0	1.270	8.23059	0.235160
13	10	1.1	0.200	2.03498	0.058142

4.2 Central Composite Design (CCD) for Process Variables

During this phase, a Face Centered Composite Design, known as the Central Composite Design (CCD), is employed to orchestrate experiments conducted via EDEM simulation. Following the screening phase, specific process parameters influencing the optimization of transport mass and mass flow rate discharge are identified for inclusion, detailed in Table 5. Adhering to the CCD methodology outlined in reference [29], three factors are selected and categorized into five levels, comprising eight cube points, six central points, and six axial points, with an α value of 1. Subsequently, 20 experimental runs are established according to the CCD method, presented in Tables 6 and 7. Upon establishing the CCD results, the Response Surface Methodology (RSM) is utilized to formulate nonlinear mathematical models based on second-order quadratic equations for maximizing transport mass and mass flow rate discharge, as depicted in Eqs. (9) and (10), respectively.

Factor	Symbol	Level					Unit
		$-\alpha$	-1	0	1	α	
Number of buckets	A	10	10	27	44	44	_
Mass rate feed input	В	0.2	0.2	1.1	2.0	2.0	kg/s
Velocity of belt	С	0.2	0.2	0.735	1.27	1.27	m/s

 Table 5: Central composite design (CCD) as face centered composite design type

Table 6: Design and results of central composite design experiments of polypropylene particles

No.	A	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)
1	44	0.2	1.270	4.979784855	0.142279567
2	44	2.0	0.200	4.20501506	0.120143287
3	44	2.0	1.270	8.590662176	0.245447491
4	27	2.0	0.735	8.746097765	0.249888508
5	10	0.2	0.200	1.71252222	0.048929206
6	10	1.1	0.735	7.839113388	0.223974668
7	27	1.1	0.735	8.719856981	0.249138771
8	27	1.1	0.735	8.719856981	0.249138771
9	44	0.2	0.200	3.51547407	0.100442116
10	27	1.1	0.735	8.719856981	0.249138771
11	27	1.1	0.735	8.719856981	0.249138771
12	27	1.1	0.735	8.719856981	0.249138771
13	10	0.2	1.270	4.578199709	0.130805706
14	27	0.2	0.735	5.721294904	0.163465569
15	10	2.0	1.270	8.230297029	0.235151344
16	44	1.1	0.735	8.75726034	0.250207438
17	27	1.1	0.735	8.719856981	0.249138771
18	27	1.1	0.200	4.640676486	0.132590757
19	27	1.1	1.270	8.641677048	0.246905059
20	10	2.0	0.200	1.973790355	0.05639401

 Table 7: Design and results of central composite design experiments of soybean particles

No.	A	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)
1	44	0.2	0.200	3.73866	0.106819
2	44	0.2	1.270	4.67221	0.133492
3	27	1.1	0.200	5.36315	0.153233

(Continued)

Table 7 (continued)							
No.	A	<i>B</i> (kg/s)	<i>C</i> (m/s)	Mass out (kg)	Mass flow rate (kg/s)		
4	27	1.1	0.735	8.56170	0.244620		
5	44	1.1	0.735	8.79538	0.251296		
6	27	1.1	0.735	8.56170	0.244620		
7	10	1.1	0.735	7.82780	0.223652		
8	44	2	1.270	8.38401	0.239543		
9	10	2	0.200	2.04278	0.058365		
10	44	2	0.200	6.96587	0.199025		
11	10	2	1.270	8.13588	0.232454		
12	27	1.1	0.735	8.56170	0.244620		
13	27	0.2	0.735	5.62462	0.160703		
14	10	0.2	0.200	1.82401	0.052114		
15	27	1.1	0.735	8.56170	0.244620		
16	27	2	0.735	8.37109	0.239174		
17	27	1.1	0.735	8.56170	0.244620		
18	27	1.1	0.735	8.56170	0.244620		
19	10	0.2	1.270	4.12236	0.117782		
20	27	1.1	1.270	8.48208	0.242345		

