# A Lightweight Three-Factor User Authentication Protocol for the **Information Perception of IoT**

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Abstract: With the development of computer hardware technology and network technology, the Internet of Things as the extension and expansion of traditional computing network has played an increasingly important role in all professions and trades and has had a tremendous impact on people lifestyle. The information perception of the Internet of Things plays a key role as a link between the computer world and the real world. However, there are potential security threats in the Perceptual Layer Network applied for information perception because Perceptual Layer Network consists of a large number of sensor nodes with weak computing power, limited power supply, and open communication links. We proposed a novel lightweight authentication protocol based on password, smart card and biometric identification that achieves mutual authentication among User, GWN and sensor node. Biometric identification can increase the nonrepudiation feature that increases security. After security analysis and logical proof, the proposed protocol is proven to have a higher reliability and practicality.

**Keywords:** Authentication, biometrics, smart card, multi-factor.

### 1 Introduction

Nowadays, IoT (Internet of Things) is gaining widespread attention from governments, enterprises and academics for several reasons. IoT First of all, the IoT is an important part of the new generation of information technology and plays a catalytic role in social development. Second, the application of the IoT will have enormous economic benefits. According to the estimation of relevant experts, the output value of the IoT will reach one trillion level. The Internet of things is mainly composed of perception layer, transport layer and network layer [Sathishkumar and Patel (2014)]. In this paper, we mainly consider the protection of perception data and regard the wireless sensor networks (WSNs) as the perception layer of the IoT. WSNs are the network that consists of large number of sensor nodes in a self-organized manner. The sensor nodes have the following characteristics, such as limited battery capacity, simple CPU, small storage and communication capability which cause the sensor nodes to suffering from various attacks in hostile environment [Lin, Zhu and Zheng (2017); Wu, Yan, Wang et al. (2017)].

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There are usually two methods for users to access the perceptual data collected by sensor nodes. One is that the user sends query instructions through the WSNs base station or gateway node, and then gets the perceptual data by the corresponding node. The security of this method is guaranteed by the security strategy of WSNs itself and it suffers from large time delay [Das, Sharma and Chatterjee (2012); Qazi (2004)]. The other is that user obtains the real-time data directly from the sensor nodes independent of base station or gateway node. This method has high real-time performance and is suitable for all kinds of real-time applications, but this method needs to consider the legitimacy of the user's identity in particular.

Identity authentication plays a very important role in ensuring that only legitimate users can access resources or services, and key agreement can guarantee that only legitimate communicators can obtain correct communication content. Due to the poor computing power of sensor nodes, traditional security protocols that require large computing power cannot be directly applied in wireless sensor networks. Therefore a lightweight security protocol is needed to secure the WSNs.

The user authentication protocols mainly compose of two-factor user authentication (based on the password and the smart card) and three-factor user authentication (based on two-factor authentication combined with the biometric factor). Practice has proved that three factor biometric-based user authentication is more secure than two-factor user authentication. Biometric identification has the following advantages in the field of user authentication, so it attracts much attention from experts and scholars [Qazi (2004)].

- Biometric identification will not be lost or forgotten;
- Biometric identification is not easy to be replicated;
- Biometric identification is not easy to be forged or distributed;
- Biometric identification is not easy to be guessed.

At present, security solutions to deal with hostile attack in WSNs mostly focus on key management, authentication and secure routing [Balakrishnan and Rino (2016); Amin and Biswas (2015)]. Two-factor authentication [Das, Sharma and Chatterjee (2012); Fan, Ping and Fu (2010); He, Gao and Chan (2010); Khurram and Khaled (2010); Lee, Li and Chen (2011)] combining password and smart card is a common solution for researchers, but two-factor authentication is still not reliable because smart cards are easy to lose and the password is also easily guessed by an attacker. He et al. [He, Kumar and Chilamkurti (2014)] devised a mutual authentication and key agreement scheme based on temporal credential, which can effectively deal with the simulated attacks on user or sensor node, offline password guessing attacks and user anonymous attacks. Their scheme can be put into practical applications in WSNs. However, this solution cannot satisfactorily certify after tracking attacks, insider attacks and identity guessing attacks. In order to solve the above problem, Jiang et al. [Jiang, Ma and Lu (2015)] proposed a linkless enhanced authentication strategy. They took full account of the sensor node's burden and reduced the energy consumption while defending against a series of security threats. Later, They designed a privacy-aware two-factor authentication scheme based on the research results of elliptic curve cryptography (ECC), which took into account the efficiency of WSNs and the safety features in a variety of environments [Jiang, Kumar and Ma (2016)]. Amin

et al. [Amin and Biswas (2015)] improved the sensor network architecture and designed a low-energy user authentication and key agreement scheme to achieve two-way authentication, dynamic addition of nodes and password updates, which has improved the session key protection. Choi et al. [Choi, Lee and Kim (2014)] uses a heuristic analysis method and an ECC to improve a user authentication protocol that can reduce the energy consumption of WSNs and provide mutual authentication and key agreement between users and sensors. It can also resist session key attacks and sensor energy exhaustion attacks. In Sahingoz [Sahingoz (2013)], the author presented a key management framework for distributed WSNs that share the keys between sensor nodes and their neighbors. In addition, they used UAV as a management center of asymmetric key to achieve a multi-level dynamic key management. Three-factor user authentication based on biometric [Park and Park (2016)] in the WSNs shows the superior to traditional twofactor user authentication schemes. In this paper, we provide a new user authentication protocol for WSNs using smart card combining with biometric identification. The proposed authentication protocol should achieve these goals: (1) mutual authentication between the user and the sensor node; (2) anonymity: the attacker cannot get the user's identity; (3) session key generation: after the authentication procedure, a session key should be generate shared by the user and the sensor node; (4) GWN does not store the registered user's password and biometric template; (5) attack resistance: the protocol should be robust against a variety of attacks; (6) password update offline. The proposed protocol is lightweight and superior than the exiting protocols on the computational complexity. The security of the proposed protocol is proved by BAN-logic.

