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# Concurrent Two-Scale Topology Optimization of Thermoelastic Structures Using a M-VCUT Level Set Based Model of Microstructures

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## ABSTRACT

By analyzing the results of compliance minimization of thermoelastic structures, we observed that microstructures play an important role in this optimization problem. Then, we propose to use a multiple variable cutting (M-VCUT) level set-based model of microstructures to solve the concurrent two-scale topology optimization of thermoelastic structures. A microstructure is obtained by combining multiple virtual microstructures that are derived respectively from multiple microstructure prototypes, thus giving more diversity of microstructure and more flexibility in design optimization. The effective mechanical properties of microstructures are computed in an off-line phase by using the homogenization method, and then a mapping relationship between the design variables and the effective properties is established, which gives a data-driven model of microstructure. In the online phase, the data-driven model is used in the finite element analysis to improve the computational efficiency. The compliance minimization problem is considered, and the results of numerical examples prove that the proposed method is effective.

## KEYWORDS

Two-scale structure; topology optimization; multiple variable cutting level set; data-driven; radial basis function; thermoelastic structure

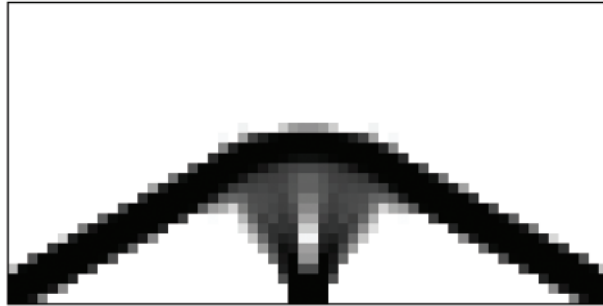
## 1 Introduction

A thermoelastic structure in this study is subjected to both temperature changes and mechanical forces. Optimization of thermoelastic structures with the aim of compliance minimization leads to some unusual and interesting results, as discussed in our previous study [1], and this motivated the present work of concurrent two-scale topology optimization. When the classical Solid Isotropic Microstructure with Penalization (SIMP) method is applied to solve the thermoelastic problem, many “gray” densities persist in the optimized structures even when the penalty parameter is increased, as shown in Fig. 1. In fact, such a structure with “gray” densities is indeed better than a “black-white” structure for this optimization problem [1]. More importantly, recalling that the “gray” densities in the SIMP method represent isotropic microstructures, we see that introducing microstructures into the topology optimization of thermoelastic structures will have great significance.

According to the observation mentioned above, one can see that it would be better if the optimization of thermoelastic structures was solved in both the macro scale and the microscale so that



different microstructures can be properly distributed in the structure to match different requirements at different positions and directions.



**Figure 1:** The optimized thermoelastic structure based on SIMP method, where gray densities imply isotropic microstructures

Two-scale topology optimization has caught much attention in the past decades, and many methods were proposed [2,3]. One important category of methods is based on the optimal microstructure prototype known a priori for the optimization problem or those specified by designer [4–7]. For example, in the minimum compliance problem under a single load, laminate microstructures have been rigorously shown to be optimal [8,9], and specified lattice structures are applied in two-scale optimization [10–13]. During the optimization, some parameters that describe the shape or topology of microstructures are iteratively updated, and different microstructures appear. In addition, based on this method, people also proposed to post-process the result to obtain a structure on a fine grid in the macro scale [14–18]. However, in this category of methods, the diversity of shape and topology of the optimized microstructure is not so rich.

The other category, often referred to as hierarchical or concurrent methods [19–23], does not require microstructural prototypes and allows microstructures at different locations of the structure to generate different configurations freely. This approach is more flexible, but the issue of connectivity between neighboring microstructures arises. Many efforts were made to address the connectivity issue [24–28].

Based on these previous research works, it is clear that the microstructure model has important impacts on two-scale topology optimization. Given this, an alternative microstructure model is proposed in the present study. The geometry of microstructure is described by the multiple variable cutting (M-VCUT) level set method proposed in our previous studies [29,30]. A microstructure is obtained by combining multiple virtual microstructures that are derived respectively from multiple microstructure prototypes. Then, the microstructures are treated as homogeneous materials in the macro scale, and their effective mechanical properties are dealt with respectively by two different approaches in an offline phase and an online phase. In the offline phase, the effective properties are computed by homogenization. Then, a mapping relationship between effective properties and design variables is established, which gives a data-driven model of microstructure. In the online phase, i.e., during the optimization, the data-driven model is used.

In our previous studies on the optimization of cellular structures [29,30], full-scale finite element analysis (FEA) with a fine mesh of elements was used, and this induces high computational costs. To improve the efficiency of the FEA, a data-driven model is employed. The benefits are two-fold. First, by deriving microstructures from multiple microstructure prototypes, the layouts of microstructures

become more diverse, thus providing more flexibility for design optimization, and at the same time the connectivity between microstructures is ensured. Second, because the computational costs of using such a data-driven model are much less than those of homogenization, the efficiency of FEA is improved.

## 2 Geometry Model and Homogenization of Microstructure

### 2.1 Geometry Model of Microstructure

A two-scale structure  $\Omega \subset \mathbb{R}^d$  ( $d = 2$  or  $3$ ) is optimized within a fixed reference domain  $D \subset \mathbb{R}^d$ , and the domain is decomposed into cells  $D^k$  ( $k = 1 \dots M$ ), i.e.,  $D = \cup_{k=1}^M D^k$ . In each cell  $D^k$ , there may exist a microstructure  $\Omega^k$ , i.e.,  $\Omega^k \subset D^k$ . Similarly, a two-scale structure  $\Omega$  is given by

$$\Omega = \cup_{k=1}^M \Omega^k \tag{1}$$

The microstructures  $\Omega^k$  are described by using the M-VCUT level set method [29,30]. Each  $\Omega^k$  is described by using multiple basic level set functions  $\Phi_i^k$  ( $i = 1 \dots N$ ) and multiple cutting functions  $\Psi_i^k$  ( $i = 1 \dots N$ ). Each  $\Phi_i^k$  represents a microstructure prototype and remains unchanged during the optimization. The cutting function is a horizontal plane with a constant height  $h_i^k$  here, i.e.,

