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Design of Chaos Induced Aquila Optimizer for Parameter Estimation of Electro-Hydraulic Control System

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ABSTRACT: Aquila Optimizer (AO) is a recently proposed population-based optimization technique inspired by Aquila's behavior in catching prey. AO is applied in various applications and its numerous variants were proposed in the literature. However, chaos theory has not been extensively investigated in AO. Moreover, it is still not applied in the parameter estimation of electro-hydraulic systems. In this work, ten well-defined chaotic maps were integrated into a narrowed exploitation of AO for the development of a robust chaotic optimization technique. An extensive investigation of twenty-three mathematical benchmarks and ten IEEE Congress on Evolutionary Computation (CEC) functions shows that chaotic Aquila optimization techniques perform better than the baseline technique. The investigation is further conducted on parameter estimation of an electro-hydraulic control system, which is performed on various noise levels and shows that the proposed chaotic AO with Piecewise map (CAO6) achieves the best fitness values of $2.873\text{E}-05$, $1.014\text{E}-04$, and $8.728\text{E}-03$ at noise levels $1.300\text{E}-03$, $1.300\text{E}-02$, and $1.300\text{E}-01$, respectively. Friedman test for repeated measures, computational analysis, and Taguchi test reflect the superiority of CAO6 against the state of the arts, demonstrating its potential for addressing various engineering optimization problems. However, the sensitivity to parameter tuning may limit its direct application to complex optimization scenarios.

KEYWORDS: Aquila optimizer; electro-hydraulic control system; chaos theory; autoregressive model

1 Introduction

Optimization techniques (OT) are applied in solving different problems related to science and engineering, such as wireless sensor networks [1], an electrically stimulated muscle model [2], leukemia cancer classification [3], nonlinear system identification [4], flow shop scheduling [5], feature selection [6], power system harmonics [7], agriculture [8], and renewable energy [9]. Machine learning assisted OT was also used for numerous applications, such as antenna design [10,11] and geometry [12], aircraft trajectories [13], and electronic packages and materials [14]. OT can be classified as swarm optimization techniques (SOT),



evolutionary optimization techniques (EOT), physics-based optimization techniques (PBOT), and human-based optimization techniques (HBOT). In recent years, various optimizations have been proposed in each category. Some of them are given in [Table 1](#).

Table 1: Classification of OT

Category	Techniques
SOT	Particle Swarm Optimization (PSO) [15]
	Monarch Butterfly Algorithm [16]
	Reptile Search Algorithm [17]
	Whale Optimization Algorithm [18]
	Synergistic Swarm Optimization Algorithm (SSOA) [19]
EOT	Differential Evolution (DE) [20]
	Artificial Circulation System Algorithm (ACSA) [21]
	Biogeography-Based Optimization (BBO) [22]
	Earthworm Optimization Algorithm [23]
	Moth Search Algorithm [24]
PBOT	Arithmetic Optimization Algorithm (AOA) [25]
	Sinh Cosh Optimizer (SCHO) [26]
	Multi-Verse Optimizer (MVO) [27]
	Gravitational Search Algorithm (GSA) [28]
	Henry Gas Solubility Optimization (HGSO) [29]
HBOT	Political Optimizer (PO) [30]
	Stock Exchange Trading Optimization Algorithm (SETOA) [31]
	Teaching Learning Based Optimization (TLBO) [32]
	Heap-Based Optimizer (HBO) [33]
	Future Search Algorithm (FSA) [34]

The comparison between the advantages and disadvantages of some of the OT is given in [Table 2](#).

Table 2: Advantages and disadvantages of OT

OT	Advantages	Disadvantages
Aquila optimizer	Few tuning parameters	Lacks extensive testing for diverse applications
Arithmetic optimization algorithm	Suitable for parameter-free problems	Premature convergence
Reptile search algorithm	Suitable for parameter-constrained problems	Slow convergence
Whale optimization algorithm	Few tuned parameters	Poor scalability

Aquila Optimizer (AO) [35] is recently proposed OT, and its various variants were available in the literature [36] with applications in diversified fields such as optimal power flow [37], biomedical [38], text-to-speech conversion [39], anomaly detection [40], wireless sensor networks [41], generative adversarial

networks [42], power transformers [43], fraud detection [44], autoregressive models [45], agriculture [46], distributed energy systems [47], image segmentation [48], vehicle cruise control system [49], automatic voltage regulator [50], Unmanned Aerial Vehicles [51], air fuel ratio system control [52], and PV systems [53]. Various improved variants of AO were also proposed such as fractional order chaotic oscillator-based AO [54], binary AO [55], local search enhanced AO [56], reinforcement learning based AO [57], chaotic mapping-based AO [58], adaptive AO [59], and chaotic opposition learning based AO [60].

Parameter identification is critical for precisely modeling electro-hydraulic control systems, as it includes defining system-specific parameters like viscosity, valve coefficients, and actuator dynamics to confirm accurate control [61]. Various techniques were proposed in the literature for its representation [62–64]. Autoregressive models are widely applied for the representation of linear and nonlinear systems [65]. For its identification, various techniques were present in the literature, such as the two-stage gradient [66], hybrid neural fuzzy [67], SOT [68], and momentum gradient descent [69]. Accurate identification improves the consistency and effectiveness of electro-hydraulic systems, empowering better control of various industrial systems [70].

In this work, an improved variant of AO, namely chaotic Aquila optimizer (CAO), is proposed by integrating the chaos theory [71–73] through ten well-known chaotic maps into narrow exploitation. Chaotic maps in AO are motivated by their ability to enhance exploration and exploitation dynamics, leveraging chaotic maps to introduce randomness. CAO is further applied to the parameter estimation of the electro-hydraulic control system. The performance of CAO is compared with the arithmetic optimization algorithm (AOA) [25], reptile search algorithm (RSA) [17] and whale optimization algorithm (WOA) [18]. The prominent features of this research work are:

- Integration of chaos theory with SOT-based AO is proposed for parameter estimation of the electro-hydraulic control system.
- The integration of chaotic maps in the narrowed exploitation of AO provides better performance than its baseline technique.
- Friedman test for repeated measures, convergence analysis, computational analysis, and Taguchi test recommend the precision of the proposed CAO in comparison with the state of the art.

The workflow is as follows: Section 2 comprises mathematical models of AO, CAO, and fitness definition. Section 3 provides an analysis of CEC and benchmark functions. Section 4 presents the investigation on the electro-hydraulic control system. Section 5 provides the concluding remarks.

2 Methodology

This section provides mathematical model of Aquila Optimizer (AO), Chaotic Aquila Optimizer (CAO), fitness evaluation, and pseudo codes.

2.1 Aquila Optimizer (AO)

AO is a nature-inspired optimization technique involving four hunting strategies to catch prey. It starts with population initialization generated randomly for the upper and lower bounds of the given problem as shown in Eqs. (1) and (2).

$$U = \begin{bmatrix} u_{1,1} & \cdots & u_{1,Dim} \\ \vdots & \ddots & \vdots \\ u_{p_s,1} & \cdots & u_{p_s,Dim} \end{bmatrix} \quad (1)$$

$$U_{i,j} = \text{rand} (U_{b_j} - L_{b_j}) + L_{b_j}, i = 1, 2 \dots p_s, j = 1, 2 \dots Dim \quad (2)$$

here Ub , Lb , p_s and Dim are upper bound, lower bound, population size and decision variables, respectively. Its mathematical formulation includes expanded exploration (U_1), narrowed exploration (U_2), expanded exploitation (U_3) and narrowed exploitation (U_4). In (U_1), the best hunting area is recognized with high soar and vertical stoop on which AO explores the search space as shown in Eq. (3).

$$U_1(it+1) = U_{best}(it) \times \left(1 - \frac{it}{T_{max}}\right) + (U_M(it) - U_{best}(it) * rand) \quad (3)$$

here $U_1(it+1)$, $U_{best}(it)$ and $\left(1 - \frac{it}{T_{max}}\right)$ are solution for next iteration, best solution, and search space control parameter, respectively. $U_M(it)$ is the mean value as shown in Eq. (4).

$$U_M(it) = \frac{1}{p_s} \sum_{i=1}^{p_s} U_i(it), \forall j = 1, 2, \dots, Dim \quad (4)$$

In (U_2), the Aquila circles around the target, prepares the land and attack after finding the prey. It uses contour fight with a short glide attack and the AO uses a narrowly explored target area as shown in Eq. (5).

$$U_2(it+1) = U_{best}(it) \times levy(Dim) + U_R(it) - (y - u) * rand \quad (5)$$

here $U_2(it+1)$, and $U_R(it)$ are next solution and random solution, respectively. The $levy(Dim)$ is the distribution function is shown in Eq. (6).

$$levy(Dim) = v \times \frac{\delta \times \sigma}{|d|^{\frac{1}{\alpha}}} \quad (6)$$

here v , α , δ , and d are 0.01, 1.5 and random numbers, respectively. σ is shown in Eq. (7).

$$\sigma = \left(\frac{\Gamma(1 + \alpha) \times \sin\left(\frac{\pi\alpha}{2}\right)}{\Gamma\left(\frac{1+\alpha}{2}\right) \times \alpha \times 2^{\left(\frac{\alpha-1}{2}\right)}} \right) \quad (7)$$

y and u represents spiral search as shown in Eqs. (8) and (9).

$$y = h \times \cos(\varphi) \quad (8)$$

$$u = h \times \sin(\varphi) \quad (9)$$

h and φ are shown in Eqs. (10) and (11).

$$h = h_1 + W \times Dim_1 \quad (10)$$

$$\varphi = -\epsilon \times Dim_1 + \varphi_1 \quad (11)$$

φ_1 is shown in Eq. (12).

$$\varphi_1 = \frac{3\pi}{2} \quad (12)$$

where W , ϵ and h are fixed to 0.00565, 0.005 and 1–20, respectively. In U_3 , the area of prey is accurately described, the Aquila is set for landing and attack, for which it uses low-flight with slow descent attack for discovering prey reaction as shown in Eq. (13).

$$U_3(it+1) = (U_{best}(it) - U_M(it)) \times \epsilon - rand + ((Ub - Lb) \times rand + Lb) \times \beta \quad (13)$$

where $U_3(it+1)$, ϵ , β , $U_{best}(it)$ and $U_M(it)$ are the next iteration solution, exploitation adjustment factors, best solution, and mean solution, respectively. In U_4 , the Aquila gets close to prey based on the stochastic movement by using the walk and grab prey method. Then the AO attacks based on the last movement, as shown in Eq. (14).

$$U_4(it+1) = QF \times U_{best}(it) - (P_1 \times U(it) \times rand) - P_2 \times levy(Dim) + rand \times P_1 \quad (14)$$

$U_4(it+1)$ is the next solution. Quality factor (QF), variation of motion P_1 and P_2 are shown in Eqs. (15)–(17), respectively.

$$QF(it) = it^{\frac{2 \times rand - 1}{(1 - Tmax)^2}} \quad (15)$$

$$P_1 = 2 \times rand - 1 \quad (16)$$

$$P_2 = 2 \times \left(1 - \frac{it}{Tmax}\right) \quad (17)$$

The pseudo code implementation of AO is shown in Algorithm 1.

Algorithm 1: AO pseudo code

Initialize U , ϵ , and β .

while do

Calculate fitness.

Determine U_{best} .

for $j = 1:p_s$

Update $U_M(it)$.

Update u , y , P_1 , P_2 and $levy(Dim)$.

if $it \leq (2/3) * Tmax$

if $rand \leq 0.5$

Update $U_1(it+1)$ using (3).

if (fitness $U_1(it+1) < \text{fitness } U(it)$)

$U(it) = U_1(it+1)$

if (fitness $U_1(it+1) < \text{fitness } U_{best}(it)$)

$U_{best}(it) = U_1(it+1)$

end

end

else

Update $U_2(it+1)$ using (5).

if (fitness $U_2(it+1) < \text{fitness } U(it)$)

$U(it) = U_2(it+1)$

if (fitness $U_2(it+1) < \text{fitness } U_{best}(it)$)

$U_{best}(it) = U_2(it+1)$

(Continued)

Algorithm 1 (continued)

```

    end
    end
    end
    else if rand ≤ 0.5
        Update  $U_3(it + 1)$  using (13).
        if (fitness  $U_3(it + 1) < \text{fitness } U(it)$ )
             $U(it) = U_3(it + 1)$ 
        if (fitness  $U_3(it + 1) < \text{fitness } U_{\text{best}}(it)$ )
             $U_{\text{best}}(it) = U_3(it + 1)$ 
        end
        end
    else
        Update  $U_4(it + 1)$  using (14).
        if (fitness  $U_4(it + 1) < \text{fitness } U(it)$ )
             $U(it) = U_4(it + 1)$ 
        if (fitness  $U_4(it + 1) < \text{fitness } U_{\text{best}}(it)$ )
             $U_{\text{best}}(it) = U_4(it + 1)$ 
        end
        end
        end
        end
    end
end
return  $U_{\text{best}}$ 

```

2.2 Chaotic Aquila Optimizer (CAO)

Chaotic maps are integrated into OT to maximize its exploration and exploitation capabilities. These maps generate ergodic values used in OT for escaping the local minima. Moreover, they dynamically manage the smooth transitions between local and global search, which accelerates the convergence and maintains population diversity. In this work, the narrowed exploitation mechanism of AO is improved by integrating ten well-known chaotic maps into the QF of AO. These maps use chaotic values to balance the exploration and exploitation of AO and modulate transition timing based on search diversity. CAO is first tested on twenty-three mathematical and ten CEC benchmark functions having both unimodal and multimodal features, followed by a parameter estimation of EHCS. A brief comparison between AO and CAO is summarized in Table 3.

