

DOI: [10.32604/cmes.2024.056424](http://dx.doi.org/10.32604/cmes.2024.056424)

**ARTICLE**





# **Semantic Segmentation of Lumbar Vertebrae Using Meijering U-Net (MU-Net) on Spine Magnetic Resonance Images**

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#### **ABSTRACT**

Lower back pain is one of the most common medical problems in the world and it is experienced by a huge percentage of people everywhere. Due to its ability to produce a detailed view of the soft tissues, including the spinal cord, nerves, intervertebral discs, and vertebrae, Magnetic Resonance Imaging is thought to be the most effective method for imaging the spine. The semantic segmentation of vertebrae plays a major role in the diagnostic process of lumbar diseases. It is difficult to semantically partition the vertebrae in Magnetic Resonance Images from the surrounding variety of tissues, including muscles, ligaments, and intervertebral discs. U-Net is a powerful deep-learning architecture to handle the challenges of medical image analysis tasks and achieves high segmentation accuracy. This work proposes a modified U-Net architecture namely MU-Net, consisting of the Meijering convolutional layer that incorporates the Meijering filter to perform the semantic segmentation of lumbar vertebrae L1 to L5 and sacral vertebra S1. Pseudo-colour mask images were generated and used as ground truth for training the model. The work has been carried out on 1312 images expanded from T1-weighted mid-sagittal MRI images of 515 patients in the Lumbar Spine MRI Dataset publicly available from Mendeley Data. The proposed MU-Net model for the semantic segmentation of the lumbar vertebrae gives better performance with 98.79% of pixel accuracy (PA), 98.66% of dice similarity coefficient (DSC), 97.36% of Jaccard coefficient, and 92.55% mean Intersection over Union (mean IoU) metrics using the mentioned dataset.

## **KEYWORDS**

Computer aided diagnosis (CAD); magnetic resonance imaging (MRI); semantic segmentation; lumbar vertebrae; deep learning; U-Net model

#### **1 Introduction**

Artificial Intelligence (AI) is undeniably revolutionizing medical research and patient care, with its multiple applications in several fields. Machine learning and artificial intelligence have the potential to give clear interpretations to experts and to compile important features that might help clinicians in accurate diagnosis, treatment planning, and disease monitoring [\[1\]](#page-22-0). A branch of machine learning called deep learning focuses on teaching artificial neural networks to learn and make predictions or judgments; it draws inspiration from the design and operation of the network of interconnected



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neurons in the human brain. Specifically, the use of AI in Computer-Aided Diagnosis may effectively improve the diagnostic process in patients affected by Lower Back Pain (LBP) [\[2\]](#page-22-1).

Over the last two decades, Computer Aided Diagnosis (CAD) has made remarkable strides, surpassing traditional methods that involved laborious film digitization, Central Processing Unit (CPU)-intensive computations, and a limited scope. Currently, CAD serves as a potent tool, with thoroughly assessed methodologies applied to sizable and clinically relevant databases. The evolution involves creating methodologies to assess CAD performance, validating algorithms with relevant cases for accurate measurement and robustness, executing observer studies to evaluate radiologists in diagnostic tasks, both with and without computer aid, and culminating in performance assessment through clinical trials. This progress signifies the overcoming of traditional constraints through the integration of CAD technology [\[3\]](#page-22-2).

World Health Organisation (WHO) report on 19 June 2023 stated that in 2020, low back pain (LBP) affected 619 million people globally, and it is estimated that the number of cases will increase to 843 million cases by 2050, driven largely by population expansion and aging.

The intricate structure of the spine referred to as the vertebral column or backbone, supports, stabilises, and protects the spinal cord while enabling mobility and flexibility. It is made up of several vertebrae, which are bones, piled on top of one another. Lumbar region consists of vertebrae L1, L2, L3, L4 and L5, intervertebral discs L1-L2, L2-L3, L3-L4, L4-L5 and L5-S1 where S1 is the sacral vertebra. Lumbar vertebrae L1 to L5 are the largest and sturdiest vertebrae that bear the majority of the upper body's weight and endure a great deal of stress and pressure. Lower back discomfort is largely caused by the lumbar vertebrae and intervertebral discs of the spine anatomy [\[4\]](#page-22-3). Numerous conditions, including sciatica, herniated discs, degenerative disc disease, spinal stenosis, muscular strains, sprains, or spasms, can result in lower back pain. Herniated discs occur when the cushioning discs between the vertebrae rupture or bulge out of place, putting pressure on the nerves and causing pain. Spinal stenosis occurs when the spinal canal narrows. Degenerative disc diseases occur when the discs between the vertebrae break down or wear away, leading to pain and stiffness. Osteoarthritis is a condition that affects the joints, causing pain and stiffness. Spondylolisthesis occurs when one vertebra slips out of place onto the vertebra below it, causing pain and nerve compression  $[5,6]$  $[5,6]$ .

Lumbar vertebrae segmentation for Computer-aided diagnosis of lumbar diseases can be a challenging task due to the complexity of the lumbar spine anatomy and the variability of the imaging modalities used for diagnosis, such as X-ray, Computed Tomography (CT), and Magnetic Resonance Imaging (MRI) [\[7\]](#page-22-6). For examining the spine's soft tissues, MRI is thought to be the most effective imaging modality. T1 and T2-weighted MR imaging are two of the most often used techniques for MR imaging. The relaxation durations T1 and T2 are used to illustrate the different types of tissues in the body [\[8\]](#page-22-7). One of the primary challenges is the complexity of the MRI images, which typically consist of multiple structures, such as bones, muscles, organs, and soft tissue. These structures have different shapes, sizes, and appearances, making it challenging to distinguish them from each other accurately. Another challenge is the variability in the MRI image quality, such as variations in resolution, contrast, and artifacts, which can affect the segmentation accuracy. These variations can lead to partial volume effects, blurring of boundaries, and image noise, which can make it difficult for automated segmentation algorithms to distinguish between different structures accurately. Herniated discs, spinal cord compression, and spinal tumours are just a few of the ailments that an MRI is especially helpful for detecting [\[9\]](#page-22-8).

Segmentation plays an important role in analyzing and diagnosing Lower Back Pain. The goal of segmentation is to separate the lumbar vertebrae from the surrounding anatomical structures, creating precise boundaries for each vertebra. Segmentation of the lumbar region can be performed using traditional image processing techniques or advanced deep-learning approaches. Traditional methods of segmentation rely on algorithms, such as region growing, thresholding, or active contour models, to differentiate the lumbar vertebrae from the rest of the region. Deep learning techniques, in particular Convolutional Neural Networks (CNNs), have achieved better results in automated segmentation tasks. These techniques make use of deep neural networks' ability to directly extract complex features and spatial correlations from the data, leading to accurate and robust segmentations [\[10\]](#page-22-9).

Ronneberger et al. [\[11\]](#page-22-10) first suggested the U-Net in 2015, and it has since been widely used for a variety of medical image processing applications, including segmentation. Furthermore, the U-Net has been shown to achieve state-of-the-art performance on various MRI segmentation tasks, including brain tumour segmentation, liver segmentation, and prostate segmentation. The network can be divided into two paths: one is the contracting path, and the other is an expanding path. The contracting path performs down-sampling for feature extraction, constructed the same as a convolutional neural network but followed by an expanding path that performs up-sampling for precise localization of features in the higher resolution layers. Another important aspect that makes the network so special is taking the convolution layer feature maps that are trained in the downsampling path and concatenating them to the corresponding de-convolution layers of the up-sampling path. In the down-sampling path, the input image runs through multiple convolutional layers, adding pooling in between to down-sample and reducing the size of the image, simultaneously increasing the number of layers by doubling the number of filters of convolutional layers on each convolution block. The up-sampling path remains symmetric to the down-sampling path, turning the network into a Ushaped neural network, named "U-Net". The process of automatically labelling or segmenting various anatomical components or regions inside the lumbar spine in medical imaging is known as semantic segmentation of the lumbar region [\[12\]](#page-22-11).

