

# The pathogenesis of chronic subdural hematoma in the perspective of neomembrane formation and related mechanisms

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Abstract: Chronic subdural hematoma (CSDH) is a disease characterized by capsuled blood products that progressively occupy the intracranial space, causing intracranial hypertension and compression in the brain. CSDH frequently occurs in all demographics, especially in the elderly, but the pathogenesis of CSDH remains unclear. In this review, we discuss the origin, development, and current treatment strategies of CSDH. For the first time, we analyzed the cellular and molecular compositions of hematoma membranes with a focus on neomembrane formation, a complex early-stage interactive event in hematoma pathogenesis. We hypothesize that in patients with CSDH, dural border cells (DBCs) might be induced to synthesize collagen or serum proteins might accumulate at the dura and arachnoid layers at the site of injury, thereby encapsulating the hemorrhage. Membrane formation may trigger inflammatory responses after subdural hemorrhage, promoting fibroblast-involved extracellular matrix (ECM) deposition and aberrant angiogenesis within the outer membrane. Consequently, ECM deposition and angiogenesis mutually influence each other and are modulated by inflammatory processes. By illustrating the complex and interactive mechanism of neomembrane formation, we aim to provide a novel insight into CSDH pathogenesis and propose directions for future research as well as advancements in treatment strategies for this disease.

Abbreviation List		H&E	Hematoxylin and eosin
PKR	Activated protein kinase	HIF	Hypoxia-inducible factor
ANG	Angiopoietin	IL-5	Interleukin-5
BHC	Burr-hole craniotomy	MMP	Matrix metalloproteinase
bFGF	Basic fibroblast growth factor	mTOR	Mechanistic target of rapamycin
ICTP	Carboxyterminal telopeptide of type I collagen	MMA	Middle meningeal artery
CSF	Cerebrospinal fluid	MEK	Mitogen-activated protein kinase kinase
CSDH	Chronic subdural hematoma	NF-ĸB	Nuclear factor-kappaB
DAMP	Damage-associated molecular pattern	PI3K-Akt	Phosphoinositide 3-kinase-protein kinase B
DBC	Dural border cell	PIGF	Placental growth factor
EC	Endothelial cell	PICP	Procollagen I, C-terminal propeptide
EDN	Eosinophil-derived neurotoxin	PIIINP	Procollagen III, N-terminal propeptide
ECM	Extracellular matrix	РКВ	Protein kinase B
ERK	Extracellular signal-regulated kinase	Ras	Rat sarcoma
GAG	Glycosaminoglycan	SEM	Scanning electron microscopy
		scRNA-seq	Single-cell RNA sequencing
		sVEGFR-1	Soluble VEGF receptor-1
*Address correspondence to: Bo Du, fring@szhosnital.com		TGF-β	Transforming growth factor-β
#NG A A A A A A A A A A A A A A A A A A A		TNF-a	Tumor necrosis factor-a