$$\Psi_i^k(x) = h_i^k, \forall x \in D^k \tag{2}$$

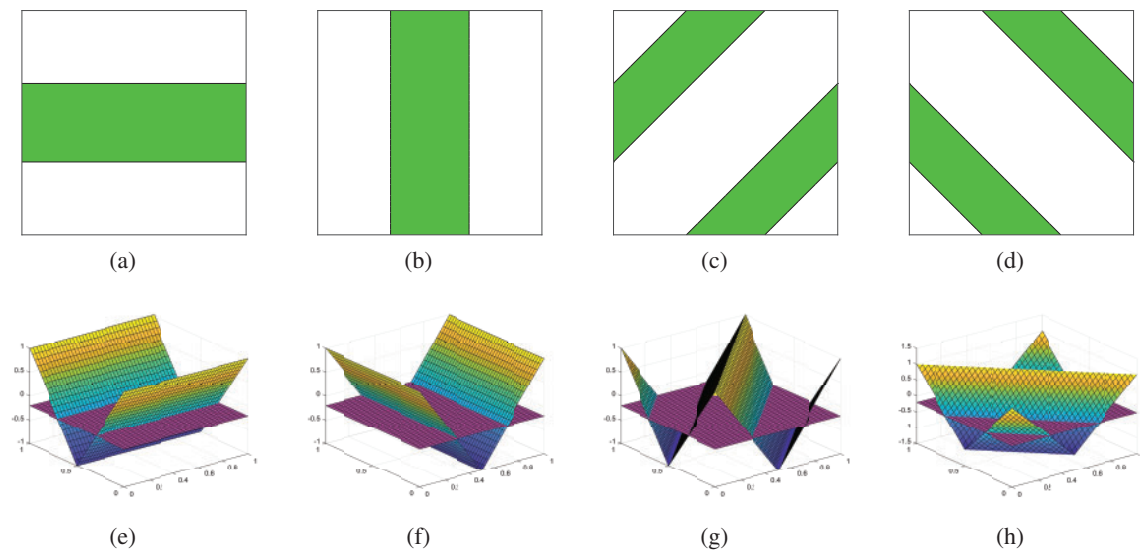
The function  $\Upsilon_i^k$  is used to represent the result of a cutting operation between  $\Phi_i^k$  and  $\Psi_i^k$ , i.e.,

$$\Upsilon_i^k(x) = \Phi_i^k(x) - h_i^k, x \in D^k, k = 1 \dots M, i = 1 \dots N \tag{3}$$

After all the cutting operations are finished in  $D^k$ ,  $N$  virtual microstructures  $\tilde{\Omega}_i^k$  defined by the function  $\Upsilon_i^k$  are obtained as

$$\tilde{\Omega}_i^k = \{x \mid \Upsilon_i^k(x) < 0, x \in D^k\} \tag{4}$$

Examples of the cutting operation are shown in Fig. 2.



**Figure 2:** Description of the cutting operation. (a)–(d) four virtual microstructures obtained by the cutting operation, (e)–(h) four basic level set functions with the cutting planes shown in purple color

With all the virtual microstructures in  $D^k$ , one obtains an actual microstructures  $\Omega^k$  by combining them together, i.e.,

$$\Omega^k = \cup_{i=1}^N \tilde{\Omega}_i^k \quad (5)$$

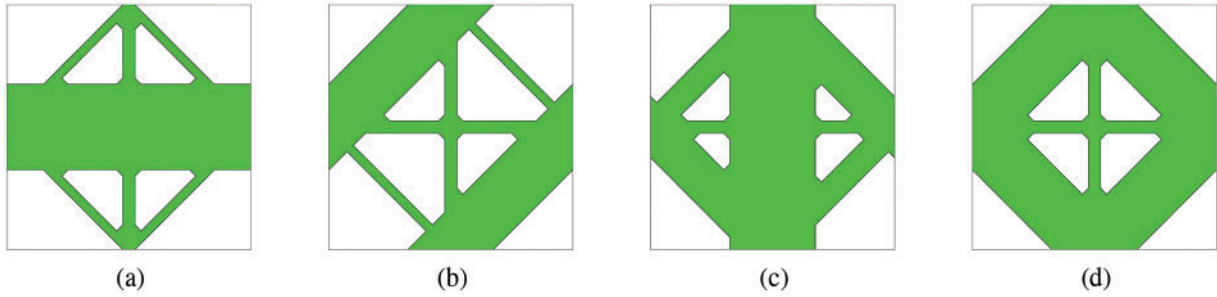
The combination operation in Eq. (5) can be realized by

$$\Upsilon^k(x) = \min \{ \Upsilon_1^k(x), \dots, \Upsilon_N^k(x) \} \quad (6)$$

where  $\Upsilon^k$  is the function used to define the actual microstructure  $\Omega^k$ , i.e.,

$$\Omega^k = \{ x \mid \Upsilon^k(x) < 0, x \in D^k \} \quad (7)$$

With the basic level setting function shown in Fig. 2, various actual microstructures can be obtained by changing the height of the cutting plane, as shown in Fig. 3.



**Figure 3:** Actual microstructures generated based on the basic level set functions shown in Fig. 2. The heights of cutting planes for each microstructure are as follows: (a)  $h_1 = -0.2$ ,  $h_2 = -0.8$ ,  $h_3 = -0.8$ ,  $h_4 = -0.8$ ; (b)  $h_1 = -0.8$ ,  $h_2 = -0.8$ ,  $h_3 = -0.2$ ,  $h_4 = -0.8$ ; (c)  $h_1 = -0.8$ ,  $h_2 = -0.2$ ,  $h_3 = -0.6$ ,  $h_4 = -0.4$ ; (d)  $h_1 = -0.8$ ,  $h_2 = -0.8$ ,  $h_3 = -0.2$ ,  $h_4 = -0.2$

It should be noted that although the examples shown in Figs. 2 and 3 are obtained from the four microstructure prototypes, the number and type of prototypes are unrestricted in this method, and the prototypes can be modified according to different requirements.

In the optimization process, the only parameter of the cutting plane  $\Psi_i^k$  is the height  $h_i^k$ , which is the design variable, and all the heights  $h_i^k$  are changed according to the optimization algorithm, thus changing the virtual microstructure  $\tilde{\Omega}_i^k$ , and then the actual microstructure  $\Omega^k$ , and finally the double-scale structure  $\Omega$ .

In the optimization, the design variables are the heights  $h_i^k$  of cutting planes. They are changed according to an optimization algorithm, thus changing the virtual microstructure  $\tilde{\Omega}_i^k$ , then the actual microstructures  $\Omega^k$ , and in the end the two-scale structure  $\Omega$ .

## 2.2 Homogenization of Microstructure

In the two-scale optimization, the effective mechanical properties of microstructures are an important link between the macroscale and microscale. The homogenization method [4,31] is applied in an offline phase to compute the effective mechanical properties of the unit cells:

$$E_{ijkl}^H = \frac{1}{|Y|} \int_Y E_{pqrs} (\varepsilon_{pq}^{0(ij)} - \varepsilon_{pq}^{(ij)}) (\varepsilon_{rs}^{0(kl)} - \varepsilon_{rs}^{kl}) dY \quad (8)$$

where  $E_{pqrs}$  is the elastic tensor of the material of the microstructure;  $|Y|$  is the volume of the cell;  $\varepsilon_{pq}^{0(ij)}$  is the prescribed strain in macroscale;  $\varepsilon_{pq}^{(ij)}$  denotes the strain in microscale defined as

$$\varepsilon_{pq}^{(ij)} = \varepsilon_{pq}(\chi^{ij}) = \frac{1}{2}(\chi_{p,q}^{ij} + \chi_{q,p}^{ij}) \quad (9)$$

where  $\chi^{ij}$  is obtained by solving the equation

$$\int_Y E_{ijpq} \varepsilon_{ij}(v) \varepsilon_{pq}(\chi^{kl}) dY = \int_Y E_{ijpq} \varepsilon_{ij}(v) \varepsilon_{pq}^0(\chi^{kl}) dY, \quad \forall v \in V \quad (10)$$

where  $v$  is the virtual displacement. For 2D problems, the results are rewritten in a matrix form as

$$\mathbf{D}^H = \begin{bmatrix} D_{11}^H & D_{12}^H & D_{13}^H \\ D_{21}^H & D_{22}^H & D_{23}^H \\ D_{31}^H & D_{32}^H & D_{33}^H \end{bmatrix}, \quad D_{nm}^H = D_{nm}^H \quad (11)$$

