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# Epidemiological Modeling of Pneumococcal Pneumonia: Insights from ABC Fractal-Fractional Derivatives

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**ABSTRACT:** This study investigates the dynamics of pneumococcal pneumonia using a novel fractal-fractional Susceptible-Carrier-Infected-Recovered model formulated with the Atangana-Baleanu in Caputo (ABC) sense. Unlike traditional epidemiological models that rely on classical or Caputo fractional derivatives, the proposed model incorporates nonlocal memory effects, hereditary properties, and complex transmission dynamics through fractal-fractional calculus. The Atangana-Baleanu operator, with its non-singular Mittag-Leffler kernel, ensures a more realistic representation of disease progression compared to classical integer-order models and singular kernel-based fractional models. The study establishes the existence and uniqueness of the proposed system and conducts a comprehensive stability analysis, including local and global stability. Furthermore, numerical simulations illustrate the effectiveness of the ABC operator in capturing long-memory effects and nonlocal interactions in disease transmission. The results provide valuable insights into public health interventions, particularly in optimizing vaccination strategies, treatment approaches, and mitigation measures. By extending epidemiological modeling through fractal-fractional derivatives, this study offers an advanced framework for analyzing infectious disease dynamics with enhanced accuracy and predictive capabilities.

**KEYWORDS:** Fractional derivatives; nonlinear equations; simulation; numerical results; iterative method; time varying control system; lyapunov functions

## 1 Introduction

Pneumococcal pneumonia, caused by *Streptococcus pneumoniae*, remains a significant global health issue, particularly affecting young children and the elderly. Despite medical advancements, it continues to contribute notably to morbidity and mortality worldwide. Traditional mathematical models, including classical and integer-order differential equations, have been employed to study disease dynamics, but these models often fail to account for nonlinear, long-memory effects and hereditary factors that influence disease transmission, immunity, and treatment responses. This lung disease, which can affect individuals of all ages, can manifest with varying severity, with some forms being non-airborne due to inhalation of harmful substances. While most pneumococcal toxins are harmless, certain strains can be extremely dangerous, leading to brain damage and hearing loss. Pneumococcal meningitis is the most severe form of illness, primarily affecting children under five, though it can also impact adults. The bacteria involved in pneumococcal infections can spread through the bloodstream, and while the mortality rate in children



under five is around 1%, the elderly have a significantly higher mortality risk, with death rates around 5%. Pneumococcal pneumonia remains one of the leading causes of death in the elderly population.

Pneumococcal pneumonia, a major public health concern, has been extensively modeled using classical and fractional-order differential equations. However, existing models primarily rely on integer-order or Caputo fractional derivatives, which have limitations in capturing complex nonlocal memory effects, hereditary properties, and fractal-like transmission dynamics observed in real-world epidemiological data. These traditional models assume that disease progression depends only on current states, failing to incorporate long-term dependencies and memory effects, which are crucial for understanding infectious disease behavior. To address these limitations, we introduce a novel fractal-fractional Susceptible-Carrier-Infected-Recovered model formulated with the Atangana-Baleanu in Caputo (ABC) sense. Unlike classical or Caputo-based fractional models, the ABC derivative incorporates a non-singular Mittag-Leffler kernel, allowing for a more realistic representation of disease transmission.

A mathematical model of bacteremic Pneumococcal Pneumonia in young children (5-year-old) was developed by Ong'ala et al. [1] to describe the occurrence of this disease. They analyzed the transmission rates and paths between the carriers and the infected class, using the bifurcation theory and the stability of equilibrium points as a means of analyzing the transmission rates. Mochan et al. [2] provided a dynamic ordinary differential equation model of the host immunological response to bacterial Pneumococcal Pneumonia infection in Murine strains. Drusano et al. [3] investigated the efficacy of granulates in preventing bacterial growth and concluded that antibiotics play no part in this process. Ndelwa et al. [4] mathematically represented the dynamic features for the transmission of Pneumococcal Pneumonia, including screening and medicine, and analyzed the transmission and consequences. Kosasih et al. [5] analyzed a mathematical model of cough sounds using wavelet-based crackling detection to rapidly identify bacterial Pneumococcal Pneumonia in young patients. In 2016, César et al. [6] used a mathematical model for pediatric asthma and Pneumococcal Pneumonia over a large population. Based on the work of Marchello et al. [7], it was shown in 2016 that atypical bacterial infections were mostly responsible for the spread of respiratory illnesses such as coughing, bronchitis, and chronic obstructive pulmonary disease (COPD). In 2017, Cheng et al. [8] presented a dynamical mathematical model of the influenza A virus and *Streptococcus pneumoniae*. Kosasih and Abeyratne [9] provided a simple mathematical model illuminating the clinical analysis of measures for the diagnosis of childhood Pneumococcal Pneumonia and discussed the most common reasons why young infants in low-income areas of the world get Pneumococcal Pneumonia.

In 2018, a co-infection model for Pneumococcal Pneumonia and typhoid was presented by Tilahun et al. [10,11], and their defining connection in the face of a cure and therapeutic approaches was mathematically examined. With the use of mathematical properties of cough sounds, Raj et al. [12] examined the categorization of asthma and Pneumococcal Pneumonia in low-resource communities. Kizito and Tumwine [13] produced a mathematical model that shows how microorganisms limit the spread of Pneumococcal Pneumonia. Vaccine formulation and treatment dynamics were also examined. Mbabazi et al. [14] looked into a nonlinear mathematical model that describes influenza A virus and Pneumococcal Pneumonia inside the host. A model of Pneumococcal Pneumonia-meningitis coinfection was developed by Tilahun [15], making use of some theorems and ordinary differential equations. Various strategies for eradicating diseases were outlined in detail. Dynamic mathematical models of Pneumococcal Pneumonia were evaluated by Diah and Aziz [16]. Pneumococcal Pneumonia risk was calculated using a different method. In 2019, Tilahun [17] developed a mathematical model of Pneumococcal Pneumonia and bacterial meningitis. A mathematical model of pneumococcal Pneumococcal Pneumonia with temporal delays was developed by Mbabazi et al. [18]. The effectiveness of the model was examined by Otoo et al. [19]. Models of respiratory diseases were presented in graphic form by Zephaniah et al. [20]. A coronavirus Pneumococcal Pneumonia

outbreak was reported in Wuhan, China, by Ming et al. [21]. Based on clinical testing, Jung et al. [22] confirmed the findings and identified the pathogen responsible. A mathematical model of Pneumococcal Pneumonia-HIV co-infection was developed by Wafula et al. [23] based on anti-Pneumococcal Pneumonia treatments. Moreover, Oluwatobi and Erinle-Ibrahim, Ref. [24] examined the effects of Pneumococcal Pneumonia while explaining the presence of fundamental and effective reproduction numbers. In Sayed Saber et al. [25], the transmission dynamics of Pneumococcal Pneumonia were investigated using a fractional mathematical susceptible-vaccinated-carrier-infected-recovered model. Similar result on the Pneumonia diseases has been studied in [26].

Fractional-order models, particularly those using Caputo fractional derivatives, have been introduced to incorporate memory-dependent interactions into epidemiological dynamics. Fractal fractional dynamics are observed in various natural systems, including excitation-relaxation systems and natural oscillatory systems. Atangana and Qureshi [27] explore the modeling of chaotic attractors using fractal-fractional operators, focusing on their applicability to dynamical systems in the context of fractional calculus, see also [28–32]. Khalid et al. [33] propose the Modified Minimal Model for diabetes treatment strategies [34] and further investigate the use of fractal-fractional derivatives in diabetes modeling. Almutairi et al. [35] focus on the use of the fractal-fractional Atangana-Baleanu operator in modeling pneumonia, with detailed stability, statistical, and numerical analyses. Abodayeh et al. [36] highlight the use of efficient computational techniques in pneumonia modeling. Boukhouima et al. [37] study a fractional-order HIV infection model with functional response and cure rate, see also [38–40].

The application of fractional calculus in modeling dynamical systems has gained significant attention in recent years due to its ability to capture memory effects and complex dynamics more accurately than classical integer-order models. Several studies have demonstrated the effectiveness of fractional-order systems in various fields, including bioengineering, finance, and control theory [41–45]. For instance, Ref. [41] provides a comprehensive overview of fractional-order modeling and control applications, highlighting their advantages in representing real-world phenomena with hereditary properties. In the context of biological systems, fractional-order models have been particularly useful in describing glucose-insulin interactions. For example, Refs. [42,44] developed fractional-order models to analyze the dynamics of glucose-insulin regulation, demonstrating improved agreement with experimental data compared to traditional models. Similarly, epidemiological models have benefited from fractional calculus, as seen in [43,45], where fractional-order differential equations were used to model the spread of COVID-19, providing insights into transmission dynamics and stability.

Saber and Alahmari [46] discussed the modeling and mathematical analysis of zoonotic diseases, see also [47–49] presented additional advancements in zoonotic disease modeling. Althubyani and Saber [50] also contributed significantly to the understanding of complex dynamical systems. Several studies have demonstrated the effectiveness of fractional-order systems in various fields, including bioengineering, finance, and control theory [51].

The stability analysis of fractional-order systems has also been a key area of research. Theoretical frameworks such as those presented in [52,53] have been extended to fractional-order systems, enabling rigorous stability assessments. Additionally, chaos control in fractional-order chaotic systems has been explored in works such as [54], where the Burke-Shaw system was analyzed using fractal-fractional operators. The study of fractional-order systems is deeply rooted in both classical and modern mathematical frameworks.

Building on these theoretical underpinnings, Petras [55] systematized the modeling, analysis, and simulation of fractional-order nonlinear systems, bridging abstract mathematical theory with practical applications. Fractional calculus has further been applied to chaotic systems, including the Chua system [56], the Lorenz system [57], the Lü system [58], Burke-Shaw [59] and the Rössler system [60]. Recent studies, such

as [61], have investigated chaos control in the fractional Newton-Leipnik system using different fractional derivatives. Further applications include disease modeling, where fractional derivatives have been used to study smoking epidemic model [62] and Chikungunya transmission [63] and typhoid fever dynamics [64]. The theoretical foundations of fractal-fractional differential equations have also been explored in [65], providing a mathematical basis for their application in complex systems. Additionally, fractal-fractional operators have been employed to analyze chaotic dynamics, as demonstrated in [66–68].

In this study, we present a fractal-fractional Atangana-Baleanu Caputo (ABC) derivative-order model that describes pneumococcal pneumonia transmission dynamics. The objective is to establish the existence and uniqueness of solutions and analyze the qualitative characteristics of the model. To capture actual disease behavior, we employ the fractal-fractional derivative of the Atangana-Baleanu equation and conduct a local and global stability analysis of steady states. Specifically, the basic reproductive number  $\mathcal{R}_0$  is derived to demonstrate the stability of the disease-free steady state when  $\mathcal{R}_0 < 1$ . The existence of a positive (endemic) steady state that is both locally and globally asymptotically stable is ensured if  $\mathcal{R}_0 > 1$ . To solve the fractional-order system numerically, we utilize the fractal-fractional Atangana-Baleanu numerical method. The proposed system offers a foundational framework for studying, analyzing, and computing solutions to various epidemiological illness models. The numerical simulations validate the theoretical findings, reinforcing the model's biological relevance.

Addressing the limitations of existing models, this study introduces a fractal-fractional Susceptible-Carrier-Infected-Recovered model using the ABC derivative. The ABC operator, with its non-singular Mittag-Leffler kernel, enhances epidemiological forecast accuracy by ensuring smooth transitions between disease states. Unlike previous models, this approach accounts for nonlocal effects and memory-dependent disease transmission, making it a more effective tool for studying pneumococcal pneumonia outbreaks. We establish the theoretical validity of the model by proving the existence and uniqueness of solutions. We conduct local and global stability assessments, and demonstrate the impact of memory and fractal structures through numerical simulations. Furthermore, we conduct a comprehensive sensitivity analysis using 3D visualizations to identify the most influential parameters affecting disease spread. By integrating a fractal-fractional calculus into epidemiological modeling, this study significantly enhances the predictive capability of disease transmission models, offering a more effective framework for intervention strategies.