With the rapid development of deep learning and its wide application in the field of computer vision, a series of lightweight models for semantic segmentation have been proposed, such as the UNet series, SegNet, PSPNet, the DeepLab series and other classic variants of full convolutional networks (FCNs), each of which have achieved good results [\[13\]](#page-22-12). The complex characteristics and spatial correlations seen in the lumbar area are intended to be learned and captured by the convolutional neural network architecture. U-Net and Fully Convolutional Networks (FCNs) are frequently utilized for semantic segmentation. These architectures have an encoder for feature extraction and a decoder for creating segmentation maps.

The U-Net architecture has several advantages for the semantic segmentation of MRI images. It is designed to handle the challenges of medical image analysis tasks, such as the presence of small structures, limited training data, and inter-patient variability. The architecture is also relatively lightweight, making it suitable for deployment on limited computational resources as compared to FCN. U-Net image segmentation method investigates the MRI image features accurately and provides better assistance for doctors in their clinical practice [\[14](#page-22-13)[,15\]](#page-22-14). The highlights of this work are as follows:

- In the dataset preparation phase, the selective Digital Imaging and Communications in Medicine (DICOM) slices of mid-sagittal MRI images have been used to generate the mask of the region of interest to localize and label it, effectively.
- The proposed MU-Net architecture consists of a modified convolutional layer that incorporates the Meijering filter for the semantic segmentation of vertebrae L1, L2, L3, L4, L5, and S1.
- Generated pseudo color mask images along with the corresponding slices of MRI have been used to train the network to detect the foreground of the lumbar vertebral column in the testing phase and to localize it by labelling the individual lumbar and sacral vertebrae.
- The performance of the work is tested against standard performance measures for semantic segmentation namely, pixel accuracy, dice similarity coefficient, Jaccard coefficient, and mean IoU. The obtained results are compared with the existing approaches and the efficiency of the proposed semantic segmentation system is proven.

The remainder of this paper has been organized according to the arrangement that is detailed below. The relevant research on semantic segmentation for the effective diagnosis of lumbar diseases is examined in [Section 2.](#page-3-0) The computer-aided detection system that has been proposed for the identification, categorization, and localization of vertebrae for diagnosing lower back pain is discussed in [Section 3.](#page-7-0) The performance of the proposed work is demonstrated in [Section 4,](#page-12-0) and the study is brought to a close in [Section 5.](#page-20-0)

#### <span id="page-3-0"></span>**2 Review of Literature**

This section studies and discusses the recent works on segmentation of the lumbar portion, labelling the vertebrae, and other forms of analysis. Mahdy et al. in [\[16\]](#page-22-15) have proposed an automatic detection system that starts by visualizing the CT images in Digital Imaging and Communications in Medicine (DICOM) format in three views and then identifies regions for the lumbar or sacral. An adaptive threshold and modified region-growing technique have been used to separate the spine from the surrounding tissues, organs, and bones. The k-means clustering algorithm has been used to segment each vertebra in the spine. The intervertebral distance has been calculated to automatically detect the degenerative disc in the lumbar area. The proposed system has been evaluated on ten 3D Computed Tomography (CT) images downloaded from the Cancer Imaging Archive (TCIA). Zhang et al. in [\[17\]](#page-22-16) have proposed the BN-U-Net network incorporates a specification layer, known as the Batch Normalization layer which allows for batch normalization of data in a specific layer of the convolutional network, thereby enhancing the performance of the U-Net network. The proposed model exhibits notable advancements in segmentation accuracy, sensitivity, and specificity for spinal MRI images compared to the FCN and U-Net algorithms. This improvement contributes to enhancing the overall diagnostic precision of spinal-related diseases in MRI images. Lu et al. in [\[18\]](#page-22-17) have proposed a three-dimensional XUnet technique to accomplish automatic lumbar vertebrae segmentation. The public dataset VerSe 2020's lumbar spine CT images have been used for experimentation.

Tang et al. in [\[19\]](#page-22-18) have proposed a dual densely connected U-shaped neural network (DDU-Net) to segment the tissues with large-scale variant, inconspicuous edges like the spinal canal and extremely small sizes like the dural sac. 50% of the images have been fed into DDU-Net for training, 20% of the images have been used for hyper parameters optimization and prevention of over fitting, and 30% of the images have been used to evaluate the performance of the neural networks. The model has been evaluated using several semantic segmentation metrics like pixel accuracy (PA), mean pixel accuracy (MPA), mean intersection over union (MIoU), and frequency-weighted intersection over union (FWIoU). Ablation studies have been carried out with/without data augmentation, skip connections, dense blocks, and multi-branch networks. The Lumbar spinal CT image dataset used for experimentation contains 2393 axial CT images collected from 279 patients, with the ground truth of pixel-level segmentation labels.

A computational methodology capable of detecting the spinal cord has been proposed in [\[20\]](#page-23-0). It involves adaptive template matching for initial segmentation, intrinsic manifold simple linear iterative clustering (IMSLIC) for candidate segmentation, and convolutional neural networks for candidate classification. Template matching algorithm has been executed on each slice of the volume, where the similarity for each matching in each slice has been calculated. Initialization of the template has been defined as the one that has the greatest similarity. The number of the slice that has generated the best matching has been saved. IMSLIC is the technique that uses the least number of parameters. It will group neighboring pixels based on intensity and spatial distance. The dataset used for experimentation contains 36 patients' CT images from 3 different institutes that have been clustered as 56,698 regions, where 4647 regions were the spinal cord and the rest all the non-spinal cord regions. The proposed methodology has been evaluated with an accuracy of 92.55%, specificity of 92.87%, and sensitivity of 89.23% with 0.065 false positives per image in the detection of the spinal cord.

Semi-automatic segmentation of the colon region on T2-weighted MRI scans has been proposed in [\[21\]](#page-23-1). In the first stage of segmentation, a custom novel tubularity filter using a set of points given by the specialists has been used to find an approximation to the colon medial path. In the second stage, a custom segmentation algorithm to detect the colon's neighbouring regions and the fat capsule containing abdominal organs. Finally, segmentation has been performed via 3D graph cuts in a threestage multigrid approach. Tubularity Detection Filter (TDF) has been built as a combination of two filters namely the ring filter and the directional filter. The non-colonic regions like the spinal cord have been discarded by segmenting and removed from the initial search space. The model has been evaluated on three groups of MRI scans that have been acquired in the scope of different clinical studies with Dice Similarity Coefficient of 0.92 and Sensitivity of 0.82.

A two-stage automated fracture detection system has been proposed in [\[22\]](#page-23-2) to detect fractures in the human body. The Faster Region with Convolutional Neural Network (Faster R-CNN) has been used to detect the different types of bone regions in X-ray images. Crack-Sensitive Convolutional Neural Network (CrackNet) has been used to recognize the fractured bone region. The 20 different types of bones of the human anatomy, including vertebrae, have been analysed. Bone fracture identification using CrackNet starts with the Schmid convolutional layer which incorporates the Schmid filter into the convolution and connects to common convolutional layers to improve the recall rate of fractured images. The dataset used for experimentation consists of 3053 X-ray images, in which 2001 images have been used for training and 1052 images have been used for testing. Faster R-CNN and Crack-Sensitive CNN have achieved accuracy higher than 90%, an F-measure higher than 90%, 87.5% recall, and 89.09% precision, outperforming other methods on the bone fracture detection task. The study indicates that the usage of appropriate filters in the convolution operation results in better segmentation of structures.