The remainder of the paper is organized as follows. In Section 2, we present the related work of user authentication. In Section 3, we review the Althobaiti's protocol and analyze the security vulnerability of Althobaiti's protocol. In Section 4, we describe our proposed new user authentication protocol for WSNs. In Section 5, we perform the security analysis of our scheme by BAN-logic and compare the performance with the existing protocols. In Section 6, we conclude our research.

#### 2 Preliminaries

#### 2.1 Attacker threat model

In order to conduct security analysis of the Althobaiti's protocol and our proposed protocol, we make use of the Dolev-Yao threat model [Ramanujam, Sundararajan and Suresh (2014)] and its improved model [Kim, Lee and Jeon (2014)]. In the unsecured open communications, the attacker has the following abilities:

- An attacker can gain all the messages transmitted over a public channel;
- An attacker can impersonate other communication entities to send messages to users;
- An attacker cannot get correct random number;
- An attacker cannot decrypt the message without the correct key;
- An attacker cannot crack the encryption algorithm;
- Once an attacker steals the user's smart card, he can get all the information stored in the card:

- The ID and password of the user are usually low-entropy;
- An attacker cannot crack the encryption algorithm;
- The gateway node cannot be compromised.

## 2.2 Fuzzy extractor

Due to the fact that various of noises can lead to the failure of the biometric information acquisition, a fuzzy extractor method [Dodis and Reyzin (2004)] is proposed to extract the correct data with a given error tolerance. A fuzzy extractor method mainly contains two functions:  $Gen(\cdot)$  and  $Rep(\cdot)$ 

$$Gen(BIO) = (R,P)$$

Rep(BIO') = R if BIO is similar to BIO' within a predefined threshold.

The function Gen maps the input biometric information to a secret string  $R \in \{0,1\}^l$  and auxiliary information P. The function Rep can reproduce the R with the auxiliary information P and BIO' which is similar to BIO in some degree.

#### 2.3 Notations

The notations used throughout this paper are described in Tab. 1.

Notation	Description
$U_i$	User
GWN	Gateway node of WSN
SC	Smart card
$BIO_i$	Biometric template of $U_i$
$ID_i$	Identity of $U_i$
$ID_{sc}$	Identity of SC
$ID_{GWN}$	Identity of GWN
$X_{GWN}$	Secret key of GWN
$Y_j$	Secret key only shared by $GWN$ and $S_j$
$PW_i$	Password of $U_i$
sk	Session key

**Table 1:** Notations and parameters

### 3 Review of Althobaiti's protocol

In this section, we review Althobaiti et al.' user authentication protocol [Moreover and Section (2013)]. The notations used in the paper are listed in Tab. 1. Althobaiti's protocol takes advantage of the biometric identification to enhance security and it includes three processes: registration phase, login phase and authentication phase.

# 3.1 Registration phase

When the new user  $U_i$  wants to access the perceptual data collected by the sensor node  $S_j$ , he needs to register to the GWN firstly. The Registration Phase of user  $U_i$  includes the following procedures:

- The GWN selects and saves a random key  $ek_i$  for the new user  $U_i$ ;
- The user  $U_i$  inputs his identity identification  $ID_i$  and biometric information  $BIO_i$ . Then computes  $BE = h(B_i) \oplus ek_i$  and stores BE in the device of  $U_i$ ;
- The GWN calculates  $F_i = h(ID_i \oplus X)$ , and send the message  $\{ID_i, F_i\}$  to the  $U_i$  via secure channel;
- The  $U_i$  stores the data  $\{ID_i, F_i, h(ek_i), BE\}$ .

## 3.2 Login phase

The login phase of user  $U_i$  includes the following procedures:

- The user  $U_i$  inputs  $ID_i$  and  $BIO_i$  and calculates  $N = h(B_i)$ ,  $ek_i' = BE \oplus h(B_i)$ ;
- $U_i$  calculates  $h(ek_i)$  and verifies if  $h(ek_i) = h(ek_i)$ . If yes,  $U_i$  sends the login request message  $\{ID_i, request\}$  to GWN. If no, it terminates the operation.

## 3.3 Authentication phase

The authentication phase realizes mutual authentication between user  $U_i$  and sensor node  $S_j$ . A detailed description of this phase is as follows:

- After *GWN* receives the message  $\{ID_i, request\}$ , *GWN* sends a authentication request  $\{R\}$  where R selected randomly to  $U_i$  as the login response. After  $U_i$  receives  $\{R\}$ ,  $U_i$  performs the encryption  $\{R,T_i\} \rightarrow E_{eki}\{R,T_i\}$  based on the key  $ek_i$ , where  $T_i$  represents the timestamp of  $U_i$ .  $U_i$  sends the authentication request message  $E_{eki}\{R,T_i\}$  to GWN via public channel.
- *GWN* receives the message  $E_{eki}\{R,T_1\}$  at  $T_2$  and decrypts the message to acquire the  $D_{eki}\{R,T_1\}$  according to  $ek_i$ . Then *GWN* verifies if  $|T_1-T_2| \le \Delta T$ . If no, it terminates the operation. If yes, sensor node  $S_j$  responses to  $U_i$ .
- GWN calculates

$$F_i = h(ID_i \oplus X)$$

$$Y_i = MAC_{F_i}(ID_i||ID_j||T_3)$$

where,  $T_3$  represents the current timestamp of GWN. GWN sends the message  $\{ID_i, Y_i, T_3\}$  via public channel.

•  $S_j$  receives the message  $\{ID_i, Y_i, T_3\}$  at  $T_4$ .  $S_j$  verifies if  $|T_4 - T_3| \le \Delta T$ . If no, it terminates the operation. If yes, it calculates the following equations:

$$F_i = h(ID_i \oplus X)$$

$$Y_i' = MAC_{F_i}(ID_i||ID_j||T_3)$$

 $S_i$  verifies if  $Y_i$  equals to  $Y_i$ . If no, it terminates the operation. If yes,  $S_i$  responses to  $U_i$  with RM and computes the following equations:

$$V_i = h(ID_i || F_i || T_5)$$

$$C_i = h(RM)$$

$$L = E_{Vi}(RM, C_i)$$

 $S_j$  sends the message  $\{L, T_5\}$  to  $U_i$  via public channel, where  $T_5$  represents the current timestamp of  $S_j$ .