To overcome the shortcomings of existing models, this study introduces a fractal-fractional Susceptible-Carrier-Infected-Recovered model using the Atangana-Baleanu in Caputo (ABC) derivative. The ABC operator, which is based on a non-singular Mittag-Leffler kernel, provides a more realistic representation of disease dynamics than previous fractional models. This approach ensures smoother transitions between disease states and enhances epidemiological forecast accuracy, making it a valuable tool for analyzing the long-term behavior of pneumococcal pneumonia outbreaks. We extend traditional pneumococcal pneumonia models by incorporating fractal-fractional operators, which account for nonlocal effects and memory-dependent disease transmission. We establish the existence and uniqueness of solutions, ensuring theoretical validity. We conduct local and global stability assessments to determine the conditions under which the disease persists or is eradicated. Unlike prior models, we employ numerical simulations based on the ABC operator to demonstrate the effects of memory and fractal structure on disease transmission. We conducted a comprehensive sensitivity analysis using 3D visualizations to identify the most influential parameters affecting disease spread. By integrating fractal-fractional calculus into epidemiological modeling, this study enhances the accuracy and predictive capability of disease transmission models, paving the way for more effective intervention strategies.

The novelty of our study lies in the integration of fractal and fractional dynamics. This better reflects real-world epidemic patterns, particularly in cases where transmission pathways are irregular and heterogeneous. This research applies fractal-fractional calculus to generalize traditional epidemiological models. Unlike classical differential equation models, which assume instantaneous effects and local interactions, the proposed approach accounts for long-memory behavior and nonlocal influences. This allows a more accurate representation of pneumococcal pneumonia dynamics, where past infections and immunity play a significant role in shaping future disease spread. Another crucial innovation is the incorporation of nonlinear, memory-dependent interactions into the modeling process. Classical epidemiological models often rely on simplified assumptions about disease transmission, ignoring the cumulative effects of immunity, delayed treatment response, and persistent infections. By integrating memory-dependent dynamics, this study provides a more comprehensive framework for understanding Pneumococcal Pneumonia progression and evaluating potential intervention strategies.

Mathematically, the study establishes the existence and uniqueness of solutions to the proposed model, ensuring its validity and applicability. Additionally, stability properties—including both local and global stability—are rigorously examined to determine the conditions under which disease persistence or eradication occurs. These theoretical foundations are essential for understanding the model's behavior and implications for real-world disease control. To further illustrate the effectiveness of the proposed model, numerical simulations were conducted to analyze the impact of long-memory effects on disease spread. These simulations provide valuable insights into the transmission dynamics of pneumococcal pneumonia and demonstrate the advantages of fractal-fractional modeling in capturing complex epidemiological patterns. By comparing the results with classical models, the study highlights the significant improvements in accuracy and predictive capability offered by the fractal-fractional approach.

In previous studies on pneumococcal pneumonia dynamics, the limitations have primarily involved the use of classical integer-order models, which fail to capture the memory effects and fractal characteristics inherent in real-world biological systems. These models often assume homogeneous populations and instantaneous changes in disease progression, thereby oversimplifying the complex dynamics of reinfection, immunity decay, and bacterial carriage. This study aims to address these gaps by employing the Atangana-Baleanu Caputo (ABC) fractional operator, which incorporates memory effects and fractional-order parameters to provide a more comprehensive and accurate representation of pneumococcal pneumonia transmission dynamics.

The remainder of the paper is structured as follows: [Section 2](#) provides preliminary definitions and a mathematical background. [Section 3](#) introduces the pneumococcal pneumonia Susceptible-Carrier-Infected-Recovered model formulation with fractal-fractional derivatives. [Sections 4](#) and [5](#) discuss the qualitative properties of the model, including stability analyses. [Section 6](#) presents a sensitivity analysis of the basic reproduction number  $\mathcal{R}_0$ . [Sections 7](#) and [8](#) present a numerical scheme and simulations to validate the theoretical findings. Finally, [Sections 9](#) and [10](#) conclude with a discussion and conclusion on the implications of the results and potential future research directions.

## 2 Preliminary Definitions

**Definition 1** ([51]). *The Gamma function  $\Gamma(x)$  is a continuous extension of the factorial function, defined for real and complex numbers except for non-positive integers. It is given by the following integral representation:*

$$\Gamma(x) = \int_0^{\infty} t^{x-1} e^{-t} dt, \quad \text{for } \operatorname{Re}(x) > 0.$$

**Definition 2** ([51]). The Mittag-Leffler function is a generalization of the exponential function, while the gamma function is a generalization of the factorial function. The Mittag-Leffler function is defined as a power series expansion

$$E_{\sigma_1}(z) = \sum_{s=0}^{\infty} \frac{z^s}{\Gamma(\sigma_1 s + 1)}, \quad \sigma_1 > 0, \quad \sigma_1 \in \mathbb{R}, \quad z \in \mathbb{C},$$

where  $E_{\sigma_1}$  is the single-parameter Mittag-Leffler function.

The two-parameter generalization of the Mittag-Leffler function, which plays an important role in the fractional calculus, is defined by the series expansion

$$E_{\sigma_1, \beta}(z) = \sum_{s=0}^{\infty} \frac{z^s}{\Gamma(\sigma_1 s + \beta)}, \quad \sigma_1 > 0, \quad \beta > 0, \quad \sigma_1, \beta \in \mathbb{R}, \quad z \in \mathbb{C}.$$

When  $\beta = 1$ , we denote  $E_{\sigma_1}(z) = E_{\sigma_1, 1}(z)$  to be a one-parameter Mittag-Leffler function. When both  $\sigma_1, \beta$  are real and positive, the above series converges for values of  $z$ , and the Mittag-Leffler function is an entire function.

**Definition 3** ([69,70]). Consider the fractal differentiable on  $(a, b)$  of order  $0 < \sigma_2 \leq 1$  for  $\phi \in C((a, b), \mathbb{R})$ . The following is a fractal-fractional derivative operator for  $t$  in the Atangana-Baleanu setting:

$${}^{\text{FFP}}\mathcal{D}_{0,t}^{\sigma_1, \sigma_2} \phi(t) = \frac{h(\sigma_1)}{1 - \sigma_1} \frac{d}{dt^{\sigma_2}} \int_0^t \phi(s) E_{\sigma_1} \left[ -\frac{\sigma_1}{1 - \sigma_1} (t - s)^{\sigma_1} \right] ds,$$

where  $E_{\sigma_1, 1}(t) = \sum_{k=0}^{\infty} \frac{t^k}{\Gamma(k\sigma_1 + 1)} > 0$  is the Mittag-Leffler function with the normalization function  $h(\sigma_1)$  is given by:  $h(\sigma_1) = 1 - \sigma_1 + \frac{\sigma_1}{\Gamma(\sigma_1)}$ , and  $\frac{dh(s)}{ds^{\sigma_2}} = \lim_{t \rightarrow s} \frac{t(t) - t(s)}{t^{\sigma_2} - s^{\sigma_2}}$ .

**Definition 4** ([69,70]). The fractal-fractional integration operator are provided by

$${}^{\text{FFP}}I_{0,t}^{\sigma_1, \sigma_2} \phi(t) = \frac{\sigma_1 \sigma_2}{h(\sigma_1) \Gamma(\sigma_1)} \int_0^t s^{\sigma_2-1} \phi(s) (t - s)^{\sigma_1-1} ds + \frac{\sigma_2 (1 - \sigma_1) t^{\sigma_2-1}}{h(\sigma_1)} \phi(t).$$

The parameter  $\sigma_1$  in the Atangana-Baleanu fractal-fractional (ABC-FF) derivative represents the fractional order of differentiation, while  $\sigma_2$  is associated with the fractal dimension. The distinction is crucial, as  $\sigma_1$  controls the memory effect and smoothness of the function, whereas  $\sigma_2$  determines the complexity of the fractal structure embedded within the model.

When  $\sigma_1 = 1$ , the AB-FF operator reduces to a classical derivative. Specifically:

- The Mittag-Leffler kernel simplifies to an exponential function, removing nonlocal memory effects.
- The fractional component vanishes, resulting in an integer-order derivative.
- The fractal properties disappear, causing the model to behave as a standard differential equation.

However, if  $\sigma_1 = 1$ , the entire formulation must be carefully examined to ensure that singularities do not arise. The authors should explicitly state under what conditions the equation remains well-defined at this limit.

By integrating memory effects and fractal structures, our ABC-based model provides:

- More accurate predictions of outbreak dynamics, helping policymakers design better intervention strategies.



- Improved understanding of reinfection risks and long-term immunity, guiding vaccination campaigns.
- A more realistic assessment of treatment impact over time, aiding in antibiotic stewardship programs.

To help health practitioners grasp the importance of ABC operators, a simple analogy can be introduced:

*Traditional models treat disease progression like flipping a switch—either you are infected or you are not. However, pneumococcal pneumonia leaves a lasting imprint on the body, much like a fading memory that still influences decisions. The ABC operator helps us mathematically capture this fading memory, allowing for a more realistic and accurate disease model.*

The conditions  $\sigma_1 > 0$  and  $\sigma_2 \leq 1$  here are imposed to ensure the mathematical validity and the physical meaningfulness of the fractal-fractional derivative operator in the Atangana-Baleanu setting. The reasoning behind these constraints is as follows:

- $\sigma_1 > 0$ : The parameter  $\sigma_1$  controls the fractional order of the derivative in the Mittag-Leffler kernel and must be positive to ensure that the fractional derivative is well-defined. A negative or zero value for  $\sigma_1$  would lead to an undefined or singular behavior, particularly in terms of the Mittag-Leffler function, which could break the mathematical formulation and cause instability.
- $\sigma_2 \leq 1$ : The parameter  $\sigma_2$  defines the order of the fractional derivative with respect to the temporal variable  $t$ . The condition  $\sigma_2 \leq 1$  ensures that the fractional order does not exceed unity, which keeps the physical model within realistic bounds. If  $\sigma_2 > 1$ , the operator would involve derivatives of higher order, potentially resulting in a non-physical behavior that doesn't align with the intended modeling of complex systems (such as diseases or biological processes).

When  $\sigma_1 = 1$  in the Atangana-Baleanu fractal-fractional (ABC-FF) derivative, the operator reduces to a classical derivative. If  $\sigma_1 = 1$ :

- The Mittag-Leffler kernel  $E_{\sigma_1}$  simplifies to an exponential function, removing the nonlocal memory effects of the operator.
- The AB-FF derivative reduces to the classical derivative, losing its fractional and fractal properties.
- The model no longer retains memory effects and behaves like a standard first-order differential equation.
- The fractional-order nature of the derivative disappears, meaning the system no longer retains past information.
- The fractal nature is lost, causing the system to behave as a regular differential equation without the complexity introduced by fractal geometry.
- The model transitions back to a standard integer-order system, eliminating the advantages of fractional calculus in capturing long-memory effects and nonlocal interactions.

Setting  $\sigma_1 = 1$  removes both the fractional and fractal effects, essentially converting the AB-FF derivative into a classical derivative. The model would no longer benefit from nonlocality or memory effects, making it behave like a standard epidemiological model.

### 3 Formulation of the Fractal-Fractional Model

The Susceptible-Carrier-Infected-Recovered model proposed by Abodayeh et al. in [36] describes the dynamics of a population divided into four compartments: The susceptible, who is at risk of acquiring Pneumococcal Pneumonia infection, is characterized by  $S(t)$ ,  $C(t)$ : represents individuals who carry Pneumococcal Pneumonia bacteria and may transmit the disease,  $I(t)$ : indicates who is infected and at risk,  $R(t)$ : indicates the number of individuals who have recovered from Pneumococcal Pneumonia. The Susceptible-Carrier-Infected-Recovered model assumes a well-mixed population where individuals

transition between compartments based on rates of transmission, recovery, and mortality. The model captures the dynamics of both symptomatic and asymptomatic individuals, reflecting the complexity of disease spread in the population. It is important for the authors to explicitly state the biological motivations behind these transitions and to ensure that the parameters reflect real-world processes, especially in the context of disease dynamics and public health interventions.