He et al. have proposed a composite loss function with a dynamic weight, called the Dynamic Energy Loss function in [\[23\]](#page-23-3) for spine MR image segmentation. The two datasets used for experimentation of the U-Net CNN model with the proposed loss function have achieved superior performance with Dice similarity coefficient values of 0.9484 and 0.8284 and also verified by the Pearson correlation, Bland-Altman, and intra-class correlation coefficient analysis. Li et al. have developed and validated a model for the simultaneous 3D semantic segmentation of multiple spinal structures at the voxel level named as the S3egANet in [\[24\]](#page-23-4). S3egANet explicitly solved the high variety and variability of complex 3D spinal structures through a multi-modality auto-encoder module which has been used for extracting the fine-grained structural information. For segmenting numerous spinal structures simultaneously with high accuracy and reliability, a multi-stage adversarial learning technique has been used. S3egANet has achieved the mean Dice coefficient of 88.3% and mean Sensitivity of 91.45% on MRI scans of 90 patients. Lessmann et al. in [\[25\]](#page-23-5) have proposed an iterative instance segmentation. A fully convolutional neural network has been used to segment and label the vertebrae one by one, independently of the number of visible vertebrae. The network simultaneously carries out several tasks, including segmenting a vertebra, regressing its anatomical label, and predicting whether the vertebra is fully visible in the image. When all the detected vertebrae have been taken into consideration, the projected anatomical labels of the individual vertebrae have been further refined using a maximum likelihood approach.

The framework of U-Net architecture and the parametric level set have been proposed in [\[26\]](#page-23-6) to extract the shape of bones and disks accurately. CNN has been trained using the dataset, as the level set is sensitive to initialization, training the CNN on training data and the output of the pretrained network has been used as input to the level set. The level set works in iterations and refines the segmentation output to the desired level. Boundary and region-based optimization has been performed using the geodesic curve and Heaviside function. Two different datasets have been used for evaluation, namely 20 publicly available 3D spine MRI datasets to perform disc segmentation and 173 computed tomography scans for thoracolumbar (thoracic and lumbar) vertebrae segmentation. The dice score has been evaluated as  $90.37 \pm 0.9$  percent for discs segmentation and  $94.7 \pm 1.1$  with absolute surface distance (ASD) of  $0.1 \pm 0.04$  mm for thoracolumbar vertebrae segmentation.

Zhang et al. in [\[27\]](#page-23-7) have proposed a multi-task relational learning network (MRLN) that utilizes both the relationships between vertebrae and the relevance of the three tasks namely the accurate segmentation, localization, and identification of vertebrae. A dilation convolution group has been used to expand the receptive field, and Long Short-Term Memory (LSTM) to learn the prior knowledge of the order of the relationship between the vertebral bodies. A co-attention module has been used to learn the correlation information, localization-guided segmentation attention (LGSA), and segmentationguided localization attention (SGLA), in the decoder stage of segmentation and localization tasks. To avoid the cumbersome weight adjustment for different task loss functions, a novel XOR loss has been formulated which provides a direct evaluation criterion for the localization relationship of the semantic location regression and semantic segmentation.

Li et al. in [\[28\]](#page-23-8) have proposed a dual-branch multi-scale attention module in the U-Net architecture. The network contains multi-scale feature extraction based on three  $3 \times 3$  convolution operators and the information selection based on the attention mechanism. The average dice similarity coefficient has improved from 0.9008 to 0.9252 and the average surface distance has decreased from 6.40 to 2.71 mm on the dataset from the spine surgery department of Shengjing Hospital of China Medical University. Wang et al. in [\[29\]](#page-23-9) have proposed a lumbar spine image segmentation algorithm based on improved Attention U-Net. The attention module based on multilevel feature map fusion has been adopted, two residual modules have been used instead of the original convolution blocks and a hybrid loss function has been used for prediction. The dataset used for experimentation consists of 420 lumbar MRI images of 180 patients. Accuracy, recall and dice similarity coefficient metrics have been used to analyse the algorithms SVM, FCN, R-CNN, U-Net, and Attention U-Net models. The improved model has achieved better results in all three metrics, with 95.50%, 94.53%, and 95.01%.

Pang et al. in [\[30\]](#page-23-10) have proposed a novel two-stage framework named SpineParseNet to achieve automated spine parsing for volumetric MR images. The network consists of a 3D graph convolutional segmentation network (GCSN) for 3D coarse segmentation and a 2D residual U-Net (ResUNet) for 2D segmentation refinement. The dataset used for experimentation consists of 215 subjects on T2 weighted volumetric MR images and has achieved performance with mean dice similarity coefficients of 87.32  $\pm$  4.75%, 87.78  $\pm$  4.64%, and 87.49  $\pm$  3.81% for the segmentations of 10 vertebrae, 9 intervertebral discs (IVD), and all 19 spinal structures. Al-Kafri et al. in [\[31\]](#page-23-11) have proposed lumbar spinal stenosis detection through semantic segmentation. A ground truth dataset has been developed containing image labels of four important regions namely, the intervertebral disc, the posterior element, the thecal sac, and the area between anterior and posterior vertebral elements in the lumbar spine. Atli et al. [\[32\]](#page-23-12) proposed Sine-Net architecture, a fully convolutional deep learning model for retinal blood vessel segmentation. The Sine-Net architecture integrates both up-sampling and downsampling operations to capture thin and thick vessel features effectively. Incorporating residuals facilitates the retention and transmission of crucial contextual information to deeper layers, thereby improving segmentation performance.

Guinebert et al. in [\[33\]](#page-23-13) have developed a Picture Archiving and Communication System (PACS) with a DICOM viewer to extract training data and implement two CNN networks, U-Net++ and Yolov5x to segment and detect discs and vertebrae. U-Net++ has been dedicated to performing the semantic segmentation task and Yolov5x for the analysis of degenerative disc disease, disc herniation, and vertebral fracture. Two hundred and forty-four T2 weighted sagittal MRI scans from the university hospital Pasteur 2 of Nice, France, used for experimentation have achieved accuracy of the order of 0.96 and 0.93 Dice index for intervertebral discs and vertebral bodies with an area under the precisionrecall curve of 0.88 for fractures and 0.76 for degenerative disc disease. Saenz-Gamboa et al. in [\[34\]](#page-23-14) have proposed a model for automatic semantic segmentation of lumbar spine MRI using variants of U-Net to assign the class label to each pixel of an image with vertebrae, intervertebral discs, nerves, blood vessels, and other tissues. Several complementary blocks, such as three types of convolutional blocks, spatial attention models, deep supervision, and multi-level feature extractor have been used to define the variants. The study showed that variants of U-Net yield better performance in semantic segmentation. Haq et al. in [\[35\]](#page-23-15) have proposed a BTS-GAN system for breast tumor segmentation. The upgraded U-Net architecture utilized in the BTS-GAN system incorporates improvements like integrating skip connections between encoder and decoder layers and introducing a parallel dilated convolution (PDC) module. The PDC module in BTS-GAN improves tumor recognition across scales and enhances context sensitivity without adding parameters. It comprises three parallel branches with dilated convolutions for multi-scale feature fusion, preserving context while minimizing information loss. These enhancements aim to boost the network's ability to retrieve features, resulting in more precise and efficient segmentation of breast tumors in MRI scans.