These details of these maps were given in Table 4.

These chaotic maps (C_m) are integrated in QF of AO. Eq. (15) is updated for CAO as shown in Eq. (18).

$$QF_C(it) = it^{\frac{2 \times C_m - 1}{(1 - T_{\text{max}})^2}} \quad (18)$$

$U_4(it + 1)$ is shown in Eq. (19).

$$U_4(it + 1) = QF_C \times U_{\text{best}}(it) - (P_1 \times U(it) \times \text{rand}) - P_2 \times \text{levy}(\text{Dim}) + \text{rand} \times P_1 \quad (19)$$

Table 3: Comparison between AO and CAO

AO	CAO
Slow convergence	Fast convergence
Low solution quality	High solution quality
Low local minima avoidance	High local minima avoidance
Low scalability	Scalable on higher dimension problems

Table 4: Chaotic maps

OT	Chaotic maps and relations	
CAO1	Chebyshev map [74]	$x_{w+1} = \cos(w \cos^{-1}(x_w))$
CAO2	Circle map [75]	$x_{w+1} = \text{mod}\left(x_w + 0.2 - \left(\frac{0.5}{2\pi}\right) \sin(2\pi x_w), 1\right)$
CAO3	Gauss/mouse map [76]	$x_{w+1} = \begin{cases} 1, & x_w = 0 \\ \frac{1}{\text{mod}(x_w, 1)} & \text{otherwise} \end{cases}$
CAO4	Iterative map [77]	$x_{w+1} = \sin\left(\frac{0.7\pi}{x_w}\right)$
CAO5	Logistic map [78]	$x_{w+1} = 4x_w(1 - x_w)$
CAO6	Piecewise map [79]	$x_{w+1} = \begin{cases} \frac{x_w}{0.4}, & 0 \leq x_w < 0.4 \\ \frac{x_w - 0.4}{0.1}, & 0.4 \leq x_w < 0.5 \\ \frac{0.6 - x_w}{0.1}, & 0.5 \leq x_w < 0.6 \\ \frac{1 - x_w}{0.4}, & 0.6 \leq x_w < 1 \end{cases}$
CAO7	Sine map [80]	$x_{w+1} = \sin(\pi x_w)$
CAO8	Singer map [81]	$x_{w+1} = 1.07(7.86x_w - 23.31x_w^2 + 28.75x_w^3 - 13.30x_w^4)$
CAO9	Sinusoidal map [82]	$x_{w+1} = 2.3x_w^2 \sin(\pi x_w)$
CAO10	Tent map [83]	$x_{w+1} = \begin{cases} \frac{x_w}{0.7}, & x_w < 0.7 \\ 10/3(1 - x_w), & x_w \geq 0.7 \end{cases}$

The pseudo code implementation of CAO is shown in Algorithm 2.

Algorithm 2: CAO pseudo code

```

Initialize  $U$ ,  $\varepsilon$ , and  $\beta$ .
while do
Calculate fitness.
Determine  $U_{best}$ .
  for  $j = 1: p_s$ 
    Update  $U_M(it)$ .
    Update  $u, y, P_1, P_2$  and  $levy(Dim)$ .
    if  $it \leq (2/3) * Tmax$ 
      if  $rand \leq 0.5$ 
        Update  $U_1(it + 1)$  using (3).
        if  $(fitness\ U_1(it + 1) < fitness\ U(it))$ 
           $U(it) = U_1(it + 1)$ 
        if  $(fitness\ U_1(it + 1) < fitness\ U_{best}(it))$ 
           $U_{best}(it) = U_1(it + 1)$ 
        end
      end
    else
      Update  $U_2(it + 1)$  using (5).
      if  $(fitness\ U_2(it + 1) < fitness\ U(it))$ 
         $U(it) = U_2(it + 1)$ 
      if  $(fitness\ U_2(it + 1) < fitness\ U_{best}(it))$ 
         $U_{best}(it) = U_2(it + 1)$ 
      end
    end
  else if  $rand \leq 0.5$ 
    Update  $U_3(it + 1)$  using (13).
    if  $(fitness\ U_3(it + 1) < fitness\ U(it))$ 
       $U(it) = U_3(it + 1)$ 
    if  $(fitness\ U_3(it + 1) < fitness\ U_{best}(it))$ 
       $U_{best}(it) = U_3(it + 1)$ 
    end
  else
    Update  $U_4(it + 1)$  using (19).
    if  $(fitness\ U_4(it + 1) < fitness\ U(it))$ 
       $U(it) = U_4(it + 1)$ 
    if  $(fitness\ U_4(it + 1) < fitness\ U_{best}(it))$ 
       $U_{best}(it) = U_4(it + 1)$ 
    end
  end
end
end
end
end

```

(Continued)

Algorithm 2 (continued)

```

    end
  end
  return  $U_{\text{best}}$ 

```

2.3 Fitness Evaluation

CAO is assessed by using the fitness evaluation as shown in Eq. (20).

$$FE = \text{mean} (\varphi - \hat{\varphi})^2 \quad (20)$$

where φ and $\hat{\varphi}$ are the desired and estimated responses.

3 Performance Analyses

This section provides the analysis of AO and its chaotic variants for mathematical benchmark and CEC functions.

3.1 Mathematical Functions Analysis

On 100 independent executions, the investigation for mathematical benchmark functions at $p_s = 50$, and $T_{\text{max}} = 1000$ is conducted, which is shown in Tables 5 and 6. In Tables 5 and 6, CAO9, CAO6, CAO9, CAO5, CAO4, AO, CAO3, AO, CAO6, CAO3, CAO9, CAO2, CAO2, CAO2, CAO2, CAO2 and CAO1 have better performance for F1, F2, F3, F4, F5, F7, F8, F12, F13, F14, F15, F16, F17, F18, F19, F20 and F23 functions, respectively. In functions F6, F9, F10, F11, F21, and F22, all methods have similar performance.

Table 5: Average fitness analysis of AO, CAO1, CAO2, CAO3, CAO4 and CAO5 on benchmark functions

Functions	AO	CAO1	CAO2	CAO3	CAO4	CAO5
F1	4.873E-211	4.178E-201	8.098E-210	1.938E-207	1.797E-218	6.032E-204
F2	1.581E-126	1.009E-117	5.416E-105	2.222E-108	6.901E-108	4.860E-124
F3	1.255E-203	3.450E-206	1.134E-201	4.791E-209	2.392E-204	4.285E-206
F4	2.233E-107	5.791E-106	2.560E-104	7.776E-105	2.683E-107	6.432E-109
F5	4.738E-04	6.083E-04	5.454E-04	3.586E-04	2.713E-04	3.629E-04
F6	0	0	0	0	0	0
F7	2.907E-05	3.519E-05	3.379E-05	3.134E-05	3.429E-05	3.340E-05
F8	-9.621E+03	-8.736E+03	-9.488E+03	-9.989E+03	-9.279E+03	-9.768E+03
F9	0	0	0	0	0	0
F10	8.882E-16	8.882E-16	8.882E-16	8.882E-16	8.882E-16	9.237E-16
F11	0	0	0	0	0	0
F12	2.422E-07	3.026E-07	3.427E-07	3.545E-07	3.087E-07	2.802E-07
F13	2.928E-06	2.553E-06	3.077E-06	2.503E-06	2.590E-06	2.224E-06
F14	1.548E+00	1.382E+00	1.254E+00	1.227E+00	1.334E+00	1.852E+00
F15	4.185E-04	4.295E-04	4.030E-04	4.527E-04	4.154E-04	4.071E-04
F16	-1.032	-1.031	-1.032	-1.031	-1.031	-1.031
F17	3.979E-01	3.980E-01	3.979E-01	3.981E-01	3.980E-01	3.980E-01
F18	3.009	3.018	3.006	3.035	3.016	3.015
F19	-3.860	-3.858	-3.861	-3.855	-3.860	-3.859

(Continued)

Table 5 (continued)

Functions	AO	CAO1	CAO2	CAO3	CAO4	CAO5
F20	-3.206	-3.196	-3.232	-3.134	-3.213	-3.188
F21	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01
F22	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01
F23	-1.053E+01	-1.054E+01	-1.054E+01	-1.053E+01	-1.053E+01	-1.053E+01

Table 6: Average fitness analysis of AO, CAO6, CAO7, CAO8, CAO9 and CAO10 on benchmark functions

Functions	AO	CAO6	CAO7	CAO8	CAO9	CAO10
F1	4.873E-211	5.491E-211	5.873E-222	7.542E-210	6.430E-223	1.138E-212
F2	1.581E-126	1.397E-145	2.791E-113	1.165E-142	7.273E-105	3.823E-121
F3	1.255E-203	4.160E-203	4.644E-202	4.064E-205	3.178E-209	4.734E-206
F4	2.233E-107	3.307E-104	5.931E-104	1.198E-106	7.289E-106	1.266E-107
F5	4.738E-04	4.774E-04	3.508E-04	4.660E-04	5.512E-04	3.991E-04
F6	0	0	0	0	0	0
F7	2.907E-05	3.637E-05	3.560E-05	3.606E-05	3.485E-05	3.800E-05
F8	-9.621E+03	-9.749E+03	-9.292E+03	-9.261E+03	-9.110E+03	-9.498E+03
F9	0	0	0	0	0	0
F10	8.882E-16	8.882E-16	8.882E-16	8.882E-16	8.882E-16	8.882E-16
F11	0	0	0	0	0	0
F12	2.422E-07	2.829E-07	2.909E-07	3.353E-07	2.765E-07	3.321E-07
F13	2.928E-06	1.936E-06	2.652E-06	3.119E-06	2.989E-06	2.776E-06
F14	1.548E+00	1.539E+00	1.334E+00	1.569E+00	1.665E+00	1.382E+00
F15	4.185E-04	4.118E-04	4.105E-04	4.000E-04	3.971E-04	4.002E-04
F16	-1.032	-1.03	-1.031	-1.031	-1.032	-1.032
F17	3.979E-01	3.980E-01	3.980E-01	3.980E-01	3.980E-01	3.980E-01
F18	3.009	3.008	3.010	3.013	3.011	3.011
F19	-3.860	-3.860	-3.859	-3.860	-3.860	-3.861
F20	-3.206	-3.225	-3.194	-3.200	-3.190	-3.224
F21	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01	-1.015E+01
F22	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01	-1.040E+01
F23	-1.053E+01	-1.054E+01	-1.054E+01	-1.053E+01	-1.053E+01	-1.053E+01

The convergence analysis of AO and CAO1-10 for mathematical functions is shown in [Figs. 1–4](#) where legend is provided in [Fig. 4](#). [Fig. 1a–f](#) shows the convergence for F1, F2, F3, F4, F5 and F6 functions. Similarly, [Figs. 2a–f](#), [3a–f](#) and [4a–e](#) show the convergence for F7, F8, F9, F10, F11, F12, F13, F14, F15, F16, F17, F18, F19, F20, F21, F22, and F23 functions, respectively. It is depicted from [Figs. 1–4](#) that chaotic variants of AO show better convergence for these functions than AO.