### 3 Mapping Relationship between $h_i$ and $\mathbf{D}^H$

Although numerical homogenization is a powerful tool for obtaining the effective elastic modulus of a microstructure, it leads to high computational costs for two-scale structures containing many microstructures. The costs are more prominent in the optimization that usually needs tens or hundreds of iterations. Therefore, in this paper, numerical homogenization is only done in an offline phase to generate data samples. Thereafter, these data samples are used to construct a simple numerical mapping model between the effective elastic modulus  $\mathbf{D}^H$  and the design variables  $h_i$ . In the end, such a mapping model is used in the online optimization phase, more specifically in the macroscale FEA and sensitivity analysis. Because the computational costs of invoking such a mapping model are much less than those of homogenization, the efficiency of analysis and optimization of two-scale structures is improved. Such a mapping model can be regarded as a generalized model of anisotropic material microstructure whose role in structural optimization is similar to that of the power law model of the SIMP method.

#### 3.1 Database of Microstructures

To establish the mapping relationship, we need a database that contains many data samples. The process of database creation is described below.

The range of all the basic level set functions  $\Phi_i$  is  $[-1, 1]$ , as shown in Fig. 2. In addition, to ensure that empty microstructure and full microstructure can be obtained, the ranges of the cutting heights  $h_i$  are enlarged to  $[-1.2, 1.2]$ . Then, the cutting heights  $h_i$  are uniformly sampled in this interval with a spacing of 0.1. In other words, we have four microstructure prototypes, and we generate 25 virtual microstructures by changing the cutting height of each microstructure prototype with a spacing of 0.1. According to our numerical experience, such spacing is a proper compromise between the size of the database and the accuracy of the mapping relationship.

For each combination of the cutting heights  $h_i$ , we generate an actual microstructure and then create a data sample in the database. Therefore, if four microstructure prototypes are used, a total of  $25^4$  data samples are created in the database. Each data sample includes all the information required by the two-scale optimization, i.e., the cutting heights  $h_i$ , the elastic modulus  $\mathbf{D}^H$ , and the volume  $V^H$  of the microstructure sample. Here, the  $V^H$  is obtained by numerical integration of the region occupied by the microstructure sample.

### 3.2 Radial Basis Function (RBF) Based Interpolation

With the database of microstructure, many methods can be used to construct the mapping relationship, for instance, the linear or low-order polynomials interpolation, neural networks, or surrogate models. In this paper, the RBF interpolation is used [32,33], because of its unique solvability, smoothness, and accuracy [34–38].

The Compact Support Radial Basis Function (CS–RBF) [32] is used to construct a local interpolation function by using a small amount of data around the evaluation point (denoted  $\mathbf{h}$ ). Here,  $\mathbf{h}$  is a column vector whose components are the cutting heights, i.e.,  $\mathbf{h} = [h_1, \dots, h_N]^T$  and  $N$  is the number of cutting planes. The computational costs of such local interpolation are much lower than that of homogenization.

Using the CS–RBF interpolation, the elastic matrix  $D^H$  and the volume  $V^H$  of an actual microstructure with the cutting heights  $\mathbf{h}$  can be obtained as

$$D_{mn}^H(\mathbf{h}) = \sum_{q=1}^Q \alpha_q^{mn} \phi(r_q(\mathbf{h})) \quad (12)$$

$$V^H(\mathbf{h}) = \sum_{q=1}^Q \beta_q \phi(r_q(\mathbf{h})) \quad (13)$$

where  $\alpha_q^{mn}$  and  $\beta_q$  are the coefficients of the CS–RBFs;  $Q$  denotes the required number of data samples for local interpolation;  $r_q$  is a function of  $\mathbf{h}$  defined as

$$r_q(\mathbf{h}) = \frac{1}{d_s} \sqrt{\|\mathbf{h} - \hat{\mathbf{h}}_q\|^2 + \sigma^2} \quad (14)$$

where  $\hat{\mathbf{h}}_q = [\hat{h}_1, \dots, \hat{h}_N]^T$  are the data points stored in the database;  $\|\mathbf{h} - \hat{\mathbf{h}}_q\|$  is the Euclidean distance between  $\mathbf{h}$  and  $\hat{\mathbf{h}}_q$ ;  $d_s$  is the support radius, and it is set to 2;  $\sigma$  is a positive number set to 0.05. For better interpolation, the CS–RBF with  $C^2$  continuity is chosen, as shown in Eq. (15).

$$\phi(r_q) = \max\{0, (1 - r_q)^4\} (4r_q + 1) \quad (15)$$

The coefficients  $\alpha_q^{mn}$  and  $\beta_q$  of the CS–RBFs are obtained by solving equations that enforce values of interpolation functions that agree with data samples in the database. Such a system of linear equation of  $\alpha_q^{mn}$  is given by

$$D_{mn}^H(\hat{\mathbf{h}}_q) = [\hat{D}_{mn}^H]_q, \quad q = 1, \dots, Q \quad (16)$$

where  $\hat{\mathbf{h}}_q$  is the cutting height vector of the  $q$ -th data sample in the local region of interpolation;  $[\hat{D}_{mn}^H]_q$  is the effective elastic modulus related to  $\hat{\mathbf{h}}_q$ . Here,  $\hat{\mathbf{h}}_q$  and  $[\hat{D}_{mn}^H]_q$  are stored in the database. The matrix form of Eq. (16) is written as

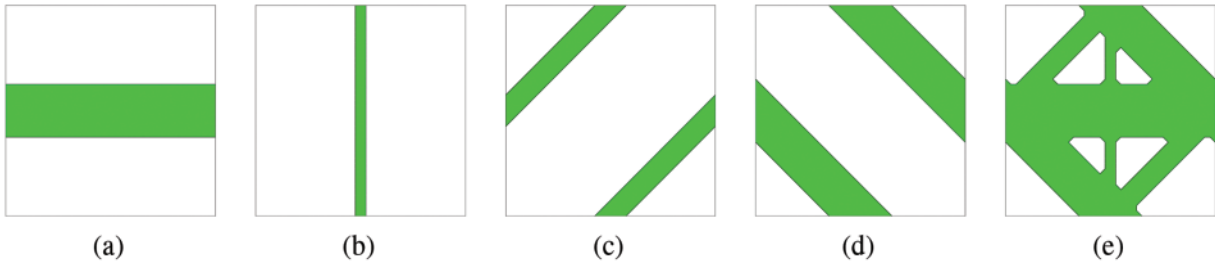
$$\begin{bmatrix} \phi_{1,1} & \dots & \phi_{1,Q} \\ \vdots & \ddots & \vdots \\ \phi_{Q,1} & \dots & \phi_{Q,Q} \end{bmatrix} \begin{bmatrix} \alpha_1^{mn} \\ \vdots \\ \alpha_Q^{mn} \end{bmatrix} = \begin{bmatrix} [\hat{D}_{mn}^H]_1 \\ \vdots \\ [\hat{D}_{mn}^H]_Q \end{bmatrix} \quad (17)$$

where  $\phi_{i,j}$  is given by

$$\phi_{i,j} = \phi\left(\frac{1}{d_s} \sqrt{\|\hat{\mathbf{h}}_i - \hat{\mathbf{h}}_j\|^2 + \sigma^2}\right), \quad i, j = 1 \dots Q \quad (18)$$