Luan et al. in [\[36\]](#page-23-16) have developed a new deep model, namely Gabor Convolutional Networks (GCNs or Gabor CNN), with Gabor filters incorporated into deep CNNs. GCNs have been readily compatible with any well-liked deep learning architecture and implement the Gabor filter-based convolution operator so that the robustness of learned features against the orientation and scale changes can be reinforced. In medical image processing, many filters like tube filters, ridge filters, neurites, or vessel filters have been used in literature to segment the muscles, bones, nerves, or blood vessels. Meijering et al. in [\[37\]](#page-23-17) have proposed a Meijering filter which is an improved steerable filter for computing local ridge strength and orientation. They have used a graph-searching algorithm with a novel cost function for exploiting the image features to obtain globally optimal tracings. In [\[38\]](#page-24-0), the Meijering neuriteness ridge filter has been performed to show the ridges of the vessels in the frames. Based on the noise level, the Meijering filter was the most appropriate to show the ridge like structures namely intervertebral discs and spinal canal which helps to give the clear representation of vertebrae. The proposed work has been inspired by the literature and differs in the following ways:

• The bone fracture identification using CrackNet in [\[22\]](#page-23-2) starts with the Schmid convolutional layer which incorporates the Schmid filter in the convolution process. Similarly, Gabor CNN incorporates Gabor filters into the convolutional layer in [\[34\]](#page-23-14). It is found from the literature that the Meijering filter is the best suitable ridge or vesselness filter used for the segmentation of lumbar portions than the other ridge filters or tubularity directional filter in [\[21\]](#page-23-1). Hence the MU-Net (Meijering U-Net) architecture is proposed which incorporates the Meijering filter in the convolutional layer as discussed in  $[35,36]$  $[35,36]$  to perform the segmentation of vertebrae.

• U-Net and its variants are one of the best-suited deep learning architectures for the segmentation or the semantic segmentation of medical images as discussed in [\[17,](#page-22-16)[19](#page-22-18)[,23](#page-23-3)[,26](#page-23-6)[,28](#page-23-8)[–30,](#page-23-10)[32,](#page-23-12)[33\]](#page-23-13). Semantic segmentation of the vertebrae helps diagnosing the lumbar disorders certainly as discussed in [\[30–](#page-23-10)[33\]](#page-23-13). In the proposed work, MU-Net is used for semantic segmentation of the lumbar and sacral vertebra.

## <span id="page-7-0"></span>**3 Methodology**

This section discusses in detail about the dataset preparation, the pre-processing steps, and the proposed MU-Net architecture for the semantic segmentation of the vertebrae. The performance of semantic segmentation using MU-Net model as compared to the standard U-Net model has been contributed by the effectiveness of the Meijering convolutional layer incorporating the Meijering filter which enhances the vessel-like structures namely intervertebral discs and spinal canal that helps to give the clear representation of vertebrae. As depicted in [Fig. 1,](#page-7-1) the work flow of the proposed scheme involves ground truth generation, pre-processing of input images, training phase and testing phase of the proposed MU-Net architecture and the performance evaluation of semantically segmented vertebrae image have been elaborated in detail in the further sections.



<span id="page-7-1"></span>**Figure 1:** Overall architecture of the proposed scheme for semantic segmentation of the vertebrae

#### *3.1 Dataset Preparation for Semantic Segmentation*

Semantic segmentation of the lumbar vertebrae L1, L2, L3, L4, and L5 and sacral vertebra S1 is essential to diagnose the lower back pain disorders. To perform the segmentation, the ground truth information is generated initially before performing the segmentation task. Similarly, to perform the semantic segmentation task, the meaningful mask or ground truth to differentiate the segmented vertebrae must be generated clearly as depicted in [Fig. 2.](#page-8-0) Dataset preparation provides the original selective slices using Algorithm 1 and their corresponding pseudo color ground truth mask images are generated as defined in [Table 1.](#page-8-1) Red Green Blue (RGB) values represents the intensity values varying from 0 to 255, where 0 0 0 represents black and 255 255 255 represents white color. The ground truth generated and verified with radiologist and stored in directories for the further training of the deep learning model.

<span id="page-8-0"></span>

**Figure 2:** Pseudo color mask image generation

<span id="page-8-1"></span>

Label	Class name	R channel	G channel	<b>B</b> channel	
$\theta$	Background				
	L1	255			
	L2		255		
	L3			255	
4	L4	255	255		
	L5		255	255	
	S1	255		255	

**Table 1:** RGB to labelling conversion

# **Algorithm 1:** Dataset preparation for semantic segmentation

**Input:** DICOM image slices,  $D_i \forall i = 1, 2, ..., N$ , where *N* is the number of slices, either 15 or 30. **Output:** Pseudo-color mask image,  $M_{pid}$  where pid = 1, 2, ..., number of patients in the dataset. **1:** Load the DICOM image stack  $D_i$  into DICOM viewer software. Appropriate selective slices  $D_8$  out of 15 slices or  $D_{15}$  out of 30 slices for every patient pid (patient ID), will be saved as portable network graphic (png) image file formats for further processing.

**2:** Load the selective slice  $D_8$  into ITK-SNAP software and use the 'label editor' tool from the toolbox to define all the 7 labels, RGB values and the corresponding names as mentioned in [Table 1,](#page-8-1) later the same will be used for training the MU-Net model's 'RGB\_to\_LABEL' conversion function. **3:** Segment the vertebrae L1, L2, L3, L4, L5 and S1 by drawing polygons around each vertebra.

**3.1:** Select the 'quick label picker' tool to choose one of the colours of the corresponding label.

**3.2:** In the 'polygon inspector' tool, choose the 'smooth curve' option and draw the segmentation

by following the contour of the vertebrae carefully and give the 'accept' option to finalize it. **4:** Repeat Step 3 for all the labels. Finally, save the segmentation data using the 'save segmentation' option in ITK-SNAP. Choose the appropriate file format, such as PNG, for saving the Pseudo color mask image  $M_{pid}$ , where pid varies from one to the number of patients in the dataset. **5:** Generated ground truth is verified directly by radiologist to ensure the accuracy of the ground truth

images.

#### **Algorithm 2:** Pre-processing for MU-Net model

**Input:** Selective image slices of the dataset preparation,  $P_{pid}$ .

<span id="page-9-0"></span>**Output:** Pre-processed images,  $T_{pid}$  where pid = 1, 2, ..., number of patients in the dataset.

**1:** Selective color image slices  $P_{pid}$  have been converted into the grayscale images *gray<sub>pid</sub>* using [Eq. \(1\)](#page-9-0) given below with Blue as 0, Green as 1, and Red as 2 in the channel representation,

$$
gray_{pid}(x, y) = 0.114 \times P_{pid}(x, y, 0) + 0.587 \times P_{pid}(x, y, 1) + 0.299 \times P_{pid}(x, y, 2)
$$
 (1)

**2:** The inverse threshold operation has been performed on the grayscale image *gray<sub>pid</sub>* obtained from step 1 using [Eq. \(2\),](#page-9-1)

<span id="page-9-1"></span>
$$
TZ_{pid}(x, y) = \begin{cases} 0, & \text{if gray}_{pid}(x, y) > \text{threshold\_tozeroinv} \\ \text{gray}_{pid}(x, y), & \text{otherwise} \end{cases}
$$
 (2)

The threshold\_tozeroinv value has been set to 140 based on empirical evaluation within the range of values [100–150].