4.3 Generating a Predictive Model

Prior to advancing to the optimization methodology stage, it is essential for the response surface methodology to construct a nonlinear mathematical model founded on polynomial equations aimed at maximizing both transport mass and mass flow rate discharge, illustrated in Eqs. (9) and (10), respectively.

$$M = A_0 + \sum_{i=1}^{k} A_i X_i + \sum_{i
(9)$$

$$MR = B_0 + \sum_{i=1}^{k} B_i X_i + \sum_{i(10)$$

In the given context, X_i denotes the independent variables, while M represents the response for transport mass and MR represents the response for mass flow rate discharge. Here, k stands for the number of design variables, A_0 and B_0 represent the constant coefficients, A_i and B_i denote the coefficients for the linear terms, A_{ij} and B_{ij} signify the coefficients for cross-product terms, and A_{ii} and B_{ij} indicate the coefficients for quadratic terms.

4.4 The Firefly Algorithm Implemented for Optimizing Process Parameters

The Firefly Algorithm (FA) is a metaheuristic optimization method inspired by the natural behavior of fireflies, developed by Yang [30]. It mimics the flashing behavior fireflies use to attract mates or prey, where the brightness of a firefly indicates its attractiveness, causing less bright fireflies to be attracted to brighter ones. In optimization, this brightness corresponds to the objective function to be maximized. The FA operates on three principles: all fireflies are unisex and can attract each other regardless of sex; attractiveness is proportional to brightness, with less bright fireflies moving

towards brighter ones and brightness diminishing over distance, causing random movement if no brighter firefly is found; and the brightness is determined by the objective function, reflecting the solution's quality. In this research, the FA is used to optimize the design and operational parameters of bucket elevators to improve their performance. Its suitability for this task lies in its ability to effectively explore large, complex solution spaces and find near-optimal solutions, making it ideal for optimizing parameters that influence transport mass and mass flow rate discharge in bucket elevators. Polynomial equations for transport mass and mass flow rate discharge to be a fitness in a multi-objective function (transport mass and mass flow rate discharge) in Firefly Algorithm (FA) were created. Firstly, transport mass and mass flow rate discharge equations were employed to be a combined objective function as shown in Eq. (11).

$$\varphi(x) = \sum_{k=1}^{M} \omega_k f_k, \sum_{k=1}^{M} \omega_k = 1$$
(11)

Here $\varphi(x)$ is a multi-objective function; $\omega_k = \frac{p_k}{M}$, where p_k are the random numbers generated from a distributed uniform [0, 1]. The operating performance of rescaling happens after generating M uniformly distributed numbers. Then the non-dominated solution can be provided along the Pareto front. Second FA used idealized rules of flashing patterns and behavior of fireflies [30]. FA establishes the initial population of n fireflies as represented in the search set of solutions and parameters of the attractiveness, light absorption coefficient, and randomization factors.

A firefly will try to approve other fireflies by seeking a firefly which has a high proportion of brightness that can calculate the movement of firefly i to another attractive firefly j in Eq. (12).

$$X_{i} = X_{i} + \beta * (X_{j} - X_{i}) + \alpha * (rand - 0.5)$$
(12)

Here β and α are parameters of attractiveness and randomization by which both parameters were affected from Cartesian distance (r_{ii}) (distance between two fireflies).

The attractiveness parameter can be generated from Eq. (13).

$$\beta = \beta_0 e^{-\gamma r_{ij}^2} \tag{13}$$

Here β_0 is the attractiveness at r = 0 and γ is a light absorption coefficient. The methodology can summarize the step-by-step stages of this algorithm as the flow chart of the algorithm shown in Fig. 4.