Based on the four microstructures in Section 2.1, a mapping relationship between the design variables to the effective elasticity matrix of the microstructures is established. For example, when the design variable  $\mathbf{h} = [-0.42, -0.87, -0.68, -0.35]^T$ , the virtual microstructures are shown in Fig. 4a–d, and the actual microstructure is shown in Fig. 4e. The elastic matrix of the actual microstructure can be obtained by the RBF interpolation, and the result is

$$\mathbf{D}^H = \begin{bmatrix} 0.5254 & 0.1539 & -0.0265 \\ 0.1539 & 0.4404 & -0.0235 \\ -0.0265 & -0.0235 & 0.1615 \end{bmatrix} \quad (19)$$



**Figure 4:** Example of mapping relationship from design variables to microstructures: (a)–(d) four virtual microstructures, (e) actual microstructure

#### 4 Optimization Problem of Two-Scale Thermoelastic Structures

The two-scale optimization of thermoelastic structures was investigated in many previous studies [39–41]. The optimization problems include multi-objective problem [42], multi-material problem [43], and uncertainty problem [44]. In this paper, the compliance minimization of two-scale thermoelastic structures subjected to a uniform temperature change is considered.

$$\begin{aligned} \min \quad & C = \mathbf{f}_1^T \mathbf{u} + \mathbf{f}_2^T \mathbf{u} \\ \text{s.t.} \quad & \mathbf{K} \mathbf{u} = \mathbf{f}_1 + \mathbf{f}_2 \\ & h_{min} \leq h_i^k \leq h_{max} \\ & V - \bar{V} \leq 0 \end{aligned} \quad (20)$$

where  $C$  is the compliance;  $\mathbf{f}_1$  and  $\mathbf{f}_2$  are the global thermal and global mechanical load vectors, respectively;  $\mathbf{K}$  is the global stiffness matrix;  $\mathbf{u}$  is the global displacement vector;  $h_{min}$  and  $h_{max}$  are respectively the upper and lower bounds of the design variable  $h_i^k$ ;  $V$  is the volume of the two-scale structure, with  $\bar{V}$  as the upper bound.

The global stiffness matrix  $\mathbf{K}$  is written as

$$\mathbf{K} = \sum_{e=1}^M \int_{\Omega_e} \mathbf{B}^T \mathbf{D}_e^H \mathbf{B} \, dx \quad (21)$$

where  $\Omega_e$  is the  $e$ -th element;  $\mathbf{D}_e^H$  denotes effective elastic modulus for the  $e$ -th element, and it is obtained by the CS-RBF interpolation;  $\mathbf{B}$  is the strain-displacement matrix. In this study, each cell  $D^k$  is treated as a finite element in the macro-scale FEA.

The global thermal load vector  $\mathbf{f}_1$  is given by

$$\mathbf{f}_1 = \sum_{e=1}^M \int_{\Omega_e} \mathbf{B}^T \mathbf{D}_e^H \boldsymbol{\varepsilon}' dx \quad (22)$$

where the thermal strain  $\boldsymbol{\varepsilon}'$  in plane stress state is given by

$$\boldsymbol{\varepsilon}' = \alpha \Delta T \boldsymbol{\delta} \quad (23)$$

where  $\alpha$  is the coefficient of thermal expansion of the material;  $\nu$  is the Poisson's ratio;  $\boldsymbol{\delta}$  is the unit tensor, which is  $[1 \ 1 \ 0]^T$  in the planar problem; and  $\Delta T$  denotes temperature change. The temperature change  $\Delta T$  is assumed to be uniform. Note that  $\alpha$  is independent of the microstructure composed of one material [45].

## 5 Sensitivity Analysis

To calculate the derivative of  $C$  concerning  $h_i^k$ , the objective function is rewritten by adding the state equation as a constraint

$$C = \mathbf{f}_1^T \mathbf{u} + \mathbf{f}_2^T \mathbf{u} - \tilde{\mathbf{u}}^T (\mathbf{K} \mathbf{u} - \mathbf{f}_1 - \mathbf{f}_2) \quad (24)$$

where  $\tilde{\mathbf{u}}$  is the Lagrange multiplier, also known as the adjoint vector. Then, the derivative is

$$\frac{\partial C}{\partial h_i^k} = (\mathbf{u}^T + \tilde{\mathbf{u}}^T) \frac{\partial \mathbf{f}_1}{\partial h_i^k} - \tilde{\mathbf{u}}^T \frac{\partial \mathbf{K}}{\partial h_i^k} \mathbf{u} + (\mathbf{f}_1^T + \mathbf{f}_2^T - \tilde{\mathbf{u}}^T \mathbf{K}) \frac{\partial \mathbf{u}}{\partial h_i^k} \quad (25)$$

Because  $\mathbf{u}$  does not have an explicit relationship to the design variable  $h_i^k$ , calculation of  $\frac{\partial \mathbf{u}}{\partial h_i^k}$  should be avoided. Therefore, the coefficient of this derivative is set to zero.

$$\mathbf{f}_1^T + \mathbf{f}_2^T - \tilde{\mathbf{u}}^T \mathbf{K} = 0 \quad (26)$$

Comparing Eq. (26) with the state equation in the optimization problem Eq. (20), we get

$$\tilde{\mathbf{u}} = \mathbf{u} \quad (27)$$

Applying the Eq. (27), we can simplify Eq. (25), so that the derivatives is

$$\frac{\partial C}{\partial h_i^k} = 2\mathbf{u}^T \frac{\partial \mathbf{f}_1}{\partial h_i^k} - \mathbf{u}^T \frac{\partial \mathbf{K}}{\partial h_i^k} \mathbf{u} \quad (28)$$

Then, substituting Eqs. (21) and (22) into (28), we get

$$\frac{\partial C}{\partial h_i^k} = 2\mathbf{u}_e^T \int_{\Omega_e} \mathbf{B}^T \frac{\partial \mathbf{D}_e^H}{\partial h_i^k} \boldsymbol{\varepsilon}' dx - \mathbf{u}_e^T \left( \int_{\Omega_e} \mathbf{B}^T \frac{\partial \mathbf{D}_e^H}{\partial h_i^k} \mathbf{B} dx \right) \mathbf{u}_e \quad (29)$$

where  $\mathbf{u}_e$  is the displacement vector.