**2.1:** for each pixel in the input image *gray<sub>nid</sub>*,

**2.2:** if the pixel value is greater than the threshold then set the output pixel value as black.

<span id="page-9-2"></span>**2.3:** Otherwise, the output pixel value remains the same.

**3:** The binary threshold operation has been performed on the  $TZ_{pid}$  image obtained from Step 2 using Eq.  $(3)$ ,

$$
T_{pid}(x, y) = \begin{cases} 255, & \text{if } TZ_{pid}(x, y) > \text{threshold\_binary} \\ 0, & \text{otherwise} \end{cases}
$$
 (3)

The threshold binary value has been set to 27 based on empirical evaluation within the range of values [10–50].

**3.1:** for each pixel in the input image  $TZ_{pid}$ ,

**3.2:** if the pixel value is greater than the threshold value, set the output pixel value as maximum. Maximum value is assigned as white.

**3.3:** Otherwise, set the output pixel value as black.

#### *3.2 Pre-Processing Phase for MU-Net Model*

The selective DICOM slices obtained from the dataset preparation phase have been converted to.png image to make it comfortable to process in deep learning models. These slices have been (i) converted into grayscale and (ii) pre-processed using thresholding operations as described in Algorithm 2. T1-weighted MRI interpretation involves analyzing pixel intensity values, where bright pixels signify high signal intensity like vertebrae and dark pixels denote low signal intensity like spinal canal. This property of T1 weighted image has been utilized in binary thresholding to facilitate preprocessing that results in the effective segmentation of vertebrae. The Meijering filter can be applied then to the pre-processed image in the MU-Net model.

#### *3.3 Proposed MU-Net Architecture for Semantic Segmentation*

The pre-processed selective slice images and the corresponding pseudo mask images obtained from the dataset preparation phase and the pre-processing phase have been given for training the model with the downsampling path and the upsampling path of the proposed MU-Net model as depicted in [Fig. 3.](#page-10-0)



<span id="page-10-0"></span>**Figure 3:** Meijering U-Net architecture for the semantic segmentation of vertebrae

The algorithm describes about the Meijering U-Net model creation to perform semantic segmentation of the vertebrae. Compile, train and save the model with defined inputs using the 'categorical cross-entropy' loss function and the 'Adam' optimizer. In the testing phase of the model, implement Steps 1 through 4 with the input test images to obtain the segmented feature map.

The Meijering convolutional layer is built by creating the Meijering filter [\[39](#page-24-1)[,40\]](#page-24-2) kernel matrix  $F_{\text{meij}} \in \mathbb{R}^{K \times K \times C \times F}$ , where *K* is the size of the filter, *C* is the number of input channels, and *F* is the number of filters in the layer, followed by convolution as mentioned in Algorithm 3. Meijering filter kernel matrix is computed based on the modified hessian matrix  $H'(X)$  from the hessian matrix  $H(X)$ based on the second-order derivatives of the image intensity identifying the curvilinear structures.

$$
F_{\text{meij}}(X) = H'(X) = \begin{bmatrix} h_{11} + \frac{\alpha}{2} h_{22} & \left(1 - \frac{\alpha}{2}\right) h_{12} \\ \left(1 - \frac{\alpha}{2}\right) h_{12} & h_{22} + \frac{\alpha}{2} h_{11} \end{bmatrix}
$$
(4)

<span id="page-10-3"></span><span id="page-10-1"></span>*α*

where  $H(X)$  is defined in [Eq. \(5\),](#page-10-1) the eigenvalues  $\lambda'_1$  and  $\lambda'_2$  of  $H'(X)$  with respect to  $H(X)$  is defined in [Eq. \(6\)](#page-10-2) and where  $\alpha = 1/3$  [\[40\]](#page-24-2).

$$
H(X) = \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \end{bmatrix} = \begin{bmatrix} \frac{\partial^2 X}{\partial x_1^2} & \frac{\partial^2 X}{\partial x_2 \partial x_1} \\ \frac{\partial^2 X}{\partial x_2 \partial x_1} & \frac{\partial^2 X}{\partial x_2^2} \end{bmatrix}
$$
(5)

<span id="page-10-2"></span> $\lambda'_1 = \lambda_1 + \alpha \lambda_2$  $\lambda_1' = \lambda_1 + \alpha \lambda_2$  (6)

Convolution operation is carried out between the input tensor X and  $F_{mei}$  to obtain the output feature maps  $Y_{\text{meij}} \in \mathbb{R}^{H}$ <sup>'</sup> ×*W* ' <sup>×</sup>*<sup>F</sup>* mentioned in Step 1 is defined using [Eq. \(7\),](#page-11-0)

<span id="page-11-0"></span>
$$
Y_{\text{meij}}(i, j, f) = \sum_{k_1, k_2, c} \sum_{m,n} X_{(i+m-1, j+n-1, c)} \times F_{\text{meij}}(k_1, k_2, c, f) \tag{7}
$$

where  $Y_{\text{meij}}(i, j, f)$  represents the output at position  $(i, j)$  in the *f*-th filter of the Meijering Convolutional layer,  $X_{(i+m-1,i+n-1,c)}$  represents the value at position  $(i+m-1, j+n-1, c)$  and  $F_{meij}(k1, k2, c, f)$ represents the Meijering filter coefficient, the outer sum  $(\sum_{k_1,k_2,c})$  is taken over all valid positions of the filter and input tensor dimensions, while the inner sum  $(\sum_{m,n})$  is taken over the filter size.

**Algorithm 3:** Meijering U-Net architecture for the semantic segmentation of vertebrae

**Input:**  $X \in \mathbb{R}^{H \times W \times C}$  -Pre-processed input image tensor *X* with dimensions' height *H* as 320, width *W* as 320, and number of channels *C* as 3.

**Output:**  $Y_{\text{pred}} \in \mathbb{R}^{H \times W \times C}$  -Predicted Segmentation mask with dimensions' height *H* as 320, width *W* as 320, and number of segmenting classes including background *SC* as 7.

**1:** Implement the Convolutional layer incorporating the Meijering filter defined by [Eq. \(4\)](#page-10-3) to obtain output feature map *Y meij*,

 $Y_{\text{meij}}$  ←  $X * F_{\text{meij}}$ 

**2:** Implement the down-sampling path of the MU-Net Architecture.

**2.1:** Apply Convolutional layer (L1) on *Y meij* to obtain the feature map Z [1],

 $Z[1] \leftarrow \text{ReLU}(W_1 * Y_{\text{meij}} + b_1)$ 

**2.2:** Apply Convolutional layer (L2) on Z [1] to obtain the feature map C [1],

 $C[1]$  ← ReLU( $W_2$   $\ast$   $Z[1]$  +  $b_2$ )

**2.3:** Perform max pooling operation to the feature map C [1] to obtain the down-sampled feature map P [1],

 $P[1] \leftarrow \text{Maxpool}(C[1], 2, 2)$ 

**2.4:** Repeat steps 2.1–2.3 with increasing filter sizes (32, 64, 128, 256) to obtain feature maps C [2] to C [5] and the reduced feature maps P [2] to P [4].