4.5 Optimal Model

The optimization process aims to create a multi-objective model that maximizes both transport mass and mass flow rate discharge, as shown in Eqs. (14) and (15), respectively.

$$Find \ X = [A, B, C] \tag{14}$$

$$\begin{aligned} \text{Minimize } \varphi(x) &= \sum_{k=1}^{M} (\omega_k M_k + (1 - \omega_k) M R_k), \sum_{k=1}^{M} \omega_k = 1 \end{aligned} \tag{15}$$

$$\begin{aligned} \text{Subject to: } 10 &\leq A \leq AA \end{aligned} \tag{16}$$

$$Subject 10: 10 \le A \le 44 \tag{10}$$

$$0.2 \le B \le 2 \text{ kg/s} \tag{17}$$

$$0.2 \le C \le 1.27 \text{ m/s}$$
 (18)



Figure 4: Flow chart of multi-objective firefly algorithm

With reference to Table 1, which outlines the micro material properties of polypropylene and soybean grains, the function $\varphi(X)$ depicts the combined quantification of transport mass and mass flow rate discharge for the experimental specimen.

5 Results and Discussion

At this stage, the outcomes from screening factors, central composite design, and multi-objective firefly algorithm experimentation are presented, along with velocity profiles derived from DEM simulation and empirical tests.

5.1 Plackett-Burmann Screening Experiments of Process Parameters

After analyzing the simulation results generated by EDEM software, as outlined in Tables 3 and 4, an assessment of variance was performed on three variables. ANOVA revealed significant factors affecting both transport mass and mass flow rate discharge, with Table 8 showing contributions exceeding 90%. These tables highlight that the Number of buckets (A), Mass rate feed input (B), and Belt velocity (C) substantially influence transport mass and mass flow rate discharge. Table 8 further illustrates the significant impact of belt velocity (C) on both polypropylene and soybean particles, contributing 53.19% and 49.1%, respectively, to transport mass and mass flow rate discharge. This highlights the critical importance of belt velocity in enhancing system performance.

For polypropylene particles								
Parameters symbol	Mean square	F-value	Percent contribution (%)	Contribution order				
A	0.010905	2.13	17.59	3				
В	0.024612	4.81	27.14	2				
С	0.062017	12.12	53.19	1				
For soybean particles								
Parameters symbol	Mean square	F-value	Percent contribution (%)	Contribution order				
A	0.011208	5.2	17.8	3				
В	0.013967	6.47	32.2	2				
С	0.01827	8.47	49.1	1				

Table 8: DEM parameters from the screening factor design of the experiment according to their order of the percent contribution

5.2 Creating Modeling through Central Composite Design

Simulation tests conducted using EDEM are documented in Tables 6 and 7. Subsequently, the CCD method was utilized to generate predicted models for transport mass and mass flow rate discharge objectives. ANOVA was then applied, as illustrated in Tables 9 and 10, respectively, to assess the significance of model terms for both objectives. Key terms in ANOVA include "Seq SS" for the sum of squares validating the data, "df" indicating degrees of freedom contributing to error prediction, and "Adj SS" representing the adjusted sum of squares for a term. Following parameter validation, a backward-term elimination stage was employed to remove unnecessary factors. An important consideration during ANOVA is the "p-value," which should be below 0.05, and the "R-Sq (prediction)" values, which should exceed 80% for both objectives. In Tables 9 and 10, the "R-Sq (prediction)" values were above 90% for both objectives. Consequently, these tables indicate a situation of backward elimination where the Number of buckets (A), Mass rate feed input (B), and Velocity of the belt (C) predominantly influence transport mass and mass flow rate discharge. Furthermore, Tables 9 and 10 demonstrate factor interactions between A, B, and C factors, and the squared action of A, B, and C for both transport mass and mass flow rate discharge models of polypropylene and soybean particles. Applying the response surface methodology [29] to create polynomial equations, the final predictive models for transport mass (Mpp) and mass flow rate discharge (MRpp) for polypropylene are shown in Eqs. (19) and (20), while the final predictive models for transport mass (Msb) and mass flow rate discharge (MRsb) for soybean particles are detailed in Eqs. (21) and (22), respectively.