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Twist drill craniotomy

Vascular endothelial growth factor

TDC

VEGF

## Introduction

Chronic Subdural Hematoma (CSDH) is the persistent accumulation of blood products in the subdural space, which impairs motor function and cognition. Patients may exhibit a variety of symptoms, including falls, gait abnormalities, acute memory loss, and others [1]. The general incidence rate of CSDH is 1.7 to 20.6 per 100,000 person-years, with males affected 3 times more than females [2–4]. It is worth attention that CSDH threatens the elderly especially [3-5]. The hematoma is enclosed by an outer and an inner membrane. Substantial evidence has associated the development of CSDH with the rupture of fragile neovascular structures and inflammatory responses, although the precise etiology of CSDH remains unclear [6-8]. Various treatment strategies have been utilized for CSDH. For decades, surgical interventions have been preferred for managing CSDH, with burr-hole craniotomy (BHC), twist drill craniotomy (TDC), and minicraniotomy being the predominant approaches [9,10]. BHC and TDC aim to evacuate hematoma fluid via cranial perforation. In cases of failure, mini craniotomy would be performed as a rescue procedure, entailing both outer and inner membranectomy [3,11]. Recently, middle meningeal artery (MMA) embolism and neuroendoscopy-assisted membranectomy have been increasingly applied [7,12,13]. Perioperative MMA embolization before BHC or craniotomy is linked to reduced reoperation rates compared to BHC or craniotomy alone, with no significant increase in complication rates [14,15]. Three RCTs on MMA embolization, presented at this year's International Stroke Conference, demonstrated promising results in reducing recurrence rates, whether as an independent treatment or as an adjunct therapy [16-18]. Neuroendoscopy-assisted membranectomy also demonstrated a significantly reduced rate of recurrence than BHC [7]. Despite various surgical approaches, the recurrent rate remains high, ranging from 5% to 33% overall, indicating a potential bottleneck in treatment effectiveness [19-21]. In recent years, the treatment paradigm has shifted from mere drainage to more precise membrane management. Specifically, emphasis is placed on the importance of proper membrane handling such as inner membranectomy, as evidenced by an increasing volume of literature demonstrating its potential to significantly reduce recurrence rates [7,22,23].

To achieve better treatment outcomes for CSDH, studying the formation of hematoma membrane structure is imperative. Such an investigation may offer insights into the mechanisms underlying hematoma formation, and potential interventions, such as inner membranectomy, to eradicate it. Therefore, in this review. we summarize the pathophysiology of CSDH, with particular emphasis on neomembrane formation. The outer membrane plays a critical role in hematoma formation and growth due to its abundance of fragile vasculatures [24]. The inner membrane, which is thinner and less vascularized compared to the outer membrane, is often overlooked but may contribute to maintaining hematoma integrity. Current surgical interventions typically involve perforating the outer membrane, a process in which the dura then seals,

recreating a closed hematoma cavity. Subsequent new blood leakage into this cavity often leads to the recurrence of CSDH and necessitates reoperation. Making radical incisions in the inner membrane may disrupt hematoma integrity, leading to the discharge of freshly and gradually leaked blood from the outer membrane. We hypothesize that this blood may be reabsorbed by the arachnoid. As the hematoma diminishes in size, the outer and inner membranes adhere to the brain, allowing the brain to reexpand, thus leading to a successful recovery. In this review, we highlight that neomembrane formation may be an interactive outcome between inflammation, extracellular matrix (ECM) deposition, and aberrant angiogenesis. Our objective is to offer novel insights into understanding CSDH formation and refining surgical strategies, therefore optimizing patients' prognosis, and enhancing preventive measures.

### Neomembrane Formation

To the innermost of the dura is a layer of dural border cells (DBCs) occupying the subdural space, which, identified by previous reports, is not a hollow planetary between the dura and the arachnoid [25,26]. Dural border cells lack tight junctions and filamentous materials, making them easy to tear and fill with blood and cerebrospinal fluid (CSF). Head trauma is a major contributor to bleeding within the DBC layer [27]. Parenchymal atrophy may result in CSF exudation and create negative pressure around the loose dural border cells, making them susceptible to delamination by even minor additional force, thereby facilitating spontaneous bleeding [28,29]. This potentially explains why individuals over 50 years old with brain atrophy have a higher incidence of CSDH compared to younger individuals [30]. In younger individuals, the brain is tightly enclosed by the dura, leaving minimal subdural space, thus confining the hematoma to a localized area. In contrast, in the elderly, even minor bleeding tends to result in a hematoma that spreads diffusely due to increased subdural space.

In this article, we hypothesize that neomembrane formation resulted from ECM deposition by DBCs following interaction with blood products. Given that DBCs are fibroblast-like cells, we speculate that they may become activated and subsequently produce ECM to encapsulate the blood [3].