**3:** Implement the up-sampling path of the MU-Net Architecture.

**3.1:** Perform up-sampling on the feature map C [5], to obtain the upsampled feature maps,  $U$ psampling $(C[5]) \leftarrow \text{Conv2DT}$ Transpose $(C[5])$ 

**3.2:** Concatenate the corresponding feature maps (C [4]) from the downward path to obtain concatenated feature map T [1].

 $T[1] \leftarrow$  Concatenate(Upsampling(*C*[5]), *C*[4])

**3.3:** Apply  $3 \times 3$  Convolutional layer (L1) and then apply the second  $3 \times 3$  Convolutional layer  $(L2)$  to obtain the output feature map C [6],

 $Z[1] \leftarrow \text{ReLU}(W_1 * T[1] + b_1)$ 

 $C[6] \leftarrow \text{ReLU}(W_2 * Z[1] + b_2)$ 

**3.4:** Repeat Steps 3.1–3.3 with reducing filter sizes (64, 32, 16) in (C [7], C [8], C [9]) with concatenation layers (T [2], T [3], T [4]).

**4:** Apply a 1×1 final fully connected layer to map the features to the number of segmentation classes (SC) with the 'softmax' activation function,  $Y_{pred} \leftarrow Conv2d(C[9], SC, softmax)$ 

In the downsampling path of the MU-Net Architecture, the  $3 \times 3$  convolution operation in Step 2.1 with 16 filters and 'relu' for activation, using 'same' padding to obtain the output intermediate <span id="page-12-1"></span>resultant feature map  $Z[1] \in \mathbb{R}^{H}$ <sup>'</sup> ×*W* '  $x^{F1}$  is defined using [Eq. \(8\),](#page-12-1)

$$
Z[1] = \text{relu}(\text{Conv2D}(Y_{\text{meij}}, F1) + b1)
$$
\n<sup>(8)</sup>

where  $Y_{\text{meij}}$  represents the output feature maps obtained from the Meijering convolutional layer,  $F1 \in$  $\mathbb{R}^{3\times3\times3\times16}$  represents the filter weights for the convolutional layer, *b*1 ∈  $\mathbb{R}^{16}$  represents the bias terms. Apply another convolutional layer mentioned in Step 2.2 to obtain the output feature map C [1] is defined using [Eq. \(9\),](#page-12-2)

<span id="page-12-2"></span>
$$
C[1] = \text{relu}(\text{Conv2D}(Z[1], F2) + b2)
$$
\n
$$
(9)
$$

where  $C[1] \in \mathbb{R}^H$ ×*W* '  $\times$ *F*2,  $F$ <sub>2</sub>  $\in \mathbb{R}^{3 \times 3 \times 3 \times 16}$  represents the filter weights for the next convolutional layer,  $b2 \in \mathbb{R}^{16}$  be the bias terms. Then perform the max pooling operation mentioned in Step 2.3 to obtain the down-sampled feature map (P [1]) in the pooling layer is defined using [Eq. \(10\),](#page-12-3)

<span id="page-12-3"></span>
$$
P[1] = \max_{1} pool(C[1], pool\_size = (2, 2), strides = (2, 2))
$$
\n(10)

In the up-sampling path of the MU-Net Architecture, on each level performs up-sampling using  $a$  2  $\times$  2 Convolutional Transpose layer followed by the concatenation of corresponding feature maps from the downward path represented using Eq.  $(11)$ ,

<span id="page-12-4"></span>
$$
T[i] = \text{concatenation}(C[5 - i], \text{upsampling}(C[i + 4])) \tag{11}
$$

where  $C[i]$  is the output of the *i* -th level,  $i = 1 \ldots 4$  in the downsampling path,  $6 \ldots 9$  in the upsampling path and 5 in the bottleneck.

For instance,  $2 \times 2$  Convolutional Transpose layer (T [1]) mentioned in Step 3.1 to up-sample the feature maps using [Eq. \(12\)](#page-12-5) followed by the concatenation operation in Step 3.2 using [Eq. \(13\),](#page-12-6)

<span id="page-12-5"></span>upsampling(
$$
C[5]
$$
) = Conv2DTranspose( $C[5]$ , kernel\_size = 2, strides = 2) (12)

<span id="page-12-6"></span> $T[1] = \text{concatenate}(C[4], \text{upsampling}(C[5]))$  (13)

The last  $1 \times 1$  fully connected output layer maps the features to the number of segmentation classes  $(SC = 7)$  including background) with the 'softmax' activation function mentioned in Step 4 is defined using Eq.  $(14)$ ,

<span id="page-12-7"></span>
$$
Y_{\text{pred}} = \text{Conv2D}(SC, \text{Kernelsize} = 1, \text{activation} = \text{'softmax'}(C[9]) \tag{14}
$$

where the resulting feature maps  $Y_{pred}$  represent the predicted segmentation mask with dimensions (H, W, SC). The number of segmentation classes is seven namely, background, L1, L2, L3, L4, L5, and S1.

#### <span id="page-12-0"></span>**4 Experimental Results and Discussion**

#### *4.1 Experimental Results*

The experiment has been carried out on a system with a 64-bit windows operating system (OS), 64 GB of random access memory (RAM), an NVIDIA Quadro P5000 GPU with 16 GB of memory, and an Intel Xeon processor with 3.60 GHz processing speed. The methods utilized in this work were implemented with the help of frameworks such as Keras (version 2.9.0), Tensorflow (version 2.7.0), Tensorflow-gpu (version 2.9.1), and OpenCV (version 4.5.5) from Python (version 3.9.7) libraries. The images from the original source  $[41]$  have been downloaded and the pseudo mask images have been created. This dataset has been utilized for experimentation of the proposed semantic segmentation technique.

The Lumbar Spine MRI Dataset from the Mendeley Data website in [\[42\]](#page-24-4) is a publicly available standard dataset containing T1-weighted and T2-weighted mid-sagittal view images of 515 patients. T1-weighted mid-sagittal view comprises either 15 or 30 slices of size  $320 \times 320$  for each patient in DICOM format, out of which the 8th slice or 16th slice is the appropriate slice to view all the lumbar portions. The dataset was partitioned in the ratio 60:20:20; a training and testing set comprising of 80%, i.e., 1049 images and an independent validation set comprising of 20%, i.e., 263 images. The dataset includes many types of lumbar diseases, namely, disc bulging, disc herniation, degenerative disc, spondylolisthesis, and fractured and normal images, as depicted in [Fig. 4.](#page-13-0)



<span id="page-13-0"></span>**Figure 4:** Sample images from the dataset (a) Normal, (b) Disc Herniation, (c) Degenerative disc disease, (d) Disc Bulging, (e) Spondylolisthesis, (f) Fractured

Digital Imaging and Communications in Medicine format and Neuroimaging Informatics Technology Initiative (NIfTI) format are commonly used file formats to store MRI images. To visualize the DICOM or NIfTI image files, DICOM viewer software or ImageJ software can be used. ImageJ is a Java-based graphic design program dedicated to analyzing images of different file formats. The appropriate slices of 1312 from all the patients are selected and saved in portable network graphic (png) format using DICOM viewer software for segmenting the lumbar and sacral vertebrae clearly.

The proposed models' coefficients are optimized using Adam optimizer, and other specifications are kernel size, dropout, learning rate, activation function, loss function, and validation technique, as mentioned in [Table 2.](#page-14-0) Dropout and learning rates have been optimized using the grid search method. The 5-fold validation technique gives better performance by reducing the overfitting problem.