•  $U_i$  receives the message  $\{L, T_5\}$  at  $T_6$  and verifies if  $|T_6 - T_5| \le \Delta T$ . If no,  $U_i$  terminates the operation. If yes,  $U_i$  computes the following equations:

$$V_i = h(ID_i || F_i || T_5)$$

$$D_{Vi}(L) = (RM', C_2')$$

$$C_i^* = h(RM')$$

If  $C_i^* = C_i'$ ,  $U_i$  accepts the RM as the legal request response, else  $U_i$  rejects the RM, where  $V_i = h(ID_i || F_i || T_5)$  is regarded as the session key between  $U_i$  and  $S_j$ .

#### 4 Security analysis of Althobaiti's protocol

Althobaiti's protocol is a typical light-weight user authentication protocol based on biometric identification. It reduces computational complexity effectively because it only applies hash function, XOR operation, concatenation operation and symmetric encryption without complex asymmetric encryption. It can resist attacks such as stolen smart card attack and stolen verifier attack. However, Althobaiti's protocol is only based on biometric identification that has some Security Flaws. We utilize the Dolev-Yao attacker expansion model to analyze the security flaws existing in the Althobaiti's protocol.

# 4.1 Node compromise attack

Assume that an attacker A first captures a sensor node  $S_j$ , then obtains secret key X. The attacker A intercepts messages  $\{ID_i, Y_i, T_3\}$  and  $\{L, T_5\}$  then A calculates the following formulas:

$$F_i = h(ID_i \oplus X)$$

$$V_i = h(ID_i || F_i || T_5)$$

A can may get the correct value  $V_i$  by constantly trying different  $T_5$ , where  $V_i$  is the session key shared by  $U_i$  and  $S_j$ . A can steal the session key through the following steps:

Step one: *GWN* send messages  $\{ID_i, Y_i', T_3'\}$  and  $\{L', T_5'\}$  to  $S_j'$  in the Authentication Phase, where

$$F_i = h(ID_i \oplus X)$$

$$Y_i' = MAC_{F_i}(ID_i||ID_j'||T_3')$$

$$V_i' = h(ID_i || F_i || T_5')$$

$$C_i' = h(RM)$$

$$L' = E_{Vi}(RM, C_i')$$

Step two: A intercepts messages  $\{ID_i, Y_i', T_{3'}\}$  and  $\{L', T_{5'}\}$  and obtains the parameters X,  $ID_i$  and  $T_{5'}$ , then calculates  $V_i' = h(ID_i || F_i || T_{5'})$ , so A acquires the session key between  $U_i$  and  $S_j$ . When A successfully compromises a node, he can obtain session keys for all nodes. So this protocol cannot resist the node compromise attack.

## 4.2 GWN impersonation attack

We can prove that an attacker A can impersonate the GWN to authenticate sensor node. The detail steps are as follows.

Step one: A captures the sensor node  $S_i$ , gets the secret key X, and intercepts the message  $\{ID_i, Y_i, T_3\}$  in the authentication phase.

Step two: A calculates the follow formulas:

$$F_i' = h(ID_i \oplus X)$$

$$Y_i' = MAC_{F_i} (ID_i || ID_j' || T_3')$$

where,  $ID'_j$  represents the ID of sensor node  $S_j$  queried by  $U_i$ ,  $T_{3'}$  represents the current timestamp of A. A sends the message  $\{ID_i, Y_i', T_{3'}\}$  to  $S_j$  via the public channel.

Step three: After  $S_j$  receives the message  $\{ID_i, Y_i', T_3'\}$ , he verifies the freshness of  $T_3$ . If it fails to meet the requirement, the operation is terminated. Else  $S_j$  calculates the following formulas:

$$F_i' = h(ID_i \oplus X)$$

$$Y_i * = MAC_{F_i'}(ID_i || ID_j' || T_3')$$

If  $Y_i^* = Y_i'$ ,  $S_j'$  response to  $U_i$  with RM' and computes the following formulas:

$$V_i' = h(ID_i || F_i || T_5')$$

$$C_i' = h(RM')$$

$$L' = E_{v_i'}(RM', C_{i'})$$

where,  $T_{5'}$  represents the current timestamp of  $S_{j'}$ .  $S_{j'}$  sends the message  $\{L', T_{5'}\}$  to  $U_i$ . Because the attacker can calculate the session key  $V_{i'}$  through X,  $ID_i$  and  $T_{5'}$ , the protocol cannot resist the GWN impersonation attack.

#### 4.3 Man-in-the-middle attack

An attacker can implement the Man-in-the-middle attack through the following processes:

Step one: A captures node  $S_j$ , obtains the secret key X, and intercepts the message  $\{ID_i, Y_i, T_3\}$ .

Step two: A calculates the following equations:

$$F_i^* = h(ID_i \oplus X)$$

$$Y_i^* = MAC_{F_i^*}(ID_i||ID_j||T_3^*),$$

where  $T_3^*$  represents the current timestamp of A. A modifies message  $\{ID_i, Y_i^*, T_3^*\}$ 

Step three: After  $S_j$  receives message  $\{ID_i, Y_i^*, T_3^*\}$ , it verifies the freshness of the  $T_3^*$ , and computes the following equations:

$$F_i = h(ID_i \oplus X)$$

$$Y_i^{**} = MAC_{Fi} (ID_i || ID_j || T_3^*),$$

 $S_i$  verifies if  $Y_i^{**} = Y_i^*$ . If yes,  $S_i$  responses to  $U_i$  with  $RM^*$  and computes the following equations:

$$V_i^* = h(ID_i || F_i || T_5^*)$$

$$C_i^* = h(RM^*)$$

$$L^* = E_{V_i^*}(RM^*, C_i^*)$$

 $S_j$  the message  $\{L^*, T_5^*\}$  to  $U_i$ .