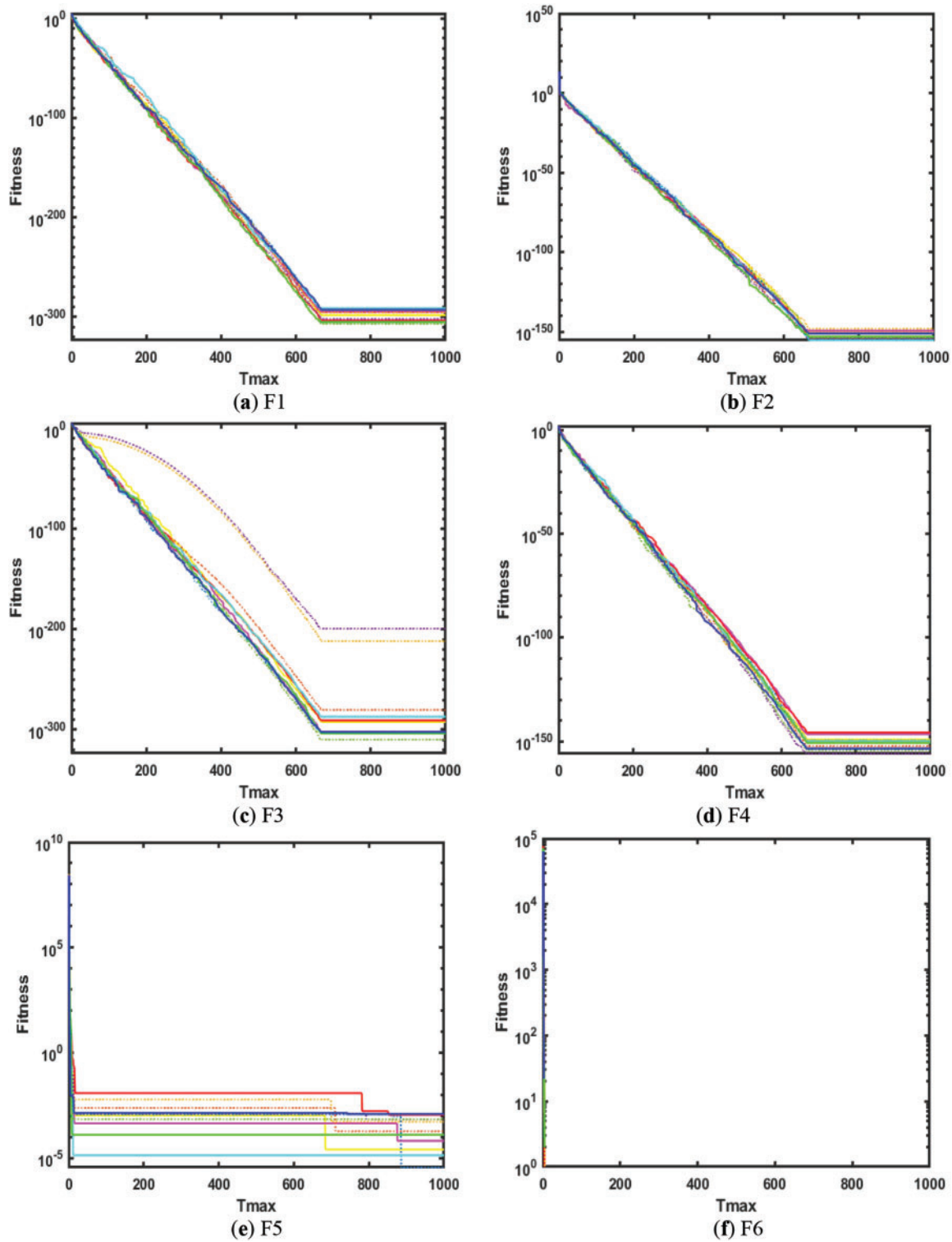


Figure 1: Convergence analysis on F1, F2, F3, F4, F5 and F6 mathematical functions

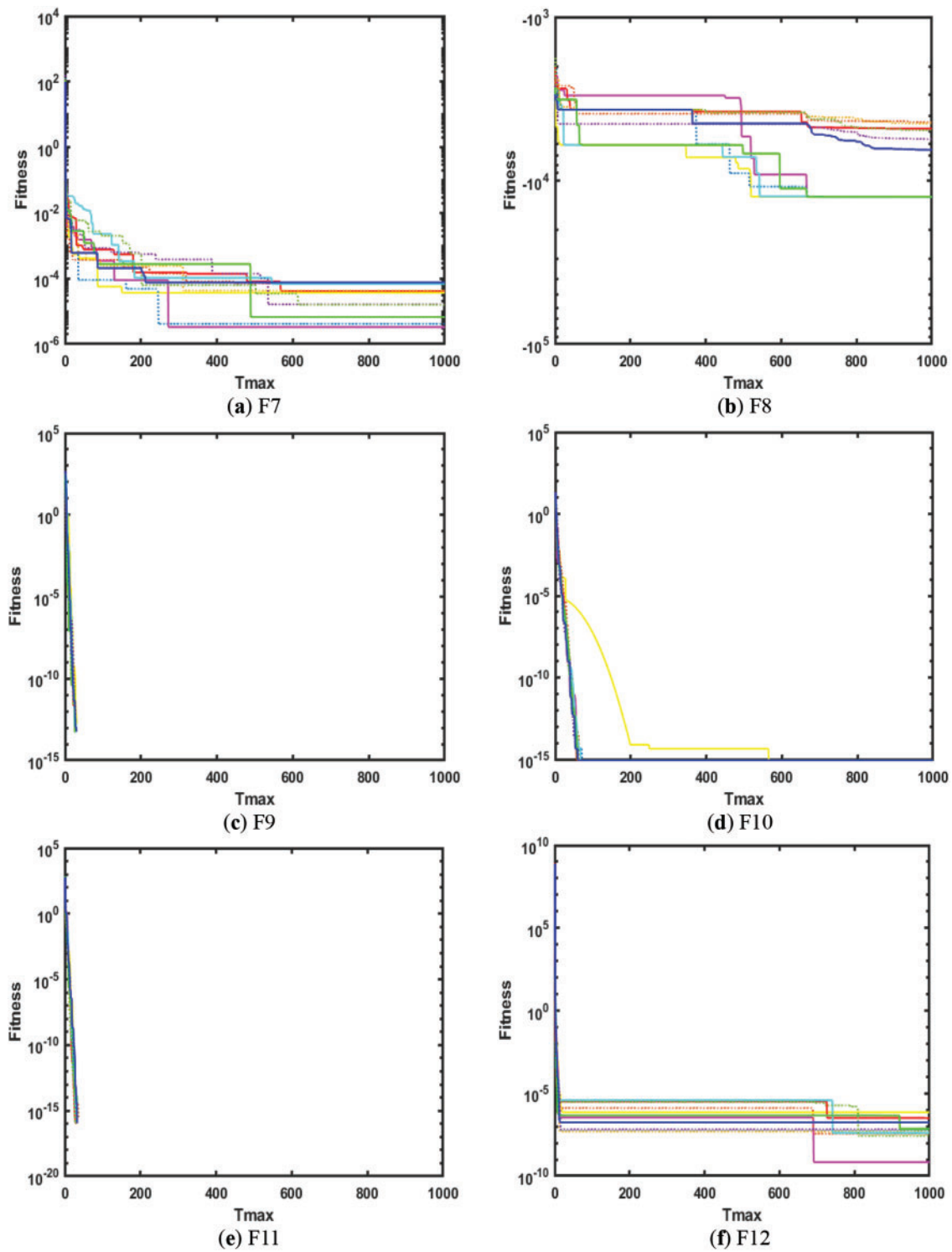


Figure 2: Convergence analysis on F7, F8, F9, F10, F11 and F12 mathematical functions

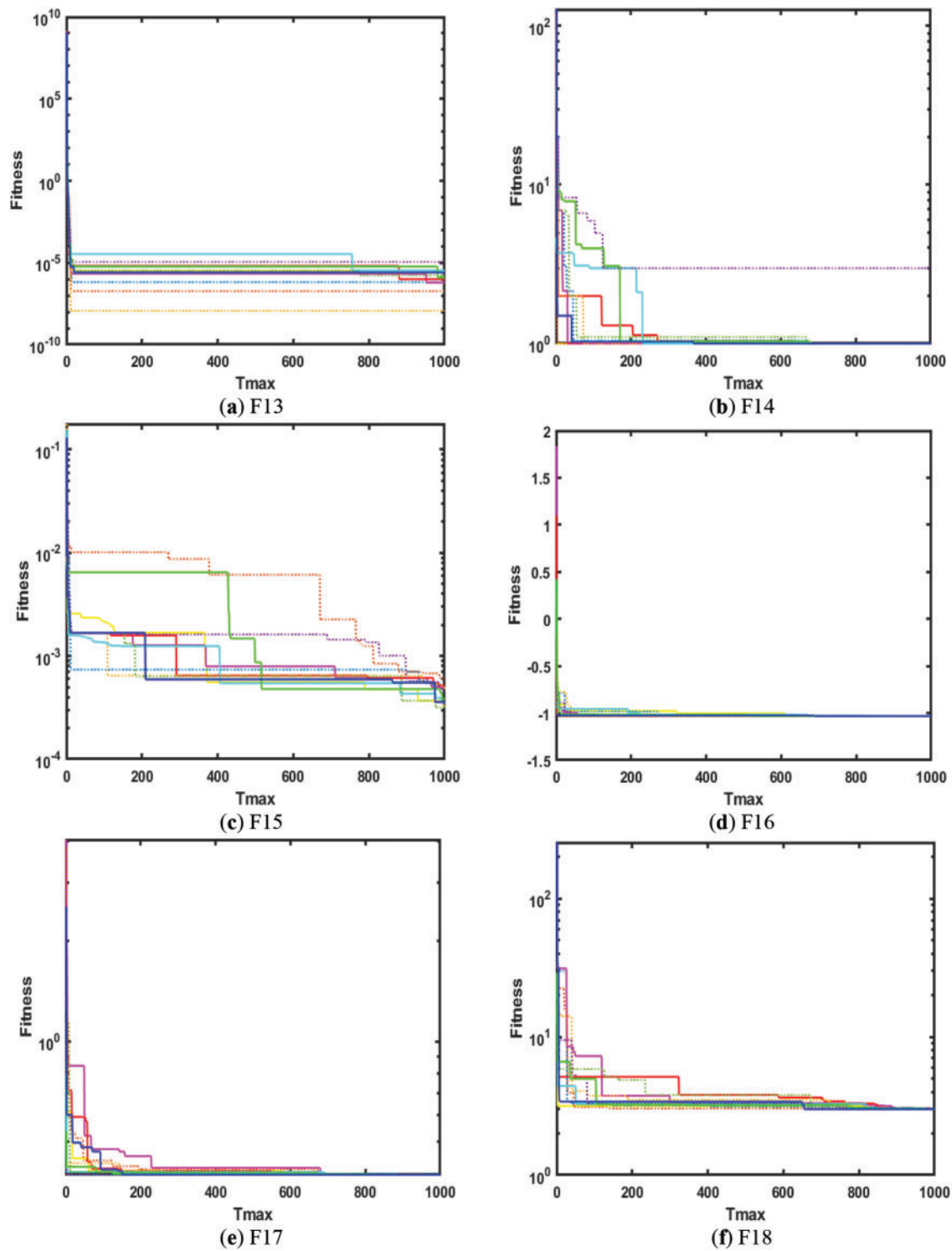


Figure 3: Convergence analysis on F13, F14, F15, F16, F17 and F18 mathematical functions

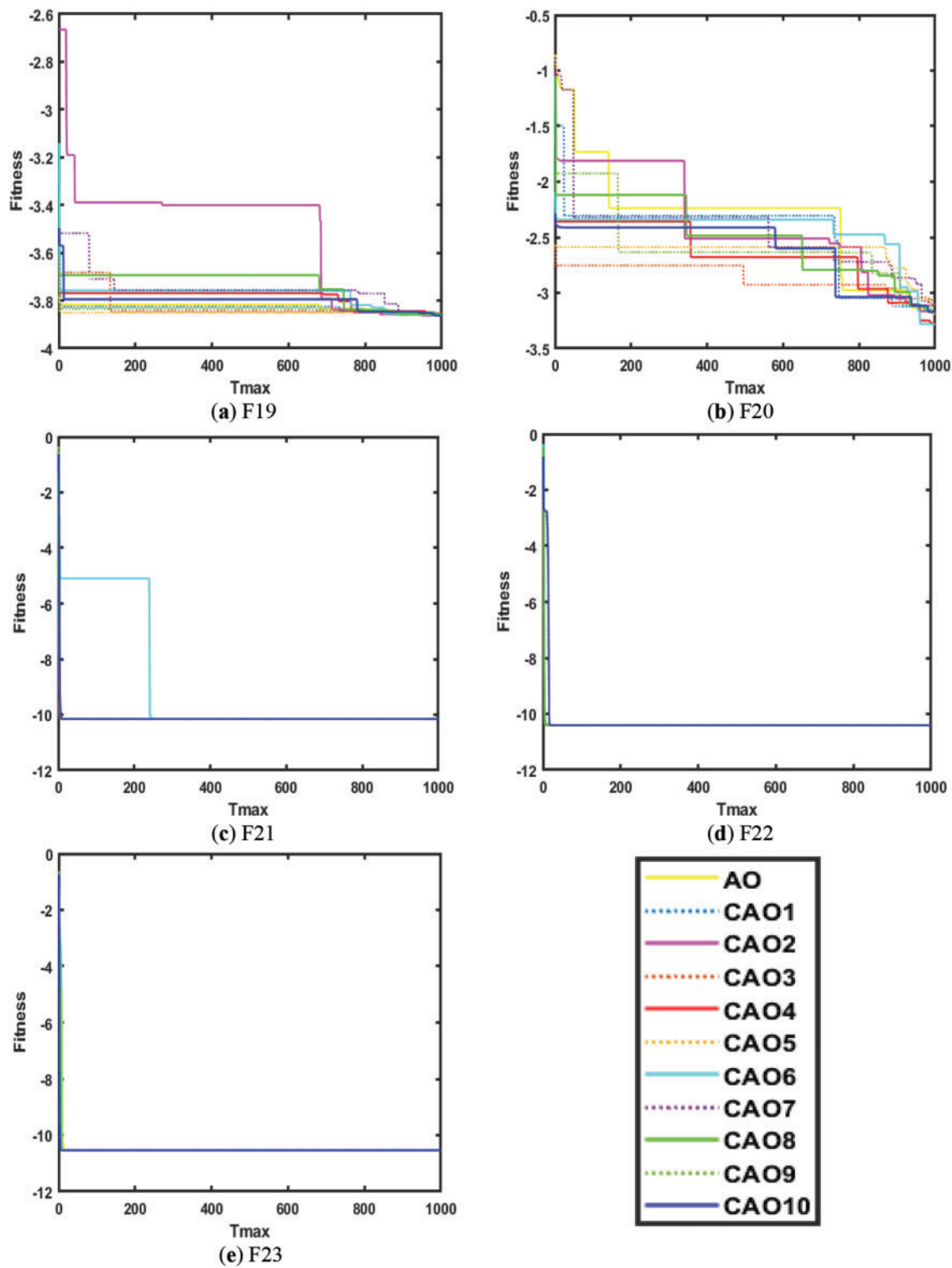


Figure 4: Convergence analysis on F19, F20, F21, F22 and F23 mathematical functions