From experimentation, it is found that the training time is comparatively less for the proposed MU-Net model, with an average of 86 s per epoch, as compared to the adopted U-Net, with an average of 102 s per epoch for the stated model specification. Due to the need to assess classification accuracy as well as localization correctness, evaluating semantic segmentation is fairly difficult  $[43]$ . The objective is to evaluate the performance of the proposed semantic segmentation method by finding the overlap and similarity between the semantic prediction and the ground truth. The parameters namely True Positive (TP), True Negative (TN), False Positive (FP), and False Negative (FN) of the confusion matrix in the classification model are also evaluated in the segmentation model to measure the metrics namely Pixel Accuracy (PA), Intersection over Union (IoU), and Dice Similarity Coefficient (DSC).

True Positive (TP) Pixels that are correctly assigned as vertebrae.

True Negative (TN) Pixels that are correctly assigned as background.

False Positive (FP) Incorrect extraction of background pixels as vertebrae.

False Negative (FN) Incorrect extraction of vertebrae pixels as background.

*Pixel Accuracy (PA):*

Accuracy also known as Rand index or pixel accuracy (PA) is one of the most known evaluation metrics. The simplest metric computes the ratio between the number of pixels that are correctly classified to the total number of pixels for one class [\[44\]](#page-24-6). In semantic segmentation, PA is defined using Eq.  $(15)$  [\[45\]](#page-24-7) that divides the number of accurate predictions (accurate positive and negative predictions) by the total number of predictions for one class. Mean Pixel Accuracy (MPA) is the average pixel accuracy for all the classes.

$$
Pixel Accuracy (PA) = \frac{TP + TN}{TP + TN + FP + FN}
$$
\n(15)

The splinter group of the pixels in a medical image is often taken up by a single ROI, with the background of the image taking up the remaining pixels. The accuracy metric will always yield an unjustified high score because of the genuine negative inclusion. Accuracy scores are frequently higher than 90% or very near to 100%, even while predicting the segmentation of an entire image as a background class. Due to class imbalance in segmentation, Pixel Accuracy is high, and then it doesn't mean superior segmentation ability. So other metrics, namely DSC and IoU, which evaluate the similarity and overlap between the semantic prediction and the ground truth, play an important role in semantic segmentation comparatively.

Hyperparameters	Value/Method		
Convolutional kernel size	$3 \times 3$		
Max pooling kernel size	$2 \times 2$		
Stride kernel size	$2 \times 2$		
Optimiser	Adam $[46]$		
Dropout	0.1		
Padding	Same		
Learning rate	0.001		

<span id="page-14-1"></span><span id="page-14-0"></span>**Table 2:** Model specification



#### *Intersection over Union (IoU):*

IoU or Jaccard Index or Jaccard similarity coefficient score measures the similarity between the predictions and the ground truth. Jaccard index score defined in [Eq. \(16\)](#page-15-0) [\[43\]](#page-24-5) varies from 0 to 1, where 0 represents no overlap and 1 represents the perfect overlap of segmented prediction with the mask image.

<span id="page-15-0"></span>
$$
J(A, B) = \frac{|A \cap B|}{|A \cup B|} = \frac{|A \cap B|}{|A| + |B| - |A \cap B|}
$$
\n(16)

where *A* represents the ground truth and *B* represents the segmented images. Or it can also be represented with the confusion matrix parameters as defined in [Eq. \(17\)](#page-15-1) [\[47\]](#page-24-9),

$$
IoU = \frac{TP}{TP + FP + FN}
$$
\n<sup>(17)</sup>

IoU value or Jaccard index is evaluated for each segmented image and its corresponding mask for every input image separately and then the overall average IoU or Jaccard score is computed. IoU of the possible test cases in [Fig. 4](#page-13-0) have been calculated for the proposed model and compared with the adopted U-Net model. The outputs for sample images using the adopted model and proposed model are depicted in [Fig. 5](#page-15-2) and IoU variation with respect to the cases is depicted in [Fig. 6](#page-16-0) for better visualization. In [Figs. 6](#page-16-0) and [7,](#page-17-0) sample cases have been shown; 1(a) shows a healthy input sample,  $2(a)$  shows a sample image indicating mild disc herniation,  $3(a)$  shows the image depicting disc degeneration, 4(a) shows the image depicting disc bulging and 5(a) and 6(a) indicate the spondylolisthesis occurence on highly fractured or ruptured images.

<span id="page-15-1"></span>

<span id="page-15-2"></span>**Figure 5:** IoU performance of the adopted U-Net and proposed MU-Net models



<span id="page-16-0"></span>**Figure 6:** Predicted output of the proposed MU-Net model and the adopted U-Net model (Column (a)- Input image, Column (b)-Ground truth, Column (c)-Predicted output of the adopted U-Net model, Column (d)-Predicted output of the proposed MU-Net model)

#### *Mean Intersection over Union (mIoU):*

Mean IoU computes the IoU on a class basis and then averages it. Mean IoU calculates the overlap of every individual class in both the segmented mask and the actual ground truth one by one, and then calculates the sum of all the classes' overlap value and divides it by the total number of classes as defined in [Eq. \(18\),](#page-16-1)

<span id="page-16-1"></span>
$$
mIoU = \frac{1}{K} \sum_{i=1}^{K} IoU_i
$$
\n(18)

where  $K = 7$  represents all the 6 classes and one background class.



<span id="page-17-0"></span>**Figure 7:** Predicted output of the proposed MU-Net model and the adopted U-Net model (Column (a)- Input image, Column (b)-Ground truth, Column (c)-Predicted output of the adopted U-Net model, Column (d)-Predicted output of the proposed MU-Net model)

#### <span id="page-17-1"></span>*Dice Similarity Coefficient (DSC):*

Dice Similarity Coefficient or SA-Dice index or F1 score is defined as two times the overlap area between the prediction and ground truth mask, divided by the sum of pixels in both the prediction and ground truth mask as defined in [Eq. \(19\),](#page-17-1)

$$
\text{DSC} = \frac{2|A \cap B|}{|A| + |B|} \tag{19}
$$

where *A* represents the ground truth and *B* represents the segmented images. Dice score or F1 score represented with the confusion matrix parameters as defined in [Eq. \(20\)](#page-17-2) [\[43\]](#page-24-5),

<span id="page-17-2"></span>
$$
\text{DSC} = \frac{2TP}{2TP + FP + FN} \tag{20}
$$

The proposed MU-Net model and the adopted U-Net model with pre-processed images as input are trained and tested for 60 epochs and the corresponding performance metrics are plotted in [Fig. 8.](#page-18-0) The best performance for semantic vertebrae segmentation is achieved by MU-Net as depicted in



[Fig. 9](#page-19-0) for better visualization in which Column 1 represents the performance metrics of the adopted U-Net model and Column 2 of the proposed MU-Net model.

<span id="page-18-0"></span>**Figure 8:** Training and testing performance metrics for 60 epochs of the adopted U-Net and proposed MU-Net models



<span id="page-19-0"></span>**Figure 9:** Performance metrics of the adopted U-Net and proposed MU-Net models

#### *4.2 Discussion*

CAD systems in medical diagnostics raise ethical concerns to ensure that they serve as support tools rather than replacements for human expertise. CAD system improves the performance metrics over the past decades. Still, the final validation of the diagnosis remains with the radiologist.

The dataset used in our study has been sourced from Mendeley Data, which has been originally introduced and extensively validated by the authors mentioned in [\[31\]](#page-23-11). The authors explicitly considered a wide spectrum of variability in clinical settings. The MRI scanning parameters used in the scans can vary depending on the sequence and view plane types. The author ensures that the dataset is representative of diverse clinical conditions like image registration algorithm, patient age, patient movement, direction, and position of the image plane of axial view on sagittal view. The authors ensures good quality and also ensures avoiding the destroyed or fused lumbar spine elements getting included in the benchmark dataset.