Step four: A intercepts message  $\{L^*, T_5^*\}$  and computes  $V_i^{**} = h(ID_i || F_i^* || T_5^*)$ . A decrypts  $L^*$  to obtain  $RM^*$ ,  $C^*$ . Besides, A can create response message  $RM^{**}$  to replace  $RM^*$ , and calculates:

$$C_i^{**} = h(RM^{**})$$

$$L^{**} = E_{V_i^{**}}(RM^{**}, C_i^{**})$$

Finally, A sends the message  $\{L^*, T_5^*\}$  to  $U_i$ .  $U_i$  will authenticate  $\{L^*, T_5^*\}$  successfully and regard  $RM^{**}$  as the legal response, so the protocol cannot resist the Man-in-the-middle attack.

# 4.4 Privileged-insider attack

In the Registration Phase GWN randomly generates  $ek_i$  for  $U_i$  and stores  $ek_i$  in the database. Privileged-insider attack can obtain the  $ek_i$  to decrypt message  $E_{ek_i}\{R,T_i\}$  and forge a faked  $U_i$ . So the protocol cannot resist the Privileged-insider attack.

## 5 The proposed user authentication protocol

In order to improve the existing security flaws of Althobaiti's protocol, we propose a novel user authentication protocol based on biometric identification combined with smart card and password. The proposed protocol includes registration phase, login phase, authentication phase, and biometric identity update phase.

## 5.1 Registration phase

In this phase,  $U_i$  register with GWN. Fig. 1 illustrates the registration phase and it is performed as follows.

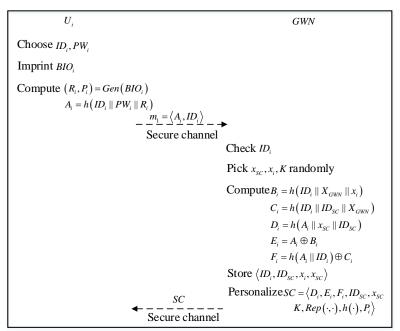


Figure 1: Registration phase

- Step 1:  $U_i$  chooses  $ID_i$ ,  $PW_i$ , and imprints biometric  $BIO_i$ , and computes  $(R_i, P_i) = Gen(BIO_i)$  and  $A_i = h(ID_i, PW_i, R_i)$ . Then sends  $m_i = \langle A_i, ID_i \rangle$  to GWN via a secure channel.
- Step 2: GWN checks if  $ID_i$  exists in the database, if no,  $U_i$  is recommended to select a new identity; otherwise, GWN picks  $x_{SC}$ ,  $x_i$  and K randomly, and computes  $B_i = h(ID_i, X_{GWN}, x_i)$

$$C_{i} = h(ID_{i}, ID_{SC}, X_{GWN}),$$

$$D_{i} = h(A_{i}, x_{SC}, ID_{SC}),$$

$$E_{i} = A_{i} \oplus B_{i},$$

$$F_{i} = h(A_{i}, ID_{i}) \oplus C_{i}.$$

• Step 3: the parameters  $\langle ID_i, ID_{SC}, x_i, x_{SC} \rangle$  are stored by GWN. GWN issues the smart card  $SC = \langle D_i, E_i, F_i, ID_{SC}, x_{SC}, K, Rep(\cdot, \cdot), h(\cdot), P_i \rangle$  and sends the smart card to  $U_i$  via a secure channel.

# 5.2 Login phase

When  $U_i$  wants to access the node  $S_j$ , the login request is launched at first by  $U_i$  with SC. Fig. 2 illustrates the login phase and it is performed as follows.

Enter 
$$ID_{i}$$
,  $PW_{i}$ ,  $BIO_{i}$ 

Compute  $R_{i}^{'} = Rep(BIO_{i}, P_{i})$ 

$$A_{i}^{'} = h(ID_{i}^{'} \parallel PW_{i}^{'} \parallel R_{i}^{'})$$

Check  $h(A_{i}^{'} \parallel x_{SC} \parallel ID_{SC})^{?} = D_{i}$ 

Pick  $N_{i}$ ,  $n_{i}$  randomly

Compute  $B_{i}^{'} = A_{i} \oplus E_{i}$ 

$$C_{i}^{'} = h(A_{i}^{'} \parallel ID_{i}) \oplus F_{i}$$

$$UV_{1} = E_{K}(ID_{i} \parallel ID_{SC} \parallel N_{i})$$

$$VC_{1} = h(B_{i}^{'} \parallel C_{i}^{'} \parallel N_{i} \parallel n_{1})$$

Choose  $ID_{j}$ 

Compute  $UV_{2} = ID_{j} \oplus h(ID_{i} \parallel N_{i} \parallel n_{1})$ 

$$\underline{m_{2} = \langle ID_{i}, UV_{1}, UV_{2}, VC_{1}, n_{1} \rangle}$$

Figure 2: Login phase

- Step 1:  $U_i$  inserts SC and enters  $ID_i$ ,  $PW_i$  and imprint  $BIO_i$ .
- Step 2: SC computes  $R_i' = Rep(BIO_i, P_i)$ ,  $A_i' = h(ID_i, PW_i, R_i')$  and checks whether  $h(A_i', x_{SC}, ID_{SC})$  equals to  $D_i$ . If yes, SC picks two random number  $N_i$  and  $n_i$ , and computes

$$B_{i}' = A_{i} \oplus E_{i},$$

$$C_{i}' = h(A_{i}', ID_{i}) \oplus E_{i},$$

$$UV_{1} = Ek(ID_{i}, ID_{SC}, N_{i}),$$

$$VC_{1} = h(B_{i}', C_{i}', N_{i}, n_{1}),$$

 $UV_2 = ID_j \oplus h(ID_i, N_i, n_1)$ 

• Step 3: SC sends  $m_2 = \langle ID_1, UV_1, UV_2, VC_1, n_1 \rangle$  to GWN, where  $n_1$  is a random number.

## 5.3 Authentication phase

Fig. 3 illustrates the authentication phase and it is performed as follows.