The subdural space is inherently limited by cerebral falx and tentorium cerebelli; consequently, blood accumulation within this confined area leads to expansion encompassing the hemisphere only on the affected side instead of uniform expansion across the entire skill. Hematoma membrane thickening is supposed to be associated with the following three primary factors: inflammation, ECM deposition, and aberrant angiogenesis (Fig. 1).

### Inflammatory-cell involvement

Accumulation of blood in the DBCs initiates inflammatory responses. Upon subdural hemorrhage, fibroblasts and inflammatory cells like eosinophils, macrophages, and lymphocytes are recruited to the injured site [7,8,31]. In the outer membranes of CSDH patients, Kawaguchi et al.



FIGURE 1. Neomembrane formation involves inflammation, ECM deposition, and aberrant angiogenesis and their interactions. When hemorrhage occurs, inflammatory cells are recruited and activated, fostering a pro-inflammatory environment at the site. This process subsequently stimulates ECM deposition and leads to aberrant angiogenesis. The primary source of IL-8 is macrophages/monocytes, with additional contributions from mast cells and neutrophils. Eosinophils produce TGF- $\beta$ , which activates Smad pathways in fibroblasts, hence enhancing the production of collagen as ECM. Collagen, interspersed with fibroblasts, constitutes the primary component of hematoma membranes. Macrophages produce IL-6, while neutrophils secrete VEGF, both of which modulate aberrant angiogenesis via the NF- $\kappa$ B and PI3K/Akt pathways in endothelial cells.

identified eosinophils through Giemsa staining in a study involving 38 individuals. They theorized that Interleukin-5 (IL-5) induced eosinophil infiltration into the outer membrane, leading to degranulation of eosinophil-derived neurotoxin (EDN) into the hematoma cavity [32]. Furthermore, Yamashima et al. observed eosinophils in both membranes with electron microscopy, suggesting their potential role in promoting local hyperfibrinolysis and liquefaction [33]. Sarkar et al. observed eosinophils in 60% (30 out of 50 cases) of the outer membranes in their CSDH sample, with a subset exhibiting degranulation. Infiltration severity increased with time post-trauma among CSDH cases. In a subset of CDSH patients, Sakar et al. observed infiltration of neutrophils, plasma cells, and lymphocytes by toluidine blue staining or hematoxylin and eosin (H&E) stained [34]. Loh et al. identified fibroblasts, mast cells, migrating erythrocytes, platelets, and eosinophils in CSDH outer membranes using electron microscopy [35]. Moskala et al. used scanning electron microscopy (SEM) to document vessel formation and accumulation of macrophages and leukocytes in the capsules of CSDH patients [24].

Evidence indicates a heightened pro-inflammatory state hematoma, characterized by significant within the infiltration of inflammatory cells and detection of numerous inflammatory factors in the hematoma fluid. Proinflammatory factors like IL-5, IL-6, IL-7, IL-8, and tumor necrosis factor-a (TNF-a) were found to be significantly elevated compared with the peripheral blood [36-38]. In one study, it was found that CSDH might be initiated by IL-8-induced neutrophil respiratory bursts. Concurrently, IL-8 has been implicated in the promotion of neovascularization. Initially, the DBCs secrete IL-8, which accumulates and promotes neovessel growth. The increase in local IL-8 concentration then recruits lymphocytes to the neomembrane. Oxidative rupture may occur in these

neutrophils located in the walls of neovessels. The release of fragile lysosomes from neutrophils can damage neocapillaries, resulting in the influx of plasma and blood cells into the subdural space [39]. Anti-inflammatory mediators such as IL-10 and IL-13 were consistently found to be significantly lower [36,38,40]. Furthermore, reductions were observed in pro-inflammatory factors within the hematoma fluid, including IL-1β, IL-2, and IL-4; the implications of these changes remain unclear and require further investigation [36]. Current clinical management of chronic subdural hematoma indicates that combined therapy of atorvastatin plus low-dose dexamethasone presented more effective than atorvastatin alone [41].