The derivative of the elastic matrix  $\mathbf{D}_e^H$  with respect to  $h_i^k$  in 2D problems is

$$\frac{\partial \mathbf{D}_e^H}{\partial h_i^k} = \begin{bmatrix} \frac{\partial [D_{11}^H]_e}{\partial h_i^k} & \frac{\partial [D_{12}^H]_e}{\partial h_i^k} & \frac{\partial [D_{13}^H]_e}{\partial h_i^k} \\ \frac{\partial [D_{21}^H]_e}{\partial h_i^k} & \frac{\partial [D_{22}^H]_e}{\partial h_i^k} & \frac{\partial [D_{23}^H]_e}{\partial h_i^k} \\ \frac{\partial [D_{31}^H]_e}{\partial h_i^k} & \frac{\partial [D_{32}^H]_e}{\partial h_i^k} & \frac{\partial [D_{33}^H]_e}{\partial h_i^k} \end{bmatrix} \quad (30)$$

According to the interpolation function in Eq. (12), we have

$$\frac{\partial [D_{mn}^H]_e}{\partial h_i^k} = \sum_{q=1}^Q \alpha_q^{mn} \frac{\partial \phi(r_q)}{\partial h_i^k} \quad (31)$$

According to the definition of CS-RBF in Eq. (15), we have

$$\frac{\partial \phi(r_q)}{\partial h_i^k} = \frac{\partial \phi}{\partial r_q} \frac{\partial r_q}{\partial h_i^k} = \max \{0, (1 - r_q)^3\} (-20r_q) \frac{\partial r_q}{\partial h_i^k} \quad (32)$$

Finally, according to Eq. (14), we have

$$\frac{\partial r_q}{\partial h_i^k} = \frac{h_i^k - \hat{h}_{q,i}}{d_s \sqrt{\|\mathbf{h} - \hat{\mathbf{h}}_q\|^2 + \sigma^2}} \quad (33)$$

The volume in the constraint function is the sum of the volumes of all the elements, which are also obtained from the mapping relationship. Therefore, the sensitivity analysis of the volume constraint function can also be performed by the above method. In this study, the Method of Moving Asymptotes (MMA) algorithm is used for optimization [46].

## 6 Numerical Example

Several examples of two-scale thermoelastic structural optimization are presented. Relevant properties of the material used in these examples are as follows: coefficient of thermal expansion  $\alpha = 15.4 \times 10^{-3}$  (/K), Young's modulus  $E = 1$  (Pa), and Poisson's ratio  $\nu = 0.3$ . To avoid singularities in FEA, an artificially weak material is defined with Young's modulus of  $E = 10^{-3}$  (Pa) and other properties are the same.

Because the cutting heights in neighboring cells may not be continuous, the boundary of microstructures may exhibit a zigzag shape at the border of cells. Such a phenomenon can be relieved as the size of cells becomes smaller. In addition, we use a post-processing technique that smoothes the boundaries of structure [47]. In the post-processing, the cutting heights in adjacent cells are averaged at common nodes, and the node heights are used to obtain a new cutting surface by interpolation. This is similar to stress smoothing which takes the average of the element stresses as the node stress.

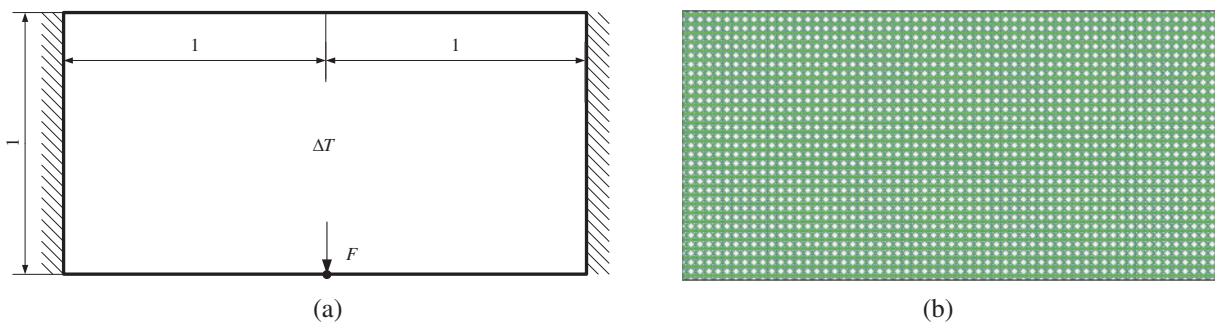
The convergence condition is given by

$$C_{err} = \frac{|\sum_{a=0}^4 |C^{iter-a} - C^{iter-5-a}||}{\sum_{a=0}^4 C^{iter-a}} \leq \delta_c \quad (34)$$

where  $C_{err}$  is the relative error of the objective function;  $iter$  is current number of iterations;  $\delta_c$  is the bound of relative error. And, if  $iter$  reaches 500, the optimization will be stopped.

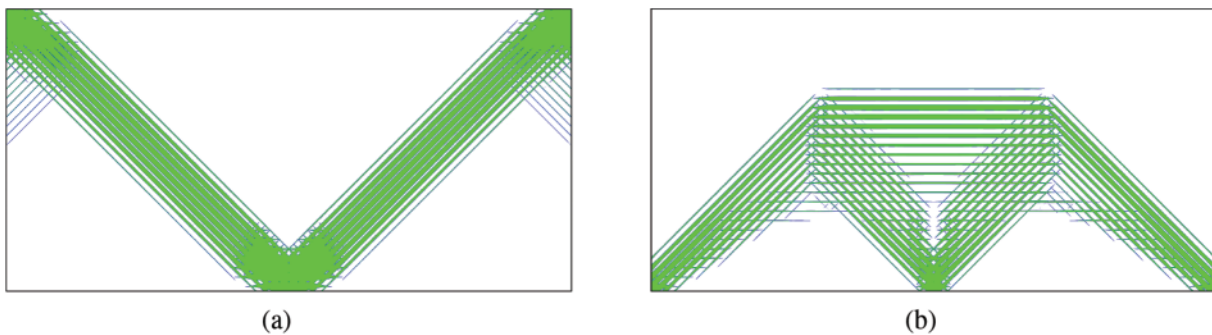
### 6.1 Example 1

The optimization problem and initial design are shown in Fig. 5. The structure is subjected to a uniform temperature change  $\Delta T$ . The design domain is divided into  $60 \times 30$  cells, and microstructures are defined by using the four prototypes shown in Fig. 2. In the macro-scale FEA, each cell is treated as a finite element. The upper bound of the structure volume is 20% of the design domain. Then, cutting height  $\mathbf{h}$  of all cells are set to  $[-0.5, -0.9, -0.6, -0.6]^T$  in the initial design Fig. 5b. The bounds of the design variables in the optimization equation Eq. (20) are set to  $h_{min} = -1.2$  and  $h_{max} = 1.2$ . The “move” parameter is set to 0.01 when using the MMA method. The parameter  $\delta_c$  in the convergence condition Eq. (34) is set to 0.05%.