For any given time  $t$ , the parameters and variables describing pneumonia are as follows:

- $S(t)$ : The number of individuals susceptible to pneumonia, at risk of infection.
- $C(t)$ : The number of carriers who harbor the pneumonia bacteria and can spread the infection.
- $I(t)$ : The number of infected individuals capable of transmitting pneumonia.
- $R(t)$ : The number of individuals who have recovered after receiving pneumonia treatment.
- $\mu$ : The natural mortality rate per capita.
- $\Pi$ : The recruitment rate of new individuals into the susceptible population per capita.
- $\theta$ : The proportion of susceptible individuals who become carriers.
- $\sigma$ : The disease-induced mortality rate per capita.
- $\beta$ : The per capita recovery rate of carriers.
- $\sigma_1$ : The transmission rate of pneumonia to susceptible individuals.
- $\tau$ : The per capita recovery rate of infected individuals.
- $\pi$ : The rate at which carriers develop symptoms.
- $\eta$ : The rate at which treated individuals return to being susceptible.
- $\gamma$ : The vaccination rate of susceptible individuals.
- $\omega$ : The rate of vaccinated treated individuals.
- $\delta$ : The transmission coefficient within the carrier subgroup.
- $p$ : The probability that a contact leads to infection.
- $k$ : The contact rate.
- $\ell$ : Transmission rate. This is the rate at which individuals become infected (either as carriers or symptomatic) from contact with others in the population.

The governing equations of the model are as follows:

$$\begin{aligned}\frac{dS(t)}{dt} &= \Pi - \ell S(t) - \mu S(t) + \eta R(t), \\ \frac{dC(t)}{dt} &= \ell \theta S(t) - (\pi + \beta + \mu) C(t), \\ \frac{dI(t)}{dt} &= \ell (1 - \theta) S(t) + \pi C(t) - (\mu + \tau + \sigma) I(t), \\ \frac{dR(t)}{dt} &= \beta C(t) + \tau I(t) - (\mu + \eta) R(t).\end{aligned}\tag{1}$$

Here,  $\ell = \frac{\delta(I(t) + \varpi C(t))}{N^*}$  is the transmission rate, and the initial conditions are:

$$S(0) = S_0 \geq 0, \quad C(0) = C_0 \geq 0, \quad I(0) = I_0 \geq 0, \quad R(0) = R_0 \geq 0.$$

**Assumptions and Motivations:**

- **Susceptible Population ( $S(t)$ ):**
  - *Assumption:* Individuals who are susceptible to the disease are denoted by  $S(t)$ . This group is replenished by a recruitment rate  $\Pi$ , which represents new individuals entering the population (e.g., through birth or immigration).



- *Motivation:* In many epidemiological models, the susceptible population increases due to natural birth or migration. The loss of susceptible individuals occurs due to transmission, natural mortality, and movement into the recovered compartment.
- Carriers ( $C(t)$ ):
  - *Assumption:* Carriers are individuals who are infected but do not exhibit symptoms. These individuals may transmit the disease to others and are important in the spread of the infection.
  - *Motivation:* The rate at which susceptible individuals become carriers is given by  $\ell\theta S(t)$ , where  $\ell$  is the transmission rate and  $\theta$  is the proportion of susceptible individuals who transition to the carrier state rather than becoming symptomatic. This represents the asymptomatic carriers in the population.
  - *Loss of carriers:* Carriers can either develop symptoms and transition to the infected group or be removed from the population due to natural mortality, recovery, or vaccination. The parameter  $\pi + \beta + \mu$  represents the combined rate of loss from the carrier compartment.
- Infected Population ( $I(t)$ ):
  - *Assumption:* Infected individuals are those who exhibit symptoms and contribute to the disease spread. They can transition to recovery or death.
  - *Motivation:* The term  $\ell(1 - \theta)S(t)$  represents the rate at which susceptible individuals become symptomatic. The term  $\ell C(t)$  accounts for the infection spread from carriers who become symptomatic.
  - *Loss of infected individuals:* Infected individuals can recover with rate  $\tau$ , die from the disease with rate  $\sigma$ , or experience natural mortality  $\mu$ . The total loss rate of infected individuals is  $\mu + \tau + \sigma$ , which combines these factors.
- Recovered Population ( $R(t)$ ):
  - *Assumption:* Recovered individuals are those who have overcome the infection, either by recovering naturally or through vaccination. They can no longer transmit the disease.
  - *Motivation:* The term  $\beta C(t)$  represents the recovery of carriers, while  $\tau I(t)$  represents the recovery of infected individuals. The loss of recovered individuals occurs due to natural mortality with rate  $\mu$  and the rate at which carriers lose their symptoms ( $\eta$ ).

To obtain the model with the fractal-fractional derivative from the classical model of differential equations, you need to replace the standard first-order derivatives with the generalized fractal-fractional derivative  ${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2}$ , which generalizes the differentiation process. Here's how you can proceed: To transform the system into a fractal-fractional system, replace each ordinary derivative  $\frac{d}{dt}$  with the corresponding fractal-fractional derivative  ${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2}$ . Apply the fractal-fractional derivative operator to each equation in the classical model.

$$\begin{aligned}
 {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} S(t) &= \Pi - \ell S(t) - \mu S(t) + \eta R(t), \\
 {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} C(t) &= \ell \theta S(t) - (\pi + \beta + \mu) C(t), \\
 {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} I(t) &= \ell(1 - \theta) S(t) + \pi C(t) - (\mu + \tau + \sigma) I(t), \\
 {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} R(t) &= \beta C(t) + \tau I(t) - (\mu + \eta) R(t).
 \end{aligned} \tag{2}$$

This is the model with the fractal-fractional derivatives, denoted as model (2). The transition from model (1) to model (2) is made by replacing the usual derivative  $\frac{d}{dt}$  with the fractal-fractional derivative  ${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2}$  in each equation. Finally, the main transformation is the replacement of classical derivatives with the fractal-fractional derivatives in each equation, which introduces memory effects and nonlocality into the system dynamics. The system is now described by equations that account for complex time dependencies rather than relying on instantaneous rates of change, as in the classical case.

The ABC operator has become a crucial tool in fractal-fractional calculus, offering significant advantages for modeling complex systems with memory and hereditary properties, particularly in biological and epidemiological contexts. Its key benefits include incorporating memory effects through a non-singular Mittag-Leffler kernel, which realistically represents historical dependencies in disease dynamics, such as incubation periods and immune responses. The operator's nonlocality ensures a comprehensive account of past states, surpassing classical derivatives in capturing infection progression and intervention effectiveness over time. Additionally, its flexibility across different orders allows for adaptable modeling of varying complexity levels, while its non-singular kernel enhances numerical stability, preventing singularities in simulations. The Atangana-Baleanu in Caputo (ABC) operator generalizes classical and fractional derivatives, making it a versatile framework for analyzing dynamic systems, with demonstrated success in modeling epidemic dynamics such as Pneumococcal Pneumonia. Traditional integer-order Susceptible-Carrier-Infected-Recovered models assume Markovian processes with instantaneous transitions, which fail to capture pneumococcal pneumonia's memory effects, heterogeneous transmission, and long-tail recovery distributions. In contrast, the fractional-order Susceptible-Carrier-Infected-Recovered model using the AB operator in the Caputo sense addresses these limitations by incorporating memory effects into disease progression, allowing for non-exponential transmission and recovery rates, and improving data fitting to clinical observations. The Mittag-Leffler kernel further refines this approach by providing a smooth decay of memory effects, avoiding singularities, and ensuring a more accurate representation of pneumococcal pneumonia transmission and control dynamics.

This is the first study that applies the Atangana-Baleanu in Caputo (ABC) operators to model the dynamics of pneumococcal pneumonia. The novelty of this approach appears by incorporating fractal-fractional derivatives with a non-singular Mittag-Leffler kernel, which provides a more realistic representation of disease transmission compared to previous models using integer-order or Caputo derivatives.

#### 4 Positivity, Existence and Uniqueness of the Model Solutions

By  $\mathbb{R}_+$ , we refer to the set of all positive real numbers,  $\Omega = \{(S, C, I, R) \in \mathbb{R}_+^4 : S \geq 0, C \geq 0, I \geq 0, R \geq 0, \max(|S|, |C|, |I|, |R|) \leq N\}$ .

**Theorem 1.** *Model (3.2) has non-negative solutions if and only if  $\theta < 1$ .*

**Proof.** One has

$$\begin{aligned} {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} S(t)|_{S=0} &= \Pi + \delta R(t) > 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} C(t)|_{C=0} &= \frac{\delta \theta I(t) S(t)}{N} + \frac{\delta \varpi \theta C(t) S(t)}{N} > 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} I(t)|_{I=0} &= \frac{\delta \varpi C(t)}{N} (1 - \theta) S(t) + \pi C(t) > 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} R(t)|_{R=0} &= \beta C(t) + \tau I(t) > 0. \end{aligned}$$

To understand why  $\Pi + \delta R(t) > 0$  here, we need to consider the nature of the terms involved: If  $\Pi$  represents a positive rate, such as a birth or recruitment rate, it is positive by definition. Since  $R(t) > 0$  by assumption, and  $\delta > 0$ , the term  $\delta R(t)$  is also positive. Thus, the sum  $\Pi + \delta R(t)$  is positive because it is the sum of two positive quantities:  $\Pi$  and  $\delta R(t)$ . As a result, we conclude that:

$$\Pi + \delta R(t) > 0.$$

Based on Lemmas 5 and 6 in [37], all of the solutions to the model (2) have a semi-positive outcome. ■

Model (2) can be stated as because the integration is differentiable.

$${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}S(t) = \sigma_2 t^{\sigma_2-1}F_1(t, S, C, I, R),$$

$${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}C(t) = \sigma_2 t^{\sigma_2-1}F_2(t, S, C, I, R),$$

$${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}I(t) = \sigma_2 t^{\sigma_2-1}F_3(t, S, C, I, R),$$

$${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}R(t) = \sigma_2 t^{\sigma_2-1}F_4(t, S, C, I, R).$$

with  $F_1, F_2, F_3, F_4$  defined as:

$$F_1 = \Pi - \ell S(t) - \mu S(t) + \eta R(t),$$

$$F_2 = \ell \theta S(t) - (\pi + \beta + \mu)C(t),$$

$$F_3 = \ell(1 - \theta)S(t) + \pi C(t) - (\mu + \tau + \sigma)I(t),$$

$$F_4 = \beta C(t) + \tau I(t) - (\mu + \eta)R(t). \quad (3)$$

Consider

$${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1}\Theta(t) = \sigma_2 t^{\sigma_2-1} \sqcup(t, \Theta(t)),$$

with  $\Theta(0) = \Theta_0$ . With  $\Theta(t) = (S(t), C(t), I(t))$ ,  $\Theta(0) = (S(0), C(0), I(0))$ , and using fractional integral and  ${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}$  in place of  ${}^{\text{ABR}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}$ , we have

$$\Theta(t) = \Theta(0) + \frac{\sigma_2 t^{\sigma_2-1}(1 - \sigma_1)}{\mathbb{k}(\sigma_1)} \sqcup(t, \Theta(t)) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1)\mathbb{k}(\sigma_1)} \times \int_0^t \varepsilon^{\sigma_2-1}(t - \varepsilon)^{\sigma_2-1} \sqcup(\varepsilon, \Theta(\varepsilon))d\varepsilon.$$

Banach space  $J = \mathbb{C}([0, K] \times \mathbb{R}^3, \mathbb{R})$  is used to find existence and uniqueness, where  $J = \mathbb{C}[0, K]$  having

$$\|\Theta\| = \max_{t \in [0, K]} [|S(t)| + |C(t)| + |I(t)|].$$

Establishing an operator  $\Psi : J \rightarrow J$ , as

$$\Psi(\Theta)(t) = \Theta(0) + \frac{\sigma_2 t^{\sigma_2-1}(1 - \sigma_1)}{\mathbb{k}(\sigma_1)} \sqcup(t, \Theta(t)) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1)\mathbb{k}(\sigma_1)} \int_0^t \varepsilon^{\sigma_2-1}(t - \varepsilon)^{\sigma_2-1} \times \sqcup(\varepsilon, \Theta(\varepsilon))d\varepsilon.$$

Assuming a nonlinear function  $\sqcup(t, \Theta(t))$  meets the Lipchitz function and growth, then

(H1) For all  $\Theta \in J$ , there are positive constants  $\mathcal{C}_\varphi > 0$  and  $\mathcal{S}_\varphi$  satisfies

$$|\sqcup(t, \Theta(t))| \leq \mathcal{C}_\varphi |\Theta(t)| + \mathcal{S}_\varphi.$$

(H2) For all  $\Theta, \tilde{\Theta} \in J$ , there is a positive constant  $T_\varphi > 0$  satisfies

$$|\sqcup(t, \Theta(t)) - \sqcup(t, \tilde{\Theta}(t))| \leq T_\varphi |\Theta(t) - \tilde{\Theta}(t)|.$$

**Theorem 2.** Assume (H1) and (H2) are true. Let  $\sqcup : [0, K] \times J \rightarrow J$  be a continuous function. As a result, there is only one solution to the model.