• Step 1: GWN checks the validity of  $ID_i$  and the freshness of  $n_1$ . If yes, it computes  $(ID_i, ID_{SC}, N_i) = D_k(UV_1)$ ,  $C_i = h(ID_i, ID_{SC}, X_{GWN})$ , and  $B_i = h(ID_i, X_{GWN}, x_i)$ , and checks whether  $h(B_i, C_i, N_i, n_1)$  equals to  $VC_1$ . If yes, GWN computes  $ID_j = UV_2 \oplus h(ID_i, N_i, n_1)$ ,

$$Y_j = h(ID_j, X_{GWN})$$
,

$$VC_2 = h(ID_i, ID_j, ID_{GWN}, Y_j, N_i, n_2),$$

$$GV_1 = ID_i \oplus h(ID_{GWN}, Y_j, N_i)$$
,

$$GV_2 = N_i \oplus h(ID_i, ID_j, Y_j)$$
.

GWN sends  $m_3 = \langle ID_1, ID_{GWN}, GV_1, GV_2, VC_2, n_2 \rangle$  to  $S_j$ , where  $n_2$  is a random number.

• Step 2:  $S_j$  checks the freshness of  $n_2$ . If  $n_2$  meets freshness requirement,  $S_j$  computes

$$ID_j = GV_1 \oplus h(ID_{GWN}, Y_j, N_i),$$

$$N_j = GV_2 \oplus h(ID_i, ID_j, Y_j)$$
.

Then, it checks whether  $h(ID_i, ID_j, ID_{GWN}, Y_j, N_i, n_2)$  equals to  $VC_2$ . Next,  $S_j$  picks  $N_j$  and  $n_3$  randomly and computes

$$sk_s = h(ID_i, ID_j, N_i, N_j)$$

$$VC_3 = h(sk_s, Y_i, N_i, N_j, n_3)$$

$$SG = h(ID_i, ID_j, Y_j) \oplus N_j$$
.

After that,  $S_j$  sends  $m_4 = \langle VC_3, SG, n_3 \rangle$  to GWN, where  $n_3$  is a random number.

• Step 3: GWN checks the freshness of  $n_3$ . If  $n_3$  meets the freshness requirement, GWN computes:

$$N_j = SG \oplus h(ID_i, ID_j, Y_j)$$
,

$$sk_g = h(ID_i, ID_j, N_i, N_j)$$
.

Then GWN checks whether  $h(sk_g, Y_j, N_i, N_j, n_3)$  equals to  $VC_3$ . If yes, GWN computes:

$$VC_4 = h(sk_g, B_i, C_i, ID_i, ID_j, N_j, n_4),$$

$$GU = E_k(N_i, N_j)$$
.

After that, GWN sends  $m_5 = \langle VC_4, GU, n_4 \rangle$  to  $U_i$ , where  $n_4$  is a random number.

• Step 4:  $U_i$  checks the freshness of  $n_4$ . If  $n_4$  meets freshness requirement,  $U_i$  computes:

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(N_i, N_j) = D_k(GU),

sk_u = h(ID_i, ID_j, N_i, N_j).
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Then he checks whether  $h(sk_u, B_i, C_i, ID_i, ID_j, N_j, n_4)$  equals to  $VC_4$ . If yes,  $U_i$  accepts GWN and  $S_j$ , and agree the session key  $sk_u = sk_s = sk$ .

```
U_{i}
                                                                                                                                                                                 S_{i}
           \underline{m_2 = \langle ID_i, UV_1, UV_2, VC_1, n_1 \rangle}
                                         Check the freshness of ID_i, n_1
                                          Compute (ID_i, ID_{SC}, N_i) = D_k(UV_1)
                                                           C_i = h(ID_i || ID_{SC} || X_{GWN})
                                                           B_i = h(ID_i \mid\mid X_{GWN} \mid\mid x_i)
                                         Check
                                                         h(B_i \parallel C_i \parallel N_i \parallel n_1) = VC_1
                                          Compute ID_i = UV_2 \oplus h(ID_i || N_i || n_1)
                                                           Y_{i} = h(ID_{i} || X_{GWN})
                                                           VC_2 = h\left(ID_i \parallel ID_i \parallel ID_{GWN} \parallel Y_i \parallel N_i \parallel n_2\right)
                                                           GV_1 = ID_i \oplus h(ID_{GWN} || Y_i || N_i)
                                                           GV_2 = N_i \oplus h(ID_i || ID_i || Y_i)
                                                                                           m_{3} = \left\langle ID_{i}, ID_{\underline{GWN}}, GV_{1}, \underline{GV_{2}, VC_{2}, n_{2}} \right\rangle
                                                                                                                           Check the freshness of n_2
                                                                                                                          Compute ID_i = GV_1 \oplus h(ID_{GWN} \parallel Y_i \parallel N_i)
                                                                                                                                           N_i = GV_2 \oplus h(ID_i \parallel ID_i \parallel Y_i)
                                                                                                                          Check h(ID_i \parallel ID_i \parallel ID_{GWN} \parallel Y_i \parallel N_i \parallel n_2) = VC_2
                                                                                                                          Pick N_i, n_3 randomly
                                                                                                                          Compute TV = T_v (ID_i || ID_i || N_i || N_i) \mod p
                                                                                                                                           sk_s = T_u(TV) \mod p
                                                                                                    m_4 = \langle VC_3, SG, n_3 \rangle
                                                                                                                                           VC_3 = h(sk_s || Y_i || N_i || N_j || n_3)
                                          Check the freshness of n_3
                                                                                                                                           SG = h(ID_i || ID_i || Y_i) \oplus N_i
                                         Compute N_i = SG \oplus h(ID_i || ID_i || Y_i)
                                                          TU = T_u \left( ID_i \mid\mid ID_j \mid\mid N_i \mid\mid N_j \right) \bmod p
                                                          sk_{\sigma} = T_{\nu}(TU) \mod p
                                         Check h(sk_g || Y_i || N_i || N_j || n_3) = VC_3
                                         Compute VC_4 = h(sk_a || B_i || C_i || ID_i || ID_i || N_i || n_4)
                       m_5 = \langle VC_4, TU, n_4 \rangle
 Check the freshness of n_4
 Compute sk_u = T_v(TU) \mod p
 Check h(sk_u \parallel B_i \parallel C_i \parallel ID_i \parallel ID_i \parallel N_i \parallel N_i \parallel N_i \parallel n_4) = VC_4
 Accept GWN, S;
```