$$Mpp = -2.963 + 0.1461A + 4.091B + 13.653C - 0.001472A * A - 1.839B * B - 7.275C * C - 0.04497A * C + 1.639B * C$$
(19)

$$MRpp = -0.08465 + 0.004176A + 0.1169B + 0.3901C - 0.000042A*A - 0.05255B*B - 0.2079C*C - 0.001285A*C + 0.04682B*C$$
(20)

$$Msb = -2.476 + 4.802B + 0.0873A + 12.83C - 2.122B*B - 6.269C*C + 0.02211B*A + 1.111B*C - 0.0830A*C$$
(21)

$$MRsb = -0.0707 + 0.1372B + 0.002494A + 0.3664C - 0.06064B*B - 0.1791C*C + 0.000632B*A + 0.03174B*C - 0.002372A*C$$
(22)

Table 9: The ANOVA table pertains to the optimization of both transport mass and mass flow rate discharge of polypropylene particles, following a process of backward elimination

Source	DF	Adj SS	Adj MS	F-value	<i>p</i> -value
Model	8	0.097655	0.012207	341.32	>0.0001
Linear	3	0.042362	0.014121	394.84	>0.0001
A	1	0.002666	0.002666	74.53	>0.0001
В	1	0.010311	0.010311	288.30	>0.0001
С	1	0.029386	0.029386	821.68	>0.0001
Square	3	0.050135	0.016712	467.28	>0.0001
A2	1	0.000406	0.000406	11.36	0.006
<i>B</i> 2	1	0.004983	0.004983	139.33	>0.0001
<i>C</i> 2	1	0.009734	0.009734	272.19	>0.0001
2-Way interaction	2	0.005158	0.002579	72.12	>0.0001
A*C	1	0.001093	0.001093	30.55	>0.0001
B*C	1	0.004066	0.004066	113.68	>0.0001
Error	11	0.000393	0.000036		
Lack-of-fit	6	0.000393	0.000066		
Pure error	5	0.000	0.0000		
Total	19	0.08049			

Note: S = 0.0061491, R-Sq = 99.61%, R-Sq (adjust) = 99.27\%, R-Sq (prediction) = 96.65\%.

Table 10: The ANOVA table pertains to the optimization of both transport mass and mass flow rate discharge of soybean particles, following a process of backward elimination

Source	DF	Adj SS	Adj MS	F-value	<i>p</i> -value
Model	8	0.084192	0.010524	69.32	>0.0001
Linear	3	0.037541	0.012514	82.43	>0.0001
A	1	0.006042	0.006042	39.80	>0.0001
В	1	0.015813	0.015813	104.16	>0.0001
С	1	0.015686	0.015686	103.33	>0.0001

(Continued)

Table 10 (continued)							
Source	DF	Adj SS	Adj MS	F-value	<i>p</i> -value		
Square	2	0.040313	0.020156	132.77	>0.0001		
<i>B</i> 2	1	0.007720	0.007720	50.85	>0.0001		
<i>C</i> 2	1	0.008411	0.008411	55.40	>0.0001		
2-Way interaction	3	0.006339	0.002113	13.92	>0.0001		
A * C	1	0.003722	0.03722	24.52	>0.0001		
A*B	1	0.000748	0.000748	4.92	0.048		
B*C	1	0.001869	0.001869	12.31	0.005		
Error	11	0.001670	0.0000152				
Lack-of-fit	6	0.001670	0.000278				
Pure error	5	0.000	0.0000				
Total	19	0.085862					

Note: S = 0.0121441, R-Sq = 98.28%, R-Sq (adjust) = 96.74%, R-Sq (prediction) = 96.35%.

Tables 9 and 10 further validate these findings by employing backward elimination to enhance model accuracy, achieving R-Sq (prediction) values exceeding 90%. This rigorous process confirms the reliability of models in predicting transport mass and mass flow rate discharge. Overall, the ANOVA results not only identify critical factors influencing these outcomes but also validate their significance through thorough statistical analysis and model refinement. The high R-Sq (prediction) values (>90%) underscore the robustness of predictive models derived from DEM simulations and empirical tests, ensuring their effectiveness in optimizing bucket elevator performance for polypropylene and soybean particles.