**Proof.** There is no difference between  $\sqcup$  and  $\Psi$  since  $\sqcup$  is permanently fixed. Assume the following:

$$\Phi = \{\Theta \in J : \|\Theta\| \leq L, L > 0\}.$$

For any  $\Theta \in J$ , then we get

$$\begin{aligned} |\Psi(\Theta)| &= \max_{t \in [0, K]} \left| \Theta(0) + \frac{\nu t(1 - \sigma_1)}{\mathbb{k}(\sigma_1)} \times \mathbb{U}(t, \Theta(t)) \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} \times \int_0^t \varepsilon^{\sigma_2-1} (t - \varepsilon)^{\sigma_2-1} \mathbb{U}(\varepsilon, \Theta(\varepsilon)) d\varepsilon \right| \\ &\leq \Theta(0) + \frac{\nu K^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) \times K^{\sigma_1+\nu-1} \Phi(\sigma_1, \nu) \\ &\leq L. \end{aligned}$$

To ensure that the homogeneity of the function is guaranteed, the operator  $\Psi$  must have an input function  $\Phi(\sigma_1, \nu)$ . Assume  $t_1, t_2 \leq K$  for  $\Psi$  equicontinuity. Take into consideration the following points:

$$\begin{aligned} |\Psi(\Theta)(t_2) - \Psi(\Theta)(t_1)| &= \left| \frac{\sigma_2 t_2^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} \mathbb{U}(t_2, \Theta(t_2)) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} \int_0^{t_2} \varepsilon^{\sigma_2-1} (t_2 - \varepsilon)^{\sigma_2-1} \mathbb{U}(\varepsilon, \Theta(\varepsilon)) d\varepsilon \right. \\ &\quad \left. - \frac{\sigma_2 t_1^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} \mathbb{U}(t_1, \Theta(t_1)) \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} \int_0^{t_1} \varepsilon^{\sigma_2-1} (t_1 - \varepsilon)^{\sigma_2-1} \mathbb{U}(\varepsilon, \Theta(\varepsilon)) d\varepsilon \right| \\ &\quad - \frac{\sigma_2 t_2^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) t_2^{\sigma_1+\sigma_2-1} \Phi(\sigma_1, \sigma_2) \\ &\quad - \frac{\sigma_2 t_1^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} (\mathcal{C}_\varphi \|\Theta\| + \mathcal{J}_\varphi) t_1^{\sigma_1+\sigma_2-1} \Phi(\sigma_1, \sigma_2). \end{aligned}$$

Consequently, as  $t_1 \rightarrow t_2$ ,  $\|\Psi(\Theta)(t_2) - \Psi(\Theta)(t_1)\| \rightarrow 0$ . Since  $\Psi$  is continuous, the theory of Arzela-Ascoli is fully continuous. ■

**Theorem 3.** Suppose that (H1) and (H2) is true. If  $\Xi < 1$  where

$$\Xi = \left( \frac{\nu K^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} K^{\sigma_1+\nu-1} \Phi(\sigma_1, \nu) \right) T_\varphi,$$

Then the solution is unique, if it exists.

**Proof.** For  $\Theta, \tilde{\Theta} \in J$ , we have

$$\begin{aligned} |\Psi(\Theta) - \Psi(\tilde{\Theta})| &= \max_{t \in [0, K]} \left| \frac{\sigma_2 t^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} [\mathbb{U}(t, \Theta(t)) - \mathbb{U}(t, \tilde{\Theta}(t))] \right. \\ &\quad \left. + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} \int_0^t \varepsilon^{\sigma_2-1} (t - \varepsilon)^{\sigma_2-1} d\varepsilon \times (\mathbb{U}(\varepsilon, \Theta(\varepsilon)) - \mathbb{U}(\varepsilon, \tilde{\Theta}(\varepsilon))) \right| \\ &\leq \left[ \frac{\nu K^{\sigma_2-1} (1 - \sigma_1)}{\mathbb{k}(\sigma_1)} + \frac{\sigma_1 \sigma_2}{\Gamma(\sigma_1) \mathbb{k}(\sigma_1)} K^{\sigma_1+\nu-1} \Phi(\sigma_1, \nu) \right] \|\Theta - \tilde{\Theta}\| \\ &\leq \Xi \|\Theta - \tilde{\Theta}\|. \end{aligned}$$

## 5 Stability Analysis

The total population at any time  $t$  is defined as:

$$N(t) = S(t) + C(t) + I(t) + R(t).$$

To derive the equation for  $N(t)$ , we sum the given differential equations:

$$\frac{dN(t)}{dt} = \frac{dS(t)}{dt} + \frac{dC(t)}{dt} + \frac{dI(t)}{dt} + \frac{dR(t)}{dt}.$$

Using the given system of equations, we have:

$$\begin{aligned} \frac{dN(t)}{dt} = & (\Pi - \ell S(t) - \mu S(t) + \eta R(t)) \\ & + (\ell \theta S(t) - (\pi + \beta + \mu) C(t)) \\ & + (\ell(1 - \theta) S(t) + \ell C(t) - (\mu + \tau + \sigma) I(t)) \\ & + (\beta C(t) + \tau I(t) - (\mu + \eta) R(t)). \end{aligned}$$

The resulting equation is:

$$\frac{dN(t)}{dt} = \Pi - \mu N(t) - \sigma I(t).$$

At equilibrium ( $\frac{dN(t)}{dt} = 0$ ), we have:

$$0 = \Pi - \mu N^* - \sigma I^*.$$

Rearranging the equation gives:

$$N^* = \frac{\Pi - \sigma I^*}{\mu}.$$

The total population at equilibrium,  $N^*$ , depends on the recruitment rate  $\Pi$ , the natural death rate  $\mu$ , and the disease-induced death rate  $\sigma I^*$ . The term  $\sigma I^*$  represents the loss of population due to infection-induced deaths.

When  $I = C = 0$ , one obtains the following equation:

$$\begin{aligned} 0 &= \Pi - \mu S(t) + \eta R(t), \\ 0 &= -(\mu + \eta) R(t). \end{aligned}$$

Implies  $E_1 = \left(\frac{\Pi}{\mu}, 0, 0, 0\right)$ . At  $E_1$ , as in by [71], the next-generation matrix theory allows us to deduce the reproduction number  $\mathcal{R}_0$  as:

$$\mathcal{R}_0 = \frac{(\varpi \theta (\mu + \tau + \sigma) + (1 - \theta) ((\pi \varpi + (\pi + \beta + \mu))) \delta S_0}{N^* (\pi + \beta + \mu) (\mu + \tau + \sigma)}.$$

**Lemma 1** ([36]). *The Pneumococcal Pneumonia infection-free equilibrium  $E_1$  is locally asymptotically stable in  $\Omega$  if  $\mathcal{R}_0 < 1$ , but it is unstable if  $\mathcal{R}_0 > 1$ .*

**Theorem 4.** *The disease-free equilibrium  $E_1$  of the fractal-fractional system is globally asymptotically stable in  $\Omega$ .*

**Proof.** Consider the Lyapunov function:

$$L_1(S, C, I, R) = \left(S - S_0 - S_0 \ln \frac{S}{S_0}\right).$$

From [53], applying the fractal-fractional derivative  ${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2}$ , we have:

$${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} L_1(S, C, I, R) \leq \left( \frac{S - S_0}{S} \right) {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} S.$$

Substituting the fractal-fractional system equations, we get:

$${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} L_1(S, C, I, R) = \left( \frac{S - S_0}{S} \right) (\Pi - \ell S - \mu S + \eta R).$$

At  $E_1$ , we have:

$$\begin{aligned} {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} L_1(S, C, I, R) &\leq (S - S_0) \left( \frac{\Pi}{S} - \ell - \mu + \frac{\eta R}{S} \right) \\ &= (S - S_0) \left( \frac{\Pi}{S} + \frac{\eta R}{S} - \frac{\Pi}{S_0} - \frac{\eta R}{S_0} \right) \\ &= (S - S_0) \left( -\frac{\Pi}{SS_0} (S - S_0) - \frac{\eta R}{SS_0} (S - S_0) \right) \\ &= -\frac{\Pi}{SS_0} (S - S_0)^2 - \frac{\eta R}{SS_0} (S - S_0)^2. \end{aligned}$$

Since this expression is negative for all  $(S, C, I, R) \in \Omega$ , it follows from LaSalle's invariance principle [52] that  $E_1$  is globally asymptotically stable in  $\Omega$ .

For  $I^* > 0$ , and taking

$$\begin{cases} {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} S(t) = 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} C(t) = 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} I(t) = 0, \\ {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} R(t) = 0. \end{cases}$$

With  $\alpha_1 = \frac{\theta\pi + (1-\theta)(\pi + \beta + \mu)}{(\mu + \tau + \sigma)}$ , one obtains the endemic equilibrium  $E_2 = (S^*, C^*, I^*, R^*)$ , with

$$\begin{aligned} S^* &= \frac{N^*(\pi + \beta + \mu)}{\alpha_1 + \varpi}, \quad C^* = \frac{(\mu + \eta)(\Pi(\alpha_1 + \varpi) - \mu N^*(\pi + \beta + \mu))}{(\alpha_1 + \varpi)(\delta(\pi + \beta + \mu)(\mu + \eta) - \eta(\sigma_2 + \tau\alpha_1))}, \\ I^* &= \frac{\alpha_1(\mu + \eta)(\Pi(\alpha_1 + \varpi) - \mu N^*(\pi + \beta + \mu))}{(\alpha_1 + \varpi)(\delta(\pi + \beta + \mu)(\mu + \eta) - \eta(\sigma_2 + \tau\alpha_1))}, \quad R^* = \frac{(\sigma_2 + \tau\alpha_1)(\Pi(\alpha_1 + \varpi) - \mu N^*(\pi + \beta + \mu))}{(\alpha_1 + \varpi)(\delta(\pi + \beta + \mu)(\mu + \eta) - \eta(\sigma_2 + \tau\alpha_1))}. \end{aligned}$$

**Lemma 2** ([36]). For  $\mathcal{R}_0 > 1$ , a unique endemic equilibrium point  $E_2$  exists; otherwise, no endemic equilibrium exists.

**Lemma 3** ([36]). If  $\mathcal{R}_0 > 1$ ,  $E_2$  is stable locally asymptotically in  $\Omega$ .

**Theorem 5.** The endemic equilibrium  $E_2$  of the fractal-fractional system is globally asymptotically stable in  $\Omega$ .

**Proof.** Consider the Lyapunov function:

$$\begin{aligned} L_2(S, C, I, R) &= \left( S - S^* - S^* \ln \frac{S}{S^*} \right) + \left( C - C^* - C^* \ln \frac{C}{C^*} \right) \\ &\quad + \left( I - I^* - I^* \ln \frac{I}{I^*} \right) + \left( R - R^* - R^* \ln \frac{R}{R^*} \right). \end{aligned}$$



From [53], applying the fractal-fractional derivative  ${}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2}$ , we have:

$$\begin{aligned} {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} L_2(S, C, I, R) \leq & \left( \frac{S - S^*}{S} \right) {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} S + \left( \frac{C - C^*}{C} \right) {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} C \\ & + \left( \frac{I - I^*}{I} \right) {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} I + \left( \frac{R - R^*}{R} \right) {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} R. \end{aligned}$$

Substituting the fractal-fractional system equations, we get:

$$\begin{aligned} {}^{\text{FFP}}\mathcal{D}_t^{\sigma_1, \sigma_2} L_2(S, C, I, R) = & -\frac{\Pi}{N^* S S^*} (S - S^*)^2 - \frac{\eta R}{N^* S S^*} (S - S^*)^2 \\ & - \frac{\ell \theta S}{N^* C C^*} (C - C^*)^2 - \frac{\ell(1 - \theta) S C}{N^* I I^*} (I - I^*)^2 - \frac{\pi C}{I I^*} (I - I^*)^2 \\ & - \frac{\beta C}{R R^*} (R - R^*)^2 - \frac{\tau I}{R R^*} (R - R^*)^2. \end{aligned}$$

Since this expression is negative for all  $(S, C, I, R) \in \Omega$ , it follows from LaSalle's invariance principle [52] that  $E_2$  is globally asymptotically stable in  $\Omega$ .