Figure 3: Authentication phase

# 5.4 Update phase

A legal user can update the old password  $PW_i$  and the biometric  $BIO_i$  as follows:

- Step 1:  $U^i$  inserts the smart card SC into a card reader, then  $U^i$  inputs the  $ID^i$ , old password  $PW_i$  and imprints old biometric  $BIO^i$ .
- Step 2: SC computes  $R_i = Rep(BIO_i, P_i)$ ,  $A_i = h(ID_i, PW_i, R_i)$ , and checks whether  $h(A_i, x_{SC}, ID_{SC})$  equals to SC. If yes,  $D_i$  computes:

$$B_i = A_i \oplus E_i$$
,

$$C_i = h(A_i, ID_i) \oplus F_i$$
,

 $U_i$  inputs new  $PW_i$  and imprints new biometric  $BIO_i$ .

• Step 3: *SC* computes:

$$R_i' = Rep(BIO_i', P_i)$$
,

$$A_i' = h(ID_i, PW_i', R_i'),$$

$$D_i' = h(A_i', x_{SC}, ID_{SC})$$
,

$$E_i' = A_i' \oplus B_i$$

$$F_i' = h(A_i', ID_i) \oplus C_i$$

• Step 4: The smart card updates the parameter to  $SC = \langle D_i', E_i', F_i', ID_{SC}, x_{SC}, K, Rep(\cdot, \cdot), h(\cdot), P_i \rangle$  without GWN.

# 6 Security analysis and performance comparison

# 6.1 Proof of authentication and key agreement based on BAN Logic

# 6.1.1 The BAN logic postulates

**Table 2:** Notations and parameters of ban logic

Notation	Meaning
$P \equiv X$	P believes X
#(X)	X is freshness
$P \Rightarrow X$	P has jurisdiction over X
$P \triangleleft X$	P sees $X$ , or $P$ once received $X$
$P \mid \sim X$	P once said $X$ , or $P$ once forward $X$
(X,Y)	X or $Y$ is part of formula $(X,Y)$
$\langle X \rangle_Y$	<i>X</i> is combined with <i>Y</i>
$\{X\}_{Y}$	encrypt X using Y
$P \stackrel{K}{\longleftrightarrow} Q$	only $P$ and $Q$ share key $K$
$P \mid \equiv X$	P believes X
#(X)	X is freshness

(1) Message meaning rule of shared key

$$\frac{P \models P \stackrel{\kappa}{\longleftrightarrow} Q, P \triangleleft \{X\}_{\kappa}}{P \models Q \sim X}$$

(2) Nonce verification rule

$$\frac{P \mid \equiv \#(X), P \mid \equiv Q \sim X}{P \mid \equiv Q \mid \equiv X}$$

(3) Jurisdiction rule

$$\frac{P \mid \equiv Q \mid \Longrightarrow X, P \mid \equiv Q \mid \equiv X}{P \mid \equiv X}$$

(4) Freshness-conjunction rule

$$\frac{P \models \#(X)}{P \models \#(X,Y)}$$

6.1.2 Security goal

$$G_1:U_i \models U_i \stackrel{sk}{\longleftrightarrow} S_j$$

$$G_2: S_j \models U_i \stackrel{sk}{\longleftrightarrow} S_j$$

$$G_3: U_i \models S_j \models U_i \stackrel{sk}{\longleftrightarrow} S_j$$

$$G_4: S_j \models U_i \models U_i \iff S_j$$

6.1.3 Idealized form

Message m2

$$U_i \rightarrow GWN: (N_i, n_1, ID_i, ID_j, B_i, C_i)_k$$

Message m3

$$GWN \rightarrow S_j : (N_i, n_2, ID_i, ID_j, ID_{GWN})_{Y_j}$$

Message m4:

$$S_j \rightarrow GWN: (N_i, N_j, n_3, ID_i, ID_j, sk)_{Y_j}$$

Message ms:

$$GWN \rightarrow U_i : (N_i, N_j, n_4, ID_i, ID_j, sk, B_i, C_i)_K$$

# 6.1.4 Assumptions

We make the assumptions about the initial state of the scheme to analyze the proposed scheme as follows.

$$A_1: GWN \mid = \#(n_1)$$

$$A_2:S_j\mid \equiv \#(n_2)$$

 $A_3:GWN \mid \equiv \#(n_3)$ 

 $A_4:U_i\mid \equiv \#(n_4)$ 

 $A_5: U_i \models U_i \stackrel{\kappa}{\longleftrightarrow} GWN$ 

 $A_6: GWN \mid \equiv U_i \stackrel{\kappa}{\longleftrightarrow} GWN$ 

 $A_7: S_j \models S_j \stackrel{Y_j}{\longleftrightarrow} GWN$ 

 $A_8: GWN \mid \equiv S_j \stackrel{Y_j}{\longleftrightarrow} GWN$ 

 $A_9: U_i \models S_j \Rightarrow U_i \stackrel{sk}{\longleftrightarrow} S_j$ 

 $A_{10}: S_j \models U_i \Longrightarrow U_i \stackrel{sk}{\Longleftrightarrow} S_j$ 