5.3 Optimization through FA

During this phase, Eqs. (19)–(22) are inserted into Eq. (15) for each material experiment to optimize parameter processes using the FA method. Tables 9 and 10 showcase the outcomes of identifying the Number of buckets (A), Mass rate feed input (B), and Velocity of the belt (C) through the Firefly algorithm, with parameters set at a population size of 50, 200 iterations, maximum attractiveness at 0.5, absorption coefficient at 0.5, and random perturbation rate at 0.2, following Sudsawat et al.'s methodology [31]. The attainment of convergence within 200 iterations indicates effective performance with these parameter configurations. Subsequently, the results highlight significant parameters: 34 for the Number of buckets (A), 1.56 kg/s for Mass rate feed input (B), and 1.01 m/s for Velocity of belt (C) concerning polypropylene particles, and 44 for the Number of buckets (A), 1.58 kg/s for Mass rate feed input (B), and 0.87 m/s for Velocity of belt (C) regarding soybean particles.

The models' validation was then conducted using optimal values derived from the FA algorithm for experimental tests consisting of 5 test runs, as detailed in Table 11. The results yielded the average absolute percentage deviation of each model for transport mass and mass flow rate discharge, compared against both simulation and empirical tests. Upon examination, the investigation focused on the objective values (transport mass and mass flow rate discharge) of the predicted model, DEM simulation results, and actual tests, revealing the percentage deviation between predicted and simulated values, predicted and actual test values, as well as simulated and actual test values for both polypropylene and soybean particles. It was observed that the percentage deviations for transport mass and mass flow rate discharge of both polypropylene and soybean particles were less than 10% and 15%, respectively. Consequently, it can be concluded that these models exhibit reliability and can be effectively utilized in the optimization phase.

 Table 11: The models' validation was assessed by conducting experimental tests using optimal values, with a total of 5 test runs

partic	100)								
No.	A	B (kg/s)	<i>C</i> (m/s)	Predicted trans- port mass (kg)	Predicted flow rate dis- charge (kg/s)	Simulated trans- port mass (kg)	Simulated flow rate dis- charge (kg/s)	Actual trans- port mass (kg)	Actual mass flow rate dis- charge (kg/s)
1	34	1.56	1.01	9.61	0.27	8.68	0.25	9.26	0.26
2	34	1.56	1.01	9.61	0.27	8.68	0.25	9.25	0.26
3	34	1.56	1.01	9.61	0.27	8.68	0.25	9.25	0.26
4	34	1.56	1.01	9.61	0.27	8.68	0.25	9.24	0.26
5	34	1.56	1.01	9.61	0.27	8.68	0.25	9.20	0.26
(soyb	ean part	ticles)							
No.	A	B (kg/s)	<i>C</i> (m/s)	Predicted trans- port mass (kg)	Predicted flow rate dis- charge (kg/s)	Simulated trans- port mass (kg)	Simulated flow rate dis- charge (kg/s)	Actual trans- port mass (kg)	Actual mass flow rate dis- charge (kg/s)
1	44	1.58	0.87	9.96	0.28	8.57	0.24	9.64	0.27
2	44	1.58	0.87	9.96	0.28	8.57	0.24	9.61	0.27
3	44	1.58	0.87	9.96	0.28	8.57	0.24	9.61	0.27
4	44	1.58	0.87	9.96	0.28	8.57	0.24	9.62	0.27
5	44	1.58	0.87	9.96	0.28	8.57	0.24	9.62	0.27

Comparison of predicted, DEM simulation vs. actual run based on EDEM software (polypropylene particles)