## 6 Sensitivity Analysis of the Basic Reproduction Number $\mathcal{R}_0$

The sensitivity index of  $\mathcal{R}_0$  with respect to a given parameter  $\sigma$  is defined as:

$$\Gamma_{\sigma}^{\mathcal{R}_0} = \frac{\partial \mathcal{R}_0}{\partial \sigma} \times \frac{\sigma}{\mathcal{R}_0}.$$

The partial derivatives of  $\mathcal{R}_0$  with respect to the key parameters are given below.

- For  $\varpi$ :

$$\begin{aligned} \frac{\partial \mathcal{R}_0}{\partial \varpi} &= \frac{\theta(\mu + \tau + \sigma) + (1 - \theta)\pi\delta S_0}{N(\mu + \tau + \sigma)(\mu + \beta + \pi)}. \\ \Gamma_{\varpi}^{\mathcal{R}_0} &= \frac{\partial \mathcal{R}_0}{\partial \varpi} \times \frac{\varpi}{\mathcal{R}_0}. \end{aligned}$$

- For  $\theta$ :

$$\begin{aligned} \frac{\partial \mathcal{R}_0}{\partial \theta} &= \frac{\varpi(\mu + \tau + \sigma) - \pi\varpi\delta S_0}{N(\mu + \tau + \sigma)(\mu + \beta + \pi)}. \\ \Gamma_{\theta}^{\mathcal{R}_0} &= \frac{\partial \mathcal{R}_0}{\partial \theta} \times \frac{\theta}{\mathcal{R}_0}. \end{aligned}$$

- For  $\tau$ :

$$\begin{aligned} \frac{\partial \mathcal{R}_0}{\partial \tau} &= \frac{\varpi\theta}{N(\mu + \tau + \sigma)^2(\mu + \beta + \pi)}. \\ \Gamma_{\tau}^{\mathcal{R}_0} &= \frac{\partial \mathcal{R}_0}{\partial \tau} \times \frac{\tau}{\mathcal{R}_0}. \end{aligned}$$

- For  $\mu$ :

$$\frac{\partial \mathcal{R}_0}{\partial \mu} = -\frac{\varpi \theta (\mu + \tau + \sigma) + (1 - \theta)(\pi \varpi + \delta S_0)}{N(\mu + \tau + \sigma)^2(\mu + \beta + \pi)}.$$

$$\Gamma_{\mu}^{\mathcal{R}_0} = \frac{\partial \mathcal{R}_0}{\partial \mu} \times \frac{\mu}{\mathcal{R}_0}.$$

- For  $\sigma$ :

$$\frac{\partial \mathcal{R}_0}{\partial \sigma} = -\frac{\varpi \theta}{N(\mu + \tau + \sigma)^2(\mu + \beta + \pi)}.$$

$$\Gamma_{\sigma}^{\mathcal{R}_0} = \frac{\partial \mathcal{R}_0}{\partial \sigma} \times \frac{\sigma}{\mathcal{R}_0}.$$

- For  $\pi$ :

$$\frac{\partial \mathcal{R}_0}{\partial \pi} = -\frac{(1 - \theta)\varpi \delta S_0}{N(\mu + \tau + \sigma)(\mu + \beta + \pi)^2}.$$

$$\Gamma_{\pi}^{\mathcal{R}_0} = \frac{\partial \mathcal{R}_0}{\partial \pi} \times \frac{\pi}{\mathcal{R}_0}.$$

- For  $\delta$ :

$$\frac{\partial \mathcal{R}_0}{\partial \delta} = \frac{(1 - \theta)(\pi \varpi + \delta S_0)}{N(\mu + \tau + \sigma)(\mu + \beta + \pi)}.$$

$$\Gamma_{\delta}^{\mathcal{R}_0} = \frac{\partial \mathcal{R}_0}{\partial \delta} \times \frac{\delta}{\mathcal{R}_0}.$$

The Basic Reproduction Number  $\mathcal{R}_0$  for the given model is computed for the Disease-Free Equilibrium (DFE) and Endemic Equilibrium (EE) as follows:

$$\mathcal{R}_0 = 0.0547 \quad (\text{DFE}), \quad \mathcal{R}_0 = 0.0684 \quad (\text{EE}).$$

The sensitivity indices for the parameters are computed as follows:

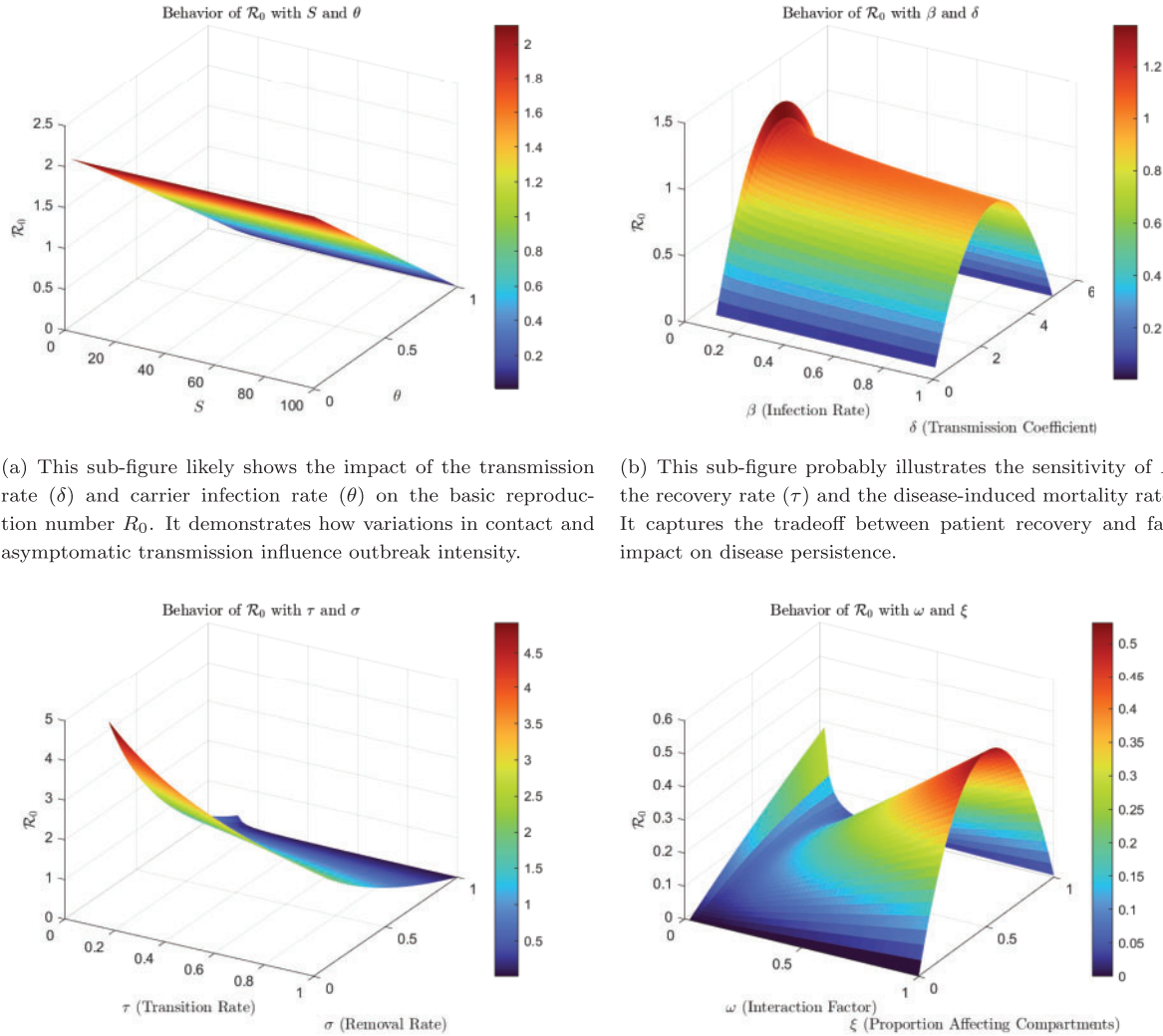
$$\begin{aligned} \Gamma_{\varpi}^{\mathcal{R}_0} &= 0.00018, & \Gamma_{\theta}^{\mathcal{R}_0} &= -1.2882, & \Gamma_{\mu}^{\mathcal{R}_0} &= -0.0829, & \Gamma_{\tau}^{\mathcal{R}_0} &= 1.539 \times 10^{-5} \\ \Gamma_{\sigma}^{\mathcal{R}_0} &= -1.273 \times 10^{-5}, & \Gamma_{\pi}^{\mathcal{R}_0} &= -0.0128, & \Gamma_{\delta}^{\mathcal{R}_0} &= 0.5542. \end{aligned}$$

These sensitivity indices indicate the effect of parameter changes on the basic reproduction number  $\mathcal{R}_0$ . For example, the parameter  $\theta$  has a strong negative impact on  $\mathcal{R}_0$ , while  $\delta$  has a positive impact, indicating that increasing  $\delta$  increases the disease transmission potential.

The sensitivity index quantifies how changes in each parameter of the model affect the basic reproduction number  $\mathcal{R}_0$ , which represents the average number of secondary infections produced by a single infected individual in a completely susceptible population. A positive sensitivity index indicates that an increase in the corresponding parameter leads to an increase in  $\mathcal{R}_0$ , while a negative value means that an increase in the parameter leads to a decrease in  $\mathcal{R}_0$ .

Fig. 1 presents the sensitivity index analysis. The objective is to help readers quickly ascertain the parameters that significantly influence the spread of the disease in the population. By analyzing the

sensitivity of model parameters, we can determine which factors have the most substantial impact on disease transmission dynamics. Parameters such as the transmission rate, recovery rate, and vaccination rate exhibit the highest sensitivity, indicating their crucial role in controlling disease spread. These sensitivity analyses offer valuable insights for public health interventions by identifying which parameters should be targeted for effective disease mitigation strategies.



(a) This sub-figure likely shows the impact of the transmission rate ( $\delta$ ) and carrier infection rate ( $\theta$ ) on the basic reproduction number  $R_0$ . It demonstrates how variations in contact and asymptomatic transmission influence outbreak intensity.

(b) This sub-figure probably illustrates the sensitivity of  $R_0$  to the recovery rate ( $\tau$ ) and the disease-induced mortality rate ( $\sigma$ ). It captures the tradeoff between patient recovery and fatality impact on disease persistence.

(c) This visualization may explore how the vaccination rate ( $\omega$ ) and carrier development rate ( $\pi$ ) affect disease dynamics, emphasizing the role of public health measures in controlling spread.

(d) This sub-figure could represent combined effects of natural mortality ( $\mu$ ) and contact rate ( $k$ ) on  $R_0$ , assessing demographic and behavioral influence on transmission.