3.2 CEC Functions Analysis

On 100 independent executions, the investigation for the CEC2019 benchmark functions at $p_s = 50$, and $T_{max} = 1000$ is conducted, which is shown in [Tables 7](#) and [8](#). In [Tables 7](#) and [8](#), CAO2, CAO5, AO, CAO2, CAO2, CAO6, CAO10, CAO2, and CAO6 have better performance for CEC1 to CEC10 functions, respectively. In CEC3, all techniques have similar performance.

Table 7: Average fitness analysis of AO, CAO1, CAO2, CAO3, CAO4 and CAO5 on CEC2019 functions

Functions	AO	CAO1	CAO2	CAO3	CAO4	CAO5
CEC1	4.831E+04	4.964E+04	4.757E+04	5.996E+04	4.940E+04	4.910E+04
CEC2	1.736E+01	1.737E+01	1.736E+01	1.740E+01	1.737E+01	1.736E+01
CEC3	1.270E+01	1.270E+01	1.270E+01	1.270E+01	1.270E+01	1.270E+01
CEC4	1.549E+02	2.251E+02	1.610E+02	1.008E+03	1.938E+02	1.892E+02
CEC5	1.326E+00	1.382E+00	1.309E+00	1.925E+00	1.349E+00	1.380E+00
CEC6	9.911E+00	1.027E+01	9.761E+00	1.038E+01	1.024E+01	1.025E+01
CEC7	2.923E+02	3.264E+02	2.844E+02	4.378E+02	3.045E+02	2.884E+02
CEC8	4.855E+00	5.053E+00	4.762E+00	5.302E+00	4.907E+00	4.780E+00
CEC9	3.639E+00	4.227E+00	3.493E+00	3.202E+01	3.958E+00	3.960E+00
CEC10	1.755E+01	1.725E+01	1.609E+01	1.961E+01	1.625E+01	1.711E+01

Table 8: Average fitness analysis of AO, CAO6, CAO7, CAO8, CAO9 and CAO10 on CEC2017 functions

Functions	AO	CAO6	CAO7	CAO8	CAO9	CAO10
CEC1	4.831E+04	4.803E+04	5.004E+04	4.874E+04	4.902E+04	4.917E+04
CEC2	1.736E+01	1.736E+01	1.736E+01	1.736E+01	1.736E+01	1.736E+01
CEC3	1.270E+01	1.270E+01	1.270E+01	1.270E+01	1.270E+01	1.270E+01
CEC4	1.549E+02	1.765E+02	1.919E+02	2.422E+02	2.760E+02	1.632E+02
CEC5	1.326E+00	1.370E+00	1.384E+00	1.380E+00	1.429E+00	1.330E+00
CEC6	9.911E+00	9.804E+00	9.808E+00	1.002E+01	9.986E+00	9.885E+00
CEC7	2.923E+02	2.643E+02	2.836E+02	2.877E+02	2.791E+02	2.977E+02
CEC8	4.855E+00	4.821E+00	4.845E+00	4.905E+00	4.850E+00	4.738E+00
CEC9	3.639E+00	3.572E+00	3.996E+00	3.900E+00	3.497E+00	3.772E+00
CEC10	1.755E+01	1.603E+01	1.631E+01	1.696E+01	1.790E+01	1.671E+01

The convergence analysis of AO and CAO1-10 for CEC functions is shown in [Figs. 5](#) and [6](#). [Fig. 5a–e](#) shows the convergence for CEC1, CEC2, CEC3, CEC4, and CEC5 functions, whereas [Fig. 6a–e](#) shows the convergence of CEC6, CEC7, CEC8, CEC9, and CEC10 functions, respectively. It is observed from [Figs. 5](#) and [6](#) that chaotic variants of AO show better convergence for these functions than AO.

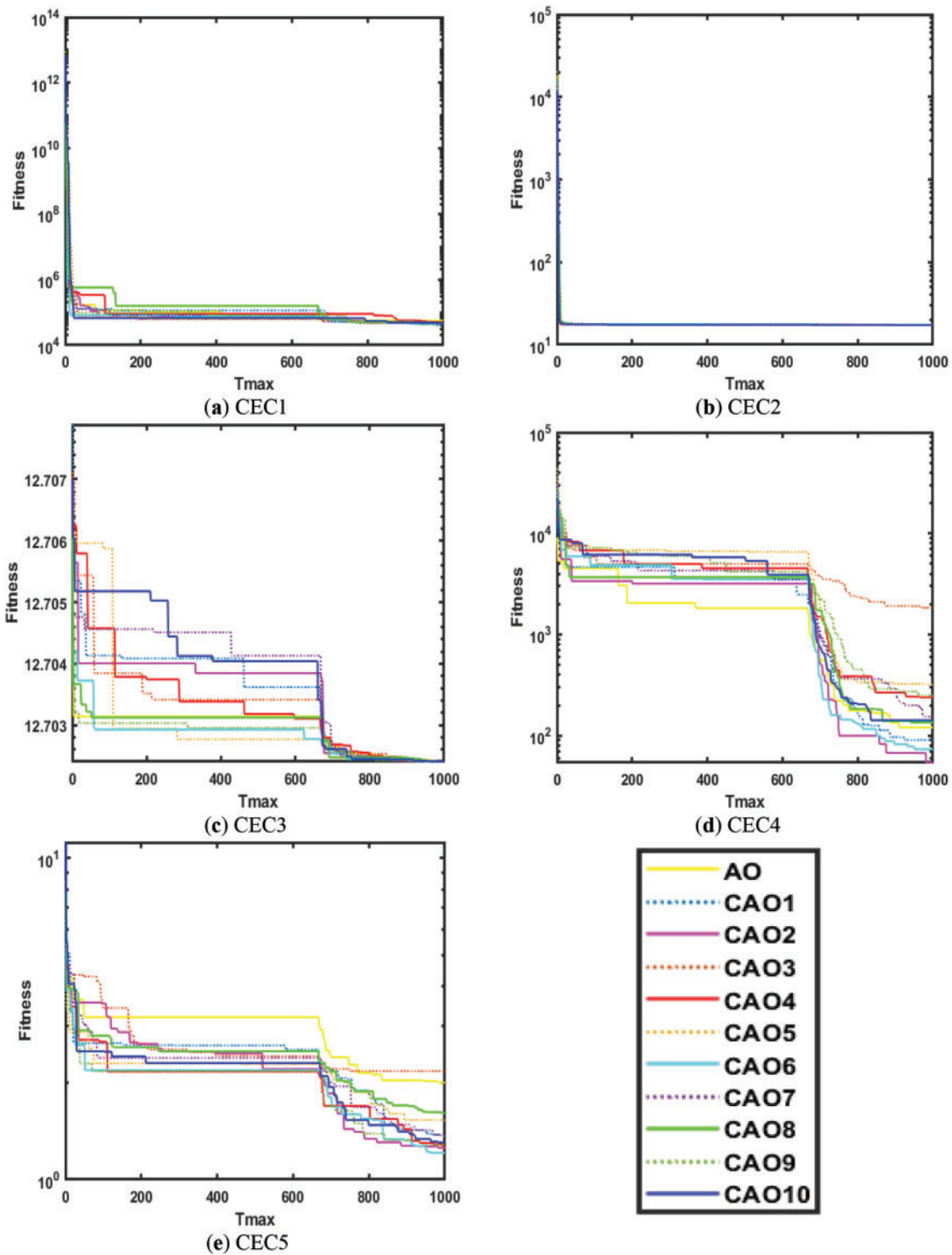


Figure 5: Convergence analysis on CEC1, CEC2, CEC3, CEC4 and CEC5 functions

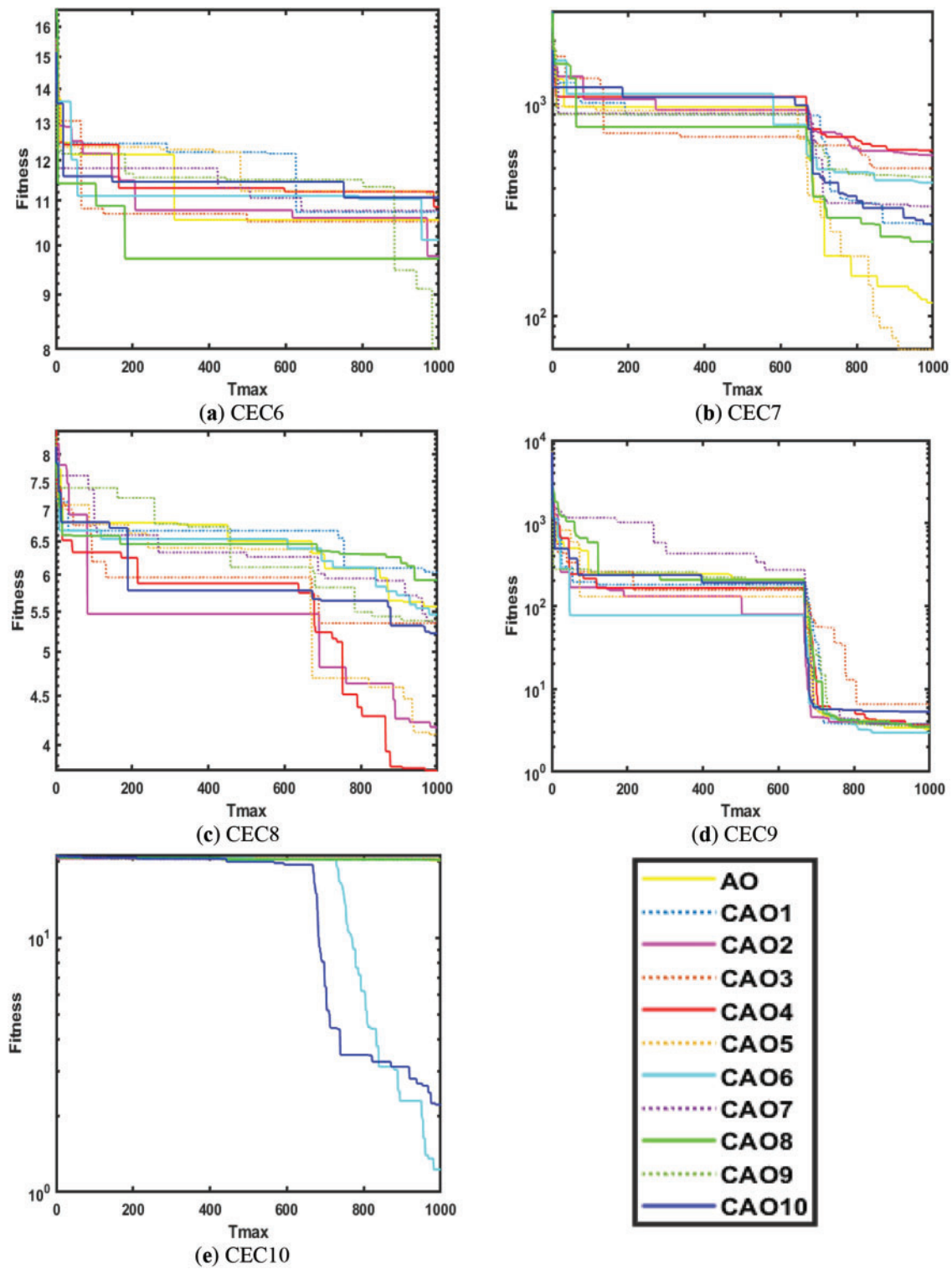


Figure 6: Convergence analysis on CEC6, CEC7, CEC8, CEC9 and CEC10 functions

4 EHCS Analysis

The electro-hydraulic control system (EHCS) has been recently used in various applications [84]. It consists of an EH proportional valve and a valve-controlled asymmetric subsystem. It can be presented as a first-order model as shown in Eq. (21).