Hyperparameters of the proposed model have been fine-tuned using the dropout regularization technique to enhance the model's robustness by reducing overfitting, leading to better generalization across different imaging conditions. Data augmentation has been used to simulate variations in patient positioning, image noise, and lighting conditions to ensure that the model can handle variations in real-world clinical settings. The grid of three standard hyperparameter values [0.0001, 0.001, 0.01] has been assigned for learning rate and [0.1, 0.3, 0.5] assigned for dropout. Grid search has been performed using the defined grid, this gives the selective, optimized value for better performance of the model.

K-fold cross validation technique has been utilised with k value as 5. In 5-fold cross-validation, the data has been split into 5 subsets, trained on k−1 subsets, and tested on the kth subset, repeating the process k times. Grid search provides the hyperparameter combination with the best average performance across all the 5-folds and provides the optimal parameter values.

For the semantic segmentation of lumbar and sacral vertebrae, the proposed model gives better performance when compared to the state-of-art models, namely, UNet, SegNet, FCN, DDUNet, FCN-UNet, 6-FFN, and ResNet-UNet as mentioned in [Table 3.](#page-20-1) All the models utilize the MRI modality on corresponding available datasets mentioned by authors using the variations of U-Net. The proposed MU-Net model adopted the U-Net model and the adopted SegNet model have been compared by the proposed sequence of methodology only by differing the corresponding U-Net architecture.

	Methods	Modality	$MPA\%$	$IoU\%$	DSC%
Lu et al. $[48]$	U-Net	MRI	94	91	93
Rak et al. [49]	U-Net	<b>MRI</b>			94
Huang et al. [50]	U-Net	<b>MRI</b>		94	
Lessmann et al. $[25]$	<b>FCN</b>	<b>MRI</b>			94
Al-Kafri et al. $[31]$	SegNet	<b>MRI</b>	96.44	81.26	
Masood et al. $[47]$	ResNet-UNet	<b>MRI</b>	99.12	86	97
Adopted model	U-Net	MRI	97.63	96.05	97.50
Adopted model	SegNet	MRI	94.39	81.12	89.57
Proposed model	MU-Net	MRI	98.79	97.36	98.66

<span id="page-20-1"></span>**Table 3:** Comparison of the performance of the proposed model with the existing models from the literature review. Bold text and value represent the proposed model

#### *4.3 Limitation*

The integration of CAD as a support tool in clinical workflows is highlighted, with final diagnoses remaining the responsibility of trained professionals. Additionally, the importance of continuous education is stressed to ensure radiologists understand both the benefits and limitations of CAD systems for certain exceptional cases. The potential limitations of the proposed method are on different image types other than DICOM images, different imaging modalities like CT or X-ray, and other imaging conditions of highly fractured or ruptured vertebrae images. The hardware and software specifications used for the experiment have been comparatively high, which may not be accessible to all medical facilities to experiment with the proposed model for the diagnostic process. The limitation can be overcome by performing the necessary changes as mentioned in future work.

# <span id="page-20-0"></span>**5 Conclusion and Future Enhancement**

#### *5.1 Conclusion*

Lower back pain (LBP) is a widespread and significant health issue that affects people of all ages, from childhood to adulthood, and the elderly. To enhance outcomes and general well-being, lower back pain sufferers should seek an early diagnosis and proper treatment. The proposed model produced better results for the semantic segmentation of the lumbar portion comprising of lumbar vertebrae L1 through L5 and the sacral vertebra S1 from T1-weighted spine MRI. Semantic segmentation provides not only the segmented vertebrae but also the identification of which vertebrae it is. In the dataset preparation process, the pseudo color mask images representing each vertebra to the corresponding pixel intensity have been generated to train the MU-Net model. The pre-processing phase of the input image performs image enhancement with thresholding operations to make the image representation more clear for further segmentation process. In this work, a modified U-Net architecture called Meijering U-Net (MU-Net) has been proposed, which leverages the power of U-Net to tackle the complexities of medical spine image analysis tasks. The key addition in MU-Net is the Meijering convolutional layer, which incorporates the Meijering filter to enhance the vessel-like structures, namely intervertebral discs and spinal canal, which helps to give the clear representation of vertebrae in MU-Net as compared to U-Net for the effective semantic segmentation process. The ground truth masks and the input images were given as input to the MU-Net model. The MU-Net model has been used to extract the features from the images and perform pixel-wise classification based on the ground truth information used for training the model. The output is the predicted mask image that has been used to diagnose the vertebrae.

A dataset comprising 1312 images extracted from T1-weighted mid-sagittal MRI scans from the Lumbar Spine MRI Dataset publicly available from Mendeley Data is used for experimentation. By utilizing the Meijering filter in the convolutional layer, MU-Net has achieved a pixel accuracy of 98.79%, dice similarity coefficient of 98.66%, Jaccard coefficient or IoU of 97.36%, and 92.55% mean IoU metrics for the dataset, thereby aiding in the diagnostic process of lumbar diseases. Based on experimentation, it is found that the suggested method exhibits good performance across a variety of performance criteria, demonstrating its effectiveness. The proposed CAD system that generates diagnostic results from MRI would not only reduce the burden on a radiologist but also boost confidence in a diagnosis. Occasionally, the CAD system might also detect a disorder that a radiologist could have missed due to insufficient time to analyse a case. The proposed method allows for early diagnosis of disc bulging, disc herniation, and slipped vertebrae, which avoids leading to spinal stenosis, severe disc disorders, and spondylolisthesis that can be beneficial for a better patient outcome by avoiding surgery or prolonged treatment.

#### *5.2 Future Enhancement*

In the future, the proposed framework can be extended to objectively segment the cervical, thoracic, and pelvic regions as well as to give a more in-depth understanding of human spinal diseases on different imaging modalities. Another direction that may be explored is spinal canal detection along with vertebrae and intervertebral discs by fine-tuning the MU-Net model to diagnose Lumbar Spinal Stenosis (LSS) in guiding surgeons towards less invasive methods for improving patient care and optimizing surgical outcomes in the field of spinal surgery. The standard diagnostic machines used traditionally by radiologists can also deploy the model as software. Apparently by using the cloud environment to make the model into a SaaS (software as a service) with required configuration for execution on any system containing minimal specification with proper internet facility.

# **Acknowledgement:** None.

**Funding Statement:** The authors received no specific funding for this study.

**Author Contributions:** Study conception, design, material preparation, data collection and analysis were performed by Lakshmi S V V. The first draft of the manuscript was written by Lakshmi S V V. Shiloah Elizabeth Darmanayagam and Sunil Retmin Raj Cyril commented on previous versions of the manuscript. All authors reviewed the results and approved the final version of the manuscript.

**Availability of Data and Materials:** The Lumbar Spine MRI Dataset that supports the findings of this study is publicly available from <https://data.mendeley.com/datasets/k57fr854j2/2> (accessed on 29 September 2024) [\[42\]](#page-24-4). The radiologist report for the mentioned dataset is publicly available from <https://data.mendeley.com/datasets/s6bgczr8s2/2> (accessed on 29 September 2024).

**Ethics Approval:** Written formal consent was obtained from each patient prior to the data collection.

**Conflicts of Interest:** The authors declare no conflicts of interest to report regarding the present study.

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