**Figure 1:** Sensitivity index analysis using 3D visualizations

## 7 Numerical Scheme of the Fractal-Fractional Pneumonia Model

Atangana-Baleanu fractal-fractional operators are implemented via Lagrangian piecewise interpolation for the proposed model. As in [72], consider system (3.2) in this case

$${}^{\text{FF-AB}}\mathcal{D}_{0,t}^{\sigma_1,\sigma_2}\Psi(t) = \Pi(t, \Psi(t)),$$

The Antangana-Baleanu integral gives us

$$\vartheta(\mathfrak{t}) = \Psi(0) + \frac{1-\sigma_1}{h(\sigma_1)} \Pi(\mathfrak{t}, \Psi(\mathfrak{t})) + \frac{\sigma_1}{h(\sigma_1)\Gamma(\sigma_1)} \int_0^{\mathfrak{t}} (\mathfrak{t} - \xi)^{\sigma_1-1} \xi^{\sigma_2-1} \Pi(\xi, \Psi(\xi)) d\xi.$$

Replacing  $\mathfrak{t}$  by  $\mathfrak{t}_{n+1}$  we have

$$\Psi^{n+1} = \Psi(0) + \frac{1-\sigma_1}{h(\sigma_1)} \Pi(\mathfrak{t}_{n+1}, \Psi(\mathfrak{t})) + \frac{\sigma_1}{h(\sigma_1)\Gamma(\sigma_1)} \int_0^{\mathfrak{t}_{n+1}} (\mathfrak{t}_{n+1} - \xi)^{\sigma_1-1} \xi^{\sigma_2-1} \Pi(\xi, \Psi(\xi)) d\xi.$$

Application of the two-step Lagrange polynomial yields

$$\begin{aligned} \Pi(\mathfrak{t}, (y, \Psi(\mathfrak{t}))) &= \frac{(y - \mathfrak{t}_{\xi-1}) \Pi(\mathfrak{t}, (\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi})))}{\mathfrak{t}_{\xi} - \mathfrak{t}_{\xi-1}} - \frac{(y - \mathfrak{t}_{\xi}) \Pi(\mathfrak{t}_{\xi-1}, \Psi(\mathfrak{t}_{\xi-1}))}{\mathfrak{t}_{\xi} - \mathfrak{t}_{\xi-1}} \\ &= \frac{\Pi(\mathfrak{t}, (\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi}))) (x - \mathfrak{t}_{\xi-1})}{\mathfrak{t}_{\xi} - \mathfrak{t}_{\xi-1}} - \frac{\Pi(\mathfrak{t}_{\xi-1}, \Psi(\mathfrak{t}_{\xi-1})) (y - \mathfrak{t}_{\xi})}{\mathfrak{t}_{\xi} - \mathfrak{t}_{\xi-1}} \\ &= \frac{\Pi(\mathfrak{t}, (\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi}))) (y - \mathfrak{t}_{\xi-1})}{h} - \frac{\Pi(\mathfrak{t}_{\xi-1}, \Psi(\mathfrak{t}_{\xi-1})) (y - \mathfrak{t}_{\xi})}{h}. \end{aligned}$$

By using the Lagrange polynomial to solve the given problem, we obtain

$$\begin{aligned} \Psi^{n+1} &= \Psi(0) + \frac{1-\sigma_1}{h(\sigma_1)} \Pi(\mathfrak{t}, (\mathfrak{t}_{N^*}, \Psi(\mathfrak{t}_{N^*}))) \\ &\quad + \frac{\sigma_1}{h(\sigma_1)\Gamma(\sigma_1)} \sum_{\xi=1}^{N^*} \left( \frac{\Pi(\mathfrak{t}, (\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi})))}{h} \int_{\mathfrak{t}_{\xi}}^{\mathfrak{t}_{\xi+1}} (\xi - \mathfrak{t}_{\xi} - 1) (\mathfrak{t}_{n+1} - \xi)^{\sigma_1-1} d\xi \right. \\ &\quad \left. - \frac{\Pi(\mathfrak{t}, (\mathfrak{t}_{\xi-1}, \Psi(\mathfrak{t}_{\xi-1})))}{h} \int_{\mathfrak{t}_{\xi}}^{\mathfrak{t}_{n+1}} (\xi - \mathfrak{t}_{\xi}) (\mathfrak{t}_{n+1} - \xi)^{\sigma_1-1} d\xi \right). \end{aligned}$$

Now solving the integral we get

$$\begin{aligned} \Psi^{n+1} &= \Psi(0) + \frac{1-\sigma_1}{h(\sigma_1)} \Pi(\mathfrak{t}, (\mathfrak{t}_{N^*}, \Psi(\mathfrak{t}_{N^*}))) + \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1 + 2)} \\ &\quad \times \sum_{\xi=1}^{N^*} \left[ \Pi(\mathfrak{t}, (\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi}))) ((n - \xi + 1)^{\sigma_1} (n - \xi + 2 + \sigma_1) - (n - \xi)^{\sigma_1} (n - \xi + 2 + 2\sigma_1)) \right. \\ &\quad \left. - \Pi(\mathfrak{t}, (\mathfrak{t}_{\xi-1}, \Psi_{\xi-1})) ((n - \xi + 1)^{\sigma_1+1} - (n - \xi + 1 + \sigma_1) (n - \xi)^{\sigma_1}) \right]. \end{aligned}$$

Now replacing the value of  $\Pi(y, \Psi(\mathfrak{t}))$  we get

$$\begin{aligned} \Psi^{n+1} &= \Psi(0) + \sigma_2 \mathfrak{t}^{\sigma_2-1} \frac{1-\sigma_1}{h(\sigma_1)} \Pi(\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi})) + \sigma_2 \mathfrak{t}^{\sigma_2-1} \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1 + 2)} \\ &\quad \times \sum_{\xi=1}^{N^*} \left[ \Pi(\mathfrak{t}_{\xi}, \Psi(\mathfrak{t}_{\xi})) ((n + 1 - \xi)^{\sigma_1} (n - \xi + 2 + \sigma_1) - (n - \xi)^{\sigma_1} (n - \xi + 2 + 2\sigma_1)) \right. \\ &\quad \left. - \Pi(\mathfrak{t}_{\xi-1}, \Psi_{\xi-1}) ((n - \xi + 1)^{\sigma_1+1} - (n - \xi + 1 + \sigma_1) (n - \xi)^{\sigma_1}) \right]. \end{aligned}$$

As a result, the numerical scheme above is

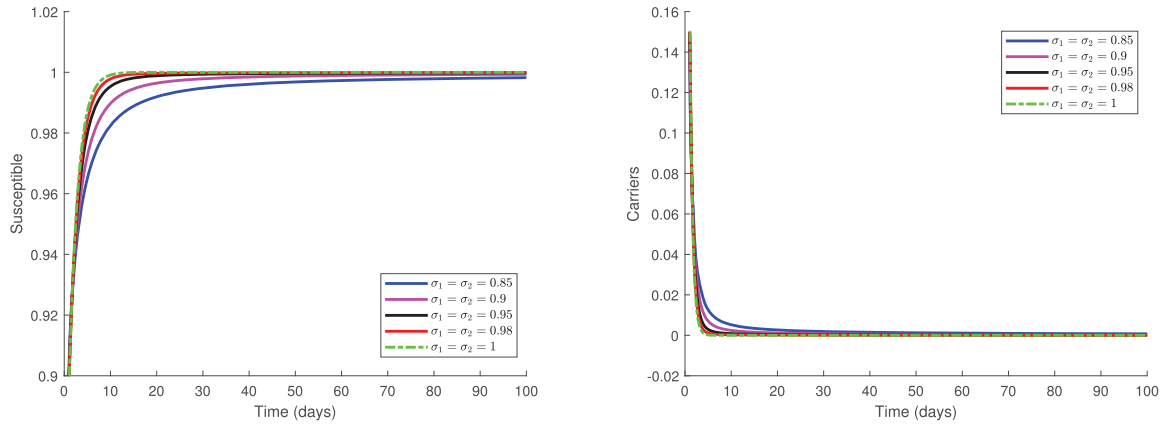
$$\begin{aligned}
 S^{n+1} &= S(0) + \sigma_2 t^{\sigma_2-1} \frac{1-\sigma_1}{h(\sigma_1)} Y_1(t_\xi, S(t_\xi)) + \sigma_2 t^{\sigma_2-1} \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1+2)} \\
 &\quad \times \sum_{\xi=1}^{N^*} \left[ Y_1(t_\xi, S(t_\xi)) ((n-\xi+1)^{\sigma_1} (n-\xi+2+\sigma_1) - (n-\xi)^{\sigma_1} (n-\xi+2+2\sigma_1)) \right. \\
 &\quad \left. - Y_1(t_{\xi-1}, S_{\xi-1}) ((n-\xi+1)^{\sigma_1+1} - (n-\xi+1+\sigma_1)(n-\xi)^{\sigma_1}) \right], \\
 C^{n+1} &= C(0) + \sigma_2 t^{\sigma_2-1} \frac{1-\sigma_1}{h(\sigma_1)} Y_2(t_\xi, C(t_\xi)) + \sigma_2 t^{\sigma_2-1} \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1+2)} \\
 &\quad \times \sum_{\xi=1}^{N^*} \left[ Y_2(t_\xi, C(t_\xi)) ((n-\xi+1)^{\sigma_1} (n-\xi+2+\sigma_1) - (n-\xi)^{\sigma_1} (n-\xi+2+2\sigma_1)) \right. \\
 &\quad \left. - Y_2(t_{\xi-1}, C_{\xi-1}) ((n-\xi+1)^{\sigma_1+1} - (n-\xi+1+\sigma_1)(n-\xi)^{\sigma_1}) \right], \\
 I^{n+1} &= I(0) + \sigma_2 t^{\sigma_2-1} \frac{1-\sigma_1}{h(\sigma_1)} Y_3(t_\xi, I(t_\xi)) + \sigma_2 t^{\sigma_2-1} \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1+2)} \\
 &\quad \times \sum_{\xi=1}^{N^*} \left[ Y_3(t_\xi, I(t_\xi)) ((n-\xi+1)^{\sigma_1} (n-\xi+2+\sigma_1) - (n-\xi)^{\sigma_1} (n-\xi+2+2\sigma_1)) \right. \\
 &\quad \left. - Y_3(t_{\xi-1}, I_{\xi-1}) ((n-\xi+1)^{\sigma_1+1} - (n-\xi+1+\sigma_1)(n-\xi)^{\sigma_1}) \right], \\
 R^{n+1} &= R(0) + \sigma_2 t^{\sigma_2-1} \frac{1-\sigma_1}{h(\sigma_1)} Y_4(t_\xi, R(t_\xi)) + \sigma_2 t^{\sigma_2-1} \frac{\sigma_1 h^{\sigma_1}}{\Gamma(\sigma_1+2)} \\
 &\quad \times \sum_{\xi=1}^{N^*} \left[ Y_4(t_\xi, R(t_\xi)) ((n-\xi+1)^{\sigma_1} (n-\xi+2+\sigma_1) - (n-\xi)^{\sigma_1} (n-\xi+2+2\sigma_1)) \right. \\
 &\quad \left. - Y_4(t_{\xi-1}, R_{\xi-1}) ((n-\xi+1)^{\sigma_1+1} - (n-\xi+1+\sigma_1)(n-\xi)^{\sigma_1}) \right].
 \end{aligned}$$

## 8 Numerical Simulations and Analysis

This section presents the numerical simulations of the pneumonia disease model, emphasizing the impact of fractional-order derivatives on disease dynamics. The results illustrate how different fractional orders influence the rate at which individuals transition through the compartments, demonstrating the nonlocal and memory-dependent nature of the fractal-fractional Atangana-Baleanu-Caputo (ABC) model. By incorporating memory effects, the model provides a more accurate representation of disease transmission dynamics, which classical integer-order models often fail to capture.

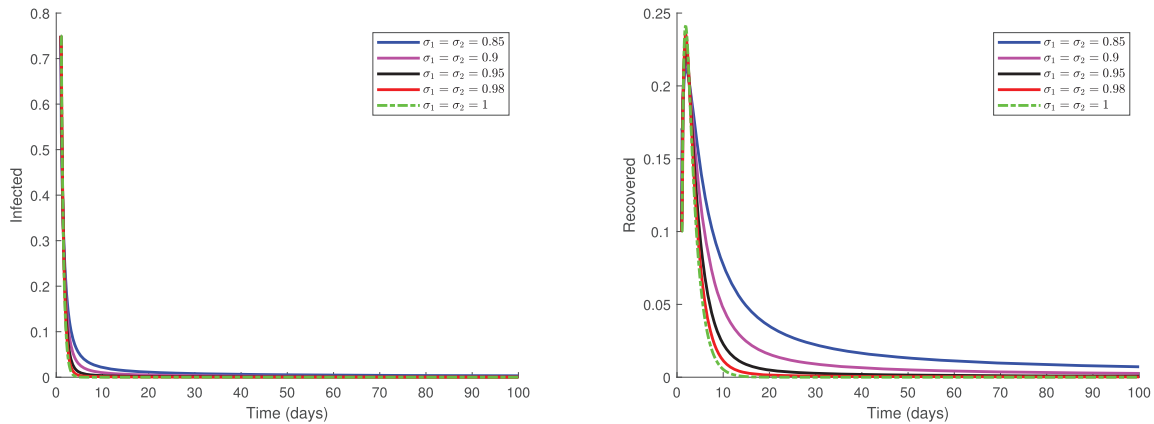
Fig. 2 presents the time series evolution of four key compartments—Susceptible  $S(t)$ , Carriers  $C(t)$ , Infected  $I(t)$ , and Recovered  $R(t)$ —under the ABC scheme with varying fractional orders. The smoothness of the curves highlights the ability of the model to capture long-term memory effects, demonstrating how disease progression is influenced by past states. The trends observed in the figure illustrate the variations in each population group over time in response to the infection dynamics. The susceptible population decreases over time as individuals transition to the carrier or infected compartments due to disease transmission. This decline reflects the continuous exposure of susceptible individuals to infected or carrier populations, leading to the spread of the disease. The carrier population follows an oscillatory pattern, emphasizing the role of fractional-order memory effects in disease progression. Unlike classical models, which assume an immediate transition from the carrier to the infected state, the smooth transitions observed in the fractional model suggest that individuals remain in the carrier state for variable durations, influenced by historical effects. The infected population exhibits an initial rise, reaching a peak before gradually declining as individuals

recover from the disease. This trend captures the natural course of infection dynamics, where the number of infected individuals initially increases due to high transmission rates before declining as recovery and immunity take effect. The recovered population increases steadily as infected individuals recover over time. The inclusion of memory effects in the fractional-order model allows for a more accurate representation of long-term recovery patterns, illustrating how past states influence the transition to recovery.