$$\tau_v \dot{d}_v + d_v = g_v \epsilon_v \quad (21)$$

where τ_v , d_v , g_v and ϵ_v are the time constant, main valve displacement, gain of the EH proportional valve, and effective input, respectively. Its mathematical model is shown in Eqs. (22)–(24).

$$A(d)y(t) = B(d)\delta(t) + \rho(t) \quad (22)$$

$$A(d) = 1 + a_1 d^{-1} + a_2 d^{-2} + \dots + a_n d^{-n} \quad (23)$$

$$B(d) = b_0 + b_1 d^{-1} + b_2 d^{-2} + \dots + b_{n-1} d^{1-n} \quad (24)$$

where $A(d)$, $B(d)$, $\delta(t)$, $\rho(t)$ and $y(t)$ are polynomials, input, noise, and output, respectively. The actual parameters of the EHCS model are taken from [85] as shown in Eqs. (25) and (26).

$$A(d) = 1 - 0.0276d^{-1} + 0.0124d^{-2} + 0.0514d^{-3} \quad (25)$$

$$B(d) = -0.0661d^{-1} - 0.0653d^{-2} - 0.0619d^{-3} \quad (26)$$

the simulations were performed at $p_s = 12, 50$, $T_{max} = 1000$ and $\rho(t) = [1.300E-01, 1.300E-02, 1.300E-03]$ for hundred independent runs. The tuned parameters of AO, AOA, CAO1-10, RSA, and WOA are given in Table 9.

Table 9: Tuned parameter settings of OT

OT	Tuned parameters
AO	Alpha = 0.1, Delta = 0.1, [45]
CAO1-10	$C_{init} = 0.7$, Alpha = 0.1, Delta = 0.1
AOA	Alpha = 5, beta = 0.5, [68]
RSA	Alpha = 0.1, Beta = 0.1, [82]
WOA	Alpha = [2 0], [74]

Tables 10–12 reflect the behavior of AO, CAO1-10, AOA, RSA, and WOA for true values, and best fitness (f_b) values for $p_s = 12, 50$, $T_{max} = 1000$ and $\rho(t) = [1.300E-01, 1.300E-02, 1.300E-03]$. It is projected that for all variations, noise CAO1-10 attains the finest values against AO, AOA, RSA, and WOA.

Table 10: EHCS analysis w.r.t 1.300E–03 noise level

OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
AOA	12	0.00000	0.00000	0.00000	0.00000	0.00000	−0.19018	1.667E−03
	50	−0.71457	0.00000	0.35307	−0.11601	0.00000	0.00000	1.517E−03
AO	12	−0.04011	0.05148	−0.01836	−0.06433	−0.07720	−0.04888	8.438E−05
	50	−0.07321	0.10067	0.00066	−0.06600	−0.05958	−0.06618	1.322E−05
CAO1	12	−0.05361	0.00786	−0.00307	−0.05778	−0.06865	−0.05367	6.205E−05

(Continued)

Table 10 (continued)

OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
CAO2	50	-0.03362	0.12790	-0.01143	-0.06114	-0.06363	-0.07265	6.530E-05
	12	-0.05782	-0.05140	0.13430	-0.06995	-0.06267	-0.05713	3.082E-05
CAO3	50	-0.01636	0.03297	0.04973	-0.06141	-0.06034	-0.07586	1.889E-05
	12	0.01934	0.01444	0.00382	-0.05535	-0.06983	-0.06888	3.094E-05
CAO4	50	-0.03119	0.03920	0.01431	-0.07930	-0.06511	-0.04720	2.583E-05
	12	-0.05452	0.04560	0.01792	-0.06843	-0.07715	-0.04485	5.203E-05
CAO5	50	-0.01723	0.01645	0.01380	-0.06624	-0.06124	-0.06324	1.355E-05
	12	0.04195	0.02527	0.07255	-0.06228	-0.08011	-0.06755	4.415E-05
CAO6	50	0.01205	0.01670	0.02788	-0.06615	-0.07814	-0.05357	2.420E-05
	12	-0.13256	0.03020	0.03418	-0.06050	-0.05624	-0.05602	5.012E-05
CAO7	50	0.04122	0.01975	0.05978	-0.06938	-0.07720	-0.06288	2.873E-05
	12	0.03767	-0.02628	0.02931	-0.06701	-0.06168	-0.06518	2.566E-05
CAO8	50	-0.02124	-0.05555	0.06890	-0.07899	-0.06150	-0.04545	3.765E-05
	12	-0.05874	-0.06386	0.08555	-0.07424	-0.06513	-0.04232	4.697E-05
CAO9	50	-0.04641	0.03727	0.06360	-0.07037	-0.05839	-0.06600	1.318E-05
	12	-0.02720	0.03318	0.02999	-0.05681	-0.07752	-0.05855	3.290E-05
CAO10	50	-0.06758	0.02297	0.07394	-0.05353	-0.06900	-0.06948	2.545E-05
	12	0.00070	-0.04038	0.02939	-0.05102	-0.08394	-0.05054	9.368E-05
RSA	50	-0.02810	0.01181	0.04725	-0.06713	-0.06618	-0.06109	7.881E-06
	12	-0.01622	0.32120	-0.34106	-0.10008	-0.00172	-0.07625	9.153E-04
WOA	50	0.00755	-0.01454	0.00866	-0.07636	-0.06570	-0.04881	4.883E-05
	12	0.02919	-0.01399	0.02053	-0.07681	-0.06018	-0.05704	3.045E-05
True values	50	0.01911	0.02310	0.02644	-0.07249	-0.06357	-0.06335	1.158E-05
		-0.0276	0.0124	0.0514	-0.0661	-0.0653	-0.0619	0

Table 11: EHCS analysis w.r.t 1.300E-02 noise level

OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
AOA	12	0.00000	0.00000	0.00000	-0.18145	0.00000	0.00000	1.505E-03
	50	0.00000	0.00000	0.00000	0.00000	-0.08054	-0.11053	7.161E-04
AO	12	0.00322	0.03233	-0.01479	-0.09079	-0.06054	-0.04073	1.572E-04
	50	-0.07711	0.10258	0.03300	-0.07049	-0.05609	-0.06776	9.919E-05
CAO1	12	-0.07756	0.14792	-0.06226	-0.08048	-0.05951	-0.04923	1.347E-04
	50	0.04583	-0.00737	0.02875	-0.07065	-0.06226	-0.06561	1.303E-04
CAO2	12	-0.07937	0.10401	0.00562	-0.06247	-0.05198	-0.07900	1.299E-04
	50	-0.06648	0.04774	0.05801	-0.07288	-0.06972	-0.05157	9.629E-05
CAO3	12	-0.06682	0.06030	0.05286	-0.08261	-0.04782	-0.05919	1.467E-04
	50	-0.00196	0.02203	0.04722	-0.05864	-0.06234	-0.07776	1.321E-04
CAO4	12	-0.06579	0.11266	-0.05368	-0.07480	-0.05854	-0.05294	1.364E-04
	50	-0.01061	0.06346	0.01990	-0.06610	-0.06946	-0.06149	1.126E-04
CAO5	12	-0.08840	0.11583	0.04073	-0.06166	-0.06657	-0.07231	1.174E-04

(Continued)

Table 11 (continued)

OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
CAO6	50	-0.04712	0.14242	-0.01704	-0.08732	-0.05409	-0.05798	1.146E-04
	12	-0.02931	-0.01474	0.07889	-0.06088	-0.06295	-0.06995	1.295E-04
CAO7	50	-0.00015	0.04811	0.00725	-0.07469	-0.06221	-0.06044	1.014E-04
	12	0.04689	0.04341	0.00840	-0.07860	-0.06108	-0.06140	1.419E-04
CAO8	50	-0.04321	0.02917	0.08693	-0.06173	-0.06546	-0.07040	1.127E-04
	12	-0.03285	0.01104	0.05955	-0.06451	-0.06438	-0.06287	1.094E-04
CAO9	50	-0.07822	0.02420	0.10229	-0.07227	-0.05404	-0.06694	1.159E-04
	12	-0.07083	0.15143	-0.07398	-0.08440	-0.04899	-0.05189	1.804E-04
CAO10	50	0.02229	0.11904	0.00290	-0.06710	-0.07140	-0.07451	1.186E-04
	12	-0.01898	0.09968	-0.03390	-0.08921	-0.05554	-0.04912	1.407E-04
RSA	50	-0.08210	0.09493	0.04378	-0.08715	-0.06288	-0.04677	1.023E-04
	12	0.05064	0.02501	-0.01250	-0.11878	-0.07952	-0.00415	4.209E-04
WOA	50	0.01163	-0.00257	0.04576	-0.05385	-0.10098	-0.04444	2.800E-04
	12	-0.02311	0.16710	-0.02802	-0.06505	-0.04868	-0.09360	1.886E-04
True values	50	-0.13366	0.13252	-0.00593	-0.08850	-0.05207	-0.04472	1.240E-04
		-0.0276	0.0124	0.0514	-0.0661	-0.0653	-0.0619	0

Table 12: EHCS analysis w.r.t 1.300E-01 noise level

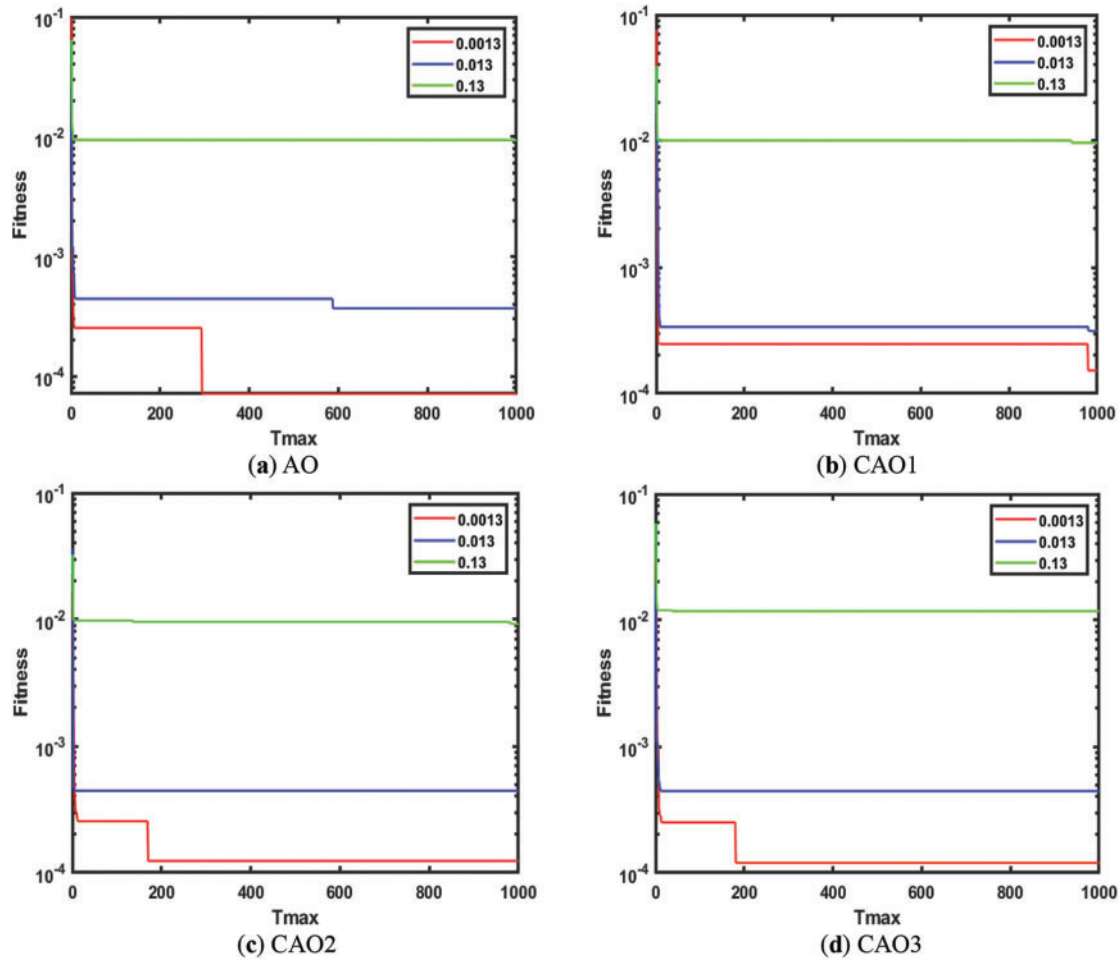
OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
AOA	12	-0.17772	0.00000	0.00000	-0.14856	0.00000	0.00000	1.064E-02
	50	0.00000	0.00000	0.00000	-0.19106	0.00000	0.00000	1.057E-02
AO	12	-0.05015	0.15896	0.14721	-0.15149	-0.07468	-0.00907	8.893E-03
	50	-0.05987	0.10123	0.25712	-0.14567	-0.04198	-0.04565	8.722E-03
CAO1	12	-0.01894	-0.01220	0.26858	-0.13589	-0.03875	-0.04967	8.920E-03
	50	0.04583	-0.00737	0.02875	-0.07065	-0.06226	-0.06561	8.840E-03
CAO2	12	-0.06963	0.14822	0.20027	-0.11712	-0.05590	-0.05524	8.804E-03
	50	-0.03384	0.11981	0.24022	-0.14374	-0.05754	-0.03608	8.701E-03
CAO3	12	0.01743	0.09384	0.22442	-0.14914	-0.02963	-0.05495	8.938E-03
	50	-0.00275	0.08755	0.23777	-0.14545	-0.04792	-0.04457	8.713E-03
CAO4	12	0.03242	0.16627	0.15411	-0.12303	-0.11028	-0.01199	9.115E-03
	50	-0.05078	0.10988	0.22708	-0.14223	-0.04605	-0.03764	8.777E-03
CAO5	12	-0.01023	0.01537	0.16681	-0.17521	-0.02844	-0.01249	9.017E-03
	50	0.03109	0.12932	0.19926	-0.15685	-0.06630	-0.02197	8.766E-03
CAO6	12	-0.02293	0.07863	0.29718	-0.14582	-0.05689	-0.04407	8.771E-03
	50	-0.04437	0.07027	0.28387	-0.15319	-0.05031	-0.03229	8.728E-03
CAO7	12	-0.08227	0.15404	0.15671	-0.17769	-0.07623	0.02925	9.088E-03
	50	-0.05650	0.06065	0.28226	-0.15338	-0.04095	-0.03956	8.755E-03
CAO8	12	0.02259	0.19302	0.14468	-0.15819	-0.06091	-0.02518	8.945E-03
	50	-0.00250	0.07991	0.24178	-0.16990	-0.05872	-0.00982	8.723E-03
CAO9	12	-0.13119	0.09588	0.20609	-0.13292	-0.05877	-0.02273	8.871E-03