Based on the optimal values presented in Table 11, the maximized values of transport mass and mass flow rate discharge obtained through the FA method offer suitable operating parameters for each material experiment. It is evident that the results for the bucket elevator, which handles polypropylene particles, suggest a significantly different number of buckets compared to soybean particles, with 34 and 44 buckets, respectively. This outcome underscores the substantial influence of material properties on material handling via bucket elevators. Consequently, conventional methods for designing bucket elevators, often derived from basic mathematical equations, may prove insufficient, given the significant impact of material properties and particle geometry on handling behavior. Additionally, when examining belt velocity, which is typically categorized into gravitational, mixed, and centrifugal discharge sections [18,28], It is common practice to set belt velocities below 1 m/s, around 1 m/s, and above 1 m/s for operational parameters [28]. However, the results in Table 11 demonstrate notable differences in belt velocity for handling polypropylene and soybean particles, recorded at 1.01 and 0.87 m/s, respectively, even within the same bucket elevator structure.

Moreover, focusing on the mass flow rate for inlet feeding parameters in both material tests, the results indicate slight variations in the inlet feed rates for bulk materials. Furthermore, a comparison of the objective results derived from quadratic models, EDEM simulation, and empirical tests reveals a consistent trend, with the majority of results aligning closely. Specifically, the percentage of deviation between results from quadratic equations and empirical tests is consistently less than 5%.

5.4 Velocity Profiles of Optimization Values

Following the DEM simulations to validate both objectives (maximum transport mass and mass flow rate discharge), another crucial aspect to consider is the velocity profiles of particles. This is important because the velocity profiles during bucket elevator operation directly impact the performance of bulk material handling.

In Fig. 5, the velocity profiles for polypropylene and soybean particles are depicted, showcasing variations that can be discerned by the color of particle velocities. Despite the inlet feed particles being mostly similar and the belt velocity used to track the buckets for handling bulk materials having slight differences for both materials, around the discharge section, the discharge angle and velocity values for polypropylene particles are notably similar or higher compared to the discharge profiles of soybean particles.



Figure 5: Simulation of a bucket elevator using the DEM approach involved (a) simulating the handling of polypropylene particles and (b) simulating the handling of soybean particles

Further examination of the head bucket elevator section in Fig. 6 clarifies the velocity profiles. Fig. 6a shows that the discharge profiles of polypropylene particles resemble centrifugal discharge profiles, while Fig. 6b illustrates that the discharge profiles of soybean particles resemble mixed

discharge profiles. These observations align with the theoretical distance of poles related to the discharge velocity of particles as described in Eq. (20) [18,28].

$$l_p = \frac{g}{\omega^2}$$
(23)
$$l_p \le r_1 \qquad r_1 \le l_p \le r_2$$

Figure 6: The DEM method used in the simulation of the bucket elevator focused on the behavior of discharged material, with (a) simulating the handling of polypropylene particles and (b) simulating the handling of soybean particles

(a)

(b)

By considering the discharge profiles according to the distance of poles, as shown in Fig. 6, the classification algorithm is as follows: for gravity discharge, $l_p \ge r_2$; for centrifugal discharge, $l_p \le r_1$; and for mixed discharge, $r_1 \le l_p \le r_2$.

Figs. 5 and 6 illustrate the velocity profiles during the handling of polypropylene and soybean particles using the DEM approach. In Fig. 5, the velocity profiles at the discharge section show that polypropylene particles exhibit higher velocities resembling centrifugal discharge, while soybean particles display mixed discharge characteristics. This distinction is further clarified in Fig. 6, where polypropylene particles maintain high velocities throughout the discharge process, characteristic of centrifugal discharge, whereas soybean particles exhibit varying velocities indicative of mixed discharge behavior. These differences can be attributed to operational parameters such as belt velocity and the number of buckets. Higher belt velocities, as seen with polypropylene particles, contribute to centrifugal discharge patterns where particles are thrown outward due to centrifugal forces. In contrast, lower belt velocities, as observed with soybean particles, lead to mixed discharge profiles where particles follow a more varied trajectory.