6.1.5 Security analysis of the idealized form of the proposed scheme

According to  $m_2$ , we can easily obtain

 $P:GWN \triangleleft (N_i, n_1, ID_i, ID_j, B_i, C_i)_K$ 

According to  $A_6$  and the message-meaning rule, we have

 $P_2: GWN \mid \equiv U_i \sim (N_i, n_1, ID_i, ID_j, B_i, C_i)$ 

According to  $A_1$  and the freshness-conjunction rule, we have

 $P_3: GWN \mid \equiv \#(N_i, n_1, ID_i, ID_j, B_i, C_i)$ 

According to  $P_2$ ,  $P_3$  and the non-verification rule, we have

 $P_4: GWN \mid \equiv U_i \mid \equiv (N_i, n_1, ID_i, ID_j, B_i, C_i)$ 

According to  $m_3$ , we have

 $P_5: S_i \triangleleft (N_i, n_2, ID_i, ID_j, ID_{GWN})_{Y_i}$ 

According to  $A_7$  and the message-meaning rule, we have

 $P_6: S_j \mid \equiv GWN \mid \sim (N_i, n_2, ID_i, ID_j, ID_{GWN})$ 

According to  $A_2$  and the freshness-conjunction rule, we have

 $P_7: S_j \mid \equiv \#(N_i, n_2, ID_i, ID_j, ID_{GWN})$ 

Then from  $P_6$ ,  $P_7$  and the non-verification rule, we have

 $P_8: S_j \models GWN \models (N_i, n_2, ID_i, ID_j, ID_{GWN})$ 

According to  $m_4$ , we have

 $P_{0}: GWN \triangleleft (N_{i}, N_{j}, n_{3}, ID_{i}, ID_{j}, sk)_{Y_{j}}$ 

According to  $A_8$  and the message-meaning rule, we have

 $P_0: GWN \mid \equiv S_j \mid \sim (N_i, N_j, n_3, ID_i, ID_j, sk)$ 

According to  $A_3$  and freshness-conjunction rule, we have

 $P_1: GWN \models \#(N_i, N_j, n_3, ID_i, ID_j, sk)$ 

Then according to R0, R1 and the non-verification rule, we have

$$P_2: GWN \models S_j \models (N_i, N_j, n_3, ID_i, ID_j, sk)$$

According to m5, we have

$$P_3: U_i \triangleleft (N_i, N_j, n_4, ID_i, ID_j, sk, B_i, C_i)_K$$

According to  $A_4$  and the message-meaning rule, we have

$$P_4: U_i \models GWN \mid \sim (N_i, N_j, n_4, ID_i, ID_j, sk, B_i, C_i)$$

According to  $A_5$  and freshness-conjunction rule, we have

$$P_{15}:U_{i} \models \#(N_{i},N_{j},n_{4},ID_{i},ID_{j},sk,B_{i},C_{i})$$

Then, from PA, PS and the non-verification rule, we have

$$P_6: U_i \models GWN \models (N_i, N_j, n_4, ID_i, ID_j, sk, B_i, C_i)$$

Because  $sk = h(ID_i, ID_j, N_i, N_j)$  according to  $P_{16}$  and  $P_{12}$ , we have

$$P_7: U_i \models S_j \models U_i \stackrel{sk}{\longleftrightarrow} S_j(G_3)$$

Likewise, according to  $P_4$  and  $P_5$ , we have

$$P_{18}: S_{j} \models U_{i} \models U_{i} \stackrel{sk}{\longleftrightarrow} S_{j}(G_{4})$$

According to  $P_3$ ,  $P_{7}$  and jurisdiction rule, we have

$$P_{i,j}:U_i \models U_i \stackrel{sk}{\longleftrightarrow} S_j(G_1)$$

Likewise, according to  $R_0$ ,  $R_8$  and jurisdiction rule, we have

$$P_{20}: S_j \models U_i \stackrel{sk}{\longleftrightarrow} S_j(G_2)$$

According to  $G_1$ ,  $G_2$ ,  $G_3$  and  $G_4$ , we conclude that both  $U_i$  and  $S_j$  believe they share the session key users identity, password, SC and biometrics. It can be concluded that our proposed scheme not only provides mutual authentication between user, sensor node and GWN, but also generates a shared session key for subsequent communication.

# 6.2 Security aalysis against vrious atacks

- Node capture attack: Assume that an adversary A physically captures a sensor node  $S_i$ , he can access real-time perception data collected by  $S_i$ . What is more, A can obtain the secret information including node key and session key sk. Due to the fact that sk is generated by the  $N_i$  and  $N_j$  corresponding to  $U_i$  and  $S_j$ , so the session key is different from each other because both the User and Sensor Node are different. One compromising node cannot reveal the information of other nodes and users. Legal users can still communicate with other nodes securely. The proposed protocol can resist Node capture attack.
- Off-line password guessing attack: Assume that an adversary A may attempt to guess the password  $PW_i$ . He can obtain  $A_i$  a successfully, if he steal the data from the smart card. He can obtain  $PW_i$  successfully only if he knows  $R_i$  which is very relevant to the biometric identification  $BIO_i$ .  $BIO_i$  cannot be forged because of its uniqueness. It

is impracticable to guess the password  $PW_i$  correctly in our protocol.