(Continued)

Table 12 (continued)

OT	p_s	a_1	a_2	a_3	b_1	b_2	b_3	f_b
CAOI10	50	-0.10845	0.16466	0.18827	-0.12995	-0.04918	-0.04497	8.786E-03
	12	-0.07946	0.03483	0.24237	-0.12953	-0.02556	-0.06259	8.946E-03
	50	-0.04282	0.07211	0.24592	-0.16350	-0.05025	-0.01389	8.732E-03
RSA	12	-0.01424	0.00000	0.13591	-0.21132	0.00448	0.00000	9.640E-03
	50	-0.00022	0.16505	0.15488	-0.09439	-0.09372	-0.05188	9.083E-03
WOA	12	0.04502	0.01181	0.26039	-0.13725	-0.08115	-0.02063	8.936E-03
	50	0.00046	0.11458	0.22119	-0.16439	-0.04013	-0.03654	8.752E-03
True values		-0.0276	0.0124	0.0514	-0.0661	-0.0653	-0.0619	0

The convergence analysis at $p_s = 50$, $T_{\max} = 1000$ and $\rho(t) = [1.300E-01, 1.300E-02, 1.300E-03]$ of AO, AOA, CAOI-10, RSA, and WOA for EHCS are shown in Figs. 7–9. Fig. 7a–f shows the convergence for AO, and CAOI-5. Similarly, Figs. 8a–f and 9a,b show the convergence for CAOI-10, AOA, RSA, and WOA, respectively. It is observed from Figs. 7–9 that for all OT, with an increase of $\rho(t)$ fitness also increases.

**Figure 7:** (Continued)

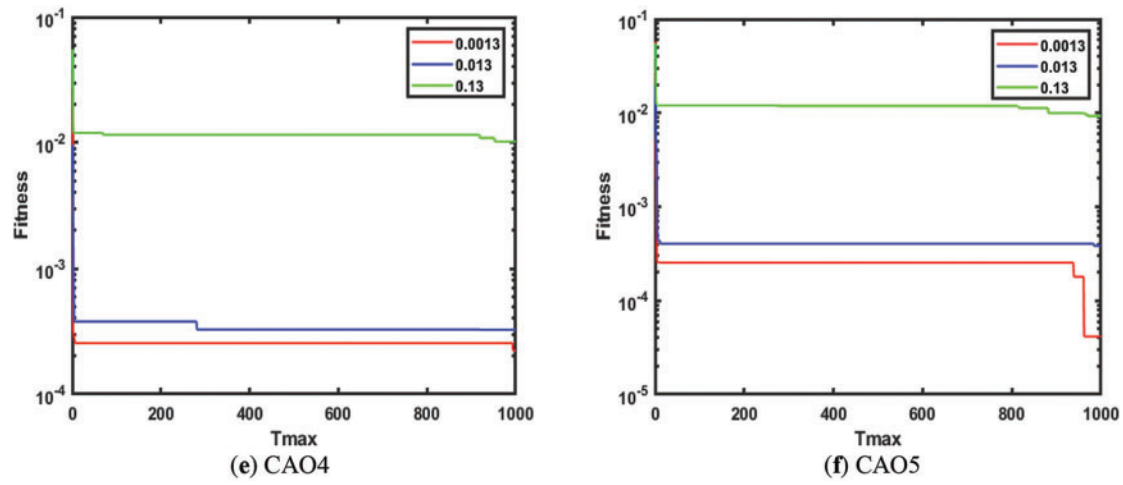


Figure 7: Performance of AO, and CAO1-5 for EHCS

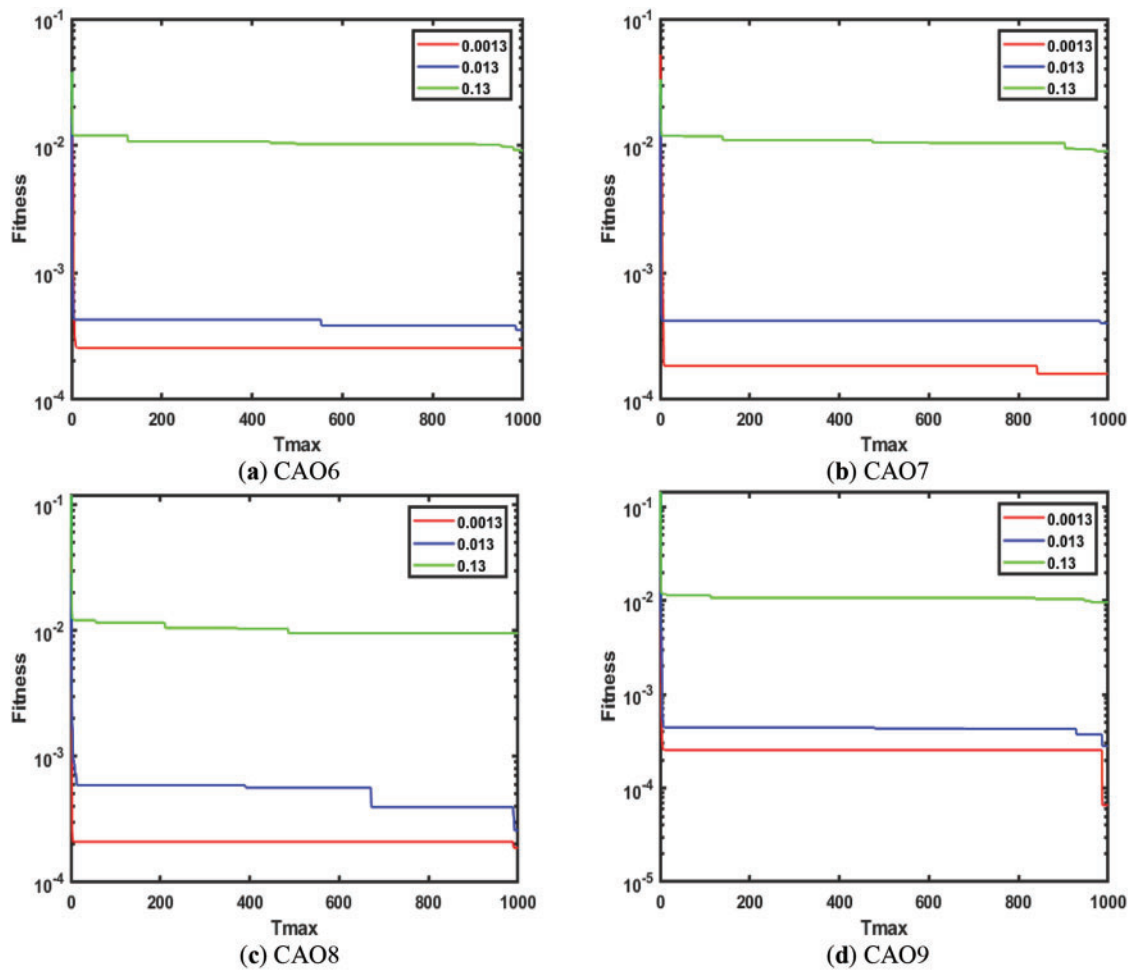


Figure 8: (Continued)

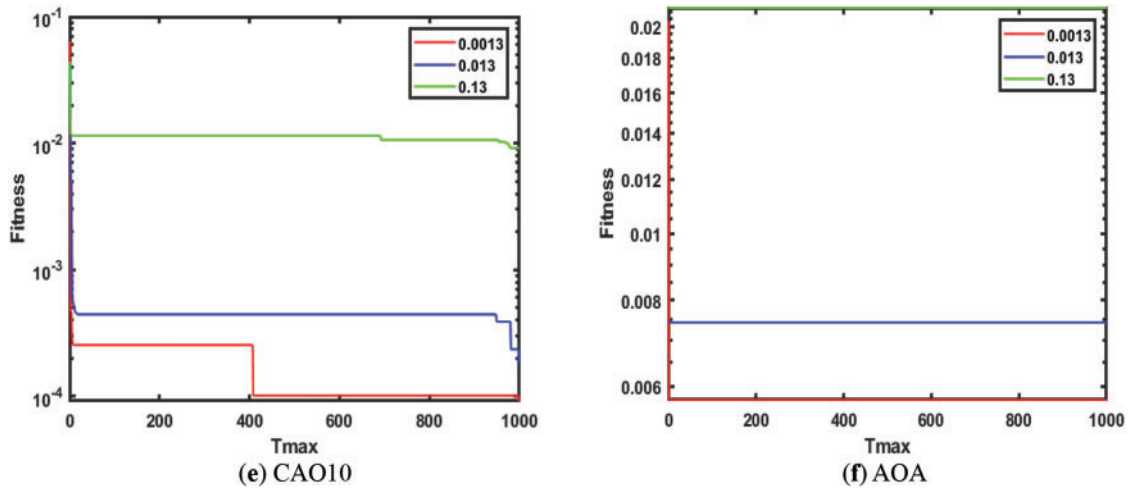


Figure 8: Performance of CAO6-10 and AOA for EHCS

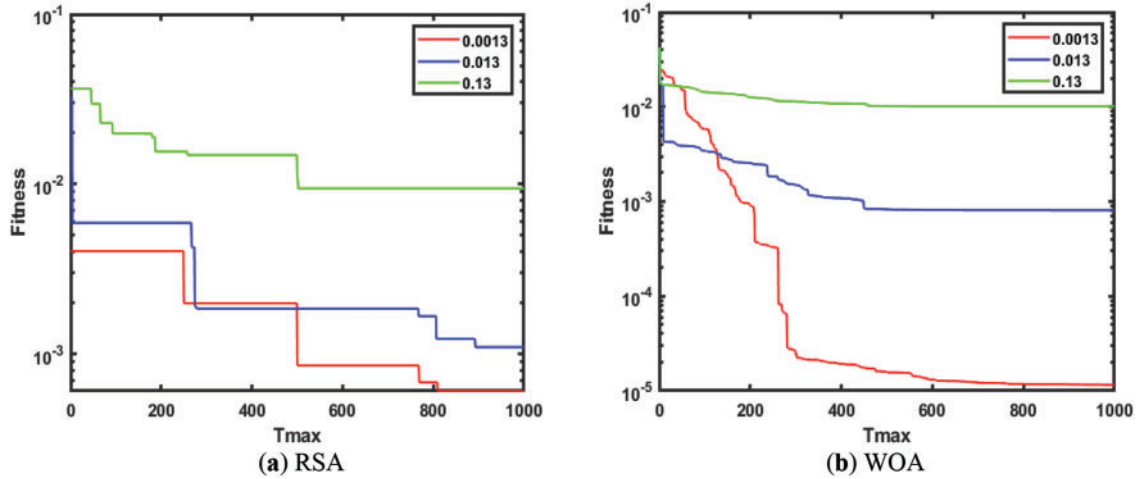


Figure 9: Performance of RSA and WOA for EHCS

Figs. 10 and 11 show the convergence plots of AO, AOA, CAO1-10, RSA, and WOA at $p_s = 12, 50$, $T_{max} = 1000$ and $\rho(t) = [1.300E-01, 1.300E-02, 1.300E-03]$. Fig. 10a–c shows the convergence for all OT's at $p_s = 12$, whereas Fig. 11a–c shows the convergence at $p_s = 50$. It is observed from Figs. 10 and 11 that CAO6 performs better for variations than AO, AOA, CAO1-5, CAO7-10, RSA, and WOA.