Additionally, in Fig. 7, one of the particles was tracked to observe the transportation behavior of these materials. For the polypropylene particle (PP), it can be observed that initially, the velocity profile is nearly identical to that of the soybean particles. However, as it reaches the discharge section, the velocity profile of the PP particles appears to have a higher velocity compared to the soybean particles, as depicted in Fig. 7. Specifically, when the particle emerges from the discharge section, it reaches a velocity of 4.53 m/s, whereas the soybean particle reaches a velocity of 3.05 m/s at the discharge section.



This difference highlights the impact of operational settings, such as belt velocity adjustments, on the final discharge characteristics.

Figure 7: The velocity pattern of a tracked particle, which was introduced as an inlet particle into a bucket elevator, was examined through DEM simulation alongside both polypropylene and soybean particles until it exited around the discharge section

Figs. 8 and 9 compare DEM simulations with experimental data on the handling behavior of polypropylene and soybean particles. The results indicate that DEM simulations accurately reproduce the discharge output seen in empirical tests, as shown in Fig. 8a,b. These figures highlight the distinct velocity profiles of each material. Additionally, Fig. 9 presents the mass flow rate and total handling mass, demonstrating a close match between simulation and experimental results. The maximum mass flow rate for soybean is 1.89 kg/s, while for polypropylene, it is 1.13 kg/s, as depicted in Fig. 9a. The cumulative handling mass from Fig. 9b shows an error percentage of less than 10% between DEM simulations and empirical tests. These figures demonstrate that DEM simulations closely replicate empirical results, confirming the reliability of the simulation approach in predicting particle handling characteristics. This suggests that polypropylene particles are well-suited for centrifugal discharge handling, while soybean particles are more suitable for mixing discharge handling. The observed velocity profiles highlight that adjusting operational parameters like belt velocity and optimizing the number of buckets significantly impacts particle handling efficiency. For polypropylene particles, characterized by centrifugal discharge, higher belt velocities and specific bucket configurations are optimal. Conversely, soybean particles, exhibiting mixed discharge behavior, benefit from lower belt velocities and different bucket configurations. Understanding these velocity profiles helps in optimizing bucket elevator designs tailored to specific material properties, enhancing overall handling efficiency and minimizing operational discrepancies. This detailed analysis underscores the importance of simulation-based optimization approaches like DEM coupled with empirical validation, ensuring accurate predictions and effective operational adjustments in industrial material handling systems.



Figure 8: The DEM method employed in simulating the bucket elevator was juxtaposed with empirical tests, emphasizing the behavior of discharged material, with (a) simulating the handling of polypropylene particles and (b) simulating the handling of soybean particles



Figure 9: The mass flow rate (a) and cumulative total mass (b) of both polypropylene and soybean particles

Overall, the integration of CCD, FA, and DEM simulations allows for the precise optimization of bucket elevator operations, tailored to specific material properties, thereby enhancing handling efficiency and minimizing operational discrepancies. The detailed analysis of velocity profiles further supports the theoretical models and empirical observations, ensuring accurate predictions and effective operational adjustments.

6 Conclusions

Based on the comprehensive analysis conducted throughout this research, several key findings and conclusions can be drawn:

- A systematic optimization approach, combining experiments and FA algorithms, identifies the best parameters for maximizing transport mass and flow rate.
- Key factors influencing transport mass and flow rate include the number of buckets, feed input rate, and belt velocity.
- Models developed were validated with experiments, showing reliable predictions closely matching actual results.
- The optimized parameters derived from the FA algorithm offer practical operating parameters for handling polypropylene and soybean particles. The study highlights the importance of considering material properties and particle geometry in designing bucket elevators, to ensure efficient and effective operation.
- Analysis of velocity profiles reveals differences in particle behavior, emphasizing the importance of understanding particle movement for system optimization.

In summary, the study offers valuable insights into enhancing material handling processes within bucket elevators. It underscores the importance of factoring in material properties, process parameters, and particle behavior to ensure industrial systems operate efficiently and effectively.

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