Herein, we primarily focus on eosinophils, macrophages/ monocytes, neutrophils, and mast cells, given the evidence linking these cell types to fibroblasts in ECM deposition and aberrant angiogenesis.

#### ECM deposition

Prior studies have consistently demonstrated that hematoma membranes are composed predominantly of collagen and fibroblasts [31,42]. DBCs may undergo pathological splitting following injury, encapsulating subdural exudates and forming distinct outer and inner membranes.

Examining precursors and degradations of collagen in the hematoma membrane, researchers revealed a persistent process of collagen synthesis. In patients with CSDH, the outer membrane exhibited high levels of type 1 and type 3 procollagen [7]. Researchers observed a significant elevation in the levels of procollagen propeptides for type I and III procollagens (PICP and PIIINP, respectively) (p < 0.001), alongside a more moderate increase in the carboxyterminal telopeptide of type I collagen (ICTP) (p = 0.002), compared to serum levels. The ratio of PICP/ICTP can indicate the balance between collagen synthesis and degradation. The ratio was remarkably higher in the outer membrane than in the peripheral blood, suggesting a predominance of synthesis over degradation in hematoma fluid [43]. Furthermore, in hematoma fluid, changes in levels of carbonic anhydrase I, transforming growth factor-β (TGF- $\beta$ )-induced protein ig-h3, and the component system were observed, possibly indicating a combination of inflammation and fibrosis during hematoma progression [44].

Inflammatory mediators can stimulate fibroblasts to initiate proliferation and collagen production. Osuka et al. identified TGF- $\beta$  and eotaxin-3 in the outer membrane, noting higher concentrations in hematoma fluid compared to CSF, and observed altered expression of Smad proteins in fibroblasts via Western blotting and immunohistochemistry. Eotaxin-3's chemotactic effect results in the frequent presence of eosinophils in the CSDH outer membrane, which are the primary producers of TGF- $\beta$  in the hematoma. TGF- $\beta$  then activates Smad pathways in fibroblasts and promotes fibrosis [45]. Fibroblasts or fibroblast-like cells can directly sense damage-associated molecular patterns (DAMPs) and activate innate and adaptive immune responses. Furthermore, activated fibroblasts or fibroblast-like cells are capable of augmenting immune cell accumulation by promoting cell adhesion [46]. During bleeding site healing, collagen might deposit at the wound site and form granulation tissue instead of repairing the DBC layer. ECM was found to be abundant in procollagen and glycosaminoglycans in the subdural fluid of patients with head injury [47]. Glycosaminoglycans (GAGs) comprise a major component of the ECM, which in turn regulates inflammation and tissue repair, influencing cell migration, proliferation, and transformation [48]. If the fluid in the capsule is not absorbed in time, the subdural space may progress into CSDH.

The cellular origin of fibroblasts in the fibrotic scar may include meningeal fibroblasts, perivascular fibroblasts, pericytes, endothelial cells, and circulating blood fibrocytes [46]. Pericyte and invading meningeal fibroblast-derived cells have been proposed to be capable of active proliferation and excessive deposition of ECM proteins such as collagen type IV, fibronectin, and laminin, thus contributing to the formation of connective tissue after brain trauma [49]. Given that DBCs are fibroblasts, they potentially participate in inflammatory activities and secrete collagen.

Clinical researchers have applied therapeutic strategies targeting ECM deposition to address disease recurrence. Patient prognosis may be improved through postoperative targeted pharmacological interventions, including antiangiogenic and antifibrinolytic medications [7].

#### Aberrant angiogenesis

Angiogenesis is initiated by inflammatory mediators, which may result in the proliferation of capillaries from the dura or middle meningeal artery into the neomembrane. Local hypoxia may serve as a pivotal trigger for angiogenesis as it prompts the release of IL-6, TNF- $\alpha$ , and hypoxia-inducible factor (HIF) [27]. Pro-inflammatory factors such as IL-1, IL-6, and IL-8 secreted by monocytes or macrophages within the outer membrane can facilitate inflammation as well as angiogenesis [13,50]. Evidence has shown that they can induce neomembrane formation and aberrant angiogenesis in CSDH [8].