(a) The susceptible population  $S(t)$  decreases gradually due to memory effects of past exposures

(b) The carrier population  $C(t)$  exhibits oscillatory behavior, reflecting delayed transitions to infection



(c) The infected population  $I(t)$  rises to a peak and then declines slowly, indicating sustained disease presence

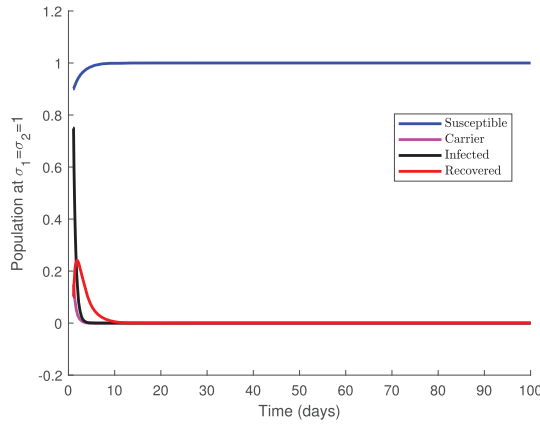
(d) The recovered population  $R(t)$  increases steadily, capturing gradual immune response over time

**Figure 2:** Time series of  $(S(t))$ ,  $(C(t))$ ,  $(I(t))$ , and  $(R(t))$ , respectively, under the FF ABC scheme with different fractional-orders

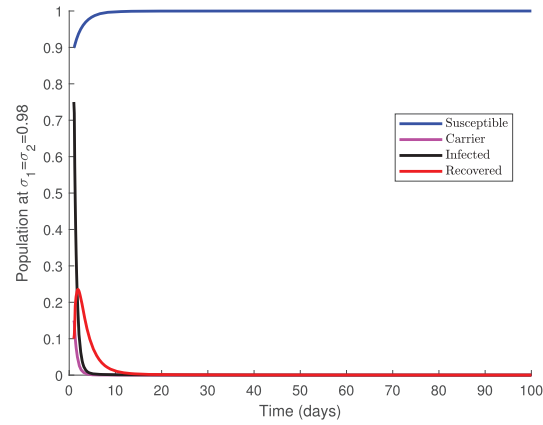
Fig. 3 further investigates the impact of different fractional orders— $\sigma_1 = \sigma_2 = 1$ ,  $\sigma_1 = \sigma_2 = 0.98$ ,  $\sigma_1 = \sigma_2 = 0.95$ , and  $\sigma_1 = \sigma_2 = 0.9$ —on disease progression. The results indicate that lower fractional orders slow down the transitions between compartments, highlighting the role of memory effects in epidemiological modeling. The subplots illustrate how the hereditary properties of the model influence the behavior of  $S(t)$ ,  $C(t)$ ,  $I(t)$ , and  $R(t)$ , reinforcing the nonlocal characteristics of disease spread. The results demonstrate the advantage of fractional-order modeling in capturing memory and hereditary effects in disease transmission, which classical integer-order models do not adequately represent. The smoothness of the curves suggests



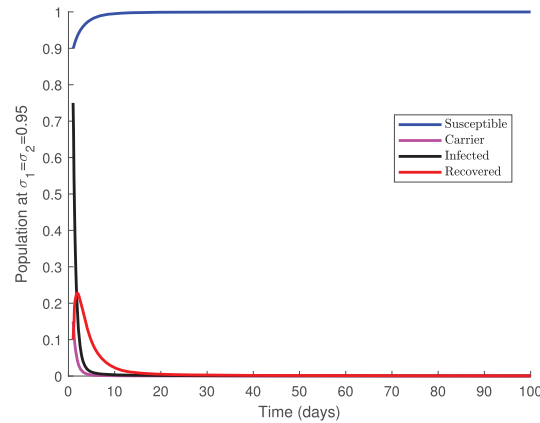
that the ABC operator effectively describes the nonlocal characteristics of disease progression, making it a valuable tool for understanding pneumonia dynamics.



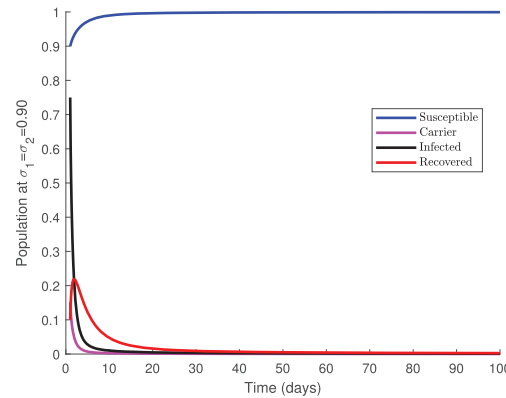
(a) Dynamics for  $\sigma_1 = \sigma_2 = 1$ : Represents the classical (integer-order) model. The system exhibits faster transitions between compartments with sharper changes in population levels.



(b) Dynamics for  $\sigma_1 = \sigma_2 = 0.98$ : Slightly lower fractional order introduces mild memory effects, leading to a small delay in transitions and smoother evolution of population curves.



(c) Dynamics for  $\sigma_1 = \sigma_2 = 0.95$ : Memory effects become more significant. The transitions are further slowed, and the curves are more gradual, capturing extended infectious periods.



(d) Dynamics for  $\sigma_1 = \sigma_2 = 0.90$ : Strong memory effects dominate. The progression of the disease is slower, with prolonged durations in each compartment, highlighting the non-local and historical influence modeled by the ABC operator.

**Figure 3:** Dynamics of system (2.1) for  $\sigma_1 = \sigma_2 = 1$ ,  $\sigma_1 = \sigma_2 = 0.98$ ,  $\sigma_1 = \sigma_2 = 0.95$ ,  $\sigma_1 = \sigma_2 = 0.9$ , respectively

In summary, the numerical simulations validate the proposed approach, confirming its applicability in epidemiological studies. By incorporating fractional-order derivatives, the model offers a more comprehensive and realistic depiction of pneumonia transmission, providing valuable insights for disease control strategies and public health interventions.

This figure illustrates the impact of different fractional orders on the SCIR model dynamics using the Atangana–Baleanu–Caputo (ABC) operator. Each sub-figure corresponds to simulations with decreasing values of the fractional orders  $\sigma_1 = \sigma_2$ , showing the influence of memory and hereditary properties on population dynamics.

## 9 Discussion

The present study introduces a fractional-order Susceptible-Carrier-Infected-Recovered model using the ABC fractal-fractional derivative to explore the complex dynamics of pneumococcal pneumonia. By incorporating memory and nonlocal effects, the ABC operator extends traditional integer-order models and standard fractional derivatives. This approach allows for a more comprehensive representation of transmission dynamics, accounting for hereditary and incubation effects. Numerical simulations confirm that the ABC operator effectively captures equilibrium points and oscillatory behaviors, demonstrating its accuracy in modeling disease spread and mitigation. The ability of the fractional-order model to incorporate long-memory and nonlocal effects provides deeper insights into disease dynamics, particularly in scenarios involving complex temporal dependencies. Future research could focus on extending the model to include stochastic effects, exploring time-dependent parameters, or integrating additional compartments to study co-infections. Addressing computational challenges associated with the ABC operator would further enhance its applicability to real-world epidemiological studies. The parameter values used for simulation are summarized in Table 1. These include baseline rates for transmission, recovery, progression, and other key biological and epidemiological factors relevant to the SCIR model of pneumococcal pneumonia. The values were selected based on available literature and sensitivity considerations.

**Table 1:** Parameter values from Abodayeh et al. [36]

Parameter	Description	Value
$\Pi$	Recruitment rate into susceptible population	0.5
$\delta$	Transmission rate	2 (DFE), 2.5 (EE)
$\Omega$	Rate of vaccinated treated individuals	0.1124
$\mu$	Natural mortality rate	0.5
$\eta$	Time symptomatic infectious have symptoms	0.00641
–	Recovery rate of carriers	0.515
$\pi$	Rate of carriers developing symptoms	0.7096
$\theta$	Proportion of susceptible joining carriers	0.563
$\tau$	Recovery rate for infected pneumonia	0.641
$\sigma$	Disease-induced mortality rate	0.53

### 9.1 Depth and Clarity of the Findings

This study highlights the utility of the ABC operator in capturing complex disease dynamics through memory effects and hereditary properties. The findings show that the fractional-order Susceptible-Carrier-Infected-Recovered model, formulated with fractal-fractional derivatives, provides a more accurate representation of pneumococcal pneumonia dynamics compared to classical models. The derived basic reproduction number ( $R_0$ ) effectively delineates the thresholds for disease-free and endemic equilibria, offering critical insights into system stability. Numerical simulations validate these theoretical predictions, confirming that the Mittag-Leffler kernel in the ABC operator accurately models long-term interactions and memory-driven dynamics. To further clarify the findings, this study emphasizes the biological implications of the parameters. For example, the vaccination rate ( $\gamma$ ) significantly influences the transition from susceptible to recovered compartments, highlighting the effectiveness of vaccination campaigns in reducing the disease burden. Additionally, the recovery and transmission rates shape the oscillatory behavior of the system, aligning with real-world observations of disease outbreaks and control measures.

## 9.2 Implications for Public Health and Other Applications

The findings of this study have significant implications for public health strategies aimed at controlling pneumococcal pneumonia. By providing a framework that accounts for memory effects and long-term interactions, the model aids in predicting the outcomes of various interventions, such as vaccination and treatment campaigns. For instance, the model identifies critical thresholds where vaccination coverage can shift the system from an endemic to a disease-free state, emphasizing the importance of achieving high vaccination rates in vulnerable populations such as children under five and the elderly. Beyond pneumococcal pneumonia, the model's adaptability to other infectious diseases is noteworthy. The incorporation of fractional-order dynamics makes it applicable to diseases with similar transmission patterns, such as tuberculosis and influenza. Policymakers can leverage these insights to design tailored interventions, optimize resource allocation, and predict the long-term impact of public health measures.

## 9.3 Integration of Theoretical and Numerical Analysis

The study effectively integrates theoretical stability analyses with numerical simulations, bridging the gap between mathematical derivations and real-world applications. The derivation of  $R_0$  and its role in determining system stability provide a solid theoretical foundation. For example, the stability conditions ( $R_0 < 1$  for disease-free equilibrium and  $R_0 > 1$  for endemic equilibrium) are supported by numerical simulations, which illustrate transitions between these states as parameters vary. Theoretical predictions, such as the positivity and boundedness of solutions, are validated through numerical experiments. These experiments further demonstrate the impact of key parameters, such as transmission and recovery rates, on system dynamics. The study's use of the Atangana-Seda numerical scheme enhances the robustness of the results, providing a reliable tool for solving complex fractional-order systems. Minimal discrepancies between theoretical and numerical findings underscore the consistency of the proposed model. Future work could explore additional numerical schemes or adaptive methods to improve computational efficiency while maintaining accuracy. This study highlights the strengths of fractional-order modeling, particularly the Atangana-Baleanu operator, in advancing our understanding of pneumococcal pneumonia dynamics. By integrating theoretical and numerical analyses, the research provides valuable tools for public health decision-making and lays the groundwork for future applications in epidemiological modeling. The findings underscore the potential of fractional calculus to revolutionize the study of complex biological systems, offering insights that extend beyond traditional methods.

## 9.4 Justification for the Use of ABC Operators in Pneumococcal Pneumonia Modeling

While the manuscript effectively highlights the mathematical advantages of the ABC operator, a clearer justification of its epidemiological relevance to pneumococcal pneumonia is needed—particularly for readers without a strong mathematical background, such as health practitioners and epidemiologists.