- **Smart card loss attack:** Assume that the smart card of a legal user is stolen by an adversary A, and he wants to carry out Smart card loss attack. A can get the information  $\langle D_i, E_i, F_i, ID_{SC}, x_{SC}, K, Rep(\cdot, \cdot), h(\cdot), P_i \rangle$  stored in the smart card. Although A can obtain  $A_i$  by  $D_i = h(A_i, x_{SC}, ID_{SC})$  and  $A_i = h(ID_i, PW_i, R_i)$ , he cannot obtain  $PW_i$  due to the one-way function and  $R_i$  without biometric identification  $BIO_i$ . The proposed protocol can resist Offline password guessing attack.
- GWN impersonation attack: Assume that an attacker A physically captures a sensor node  $S_j$  and impersonates GWN to attack sensor node  $SN_j$ . In order to be authenticated by the sensor node  $SN_j$ , A needs to forge message  $m_3$ . Though A can obtain  $y_j$  because he captures  $S_j$ , he cannot calculate  $y_j$  response to  $SN_j$ .  $y_j$  is the key factors for generating  $GV_1$ ,  $GV_2$  and  $VC_2$ , so A cannot forge message legal  $m_3$ . The proposed protocol can resist GWN impersonation attack.
- Man-in-the-middle attack and replay attack: Assume that an adversary A can intercept legitimate login request message  $m_2 = \langle ID_i, UV_1, UV_2, VC_1, n_1 \rangle$ . Due to the feature of one-way hash function, A cannot obtain the key K, the random number  $N_i$ , password  $PW_i$  and biometric identification value  $R_i$  related  $U_i$ , so A cannot forge message  $m_2$ . What's more, the login request message  $m_2$  is related to  $n_1$  varying with time, so the intercepted  $m_2$  will be invalid over time. Therefore, our protocol can withstands Man-in-the-middle attack and Replay attack.
- **Denial of Service Attack:** In the proposed protocol,  $U_i$ ,  $S_j$  and GWN verify the freshness of fresh factors  $n_1$ ,  $n_2$ ,  $n_3$  and  $n_4$  during the authentication process, respectively. Each message for verification such as  $m_2$ ,  $m_3$ ,  $m_4$  and  $m_5$  contains a fresh factor. In addition, each of  $U_i$ ,  $S_j$  and GWN verifies if the received value is equal to the recalculated value. The proposed protocol can resist denial of service attack.
- **Mutual Authentication:** The proposed protocol achieve the mutual authentication of each entities  $U_i$ ,  $S_j$  and GWN. GWN authenticates  $U_i$  by verifying the validity of  $m_2$  generated by  $U_i$ . Then  $S_j$  and GWN conduct two-way authentication by checking the validity of  $m_3$  generated by GWN and  $m_4$  generated by  $S_j$ . The authentication between  $U_i$  and  $S_j$  is proved by  $m_5$  generated by GWN. All the legal messages are only produced by legal  $U_i$ ,  $S_j$  and GWN. Therefore, our protocol provides proper mutual authentication.

Tab. 3 shows the security features supported by our protocol and existing protocols [Kim (2014); Chang, Lee, Lin et al. (2015); Yoon and Yoo (2014)]. It is clear to see that our protocol has superiority on the extra important security features compared with existing protocols. We note that the protocol of Kim et al. [Kim (2014); Chang, Lee, Lin et al. (2015); Yoon and Yoo (2014)] is susceptible to several attacks, such as man-in-the-middle attack and impersonation attack. The protocol of Chang et al. [Chang, Lee, Lin et al. (2015)] is prone to man-in-the-middle attack and unauthorized access attack. The

protocol of Yoon et al. [Yoon and Yoo (2014)] cannot provide user anonymity which may lead to the privacy information disclosure. Our protocol provides the formal BANlogic to prove security. Due to biometrics application, our protocol can offer nonrepudiation which is regarded as a practical application.

**Table 3:** Anti-attack performance

	Kim, Lee and Jeon (2014)	[Chang, Lee, Lin et al. (2015)]	[Yoon and Yoo (2014)]	Ours
Password guessing attack	V	$\checkmark$	$\checkmark$	
User impersonation attack	×	×	$\checkmark$	$\checkmark$
Lost smart card attack	$\sqrt{}$	×	$\checkmark$	$\checkmark$
Stolen verifier attack	×	$\checkmark$	$\sqrt{}$	$\checkmark$
Man-in-the-middle attack	$\sqrt{}$	$\checkmark$	$\checkmark$	$\checkmark$
Replay attack	$\checkmark$	$\checkmark$	$\checkmark$	$\sqrt{}$
Insider attack	$\sqrt{}$	$\checkmark$	$\checkmark$	$\checkmark$
Denial of service attack	$\sqrt{}$	$\checkmark$	$\checkmark$	$\sqrt{}$
Usage of biometrics	$\sqrt{}$	$\checkmark$	$\sqrt{}$	$\sqrt{}$
Provides user anonymity	×	×	×	$\sqrt{}$
Usage of ECC	$\sqrt{}$	$\checkmark$	×	×

### 6.3 Performance comparisons

Tab. 4 shows the computational load imposed by the authentication protocol in the registration, login, and authentication phases. We denote  $T_h$ ,  $T_x$ ,  $T_F$  and  $T_E$  as one-way hashing operation, XOR operation, fuzzy extractor operation(  $Gen(\cdot)$  or  $Rep(\cdot)$  ) and symmetric-key encryption or symmetric-key decryption operation ,respectively. In order to reduce computational load, we only use one-way hashing operation and XOR operation to authenticate sensor node. So our protocol is ideal for resource-constrained WSNs.

**Computation Cost** Scheme Login & Registration Total Authentication User  $2T_h + T_r$  $9T_{h} + 9T_{x}$  $11T_h + 10T_x$ Kim, Lee and GWN  $6T_{h} + 3T_{x}$  $8T_{h} + 8T_{r}$  $14T_{h} + 11T_{x}$ Jeon (2014)  $2T_h + 2T_x$ Sensor  $2T_h + 2T_x$  $2T_h + T_r \qquad 9T_h + 5T_r$  $11T_{h} + 6T_{x}$ User Chang, Lee,  $5T_h + 3T_r$   $10T_h + 4T_r$ GWN  $15T_{h} + 7T_{x}$ Lin et al. (2015)Sensor User Yoon and Yoo  $2T_h + 2T_x 4T_h$ **GWN**  $6T_{h} + 2T_{r}$ (2014)0  $3T_h + 2T_E$   $3T_h + 2T_E$   $T_h + T_F$   $3T_h + T_F + 2T_E + 3T_X$   $8T_h + 2T_F + 2T_E + 3T_X$ Sensor User  $2T_x + 4T_h$  $2T_E + 4T_x + 12T_h$  $2T_E + 6T_x + 16T_h$ Ours **GWN**  $3T_x + 6T_h$  $3T_x + 6T_h$ Sensor 0

**Table 4:** Computational Load Comparison

## 7 Conclusion

Before introducing our protocol, we review the Althobaiti et al. protocol and analyze its security vulnerabilities. Then we propose a novel three-factor user authentication protocol for the information perception of IoT. The proposed protocol can achieve fast authentication process without biometric templates. It not only effectively solves the possible security threats of information perception of IoT, but also realizes easily without changing any hardware conditions. In addition, our protocol can not only be applied to IoT authentication, but also be applied to any scene that needs to protect privacy data and sensitive data. In order to improve algorithm security, the future work may improve the algorithm by applying the lightweight encryption algorithm.

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