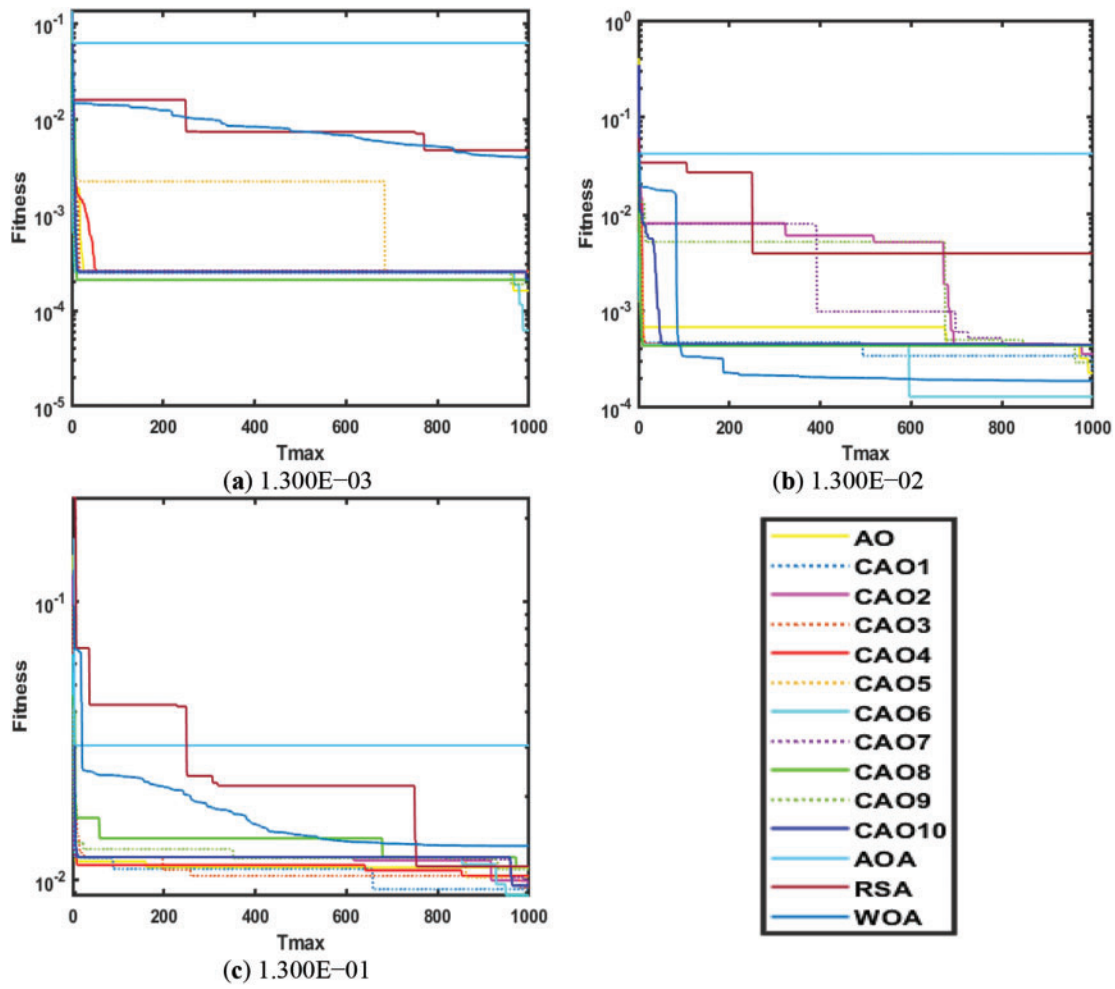


Figure 10: EHCS convergence analysis w.r.t noise at $p_s = 12$

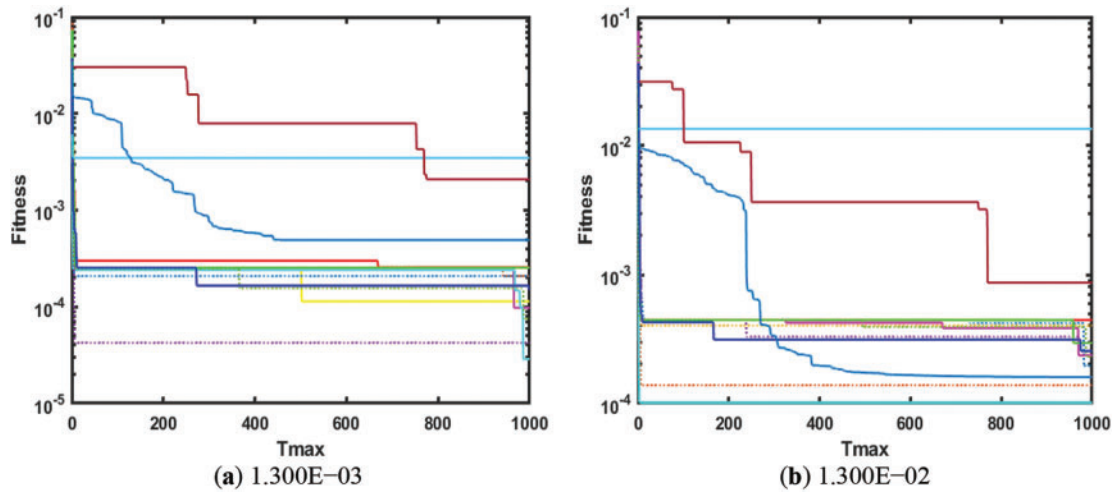


Figure 11: (Continued)

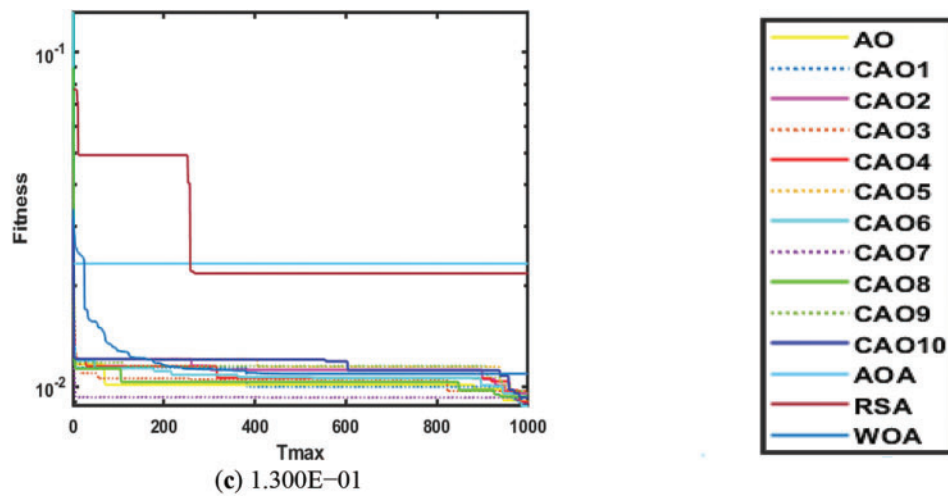


Figure 11: EHCS convergence analysis w.r.t noise at $p_s = 50$

The average computational time performance is measured on EHCS statistically on 100 independent runs at $p_s = 12, 50$, $T_{max} = 1000$ and $\rho(t) = 1.300E-03$ as shown in Tables 13 and 14. In Tables 13 and 14, it is observed that for all OT's computational time increases when p_s increases. However, CAO1-10 achieves a similar average computational time while maintaining the lowest fitness.

Table 13: Average computational time of AOA, AO, CAO1, CAO2, CAO3, CAO4 and CAO5 on EHCS

p_s	Noise	AOA	AO	CAO1	CAO2	CAO3	CAO4	CAO5
12	1.300E-03	2.024E+00	3.641E+00	3.662E+00	3.658E+00	3.733E+00	3.688E+00	3.679E+00
50	1.300E-03	7.110E+00	1.389E+01	1.388E+01	1.384E+01	2.029E+01	1.387E+01	1.382E+01

Table 14: Average computational time of CAO6, CAO7, CAO8, CAO9, CAO10, RSA and WOA on EHCS

p_s	Noise	CAO6	CAO7	CAO8	CAO9	CAO10	RSA	WOA
12	1.300E-03	3.671E+00	3.668E+00	3.677E+00	3.664E+00	3.731E+00	2.307E+00	2.022E+00
50	1.300E-03	1.387E+01	1.397E+01	1.386E+01	1.377E+01	1.383E+01	8.787E+00	7.066E+00

The average fitness of AO, CAO1-10, AOA, RSA, and WOA for EHCS is shown in Fig. 12. It is observed from Fig. 12 that CAO6 achieves the lowest average fitness against AO, CAO1-5, CAO7-10, AOA, RSA, and WOA.

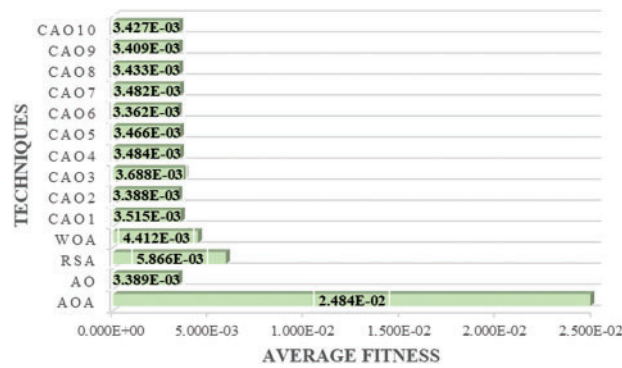


Figure 12: Average fitness of all OT's w.r.t p_s and $\rho(t)$ for EHCS

To further assess the performance, the Friedman Test for repeated measures [72] is executed on average fitness values of CAO6, AO, AOA, RSA, and WOA with a significance level of $5.000E-02$. The obtained p -value is $1.100E-04$, indicating that CAO6 is statistically significant.

Figs. 13–15 show the Taguchi test [86] analysis of AO, CAO1–10, AOA, RSA, and WOA for EHCS at $p_s = 12, 50$, $T_{max} = 1000$ and $\rho(t) = 1.300E-03$. Fig. 13a–f shows the results for AO, CAO1, CAO2, CAO3, CAO4 and CAO5. Similarly, Figs. 14a–f and 15a,b show the convergence for CAO6, CAO7, CAO8, CAO9, CAO10, AOA, RSA, and WOA, respectively. It is prominent from Figs. 13–15 that for all OT's, S/N increases when p_s is maximum.