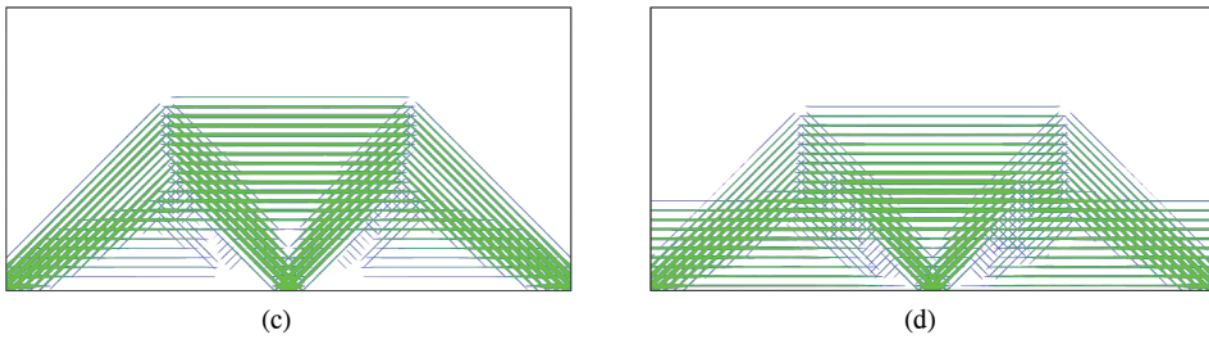


**Figure 5:** The first example: (a) the design problem, (b) the initial design

The optimized structures with different temperature changes  $\Delta T$  are shown in Fig. 6, and Table 1 shows their volume and compliance values. From the results, it is clear that the optimized structures change significantly with different temperature changes, which indicates that temperature cannot be ignored in structural design. Different types of microstructures, including porous cells, empty cells, and full-solid cells, are distributed in different regions of the structure.



**Figure 6:** (Continued)

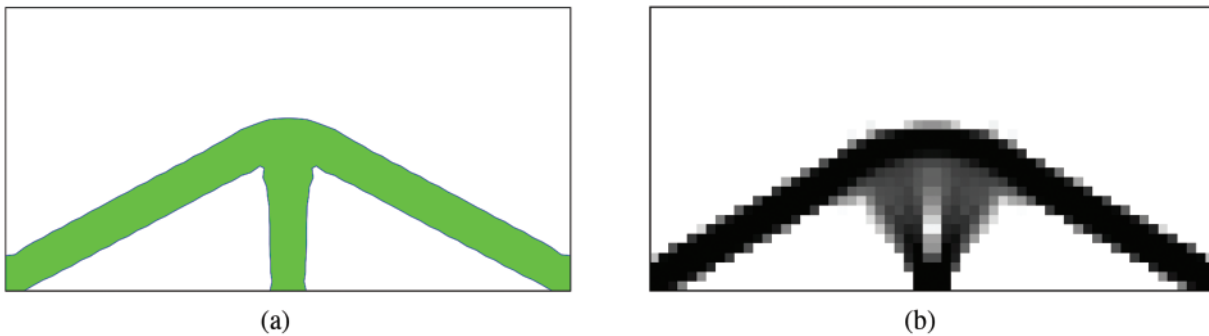


**Figure 6:** The optimized structure of the first example at different temperature changes: (a)  $\Delta T = 0$ , (b)  $\Delta T = 10$ , (c)  $\Delta T = 15$ , (d)  $\Delta T = 20$

**Table 1:** Compliances and volumes of the optimized structures with different temperature changes

| $\Delta T$ | 0      | 10     | 15     | 20     |
|------------|--------|--------|--------|--------|
| Compliance | 11.27  | 20.83  | 27.51  | 35.37  |
| Volume     | 20.01% | 20.00% | 20.01% | 20.00% |

The design optimization problem is also solved only in the macroscale by using the SIMP method and the level set method. In the optimization, the temperature increment  $\Delta T$  is set to 10 and the mesh used for FEA is  $60 \times 30$ . The optimized structures are shown in Fig. 7. The macroscale optimization results are compared with the previous one as shown in Table 2.



**Figure 7:** The optimized structures obtained through macroscale optimization methods with  $\Delta T = 10$ : (a) employing the level set method, (b) employing the SIMP method

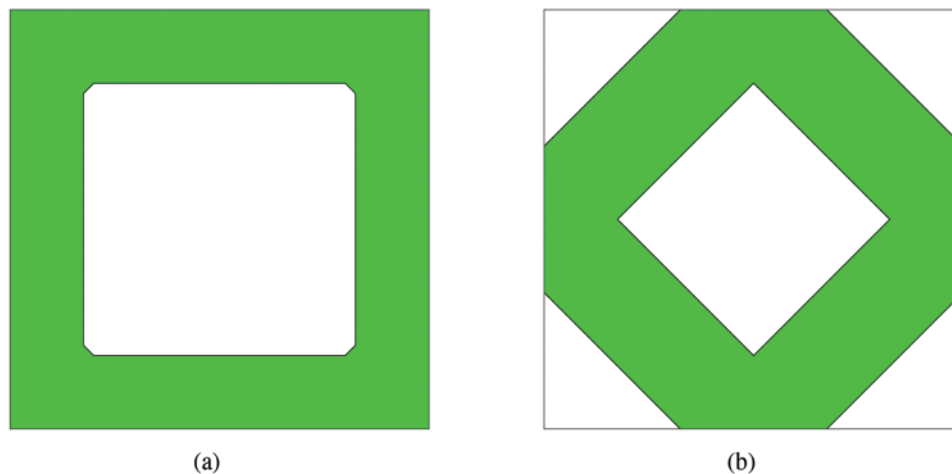
**Table 2:** Comparison between two-scale and macroscale optimizations with  $\Delta T = 10$

| Method     | Two-scale | Level set | SIMP   |
|------------|-----------|-----------|--------|
| Compliance | 20.83     | 29.08     | 28.40  |
| Volume     | 20.09%    | 18.53%    | 20.00% |