Vascular endothelial growth factor (VEGF) could be secreted by neutrophils in the hematoma fluid, and by macrophages and endothelial cells (ECs) in the outer membrane. However, its receptor, VEGFR is exclusively expressed in endothelial cells [28,51,52]. A higher level of VEGF was detected in hematoma fluid than in serum [8]. VEGF modulates angiogenesis via the phosphoinositide 3kinase-protein kinase B (PI3K-Akt), nuclear factor-kappa B (NF-kB), and rat sarcoma (Ras)/mitogen-activated protein kinase kinase (MEK)/extracellular signal-regulated kinase (ERK) pathways as part of post-injury repair in ECs [53]. Through Western blotting and immunohistochemistry, Funai et al. identified the expression of PI3K, protein kinase B (PKB), Akt, VEGF, and VE-cadherin in the outer membrane ECs of CSDH patients. These findings suggest a potential involvement of the PI3K/Akt pathway in the expansion or recurrence of CSDH [54]. In a separate investigation by Osuka et al., immunohistochemical analysis revealed that p-NF-kB levels in the outer membrane diminished following CSDH treatment and after VEGF blockade. Double-stranded RNA-activated protein kinase

(PKR) may activate the NF- $\kappa$ B pathway in ECs via VEGF, thereby mediating EC proliferation and angiogenesis [55].

In addition to VEGF, other pro-angiogenic factors, such as angiopoietin (ANG)-1 and ANG-2 have also been detected within the hematoma. Ang-1 promotes vesicular stability by supporting ECs survival and barrier formation. In contrast, ANG-2 disrupts vascular architecture and plays a role in vessel remodeling. Both operate via their shared receptor, Tie-2 [56,57]. In the outer membranes of CSDH patients, expression of VEGF was observed only concurrently with the presence of ANG-1 and ANG-2 mRNA. The average ANG-1/ANG-2 ratio was found to be 0.48 in the hematoma membranes, in contrast to 1.9 in normal CSF, indicating a pro-angiogenic shift within the hematoma environment [58]. Tie2, Akt, and mechanistic targets of rapamycin (mTOR) mRNA were detected in the outer membrane, especially localizing in ECs [53]. The matrix metalloproteinase (MMP) family has also been recognized as a significant proangiogenic factor capable of initiating an angiogenic switch in a previously inactive vascular bed [27]. At the wound site, collagen activates fibroblasts and immune cells to engage in degradation via MMPs, which remodel the ECM structure and initiate the healing process [59]. Within the MMP family, MMP-2 and MMP-9 were only detected in the outer hematoma membrane [60]. These enzymes, when secreted into the cavity fluid, were present in higher concentrations than in serum (p < 0.01) [61]. Under stimulation by VEGF, ANG, or basic fibroblast growth factor (bFGF), MMPs facilitate the release of pericytes from the basement membrane through proteolytic degradation [27].

In addition to the enhancement of pro-angiogenic factors, the inhibition of anti-angiogenic factors has also been widely documented. Soluble VEGF receptor-1 (sVEGFR-1) acts as an antagonist of VEGF and placental growth factor (PIGF). Levels of sVEGFR-1 and PIGF were both significantly elevated in hematoma fluid compared to serum, while the ratio of sVEGFR-1 to PIGF was notably lower in hematoma fluid than in serum (p < 0.0001). This pattern reflects the active pathological neovascularization observed in CSDH cases [62].