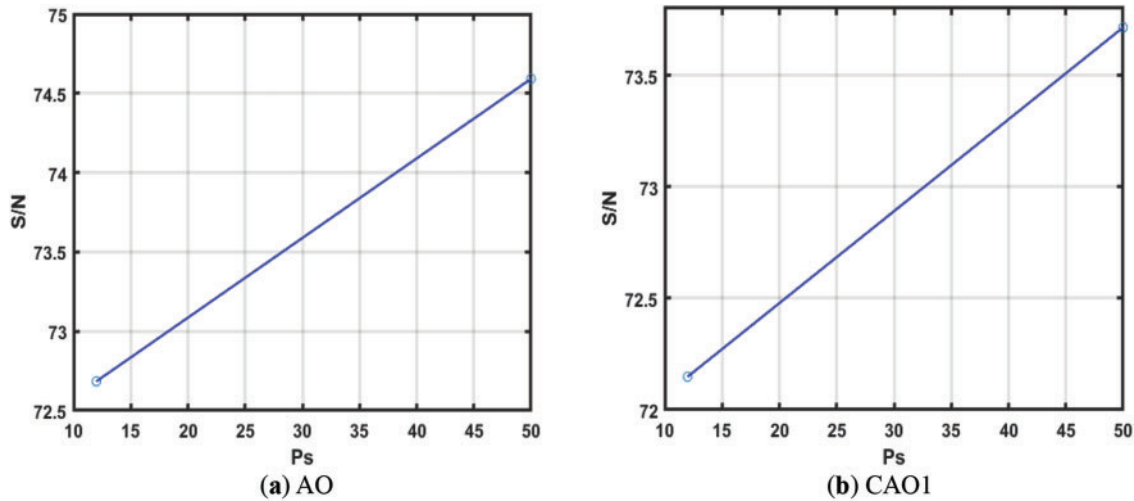


Figure 13: (Continued)

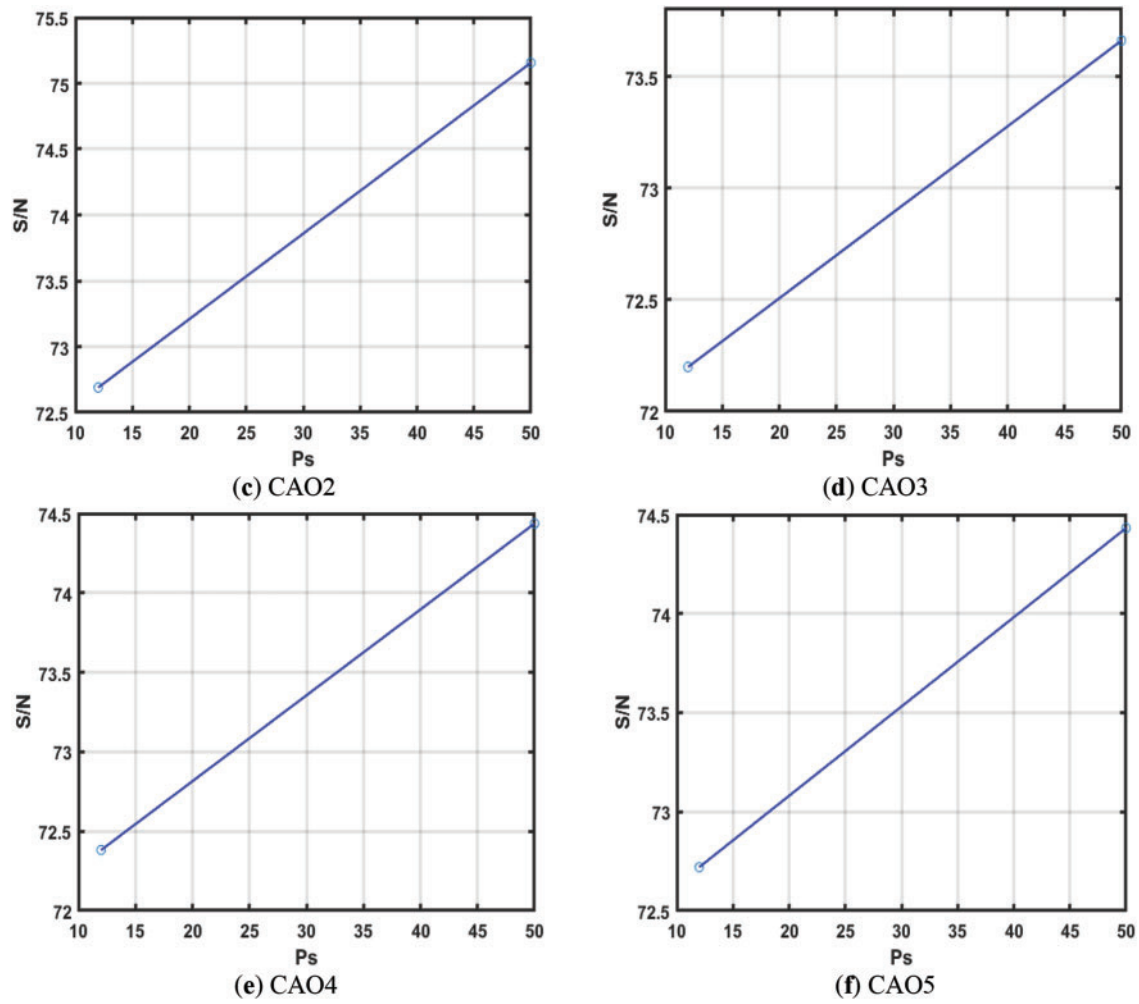


Figure 13: Taguchi analysis of AO, CAO1, CAO2, CAO3, CAO4 and CAO5 w.r.t p_s for EHCS

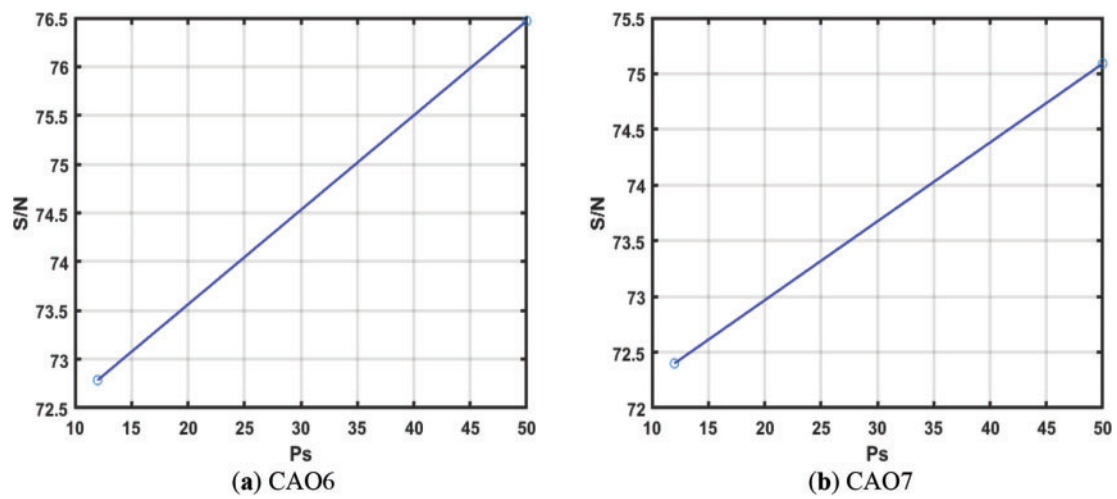


Figure 14: (Continued)

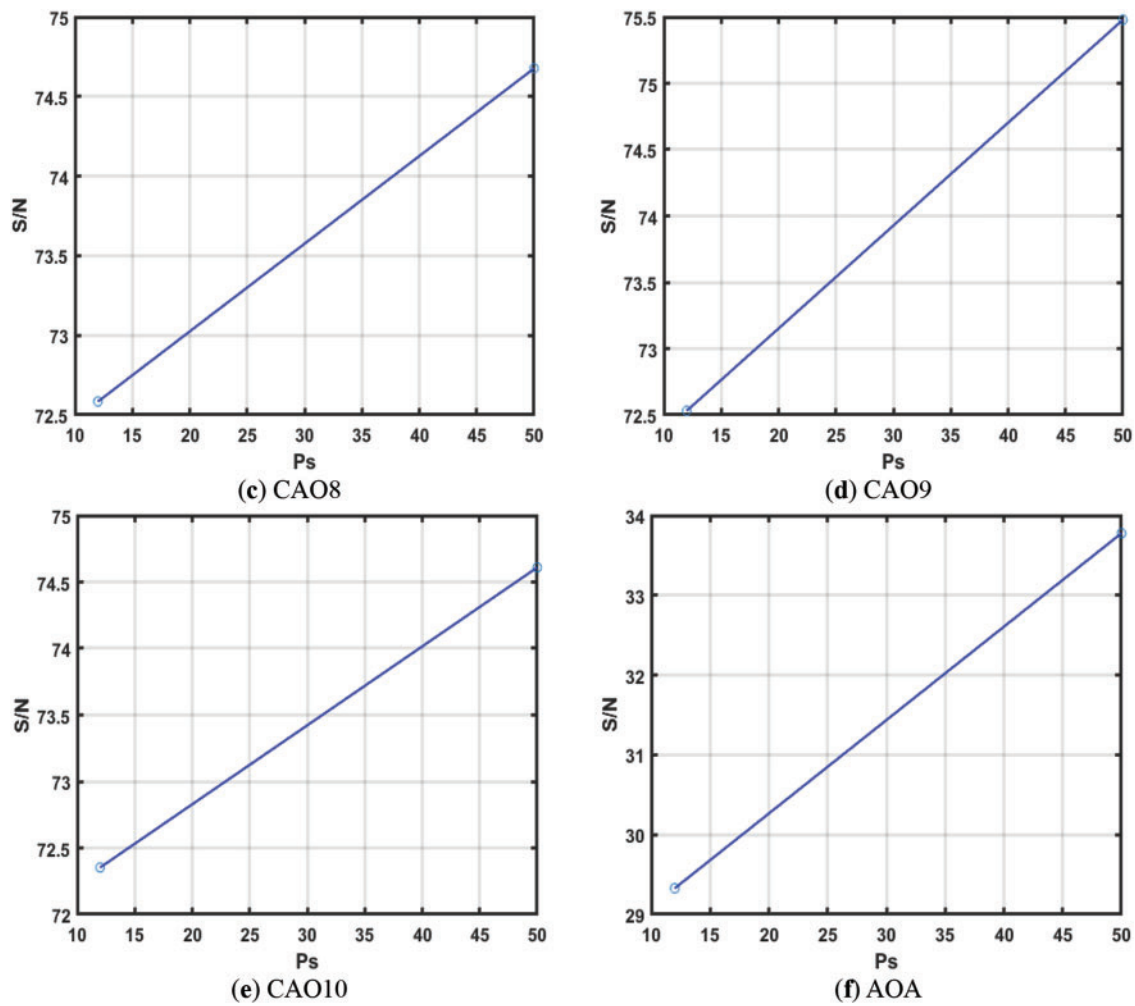


Figure 14: Taguchi analysis of CAO6, CAO7, CAO8, CAO9, CAO10 and AOA w.r.t p_s for EHCS

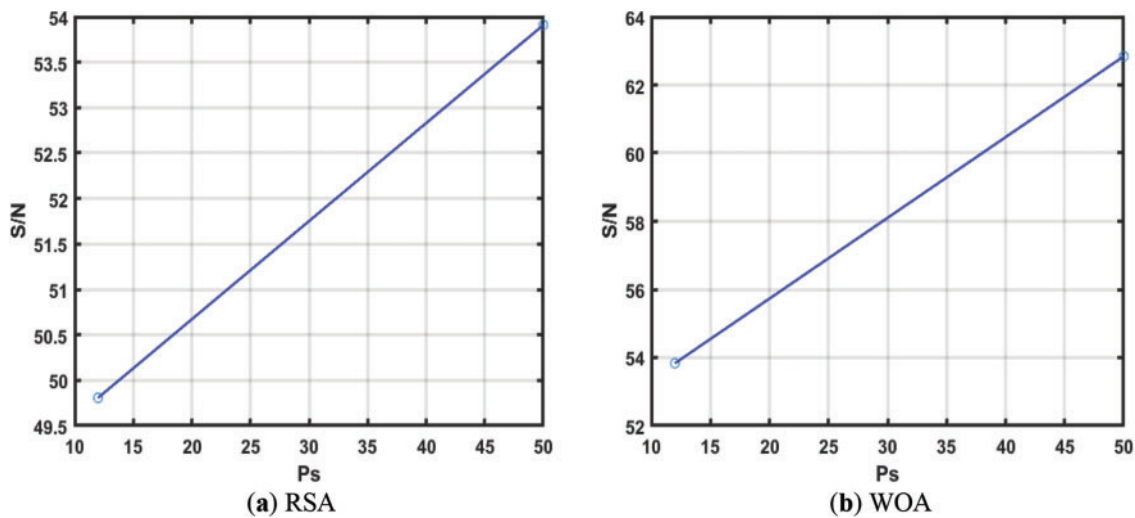


Figure 15: Taguchi analysis of RSA and WOA w.r.t p_s for EHCS

5 Conclusion

In this work, an improved variant of AO is proposed by integrating ten well-known chaotic maps in a narrowed exploitation mechanism. The proposed variant is applied for the parameter estimation of the electro-hydraulic control system, EHCS. Statistical analysis, Taguchi test, computational analysis, and Friedman test for repeated measures verify that AO with a chaotic piecewise map (CAO6) performs better than AO, CAO1, CAO2, CAO3, CAO4, CAO5, CAO7, CAO8, CAO9, CAO10, AOA, RSA, and WOA for parameter estimation of EHCS model. The proposed study achieves significant results. However, it may suffer from sensitivity to parameter tuning, limiting its effectiveness in other optimization problems. Future research could explore hybridizing the Chaotic Aquila Optimizer (CAO) with machine learning assisted algorithms, and parameter tuning strategies to enhance performance in complex optimization problems with extension to solve real-world problems in areas like renewable energy, biomedical systems, and industrial process control automation.

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