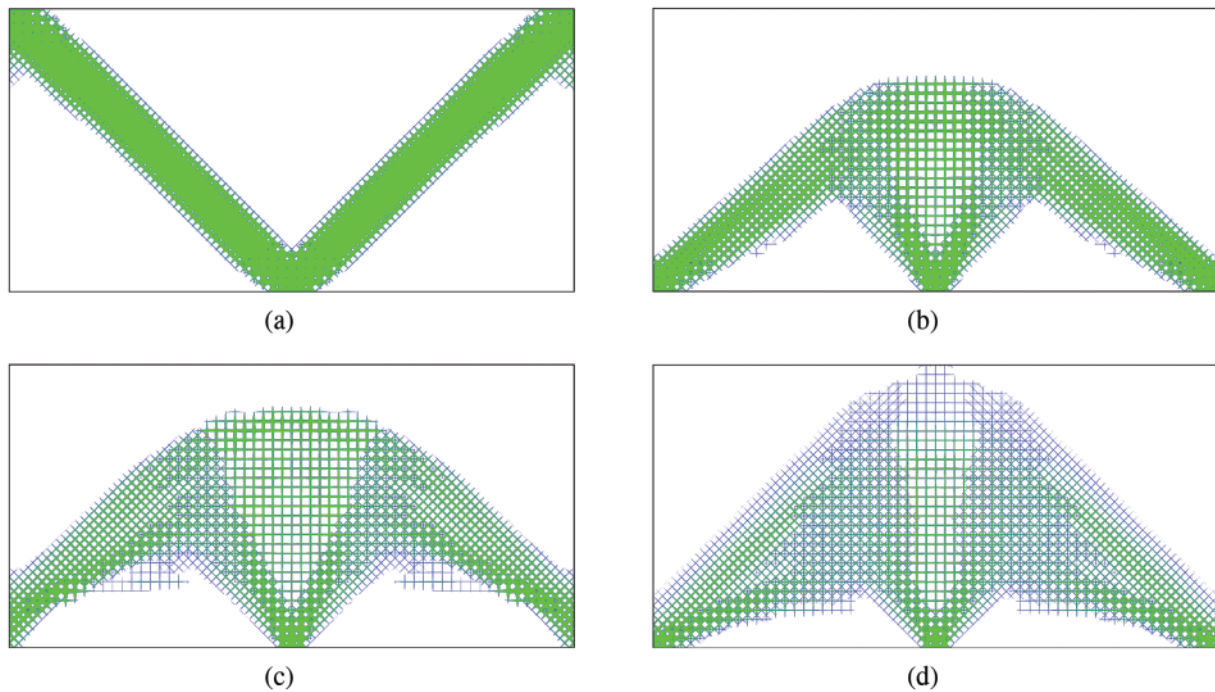
As can be seen from [Table 2](#), the level set method results in the worst structural compliance. This is because the level set-based optimization has no microstructure, which is significant for thermoelastic structure optimization. In addition, one can see in [Table 2](#) that the allowed volume of material is not fully used with the level set method. But the material volume fraction is always kept at 20% when using the optimization method proposed in this paper. This is because the proposed method provides multiple microstructures, and the use of microstructures provides a larger degree of freedom for structural design. This allows for a more rational material distribution, which will reduce the thermal loads, increase the structural stiffness, and reduce compliance.

In the SIMP method, the relative densities of the cells are the design variables, and a penalty parameter  $p = 3$  is usually employed to drive the densities to either 1 or 0, i.e., a “black-white” design. However, as shown in [Fig. 7b](#), the optimized structure has a large area of “gray” densities, but not a “black-white” design. Such a design is indeed the best solution given by the SIMP method, as discussed in our previous study [1]. Recall the name SIMP is “solid isotropic microstructure with penalization”, one can see that these “gray” densities represent isotropic material microstructures. Therefore, we conclude that microstructures are helpful in this optimization problem. However, the isotropic microstructures are still not enough for the optimization to fully exploit its potential, and using various anisotropic microstructures in the optimization gives the best compliance among the three methods, as shown in [Table 2](#).

Finally, to verify the advantage of using multiple microstructure prototypes, the optimization is also conducted by using only two microstructure prototypes, as shown in [Fig. 8](#). The setting of optimization is kept the same as before. [Fig. 9](#) shows the optimized structures, which have the compliance and volume shown in the [Table 3](#). Comparing [Table 3](#) with [Table 1](#), one can see that using more microstructural prototypes yields better optimization results. In addition, the microstructures shown in [Fig. 8](#) are orthotropic, and those shown in [Fig. 2](#) are anisotropic. Because anisotropic microstructures offer more flexibility than orthotropic ones, the results of the optimization with the former are better than the latter.



**Figure 8:** Two orthotropic microstructure prototypes



**Figure 9:** The optimized structures using two microstructure prototypes at different temperature changes: (a)  $\Delta T = 0$ , (b)  $\Delta T = 10$ , (c)  $\Delta T = 15$ , (d)  $\Delta T = 20$

**Table 3:** Results of two-scale optimization using two microstructure prototypes

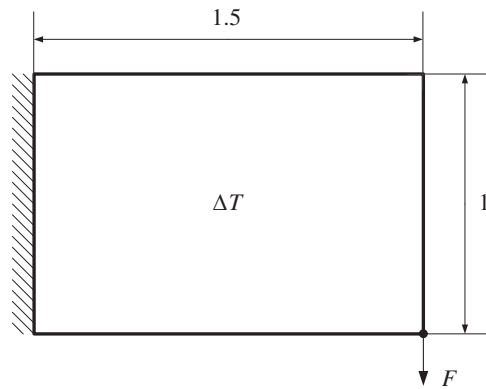
| $\Delta T$ | 0      | 10     | 15     | 20     |
|------------|--------|--------|--------|--------|
| Compliance | 11.44  | 27.60  | 41.67  | 51.71  |
| Volume     | 19.99% | 20.00% | 19.97% | 17.51% |

## 6.2 Example 2

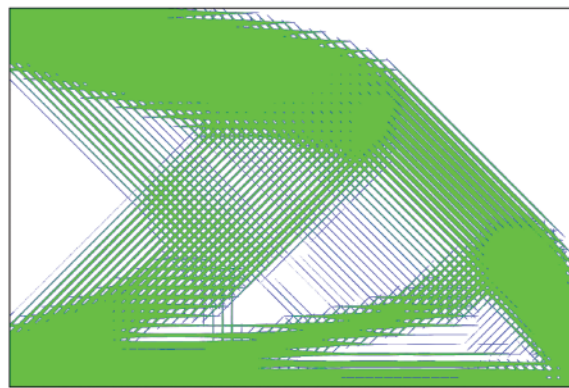
This problem is the cantilever beam, as shown in Fig. 10. The structure is subjected to a uniform temperature change  $\Delta T$ . The design domain is divided into  $60 \times 40$  cells, and microstructures are defined by using the four prototypes shown in Fig. 2. In the macro-scale FEA, each cell is treated as a finite element. The upper bound of the structure volume is 50% of the design domain. The cutting heights  $\mathbf{h}$  of all cells in the initial design are  $[-0.5, -0.9, -0.6, -0.6]^T$ . The bounds of the design variables are kept the same, i.e.,  $h_{max} = 1.2$  and  $h_{min} = -1.2$ . The “move” parameter is set to 0.005 when using the MMA method. The parameter  $\delta_c$  in the convergence condition Eq. (34) is set to 0.05%.

The two-scale structure is optimized with different temperature increments  $\Delta T$ . The optimized results can be seen in Fig. 11, and the volume and compliance values are shown in Table 4. It is clear that as the temperature increases, the number of full-solid cells gradually decreases and that of the porous cells increases. Therefore, one can see the significance of two-scale optimization for thermoelastic structures, which considers the microstructure in the optimization.