## 9.5 Memory Effects in Pneumococcal Pneumonia: Epidemiological Justification

Several key epidemiological characteristics of pneumococcal pneumonia align with the memory effects captured by ABC operators. Long-term carriage and transmission occur as many individuals harbor *Streptococcus pneumoniae* for extended periods without immediate symptoms, influencing future infection risks, which ABC operators model by allowing past bacterial load to affect present transmission rates. Delayed recovery and reinfection are also significant, as some patients take longer to recover due to immune suppression, prior infections, or antibiotic resistance; unlike classical models that assume instantaneous transitions, ABC operators smoothly represent the gradual return to health or the risk of reinfection. Additionally, waning immunity and vaccination implications are critical, as immunity from

past infections or vaccines does not disappear abruptly but decays over time; the Mittag-Leffler function in the ABC operator realistically captures this process, refining vaccine strategies and improving long-term epidemiological forecasts.

### 9.6 Why Use Fractional and Fractal-Fractional Models for Pneumococcal Pneumonia?

Pneumococcal pneumonia exhibits memory-dependent and heterogeneous dynamics, meaning that factors such as past exposures, immunity levels, bacterial persistence, and environmental conditions significantly influence current and future disease progression. Classical models, which rely on integer-order derivatives, assume that disease state changes depend only on the present moment, thereby neglecting long-term dependencies and irregular disease spread patterns. To overcome these limitations, fractional and fractal-fractional models provide a more realistic approach by incorporating memory and fractal structures. Specifically, the Atangana-Baleanu-Caputo (ABC) operator, with its Mittag-Leffler kernel, enhances the accuracy of pneumococcal pneumonia models by accounting for memory decay and fractal characteristics.

The fractional component of the ABC operator ensures that past infections and immune responses gradually influence disease progression over time, rather than disappearing abruptly, while the fractal component captures nonlinear transmission dynamics influenced by population density, seasonal fluctuations, and variable immunity levels. This is particularly valuable for modeling superspreading events, dynamic contact rates, and memory-dependent treatment effects, including antibiotic resistance shaped by prior medication use. By integrating these aspects, the ABC-based fractional model enhances public health efforts by improving outbreak predictions, informing vaccination strategies, and providing a more realistic assessment of treatment impacts to support antibiotic stewardship programs.

This study provides an in-depth analysis of the Atangana-Baleanu Caputo (ABC) fractional operator and its application to pneumococcal pneumonia. The ABC operator integrates memory effects and fractal properties through its Mittag-Leffler kernel and incorporates two key parameters,  $\sigma_1$  and  $\sigma_2$ :

- $\sigma_1$ : Controls the memory effect and smoothness of the function, impacting the fractional order of differentiation.
- $\sigma_2$ : Influences the fractal dimension and system complexity.

When  $\sigma_1 = 1$ , the operator simplifies to a classical derivative, eliminating memory effects and fractal structures, thus causing the model to behave like a standard differential equation. This flexibility enables the ABC fractional operator to transition seamlessly between classical and fractional systems, depending on the parameter values.

In the context of pneumococcal pneumonia, the ABC operator effectively captures nonlocal memory effects, reflecting the lasting biological imprint of prior infections and immune responses. By doing so, it enhances the modeling of reinfection risks, long-term immunity, and treatment impacts. These improvements aid policymakers in designing better intervention strategies, optimizing vaccination campaigns, and assessing the impacts of waning immunity over time.

In this study, we apply the Atangana-Baleanu Caputo (ABC) fractional operator to analyze the dynamics of pneumococcal pneumonia. The key objective is to illustrate, through numerical simulations and comparative analysis, how the fractional-order parameters  $\sigma_1$  and  $\sigma_2$  influence disease progression, reinfection dynamics, and long-term immunity decay. We perform numerical simulations using different values of fractional-order parameters  $\sigma_1$  and  $\sigma_2$  in the ABC fractional operator. By varying  $\sigma_1$  and  $\sigma_2$ , we investigate the memory effect and fractal properties embedded in the system. The following parameter values are considered:

- $\sigma_1 = 0.85, 0.95, 1.0$ : This controls the memory effect, where smaller values of  $\sigma_1$  enhance the influence of past disease states on the current dynamics.
- $\sigma_2 = 0.85, 0.95, 1.0$ : This controls the fractal dimension, influencing the complexity of disease transmission patterns.

The results from the numerical simulations highlight the following key observations:

1. **Impact of Memory Effects:** When  $\sigma_1$  is reduced from 1.0 to 0.5, we observe prolonged infectious periods, delayed recovery times, and slower decay of disease prevalence, reflecting the stronger memory effect captured by the ABC fractional operator.
2. **Fractal Transmission Dynamics:** Variations in  $\sigma_2$  influence the transmission rate and the overall system complexity. Higher values of  $\sigma_2$  lead to more chaotic and nonlinear transmission dynamics, capturing superspreading events and population heterogeneity.
3. **Reinfection and Immunity Decay:** With fractional-order parameters  $\sigma_1 < 1$ , the simulations demonstrate that past infections exert a prolonged influence on future reinfection risks, thereby better modeling real-world immunity decay and bacterial carriage observed in pneumococcal pneumonia.

The manuscript emphasizes how the ABC operator, with its unique memory and nonlocal effects, captures key epidemiological phenomena such as delayed recovery, waning immunity, and prolonged bacterial carriage. Unlike classical models with integer-order derivatives, which consider only instantaneous state changes, ABC operators model the gradual decay of immunity and the lasting influence of past infections on current disease progression through the Mittag-Leffler function. These dynamics align closely with the memory-dependent transmission and recovery processes observed in pneumococcal pneumonia.

## 9.7 Comparison of the ABC Operator with Other Fractional Operators

To provide a comprehensive understanding, this section compares the ABC fractional operator with other fractional operators, such as Caputo-Fabrizio, Atangana-Seda, and Caputo, highlighting theoretical, numerical, and practical differences.

### 1. Theoretical Differences

- **Memory Effects:** The ABC fractional operator incorporates strong long-term memory effects through its Mittag-Leffler kernel, making it better suited to modeling hereditary and historical influences on disease dynamics. By contrast, the Caputo and Caputo-Fabrizio operators exhibit weaker or more limited memory effects.
- **Nonlocal Behavior:** The ABC fractional derivative accounts for nonlocal interactions, meaning that the current state of the disease depends on its entire past behavior. Caputo-based operators, by comparison, focus more on local interactions and instantaneous rates of change.
- **Kernel Type:** Unlike the exponential decay kernel of the Caputo-Fabrizio operator, the Mittag-Leffler kernel in the ABC operator decays more slowly, thus capturing the extended influence of past disease events and infections.

### 2. Numerical and Simulation Differences

- **Rate of Disease Spread:** Numerical simulations using the ABC operator often show slower decay rates due to strong memory effects, resulting in extended infectious periods compared to Caputo-based models.
- **Long-Term Stability:** ABC-based models may exhibit different equilibrium points or attractors due to the influence of fractional memory parameters ( $\sigma_1$  and  $\sigma_2$ ), which are absent in Caputo-Fabrizio or Caputo operators.

- **Parameter Sensitivity:** ABC operator-based models demonstrate increased sensitivity to fractional-order parameters, allowing finer control over disease dynamics and improved calibration for mitigation strategies.

### 3. Practical Insights

- **Reinfection and Immunity Decay:** Due to its stronger memory effects, the ABC operator provides better insights into reinfection dynamics and waning immunity, thus informing vaccination strategies and booster shot timing.
- **Realistic Disease Dynamics:** The slow-decay nature of the Mittag-Leffler kernel captures real-world phenomena, such as prolonged bacterial carriage in pneumococcal pneumonia, which is difficult to model accurately with Caputo-Fabrizio or Atangana-Seda operators.

Overall, the enhanced memory, nonlocality, and long-term stability of the ABC fractional operator provide significant advantages for modeling complex disease dynamics, particularly in diseases with prolonged infectious periods and memory-dependent transmission patterns. By comparing different operators, this study demonstrates how the ABC operator's unique properties contribute to more accurate and realistic epidemiological predictions.

### 9.8 Comparison of the Current Study with Previous Works

This study presents significant advancements over previous works, such as those by Abodayeh et al. [36] and Tilahun et al. [15], by introducing a fractal-fractional Susceptible-Carrier-Infected-Recovered model using the Atangana-Baleanu in Caputo (ABC) derivative, enabling a more biologically realistic representation of memory effects and nonlocal interactions. Unlike traditional models that assume a Markovian disease progression, this approach integrates memory-dependent dynamics, accounting for long-term immunity effects, reinfection risks, and delayed recovery periods. The study establishes the existence and uniqueness of solutions under the fractal-fractional framework, conducts local and global stability analyses, and provides rigorous numerical simulations based on the ABC operator. While Tilahun et al. focused on co-infections using ordinary and fractional differential equations, this work extends their study by applying fractal-fractional derivative frameworks to capture heterogeneous transmission dynamics, non-exponential recovery, and memory-driven interactions. Furthermore, it enhances pneumococcal pneumonia models by incorporating fractal-fractional operators to account for nonlocal effects in disease transmission and improves sensitivity analysis methods through 3D visualizations. Key contributions include the first application of ABC operators for pneumococcal pneumonia modeling, the use of non-singular Mittag-Leffler kernels for smoother epidemiological forecasts, and bridging theoretical and numerical modeling to ensure consistency between analytical predictions and computational results. In summary, this study extends and improves upon prior models by integrating fractal-fractional derivatives, memory effects, and numerical stability analysis, making it a more accurate and comprehensive framework for studying pneumococcal pneumonia transmission dynamics.

The following table (Table 2) presents sample numerical values that illustrate the system behavior under different fractional-order parameter settings: These numerical results demonstrate how the ABC fractional operator enhances the accuracy and realism of the pneumococcal pneumonia model. By incorporating memory effects and fractal properties through fractional-order parameters  $\sigma_1$  and  $\sigma_2$ , the model captures delayed recovery, prolonged bacterial carriage, and heterogeneous disease spread more effectively than classical integer-order models. This underscores the importance of using fractional operators in epidemiological modeling to improve public health intervention strategies and vaccination campaigns.



**Table 2:** Impact of fractional-order parameters on pneumococcal pneumonia dynamics

Parameter values	Fractional order ( $\sigma_1, \sigma_2$ )	Infectious period	Recovery time	Disease prevalence
Case 1	(1.0, 1.0)	Short	Rapid	Moderate
Case 2	(0.95, 0.95)	Moderate	Slower	Increased
Case 3	(0.85, 0.85)	Prolonged	Delayed	High

## 10 Conclusion

This study presents a fractal-fractional Susceptible-Carrier-Infected-Recovered model utilizing the ABC derivative to investigate the intricate dynamics of pneumococcal pneumonia. By integrating memory and nonlocal effects, the ABC operator enhances traditional integer-order and standard fractional models, offering a more comprehensive framework for capturing disease transmission. This approach effectively accounts for hereditary and incubation effects, refining our understanding of epidemiological patterns. Theoretical analyses establish the existence and uniqueness of solutions, alongside local and global stability conditions that determine whether the disease persists or is eradicated. Numerical simulations further validate the model, demonstrating the significant influence of memory effects on disease spread. The results confirm that the ABC operator accurately characterizes equilibrium points and oscillatory behaviors, improving the precision of disease modeling and mitigation strategies. These findings underscore the critical role of fractional-order models in epidemiology, particularly in representing memory-dependent dynamics. The integration of these advanced mathematical techniques enhances forecasting capabilities and informs intervention strategies. Future research could extend this model by incorporating stochastic effects, time-dependent parameters, or additional compartments to study co-infections. Further exploration of computational methods may improve the model's applicability to real-world epidemiological scenarios. By combining theoretical insights with numerical simulations, this study contributes to public health decision-making and highlights the transformative potential of fractional calculus in infectious disease modeling.

While the proposed SCIR model incorporating fractal-fractional derivatives provides a more flexible framework for capturing memory and hereditary effects in pneumococcal pneumonia dynamics, this work has several limitations. First, the parameter values are assumed constant and based on literature, which may not fully capture variability across different populations or settings. Second, the model does not explicitly account for age structure, seasonal effects, or spatial heterogeneity, which are important in respiratory disease transmission. Lastly, the numerical simulations are based on a specific fractional operator (ABC), and results may differ with alternative definitions. Future work may address these aspects to improve generalizability and predictive accuracy.

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