#### Discussion

CSDH is an intracranial hemorrhagic condition that significantly diminishes patients' quality of life and imposes considerable medical and economic burdens on society and families [2]. Recent decades have seen progress in understanding CSDH's pathophysiology, laying the groundwork for in-depth exploration of its neomembrane pathogenesis. The main reported cells involved in neomembrane formation include fibroblasts, eosinophils, neutrophils, macrophages, and endothelial cells. These cells collaborate to establish an interrelated network of inflammation, ECM deposition, and aberrant angiogenesis, contributing collectively to neomembrane formation.

Elevated levels of pro-inflammatory cytokines such as IL-5, IL-6, IL-7, and IL-8, alongside decreased levels of antiinflammatory cytokines like IL-13, have been reported by many researchers [36–38]. These findings suggest a predominance of pro-inflammatory activity within the hematoma. However, data on specific inflammatory markers show variability across studies. For instance, Kitazono et al. [38] reported increased levels of TNF- $\alpha$ , in contrast to Stanisic et al. [36], who reported a decrease. Similarly, Wada et al. [40] found reduced levels of IL-10, while Kitazono et al. [38] reported an increase. These discrepancies could stem from limitations such as small sample sizes or differences in study design. Advanced methodologies, like single-cell RNA sequencing (scRNA-seq), or analyses involving larger sample cohorts, may help clarify these inconsistencies.

Cytokines such as IL-5 secreted by eosinophils may facilitate fibroblast deposition of ECM, which involves procollagens and glycosaminoglycans that form the matrix neomembrane development [45]. Additionally, for molecules like carbonic anhydrase I and TGF-β-induced protein ig-h3 identified in hematoma fluids could clarify ECM deposition dynamics [44]. To better understand neomembrane formation, it is necessary to deepen our knowledge of the cellular architecture of the dura mater and the outer and inner membranes. The origin of fibroblasts in CSDH remains unclear. Innovative methodologies such as scRNA-seq and lineage tracing could offer a more comprehensive view of the cellular interactions and processes underlying neomembrane formation.

Currently, knowledge about the cellular composition of the outer membrane is incomplete. There is a general agreement that hemorrhage triggers inflammation Existing has predominantly [60,63,64]. research concentrated on cytokines and the perpetuating cycle of hyperfibrinolysis, coagulation, neovascularization, and subsequent hemorrhage. Moreover, the development of animal models and statistical frameworks for CSDH could significantly contribute by delineating the chronological progression of changes in both the membrane and the cellular constituents of hematoma fluid.

With an evolving understanding of neomembrane formation, treating strategies for CSDH could be refined and tailored more effectively. Therapeutic approaches could specifically target the key mechanisms involved: inflammation, ECM deposition, and aberrant angiogenesis, aiming to alleviate symptoms at an early stage. Additionally, surgical techniques may be optimized considering an enhanced understanding of the CSDH membrane. For instance, making radial incisions in the dense inner membrane could facilitate brain re-expansion while simultaneously preventing herniation. We also hypothesize that blood leaking from the outer membrane could be drained through incisions in the inner membrane and then reabsorbed by the arachnoid layer. Some outcomes from inner membranectomy studies support this theory, but further investigation is required [7,12,13]. The absence of outcomes in certain studies improved of inner membranectomy may be attributed to non-radial incisions, potentially leading to brain herniation [22,65]. Ultimately, reconciling research discrepancies and achieving comprehensive patient outcomes in CSDH necessitates advancing our understanding of the involved membranes.

## Conclusion

In this paper, we explore the pathogenesis of CSDH by examining the reported cellular and cytokine composition of the hematoma membranes, with a focus on the outer membrane characteristics. We aim to shed light on the origin, progression, and current treatment strategies of CSDH. Our analysis suggests that the neomembrane formation is governed by three intertwined processes: inflammation responses, ECM deposition, and aberrant angiogenesis. The interaction among these processes is intricate and dynamic, influencing the disease's trajectory. Thus, we aim to provide means to disrupt this pathological cycle, thereby paving the way for a complete resolution of the condition.

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