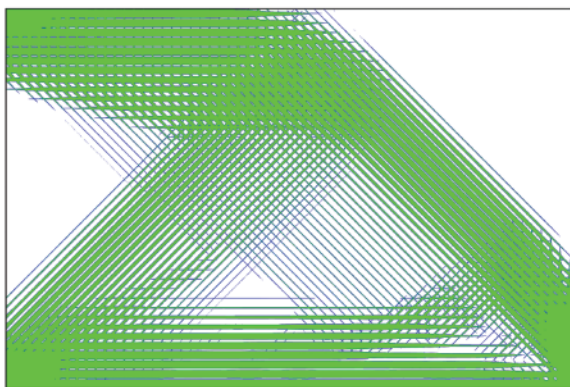




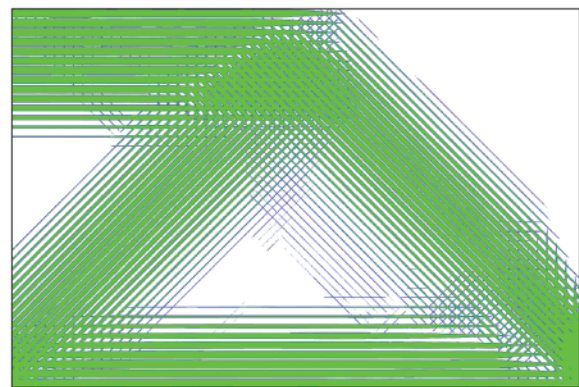
**Figure 10:** The design problem of the second example



(a)



(b)



(c)

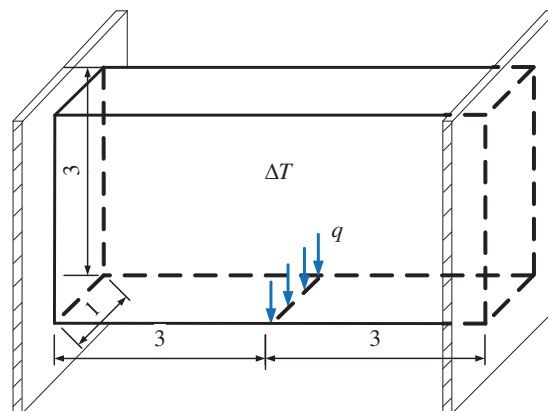
**Figure 11:** The optimized structure of the second example at different temperature changes: (a)  $\Delta T = 0$ , (b)  $\Delta T = 5$ , (c)  $\Delta T = 10$

**Table 4:** Compliance and volume at different temperature changes

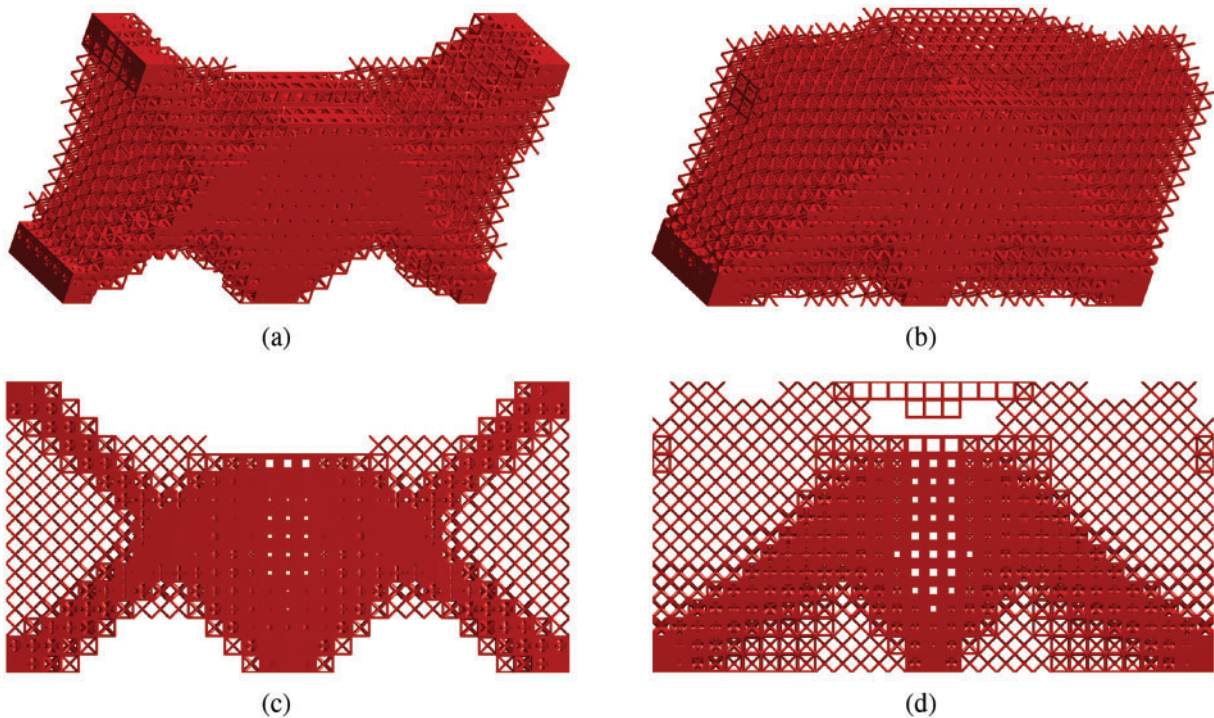
| $\Delta T$ | 0      | 5      | 10     |
|------------|--------|--------|--------|
| Compliance | 37.70  | 53.10  | 80.71  |
| Volume     | 50.00% | 49.99% | 49.95% |

### 6.3 Example 3

The previous numerical examples are all planar problems, but the approach presented in this paper can also be applied to three-dimensional (3D) problems, shown in Fig. 12. The boundary conditions for this problem are similar to those of Example 1: the two end faces of the structure are completely fixed, the middle of the bottom is subjected to a line load, and the structure is in a uniform temperature increment  $\Delta T$ . The design domain is divided into  $30 \times 15 \times 5$  cells, which are also used as finite elements in FEA. The upper bound of the structure volume is set to 20% of the design domain. Young's modulus of the material is set to  $E = 200(\text{Pa})$  and the coefficient of thermal expansion  $\alpha = 15.4 \times 10^{-5}(\text{K})$ .

**Figure 12:** The 3D design problem of the third example

When the temperature increments  $\Delta T$  are set to 5 and 10, the optimized structure is shown in Fig. 13. The microstructures of different regions in the structure are different, which is the result of the two-scale optimization. It is evident that in the upper face of Fig. 13a, the material in the middle of the structure was removed. As the temperature increases, more porous microstructures appear in Fig. 13b, which is the same tendency as in Fig. 9. The material distribution of the structure can also be clearly shown from the frontal views Fig. 13c,d, which are similar to the results of the planar examples. At the same time, the data-driven approach enhances the speed of structure optimization.



**Figure 13:** The optimized 3D structure at different temperature changes: (a) optimized result for  $\Delta T = 5$  in the default view, (c) is the front view; (b) optimized result for  $\Delta T = 10$  in the default view, (d) is the front view

## 7 Conclusion

In this paper, a data-driven model is integrated with the M-VCUT level set-based geometry model to solve the two-scale topology optimization of thermoelastic structures. The geometry of the microstructures is described using the M-VCUT level set method; the effective properties of the microstructure are calculated using the homogenization method in an offline phase; the RBF-based interpolation is employed to construct a data-driven model that describes the relationship between design variables and effective properties; this data-driven model is used in the FEA and sensitivity analysis. Because the costs of invoking such a data-driven model are much less than those of homogenization, the computational efficiency is improved.

From the numerical examples, one can see that the results of the proposed method are better than those of the macroscale optimization because various anisotropic microstructures are reasonably distributed into the macrostructure. In addition, one can also see that when more microstructure prototypes are used in the optimization, the results become better. Although four microstructure prototypes are used in the numerical examples in this study, the number and type of microstructure prototypes are unrestricted, and this flexibility is very important for obtaining better results in two-scale optimization.

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**Ethics Approval:** Not applicable.

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